HyGenar: An LLM-Driven Hybrid Genetic Algorithm for Few-Shot Grammar Generation

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Abstract

Grammar plays a critical role in natural language processing and text/code generation by enabling the definition of syntax, the creation of parsers, and guiding structured outputs. Although large language models (LLMs) demonstrate impressive capabilities across domains, their ability to infer and generate grammars has not yet been thoroughly explored. In this paper, we aim to study and improve the ability of LLMs for few-shot grammar generation, where grammars are inferred from sets of a small number of positive and negative examples and generated in Backus-Naur Form. To explore this, we introduced a novel dataset comprising 540 structured grammar generation challenges, devised 6 metrics, and evaluated 8 various LLMs against it. Our findings reveal that existing LLMs perform sub-optimally in grammar generation. To address this, we propose an LLMdriven hybrid genetic algorithm, namely HyGenar, to optimize grammar generation. HyGenar achieves substantial improvements in both the syntactic and semantic correctness of generated grammars across LLMs ¹.

1 Introduction

Grammar inference, also known as grammar induction, consists of inferring a grammar from a set of examples (Horning, 1969; De la Higuera, 2010; Stevenson and Cordy, 2014b; D'Ulizia et al., 2011). It has been studied and used in various fields, such as natural language processing, where it can reduce the effort required to generate syntactic or semantic models automatically (Kai et al., 2024; D'ulizia et al., 2011), and software engineering, where inferred grammars can guide reverse engineering and automated parser generation (Stevenson and Cordy, 2014a). By relying on characteristic examples (De la Higuera, 2010), grammar infer-

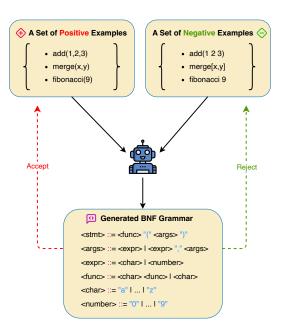


Figure 1: Given a small set of positive and negative examples, LLMs should infer and generate a grammar that accepts all positives and rejects all negatives.

ence enables automated discovery of underlying syntactic or structural patterns.

Backus-Naur Form (BNF) is used to define the grammar of formal languages (Chomsky, 1956; Backus, 1959; Backus et al., 1960), typically Context-Free Grammars (CGFs) (Chomsky, 1956; Aho, 2007). It has also been used in various ways such as in parser generators like ANTLR4 (Parr, 2013) and Yacc (Johnson and Hill, 1978) or in constraining output structure of Large Language Models (LLMs) (Willard and Louf, 2023; Beurer-Kellner et al., 2024).

Although LLMs have exhibited remarkable capabilities across diverse domains (Gaur and Saunshi, 2023; Imani et al., 2023; Pan et al., 2023; Tang and Belle, 2024; Li et al., 2024; Jiang et al., 2024a), their capacity for grammar inference, and partic-

¹The code is open-source and available at https://github.com/RutaTang/HyGenar.

ularly for generating grammars in BNF, has not yet been well explored. This paper focuses on investigating and improving the ability of LLMs to infer a CFG and generate it in BNF from a given set of positive and negative examples. A correctly generated grammar should accept all positives and reject all negatives. Typically, grammar inference requires a large set of characteristic examples that can uniquely identify a CFG (De la Higuera, 2010). However, we instead explore whether LLMs can infer a CFG from fewer examples without imposing any constraints on the examples, based on their experience and knowledge acquired through training on large-scale corpora. We refer to this process as "few-shot grammar generation", emphasizing both the grammar inference of CFGs with fewer examples and their generation in BNF, and we use "grammar" to denote a CFG represented in BNF. An example is shown in Figure 1.

To explore the capacity of LLMs for few-shot grammar generation, we first construct a dedicated dataset of 540 challenges, each with only 3 positive and 3 negative examples, and use it to evaluate the performance of 8 LLMs, encompassing both open- and closed-source models of varying parameter sizes, including those specialized for code generation. We then propose an LLM-driven hybrid genetic algorithm, namely HyGenar, which adapts the principles of genetic algorithms with the integration of LLM-driven population initialization and mutation. We devised and adopted 6 metrics to comprehensively evaluate and analyze their performance from the perspectives of syntax and semantic correctness, over-fitting, overgeneralization, and utility. The results show that, while most LLMs demonstrate unsatisfactory performance, our proposed algorithm significantly enhances the grammar generation ability across most of the evaluated LLMs.

We summarize the main contributions of this paper as follows:

- 1. We constructed a dedicated dataset of 540 challenges for few-shot grammar generation and comprehensively evaluated 8 LLMs;
- 2. We designed 6 metrics for measuring the ability of LLMs in this task and performed an extensive analysis based on them;
- 3. We proposed a novel method, HyGenar, to improve the grammar generation performance of

LLMs and showed that it achieves significant improvements across LLMs.

2 Background

2.1 Context-Free Grammar

A context-free grammar (CFG) consists of terminals, non-terminals, a start symbol, and production rules (Hopcroft et al., 2001; Aho, 2007). It can be formally defined as a quadruple $\mathcal{G}=(V,\Sigma,\Pi,S)$, where V is a finite set of non-terminal symbols, Σ is the set of terminals, $\Sigma \cap V = \emptyset$, Π is a finite set of production rules, $\Pi \subseteq V \times (V \cup \Sigma)^*$, and $S \in V$ is the start symbol of the grammar. Elements of $(V \cup \Sigma)^*$ are known as sentential forms.

The language generated by \mathcal{G} , denoted $\mathcal{L}(\mathcal{G})$, comprises all strings derivable from S using the rules in Π : $\mathcal{L}(\mathcal{G}) = \{\sigma \in \Sigma^* \mid S \stackrel{*}{\to} \sigma\}$. For $\alpha, \beta \in (V \cup \Sigma)^*$, we say α directly derives β in one step as $\alpha \to \beta$, and define $\alpha \stackrel{*}{\to} \beta$ as α deriving β in zero or more steps if there exists a finite sequence of $\gamma_0, \ldots, \gamma_n \in (V \cup \Sigma)^*$ where $n \geq 0$, such that $\alpha = \gamma_0 \to \gamma_1 \to \cdots \to \gamma_n = \beta$.

2.2 Backus-Naur Form

Backus–Naur Form (BNF) is a notation used to define the grammar of formal languages, typically CFG (Chomsky, 1956; Backus, 1959; Backus et al., 1960). In this paper, LLM generates grammars in BNF.

A context-free grammar $\mathcal{G}=(V,\Sigma,\Pi,S)$ is given in BNF notation as a list of grouped production rules, where each rule group is written:

$$\langle v_i \rangle ::= \alpha_1 |\alpha_2| \dots$$

where v_i enclosed between the pair "< >" is a nonterminal symbol in V, and $\alpha_1,\alpha_2\ldots\in(\Sigma\cup V)^*$ is the list of sentential forms that can be derived in one step from v_i . This represents the set of all production rules with v_i on the left-hand side, i.e., $v_i\to\alpha_1,v_i\to\alpha_2,\ldots$

We extend the definition of a CFG to define a grammar in BNF to be $G=(V,\Sigma,\Pi,S,R)$, where V,Σ,Π , and S are defined as before. R is a set of sets of production rules, denoted $\{r_1,\ldots r_n\}$. Each $r_i\in R$ is a set of production rules where the left-hand side of all rules is the i^{th} non-terminal symbol v_i , i.e., r_i is $(v_i\times(\Sigma\cup V)^*)\cap\Pi$. Since each non-terminal symbol has a corresponding rule set, n=|V|=|R|. The language of the BNF grammar G is denoted by $\mathcal{L}(G)$ and is equal to the language of the original CFG, $\mathcal{L}(\mathcal{G})$.

We say that a grammar G is a *valid* grammar, and that valid(G) evaluates to true, if it has a correct BNF syntax, and if R is as defined above, and if all nonterminal symbols have at least one rule in their corresponding rule set.

2.3 Grammar Inference

Grammar inference aims to learn grammar automatically from a set of examples (Horning, 1969; De la Higuera, 2010; Stevenson and Cordy, 2014b; D'Ulizia et al., 2011). In this paper, we focus on inferring a CFG, in BNF notation, from a small set of positive and negative examples.

Given a set of positive examples \mathcal{P} and negative examples \mathcal{N} , consisting of strings that must be, respectively, accepted and rejected, the objective is to infer a BNF grammar G. The generated G should satisfy $\mathcal{P} \subseteq \mathcal{L}(G)$ and $\mathcal{N} \cap \mathcal{L}(G) = \emptyset$, which ensures G accepts all positive examples and rejects all negative examples.

3 Related Work

3.1 Grammar Generation

Grammar inference has been widely studied and applied across various fields, such as natural language processing (Kai et al., 2024; D'Ulizia et al., 2011), bio-informatics (De la Higuera, 2010), pattern recognition (Pedro et al., 2013; Richetin and Vernadat, 1984; De la Higuera, 2010), and software engineering (Schröder and Cito, 2022; Stevenson and Cordy, 2014b). Previous works have also proposed various approaches for grammar inference (Rodrigues and Lopes, 2007; Cohen et al., 2017; Li et al., 2023; D'ulizia et al., 2011; Chen, 1995). However, few works are directly related to exploring the ability of LLMs for few-shot grammar generation, which, to reiterate, is to infer grammars from a small set of positives and negatives while generating them in BNF.

3.2 Code Generation

LLMs demonstrate the ability of code generation (Jiang et al., 2024b; Huang et al., 2023; Dehaerne et al., 2022), with various approaches proposed to improve it (Shinn et al., 2023; Madaan et al., 2023; Huang et al., 2023; Jiang et al., 2023b; Chen et al., 2023). We consider grammar generation to share notable similarities with code generation, since in grammar generation it is not only required to infer grammars but also to generate grammars in BNF. Thus, following a similar ap-

proach to Reflexion (Shinn et al., 2023) and Self-Refine (Madaan et al., 2023) to enhance code generation, we propose a method as one of the baselines for evaluation.

4 Grammar Generation Ability of LLMs

In this section, we describe the dedicated dataset constructed to evaluate the ability of LLMs in grammar generation, introduce 6 metrics we use in evaluation, explain experiments, and analyze results in detail. We detail each in the following subsections.

4.1 Dataset

To evaluate the capacity of LLMs for grammar generation, we present a dedicated dataset.

During dataset construction, for each $k \in$ $\{1, 2, \dots, 9\}$, we prompted GPT-40 (OpenAI et al., 2024) to generate 10 distinct reference grammars, where each reference grammar G^{ref} has k nonterminal symbols and thus |R| = k. This gives a total of 90 reference grammars. We used G^{ref} to prompt GPT-40 to produce 6 different challenges with each challenge consisting of a set of positives \mathcal{P} and negatives \mathcal{N} where $|\mathcal{P}| = 3$ and $|\mathcal{N}| = 3$, in a way that $\mathcal{P} \subset \mathcal{L}(G^{ref})$ and $\mathcal{N} \cap \mathcal{L}(G^{ref}) = \emptyset$. However, GPT-40 often failed to produce challenges with valid reference grammars, positives, and negatives as k increased. We manually corrected erroneous reference grammars, positives, and negatives by using a BNF parser which takes a grammar and outputs whether a grammar is in valid BNF, and whether positives are accepted, and negatives are rejected².

Following this process, we obtained a dataset of 540 challenges, each consisting of 3 positives and 3 negatives. Figure 1 shows an example challenge and a corresponding solution.

4.2 Metrics

Let C be a set of N challenges where each is a tuple $(G^{ref}, \mathcal{P}, \mathcal{N}, G^*)$ consisting of a reference grammar, and a set of positive examples and negative examples, respectively, and the corresponding candidate grammar G^* generated by an LLM. We evaluate the quality of generated grammars using 6 key metrics:

Syntax Correctness (SX) The syntax correctness metric SX quantifies the proportion of guesses

²Refer to Appendix A for the details of dataset construction.

that conform to the valid BNF syntax defined in Section 2.2. We define an indicator function as:

$$\mathbb{I}_{SX}(G^*) = \begin{cases} 1 & \text{if } valid(G^*), \\ 0 & \text{otherwise.} \end{cases}$$

SX(C) is defined as: $\frac{1}{N} \sum_{i=1}^{N} \mathbb{I}_{SX}(G_i^*)$.

Semantic Correctness (SE) SE captures the proportion of guesses that are semantically correct. We define an indicator function as follows, noting that if G^* is not in valid BNF, then $\mathcal{L}(G^*) = \emptyset$:

$$\mathbb{I}_{SE}(G^*, \mathcal{P}, \mathcal{N}) = \begin{cases} 1 & \text{if } \mathcal{P} \subseteq \mathcal{L}(G^*) \land \\ & \mathcal{N} \cap \mathcal{L}(G^*) = \emptyset \\ 0 & \text{otherwise.} \end{cases}$$

SE(C) is given by: $\frac{1}{N} \sum_{i=1}^{N} \mathbb{I}_{SE}(G_i^*, \mathcal{P}_i, \mathcal{N}_i)$.

Estimating Grammar Quality Given a set of positive and negative examples, there are many possible valid and semantically correct solutions that are undesirable. For instance, the following grammar would be an undesirable solution for Figure 1. Here the $\mathcal{L}(G^*) = |P|$, and is overfitted to the examples:

Equally undesirable is a grammar that overgeneralizes from the examples, and defines a significantly larger language than the reference grammar, because this is highly likely to contain invalid strings. There are no standard metrics to measure over-fitting or over-generalization in grammar generation, so we devise 4 metrics based around the number of production rules used in parsing the positive examples.

First, let $\Pi_{\mathcal{P}} \subseteq \Pi$ be the set of production rules that are used in the left-most derivations of all positive examples in \mathcal{P} . That is, the set of rules in Π which occur in a sequence of rules $S \to \alpha_1 \to \ldots \to \alpha_n \to p$ where $p \in \mathcal{P}$, and all rules expand the left-most non-terminal in $\alpha_1, \ldots, \alpha_n$.

We report metrics across only *solved* challenges, i.e., a challenge where G^* is syntactically and semantically correct. Our four metrics are defined as follows³:

• Diff(C), calculates the difference between the number of production rules in G^* used in

parsing the positive examples and the number of production rules used by G^{ref} for a given challenge, i.e., $|\Pi^{ref}_{\mathcal{P}}| - |\Pi^*_{\mathcal{P}}|$. A grammar that uses substantially fewer production rules has probably overfitted to the examples, and a grammar that uses substantially more production rules may have over-generalized. We report the average of Diff across all solved challenges as $Diff^{\diamond}$.

- OF estimates over-fitting by counting the percentage of solved challenges on which G^* uses fewer than half the number of production rules used by G^{ref} , i.e., the number of times $|\Pi^{ref}_{\mathcal{P}}| |\Pi^*_{\mathcal{P}}| > \frac{|\Pi^{ref}_{\mathcal{P}}|}{2}$.
- OG estimates over-generalization by counting the percentage of solved challenges on which G^* uses more than half the number of production rules used by G^{ref} , i.e., $|\Pi^{ref}_{\mathcal{P}}| |\Pi^*_{\mathcal{P}}| < -\frac{|\Pi^{ref}_{\mathcal{P}}|}{2}$.
- TU calculates the proportion of production rules that are used in parsing the positive examples for a given challenge, i.e., $\frac{|\Pi_{\mathcal{P}}^*|}{\Pi^*}$, indicating the utility. We report the average of TU across all solved challenges as TU^{\diamond} .

4.3 Baselines

To establish baselines, we adopted two approaches, Direct Prompting (DP) and Optimization of the BNF Parser for LLM-Friendly Feedback (OPF).

In DP, we directly prompted LLMs with positive and negative examples, asking them to produce grammars that accept all positives and reject all negatives⁴.

In OPF, inspired by Reflexion (Shinn et al., 2023) and Self-Refine (Madaan et al., 2023), we further optimized the BNF parser by enabling it to provide more LLM-friendly error messages as feedback, aiming to improve the performance of grammar generation for LLMs⁵.

4.4 Experiment Settings

For a comprehensive evaluation, we selected a total of 8 LLMs, ensuring a diverse selection of both open- and closed-source LLMs, along with LLMs with varying parameter sizes and LLMs specifically designed for code generation.

Specifically, we selected two closed-source LLMs, *GPT-4o* (OpenAI et al., 2024) and *GPT-3.5-Turbo* (Brown et al., 2020a). For the other 6

³Refer to Appendix B for the details of formal definitions.

⁴Refer to Appendix C for the details of DP.

⁵Refer to Appendix D for the details of OPF.

open-source LLMs, we note them in the notation: {model_name}:{parameter_size}-{model_type}. We selected the following LLMs: Llama3:70b-Instruct (Grattafiori et al., 2024), Qwen:72bal., 2023), *Gemma2:27b-*Chat (Bai et Instruct (Team et al., 2024), Mistral:7b-Instruct (Jiang et al., 2023a), Codestral:22b (MistralAI, 2024), and Starcoder2:15b-Instruct (Lozhkov et al., 2024). Among the selected LLMs, Starcoder2:15b-Instruct and Codestral:22b are LLMs for code generation.

For the DP baseline, we set the temperature to 0 and the maximum token to 2000.

For the OPF baseline⁶, we set the temperature to 0.3, the maximum token to 2000, and the maximum number of turns, *max_turns*, to 5.

4.5 Results & Analysis

The results of SX and SE for the 8 LLMs are presented in Table 1. We observed that GPT-40, GPT-3.5-Turbo, and Gemma2:27b-Instruct achieve relatively high SX, while the other LLMs generally fare worse in DP baseline. Compared to the DP baseline, applying OPF leads to a significant enhancement in SX for both Mistral:7b-Instruct and Codestral:22b, yielding an 18% improvement for each of them, suggesting that parser feedback can help increase SX. Nevertheless, for most LLMs, OPF yields only slight gains in SX. Furthermore, it is worth noting that for Starcoder2:15b-Instruct, SX decreases by 16% after applying OPF. This indicates that feedback from the parser can sometimes lead to performance degradation if LLMs fail to interpret the feedback correctly.

Although several LLMs attain high SX, their SE remains low in DP, with an average of 39%. For example, GPT-3.5-Turbo has 94% SX but only 37% SE. With OPF, LLMs like Mistral:7b-Instruct and Codestral:22b achieve notable SE improvement with enhanced SX, illustrating that improved SX can positively influence SE. However, for most LLMs, OPF yields only marginal SE gains, particularly in the case that their SX is already high and OPF fails to contribute significant SX improvement.

Noticeably, although OPF helps improve SX, a significant gap still persists between SX and SE for most LLMs. For example, even after OPF, GPT-3.5-Turbo maintains a 57% gap between SX and SE, and SE, and SE and SE and SE and SE and SE are SE and SE and SE and SE and SE are SE and SE and SE and SE and SE are SE and SE and SE are SE are SE and SE are SE are SE and SE are SE are SE are SE and SE are SE are SE are SE and SE are SE are SE are SE and SE are SE and SE are SE and SE are SE are SE and SE are SE and SE are SE and SE are SE and SE are SE are SE are SE and SE are SE and SE are SE are SE and SE are SE and SE are SE are SE and SE are SE are SE and SE are SE and SE are SE are SE are SE are SE are SE are SE and SE are SE are

Since the ultimate objective is to improve SE, a more advanced approach is needed to address this limitation.

Furthermore, as other four metrics shown in Table 2, on average, for most of LLMs, the lower $Diff^{\diamond}$, OF, and OG indicate negligible over-fitting or over-generalization issues, and the higher TU^{\diamond} means LLMs are not predisposed to generate irrelevant production rules. Nevertheless, as the number of production rules increases, $Diff^{\diamond}$ and OF exhibit slight increases, indicating a tendency toward mild over-fitting, while no significant overgeneralization issues are observed 7 .

In addition to the quantitative metrics, we also conducted a qualitative analysis by examining the generated grammars. We compared the grammars containing syntactic errors generated in the DP but corrected after the OPF. We primarily found four significant issues causing lower SX: unsupported symbols such as injecting quantifiers like "*" and "?" or character classes like "[a-z]", erroneously introduced and misplaced brackets such as wrapping two terminals with round and square brackets, failing to wrap non-terminal with angle brackets, and omission the separators "|" between sentential forms. While the first two issues are rampant across most LLMs, the third issue is mainly found in Mistral:7b-Instruct and the last one is sporadic. For most LLMs, OPF can occasionally mitigate these issues, but insignificantly. Furthermore, we also observed LLMs show an ability to recognize keywords in the examples, treating them as complete terminals rather than decomposing them into multiple terminals as individual characters. For example, they treat "if" and "SELECT" as complete terminals rather than splitting them into multiple characters. This may be benefited from the common sense acquired by LLMs from the corpus (Brown et al., 2020b).

5 LLM-Driven Hybrid Genetic Algorithm

The results of the baselines demonstrate the unsatisfactory performance of LLMs in grammar generation. To address this, we propose an LLM-driven hybrid genetic algorithm, namely HyGenar, a novel algorithm inspired by the concept of genetic algorithms. The following sections detail HyGenar and elaborate on our experiment settings, results, and analysis.

⁶Refer to Appendix D for the reason of setting the temperature greater than 0 for OPF.

⁷Refer to Appendix F.3 and F.4 for more details.

Models	Syntax Correctness (SX)		Semantics Correctness (SE)			
	DP	OPF	HyGenar	DP	OPF	HyGenar
GPT-40	93	97 ↑4	96 ↑3 ↓1	84	85 ↑1	93 ↑9 ↑8
GPT-3.5-Turbo	94	95 † 1	99 ↑5 ↑4	37	38 ↑1	61 ↑24 ↑23
Llama3:70b-Instruct	57	61 📬	75 ↑18 ↑14	41	42 <u>↑</u> 1	61 ↑20 ↑19
Qwen:72b-Chat	47	49 † 2	76 ↑29 ↑27	20	21 11	38 ↑18 ↑17
Mistral:7b-Instruct	1	19 ↑18	$1 - \downarrow_{18}$	0	8 ↑8	1 ↑1 ↓7
Gemma2:27b-Instruct	91	92 1	98 [↑] 7 [↑] 6	56	57 ↑1	79 ↑23 ↑22
Starcoder2:15b-Instruct	76	60 ↓ 16	98 †22 †38	30	20 \10	44 114 124
Codestral:22b	53	71 118	80 †27 †9	44	52 ↑8	67 ↑23 ↑15

Table 1: The results of syntax and semantic correctness for LLMs grammar generation are presented as percentages (%). For each LLM, the best syntax and semantic correctness are highlighted with bold font. Blue arrows ↑↓ represent performance differences relative to the DP baseline, while red arrows ↑↓ indicate differences relative to the OPF baseline.

5.1 Methodology

HyGenar consists of four main components: Fitness, Selection, Crossover, and Mutation. It begins by prompting an LLM to generate an initial population of candidate grammars from positive and negative examples. In each generation, the Fitness function scores each candidate and the Select function chooses a subset of the population. To form a new population, the Cross function operates on two randomly selected candidates from this subset to generate a new candidate, which is then modified by the Mutate function and added to the new population, until the maximum population size is reached. The new population then advances to the next generation. We provide pseudocode in Algorithm 1 in Appendix E. We detail each component as follows:

Fitness Given a generated grammar G^* , if it is syntactically incorrect, it is assigned a score of -1. For a valid grammar, we define two indicator functions:

$$\mathbb{I}_{\mathcal{A}}(G^*, p) = \begin{cases} 1 & \text{if } p \in \mathcal{L}(G^*), \\ 0 & \text{otherwise.} \end{cases}$$

$$\mathbb{I}_{\mathcal{R}}(G^*, n) = \begin{cases} 1 & \text{if } n \notin \mathcal{L}(G^*), \\ 0 & \text{otherwise.} \end{cases}$$

The Fitness function $Fitness(G^*, \mathcal{P}, \mathcal{N})$ is then defined as:

$$\sum_{p_i \in \mathcal{P}} \mathbb{I}_{\mathcal{A}}(G^*, p_i) + \sum_{n_i \in \mathcal{N}} \mathbb{I}_{\mathcal{R}}(G^*, n_i).$$

Selection Let $\mathbb{G} = \{G_1^*, G_2^*, \dots, G_k^*\}$ be a population of candidate grammars. Each $G_i^* \in \mathbb{G}$ is

assigned a fitness score $s_i \in S$ by the Fitness function, where $S = [s_1, s_2, \dots, s_k]$ is a sequence of their corresponding scores. We define the Select function as:

$$Select(\mathbb{G}, S) = \{G_{\sigma(1)}^*, G_{\sigma(2)}^*, \dots, G_{\sigma(\frac{k}{\alpha})}^*\},\$$

where $\sigma:\{1,2,\ldots,k\}\to\{1,2,\ldots,k\}$ is a permutation of the indices such that: $s_{\sigma(1)}\geq s_{\sigma(2)}\geq\cdots\geq s_{\sigma(k)}$. Hence, half of the candidates from the population are selected in decreasing order of their fitness scores.

Crossover The crossover function splices the production rules from two grammars together, at a randomly chosen splicing point. Let G_a^* and G_b^* be two candidate grammars, with rules R_a^* and R_b^* respectively. If both R are empty, we return one grammar at random. If one grammar has a nonempty R, we return that grammar. Otherwise, we apply the crossover function with probability ρ (the crossover rate).

The crossover operation works in the following way. Let $\ell = \min(|R_a^*|, |R_b^*|)$. First sample a crossover point $w \sim \operatorname{Uniform}(\{1, 2, \dots, \ell\})$. Let $R_a^* = r_1^a, r_2^a \dots$ be the rules from G_a^* and R_b^* be the rules from G_b^* . We take the first w-1 rule sets from R_a^* and then prefix these to the last n-w rule sets from R_b^* , where $n = |R_b^*|$. This generates a new rule set $R' = \{r_a^1, \dots, r_a^{w-1}, r_b^w, \dots r_b^n\}$. Crossover returns a new grammar $G' = R_a^*$

Crossover returns a new grammar $G' = (V', \Sigma', \Pi', S_a, R')$, where V' and Σ' are all non-terminal and terminal symbols in R', Π' is all rules in R', and S_a is the start symbol from G_a^* .

Mutation We use two mutation methods: mutation by LLM, and local mutation. We chose

whether to mutate a grammar at all, with probability μ (the mutation rate). If the grammar has no production rules, we apply the LLM mutation. Otherwise, we apply local mutation with a probability 0.5 and LLM mutation otherwise.

LLM-Driven Mutation The LLM-driven mutation uses G^* , \mathcal{P} , and \mathcal{N} to prompt⁸ an LLM to produce a new grammar. In this approach, we expect that, with the knowledge and experience obtained by training on vast corpora, LLMs can heuristically provide more novel and dramatic modifications such as introducing new terminals or nonterminals, adding or removing production rules, or reshaping the structure of grammars, which is hard to approach with local mutation.

Local Mutation The local mutation is designed to produce incremental, targeted alterations to the grammar while preserving the majority of its original form. It is less flexible than LLM-driven mutation and it is unable to introduce new non-terminals or to drastically restructure grammars. However, it can not only potentially find a grammar candidate but also provide insights for LLM-driven mutation. Given a grammar G^* , with a set of sets of production rules R^* , local mutation comprises the following steps:

- Rule set selection We sample an integer $i \sim \text{Uniform}(\{1, \dots, |R|\})$. This index i chooses the rule set $r_i \in R^*$ that will be mutated.
- Shuffle The Shuffle mutation shuffles the order of symbols on the right-hand side of a production rule. That is, each rule is a mapping from a non-terminal v to a sequence of non-terminal and terminal symbols $(V \cup \Sigma)^*$, and we shuffle this sequence randomly. For example, the rule set: $\langle e \rangle ::= \langle e \rangle$ "*" $\langle e \rangle$ | $\langle e \rangle$ "/" $\langle e \rangle$ with infix operators, may be shuffled to $\langle e \rangle$::= "*" $\langle e \rangle$ $\langle e \rangle$ | "/" $\langle e \rangle$ $\langle e \rangle$, switching to prefix operators.

Shuffle is applied to all production rules in r_i .

• Space Insertion The SpaceInsert mutation inserts a randomly chosen number of whitespace terminal symbols " $_$ " into the right-hand side of a production rule. Given a rule $v_i \to \alpha$, Shuffle chooses the number

of whitespace terminals to be inserted by sampling $I \sim \mathrm{Uniform}(0,|\alpha|)$, where $|\alpha|$ is the number of symbols in α . Each space is inserted before or after a randomly chosen symbol in α . As an example, the rule set: <s> ::= <noun> <verb> may be changed to <s> ::= <noun> " $_$ " <verb>.

For each production rule in r_i , we randomly decide whether SpaceInsert should be applied to that rule with a low probability⁹.

The Shuffle alteration heuristic is motivated by two key insights. First, shuffling the right-hand side of production rules may yield a grammar that accepts more positive examples and rejects more negative ones. Second, although Shuffle may rarely yield a better candidate for a complex target grammar, the new variant of the grammar produced from Shuffle is expected to provide alternative perspectives and new insights for LLMs to help generate subsequent grammars in future generations.

The SpaceInsert alteration was introduced because some LLMs tended to omit explicit space symbols between symbols in an alternative, resulting in degraded grammar generation, even if they were prompted to pay attention to space inclusion ¹⁰. We expect that incorporating SpaceInsert will offer insights for LLMs of the explicit inclusion of spaces to thereby enhance performance.

5.2 Experiment Settings

In addition to a set of positive and negative examples and an LLM, HyGenar takes four parameters: *population size* (grammars per generation), *generations* (number of evolution iterations), *crossover rate* (probability of crossover), *mutation rate* (probability of mutation). In our experiments, we set these to 10, 5, 0.7, and 0.3, respectively.

We selected the same 8 LLMs as the DP and OPF baselines, setting maximum tokens to 2000 and temperature to 0.7. In HyGenar, a nonzero temperature is necessary for diversity. To ensure it does not significantly impact results and show its robustness, we further repeat the experiments 5 times with *GPT-3.5-Turbo* and *GPT-4o*.

5.3 Results & Analysis

As shown in Table 1, HyGenar substantially boosts *SX* for most LLMs. For example, *Qwen:72b-Instruct* gains 29% over DP and 27% over OPF.

⁸Refer to Prompt Template 2 in Appendix E for the prompt we designed for LLM-driven mutation.

⁹We fix it to 0.1.

¹⁰Refer to Prompt Template 1 in Appendix C for details.

Methods	$Diff^{\diamond}$	OF	OG	TU^{\diamond}
DP	1.12	3.83	0.63	88.74
OPF	1.10	4.72	1.31	90.76
HyGenar	1.19	4.44	0.92	91.27

Table 2: The averages of $Diff^{\diamond}$, OF(%), OG(%), and $TU^{\diamond}(\%)$ across all LLMs.

Even for LLMs already improved by OPF, such as *Codestral:22b* with an 18% improvement, HyGenar adds an additional 9%. Meanwhile, *Starcoder2:15b-Instruct*, which experiences a 16% drop under OPF, achieves a 22% improvement compared to DP and a 38% improvement over OPF, with HyGenar. On average, it improves *SX* 13.88% compared to DP and 9.88% over OPF.

While enhancing SX is essential, the ultimate objective is to improve SE. As shown in Table 1, our method significantly boosts SE for all LLMs except Mistral:7b-Instruct. For example, with Hy-Genar, GPT-4o rises from 84% with DP and 85% with OPF to 93%, and GPT-3.5-Turbo, noted for low semantic accuracy, increases by 24% over DP and 23% over OPF. Notably, although the contribution from the enhancement of SX is essential to SE, HyGenar does not rely solely on enhancing SX to achieve significant improvement of SE, as five LLMs demonstrated higher SE increases than their SX. Across the selected LLMs, the average SE improvement is 16.5% compared to DP and 15.13% compared to OPF.

We further analyzed the performance as the number of non-terminals and production rules increases. For non-terminals, we partition the dataset into 3 groups: C_1 (1–3 non-terminals), C_2 (4-6 non-terminals), and C_3 (7-9 non-terminals). For production rules, we split the dataset into another 3 groups: P_1 (1–6 production rules), P_2 (6-15 production rules), and P_3 (greater than 16 production rules). We observed that the performance of LLMs decreases as the number of non-terminals and production rules increases. Nevertheless, HyGenar still substantially improves both SX and SE^{11} .

Furthermore, as shown in Table 2, OF does not significantly increase in HyGenar, indicating that the substantial improvements observed in both the SX and SE for HyGenar are not attributed to overfitting¹².

Moreover, we also conducted a qualitative analysis of how HyGenar improves SX and SE. For SX, HyGenar significantly reduces the issues of unsupported symbols injection and misplaced brackets. However, it fails to address the issue of unwrapped non-terminals, which is also the issue mainly happened in *Mistral:7b-Instruct*. Unlike OPF, which benefits from more explicit syntax error feedback, our approach lacks such direct syntax corrective guidance, meaning that if an LLM inherently struggles to generate syntactically correct grammars, our method may fail to produce valid candidates and process evolution, thereby lowering both SX and SE. Nevertheless, as long as at least a few candidates are generated in correct syntax, HyGenar can optimize their generations during the evolutionary process to mitigate the aforementioned issues and improve SX. For SE, attributing the significant improvement is complex. However, we still observed two phenomena. First, after applying HyGenar, terminals that were not previously considered in the grammars generated by DP or OPF have been introduced. Second, the semantic errors that were caused by the absence of space terminals in DP or OPF have been alleviated.

Due to the relatively high temperature of 0.7 used for HyGenar, we repeated the experiments 5 times with *GPT-40* and *GPT-3.5-Turbo*¹³ to ensure robustness. The averages of *SX* for *GPT-40* and *GPT-3.5-Turbo* are 95.8% and 98.6%, with standard deviations 0.4% and 0.49% respectively, while the averages of *SE* are 93.2% and 61.6% with standard deviations 0.4% and 0.49% respectively. These results indicate that setting the temperature to 0.7 has a negligible impact on performance and show the robustness of HyGenar.

6 Conclusion

To explore the few-shot grammar generation ability of LLMs, we constructed a dedicated dataset consisting of 540 challenges, devised and adopted 6 metrics, and evaluated 8 various LLMs. Due to their unsatisfactory performance, we introduced HyGenar, an LLM-driven hybrid genetic algorithm for grammar generation. Our results indicate that HyGenar significantly enhances both syntax and semantic correctness compared to the two baselines. We believe this work provides valuable insights into LLM-based grammar generation and highlights the potential of LLM-driven hybrid genetic algorithms

¹¹Refer to Tables 4 and 5 in Appendix F.1 and F.2 for details.

¹²Refer to Tables 6, 7, and 8 in Appendix F.3 for the more details.

¹³Refer to Table 3 in Appendix F.5 for details.

in this domain.

7 Limitations

We discuss several limitations and concerns in this work, revealing potential challenges, constraints, and confusion.

First, although the results indicate that GPT-4o exhibits remarkable SX and SE, it is important to note that these results may be attributable to the use of GPT-4o during dataset construction. Nonetheless, even though GPT-4o already demonstrates excellent performance, HyGenar can still enhance it significantly.

Second, as demonstrated, our method does not outperform OPF for Mistral:7b-Instruct in SX and SE due to its inherent failure to generate syntactically correct grammars. Nevertheless, our approach yields significant SX and SE improvements for all other LLMs. We also propose to combine syntactical feedback and HyGenar to mitigate this limitation and further improve the performance.

Third, one may argue that given any finite set of positive and negative examples, it could always be possible to construct a regular grammar rather than a CFG that can accept all positives and reject all negatives. However, such an approach may function more like a classifier rather than a grammar and may lack applicability in subsequent tasks, such as constructing an abstract syntax tree.

Finally, in this work, we primarily focus on LLM-based few-shot grammar generation without comparing algorithms that are not LLM-based. The reasons behind this are that most algorithms require a large set of characteristic examples to uniquely determine the target grammar (De la Higuera, 2010). Instead, we do not impose such constraints on our example set and hypothesize that the experience and knowledge acquired from corpus can enable LLMs to handle few-shot grammar generation tasks. Consequently, those algorithms may not be directly applicable. In addition, since we focus on the exploration and improvement of the ability of LLMs in few-shot grammar generation, we construct two LLM-based baselines for fair comparison.

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A Dataset Construction

To evaluate the capacity of LLMs in few-shot grammar generation, we present a dedicated dataset. We explain the details of the construction process in this section.

For clear explanation, let $\mathfrak{G}^{ref} = \bigcup_{k=1}^K \mathbb{G}_k^{ref}$ be a set of reference grammars, where \mathbb{G}_k^{ref} is a set of reference grammars in which each reference grammar G_k^{ref} having exactly k non-terminals and thus its |R| = k. Each set of reference grammars $\mathbb{G}_k^{ref} = \{G_{k,1}^{ref}, G_{k,2}^{ref}, \dots, G_{k,n}^{ref}\}$ contains n reference grammar with k non-terminals.

Initially, we constructed $\mathfrak{G}^{ref} = \bigcup_{k=1}^{9} \mathbb{G}_k^{ref}$. For each number k, we prompted GPT-40 to produce n=10 reference grammars to yield $\mathbb{G}_k^{ref}=\{G_{k,1}^{ref},G_{k,2}^{ref},\ldots,G_{k,10}^{ref}\}$, with the prompt template demonstrated in Prompt Template 3. In Prompt Template 3, k means the placeholder of the number of non-terminals, and n means the number of reference grammars needed to be produced. However, GPT-40 failed to consistently generate reference grammars in the correct syntax or with the correct number of non-terminals, especially as the number of non-terminals increased. To ensure that the generated reference grammars are syntactically correct and have the correct number of nonterminals, we used a BNF parser to do verification. It takes a G^{ref} and checks whether $valid(G^{ref})$ is true and whether it has the required number of non-terminals. Any reference grammar that is not valid or has a wrong number of non-terminals were manually corrected. For duplicated reference grammars, we prompted GPT-40 to generate an alternative. This resulted in 90 reference grammars (i.e., $|\mathfrak{G}^{ref}| = |\bigcup_{k=1}^{9} \mathbb{G}_{k}^{ref}| = 90$), which are the reference grammars used to generate positive and negative examples subsequently.

For each reference grammar $G^{ref} \in \mathfrak{G}^{ref}$, we prompted GPT-40 to generate 6 various challenges. For each challenge, GPT-40 is prompted by Prompt Template 6 and Prompt Template 4 to produce a set of 3 positive examples $(\mathcal{P} \subseteq \mathcal{L}(G^{ref}))$, and a set of three negative examples $(\mathcal{N} \cap \mathcal{L}(G^{ref}))$, respectively. In both Prompt Template 6 and Prompt Template 4, m means the number of examples needed to be generated, and $reference_grammar$ means the given reference grammar by which the generated examples should be accepted or rejected. However, we observed that GPT-40 frequently failed to produce valid positive and negative examples, leading to either $\mathcal{P} \not\subseteq \mathcal{L}(G^{ref})$ or

 $\mathcal{N}\cap\mathcal{L}_(G^{ref}) \neq \emptyset$, or both. The number of failures tends to increase as the number of non-terminals of G^{ref} increases. To ensure the correctness of the generated challenges, we used a BNF parser to verify whether all given positive examples and negative examples can be accepted and rejected, respectively, by their corresponding G^{ref} . Erroneous positive and negative examples were manually corrected. Ultimately, we obtained a dataset consisting of a total of 540 challenges.

We visually summarize the dataset construction procedure in Figure 2.

B Grammar Quality Metrics

This section covers the formal definitions of the grammar quality metrics.

First define $\Pi_{\mathcal{P}} \subseteq \Pi$ to be the set of production rules that are used in the left-most derivations of all positive examples in \mathcal{P} . That is, the set of rules in Π which occur in a sequence of rules $S \to \alpha_1 \to \ldots \to \alpha_n \to p$ where $p \in \mathcal{P}$, and all rules expand the left-most non-terminal in $\alpha_1, \ldots, \alpha_n$.

Let Π^* be the production rules in G^* and Π^{ref} be the production rules in G^{ref} . Let us define $Dif\!f(G^{ref},G^*)=|\Pi^{ref}_{\mathcal{P}}|-|\Pi^*_{\mathcal{P}}|$. The average difference in production rules used over the whole set of k solved challenges, C', is given by:

$$Diff^{\diamond} = \frac{1}{k} \sum_{i=1}^{k} Diff(G_i^{ref}, G_i^*).$$

We define two indicator functions, which indicate when a grammar uses substantially fewer rules than the reference grammar, and substantially more rules than the reference grammar:

$$\mathbb{I}_{OF}(G^{ref}, G^*, \mathcal{P}) = \begin{cases} 1 & \text{if } |\Pi_{\mathcal{P}}^{ref}| - |\Pi_{\mathcal{P}}^*| \\ & > \frac{|\Pi_{\mathcal{P}}^{ref}|}{2} \\ 0 & \text{otherwise.} \end{cases}$$

$$\mathbb{I}_{OG}(G^{ref}, G^*, \mathcal{P}) = \begin{cases} 1 & \text{if } |\Pi_{\mathcal{P}}^{ref}| - |\Pi_{\mathcal{P}}^*| \\ & < -\frac{|\Pi_{\mathcal{P}}^{ref}|}{2} \\ 0 & \text{otherwise.} \end{cases}$$

The metric to estimate whether the generated grammars overfit the examples, is then given by

$$OF(C') = \frac{1}{k} \sum_{i=1}^{k} \mathbb{I}_{OF}(G_i^{ref}, G_i^*, \mathcal{P}_i).$$

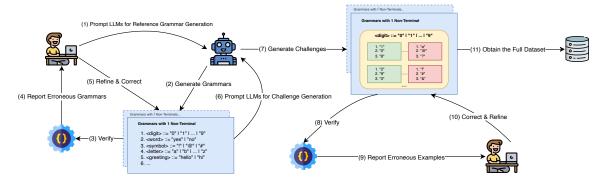


Figure 2: The Dataset Construction Process: (1) GPT-40 is prompted with Prompt Template 3 to generate a set of reference grammars; (2) A set of reference grammars $\mathfrak{G}^{\mathfrak{ref}} = \bigcup_{k=1}^9 \mathbb{G}_k^{ref}$ are generated by LLMs; (3) A BNF parser is used to check the correctness of each generated reference grammar; (4) Erroneous reference grammars are reported to humans; (5) Reported reference grammars are modified and corrected manually; (6) GPT-40 is prompted with Prompt Template 6 and Prompt Template 4 to generate challenges for each reference grammars; (7) Challenges are generated by LLMs, in which each challenge consists of 3 positive and 3 negative examples; (8) A BNF parser is used to verify whether positive and negative examples are accepted and rejected by their corresponding reference grammar respectively; (9) Erroneous challenges are reported to humans; (10) Reported challenges are corrected manually; (11) The final dataset consisting of 540 challenges are obtained.

The metric to estimate whether the generated grammars overgeneralize the examples, OG, is given as:

$$OG(C') = \frac{1}{k} \sum_{i=1}^{k} \mathbb{I}_{OG}(G_i^{ref}, G_i^*, \mathcal{P}_i).$$

In addition, the TU metric, for a given challenge, to measure the percentage of $|\Pi^*|$ taken up by $|\Pi^*_{\mathcal{P}}|$, indicating the utility of G^* , is given as:

$$TU(G^*, \mathcal{P}) = \frac{|\Pi_{\mathcal{P}}^*|}{|\Pi^*|}$$

for which lower TU indicates a bunch of irrelevant or nonsensical production rules of G^* while higher TU indicates the opposite. The average utility over C' is given by:

$$TU^{\diamond} = \frac{1}{k} \sum_{i=1}^{k} TU(G_i^*, \mathcal{P})$$

C Direct Prompting

For the DP approach, Prompt Template 1 is used to prompt LLMs to directly generate a grammar with a given set of positive and negative examples. In Prompt Template 1, *positive_examples* and *negative_examples* are placeholders for a set of positive and negative examples. In addition, it also specifies a list of requirements LLMs should take care of and obey when generating grammars.

D Optimization of BNF Parser for Providing LLM-Friendly Feedback

For the OPF approach, we use the same prompt template used in DP, as shown in Prompt Template 1, to prompt LLMs to generate an initial grammar. Then, Prompt Template 5 is used in the iterations of the feedback loop to construct prompts from feedback offered by the BNF parser to LLMs. In Prompt Template 5, positive_examples and negative_examples are the placeholders for a set of positive and negative examples, bnf_grammar means the previously generated erroneous grammar, and parser_feedback is the placeholder for feedback provided from the BNF parser.

Furthermore, for each feedback given by the BNF parser, in addition to giving essential feedback such as notifying the line number for the place the error occurs, we optimize the BNF parser to also provide LLM-friendly feedback to LLMs, such as the possible reasons for the error or ways to fix it. We have shown some of them in Parser Feedback 1 and Parser Feedback 2.

In addition, OPF includes a parameter called *max_turns*, which specifies the maximum number of feedback iterations. If an LLM can generate a valid grammar based on earlier feedback, the algorithm stops early; otherwise, it continues until reaching the specified maximum.

Moreover, it is worth noting that this approach does not aim to follow every component of Reflexion or Self-Refine strictly. For instance, it does not maintain a long-term context or external memory. Instead, it uses only the most recent feedback in each turn to guide self-refinement. Concretely, in each feedback iteration, an LLM is provided with the previously generated erroneous grammar, corresponding positive and negative examples, and the latest feedback, to produce revised grammars. Therefore, since, in each iteration, an LLM may produce similar and even the same grammar as the previous ones, especially when they fail to fix the previous errors leading to the same feedback provided by the parser, we set the temperature to 0.3 to expect to enable LLMs to generate more diverse grammars even for encountering the same feedback, to optimize the performance. This approach highlights the optimization from the perspective of the parser to provide more LLM-friendly feedback. However, due to limited space and the trivial-yetcomplex optimization process, for the details of the optimization of the BNF parser, please refer to our source code and the comments.

E LLM-Driven Hybrid Genetic Algorithm

The pseudocode of HyGenar is presented in Algorithm 1, with detailed descriptions of its primary functions including Fitness, Select, Cross, and Mutate provided in Section 5. In Algorithm 1, we note Fitness function as FITNESS, Select function as SELECT, Cross function as CROSS, and Mutate function as MUTATE.

As shown in Algorithm 1, it takes seven parameters: \mathcal{P} and \mathcal{N} means a set of positive and negative examples respectively, k indicates population size, g represents generations which means the number of iterations of evolution, ρ means the *crossover* rate, μ means the mutation rate, and LLM means an LLM which takes a prompt and returns a response. In addition, PROMPTGENERATOR means to generate a prompt from the prompt template shown in Prompt Template 1. MAXFITNESSS-CORE is a constant indicating the highest fitness score any candidate grammar can achieve and due to each challenge in the constructed dataset only having 3 positive and 3 negative examples, the highest fitness score is 6. We thus set MAXFIT-NESSSCORE to 6.

In addition, for *LLMMut*, we have shown the prompt template used to prompt LLMs to mutate a given grammar in Prompt Template 2, in which *bnf_grammar* means the placeholder for a candi-

date grammar while *positive_examples* and *negative_examples* means a set of positive and negative examples respectively.

F Additional Results

In addition to the results shown in Table 1, we have shown 7 more results and discussed them respectively in subsections F.1, F.2, F.3, F.4, and F.5.

F.1 Results for C_1 , C_2 , and C_3

Table 4 presents the results categorized into three subsets: C_1 , C_2 , and C_3 . The subset C_1 includes challenges where the reference grammars have $1 \sim 3$ non-terminals, C_2 are those with $4 \sim 6$ non-terminals, and C_3 consists of challenges with $7 \sim 9$ non-terminals. Therefore, it aims to demonstrate and analyze the performance of LLMs as the number of non-terminals increases.

As the results are shown in Table 4, as the number of non-terminals increases, both SX and SE decrease across all LLMs. While DP and OPF exhibit suboptimal and unsatisfactory performance, HyGenar consistently demonstrates and contributes substantial improvements across most LLMs, even as the number of non-terminals increases. For example, in the case of GPT-4o, HyGenar increases the SE by 21% compared to DP and OPF on C_3 . Similarly, with GPT-3.5-Turbo, compared to DP and OPF, HyGenar improves the SE by 30% and 28% on C_2 and 20% and 19% on C_3 , respectively.

F.2 Results for P_1 , P_2 , and P_3

Table 5 demonstrates the results grouped into three subsets: P_1 , P_2 , and P_3 . The subset P_1 consists of challenges where the reference grammars have $1\sim 6$ production rules, P_2 includes those with $7\sim 15$ production rules, and P_3 consists of challenges with the number of production rules greater than 16. Therefore, it aims to show and analyze the performance of LLMs as the number of production rules increases.

Similar to the results of C_1 , C_2 , and C_3 , as the number of production rules increases, both SX and SE decrease across all LLMs. Nevertheless, Hy-Genar can still steadily improve both SE and SX, even as the number of production rules increases.

F.3 Results for Diff, OF, and OG Metrics

To investigate whether LLMs generate grammars in an overfitted manner and whether HyGenar improves performance through overfitting, as well as

Algorithm 1 HyGenar

```
1: procedure GENERATEGRAMMAR(\mathcal{P}, \mathcal{N}, k, g, \rho, \mu, LLM)
         population \leftarrow []
 2:
                                                                               ▶ Initialize population as an empty list
         G^*.best \leftarrow \texttt{null}
 3:
                                                                                ▶ Keep track of overall best grammar
         fitness.best \leftarrow -1
                                                                                   ▶ Track highest fitness found so far
 4:
 5:
         for i \leftarrow 1 to k do
             prompt \leftarrow PromptGenerator
                                                                   ▶ Use prompt template from Prompt Template 1
 6:
             G^* \leftarrow LLM(prompt)
 7:
                                                                                ▶ Use LLM to get an initial candidate
             score \leftarrow Fitness(G^*, \mathcal{P}, \mathcal{N})

    Compute fitness

 8:
 9:
             if score = MaxFitnessScore then
                  return G^*
                                                                           ▶ Return early if perfect score is achieved
10:
             population \leftarrow population \parallel [G^*]

    Add candidate to population

11:
         for i \leftarrow 1 to q do
12:
13:
              fitnessScores \leftarrow []
             for G^* \in population do
14:
                  score \leftarrow FITNESS(G^*, \mathcal{P}, \mathcal{N})
15:
                  fitnessScores \leftarrow fitnessScores \parallel [(score, G^*)] \triangleright Add a tuple of score and grammar
16:
                  if score > fitness.best then
17:
                       fitness.best \leftarrow score
18:
                       G^*.best \leftarrow G^*
19:
             if fitness.best = MaxFitnessScore then
20:
21:
                  return G^*.best
                                                                                     > Return the best grammar found
             \mathbb{G} = [G^* \mid (score, G^*) \in fitnessScores]
22:
              S = [score \mid (score, G^*) \in fitnessScores]
23:
             selected \leftarrow Select(\mathbb{G}, S)
24:
25:
             population.new \leftarrow []
              while |population.new| < k do
26:
                  G_a^*, G_b^* \leftarrow \text{RANDOMCHOICE}(selected)
27:
                  G^* \leftarrow \text{CROSS}(G_a^*, G_b^*, \rho)
28:
                  if \mathrm{Uniform}(0,1)<\mu then
29:
                      G^* \leftarrow \text{MUTATE}(G^*, \mathcal{P}, \mathcal{N}, LLM)
30:
                  population.new \leftarrow population.new \parallel [G^*]
31:
32:
             population \leftarrow population.new
                                                                                      ▶ Proceed to the next generation
         return G*.best
```

to examine whether LLMs and our method produce overly generalized grammars, we employed the three evaluation metrics: Diff, OF, OG. The results are presented in Table 6, 7, and 8, respectively. The notation "N/A" indicates inapplicability. Since these three metrics are only applicable when a grammar possesses correct semantics, "N/A" thus signifies that no grammar in the evaluation set exhibits correct semantics.

Through the Diff metric, as shown in Tabel 6, we observe that the number of production rules used in derivations of the generated grammars and the reference grammars does not differ significantly on average. However, as the number of production rules in the reference grammar increases, the $Diff^{\diamond}$

exhibits a slight upward trend. The $Diff^{\diamond}$ is almost always positive across most LLMs and methods, which indicates that, in most cases, the number of production rules used by the generated grammars is lower than that of the reference grammars.

Furthermore, Table 7 presents the *OF* metric. For some models, particularly *GPT-3.5-Turbo*, an increasing number of production rules corresponds to a certain degree of overfitting. Nevertheless, on average, most LLMs do not exhibit significant overfitting. Additionally, we observe that in HyGenar, the *OF* metric does not show a significant difference compared to the baselines, indicating that HyGenar does not improve performance through overfitting.

Additionally, we present the OG metric in Table 8. We observed that, for some models, such as Qwen:72b-Instruct, as the number of production rules in the reference grammar increases, they tend to generate overly generalized grammars. Nevertheless, on average, most LLMs do not tend to generate overgeneralized grammars.

F.4 Results for TU Metrics

To investigate whether LLMs generate irrelevant production rules, we employ the TU metric. For example, given the challenge shown in Figure 1, a generated grammar might be:

```
<stmt> ::= <func> "(" <args> ")"
  <args> ::= <expr> | <expr> "," <args>
  <expr> ::= <char> | <number>
  <func> ::= <char> <func> | <char>
  <char> ::= "a" | ... | "z"
  <number> ::= "0" | ... | "9"
  <hello> ::= "hello"
  <world> ::= "world"

in which:
  <hello> ::= "hello"
  <world> ::= "world"
```

are two irrelevant production rules.

As shown in Table 9, on average, across both baselines and in HyGenar, TU remains relatively high, indicating that LLMs do not tend to produce irrelevant production rules. However, as the number of production rules increases, TU shows a tendency of declination. Nevertheless, this does not imply that LLMs generate more irrelevant rules. Considering the results from OG, we think this decrease may more likely be attributable to the generated grammar becoming more generalized.

F.5 Results for Robustness Evaluation

Since HyGenar requires setting the temperature greater than 0 which we set to 0.7, we repeated 5 independent experiments for both *GPT-40* and *GPT-3.5-Turbo* to ensure the temperature does not affect the results significantly.

The results are demonstrated in Table 3, in which each row means the results of one experiment. The averages of syntax correctness of *GPT-40* and *GPT-3.5-Turbo* are 95.8% and 98.6% and the standard deviations are 0.4% and 0.49%, respectively. The averages of semantic correctness of *GPT-40* and

GPT-3.5-Turbo are 93.2% and 61.6% and the standard deviations are 0.4% and 0.49%. Therefore, it indicates that although we set the temperature to 0.7 in HyGenar, the fluctuation of both syntax correctness and semantic correctness are very slight and the performance across multiple experiments stays steady. Thus, the results demonstrated the robustness of our proposed method, HyGenar.

Prompt Template 1: Generate a Grammar Directly with a Given Set Positive and Negative Examples

Given a set of positive and negative examples, generate the Backus–Naur Form (BNF) grammar that accepts all positive examples and rejects all negative examples.

- 1. Only generate the standard BNF grammar;
- 2. The generated BNF grammar MUST accept all positive examples and reject all negative examples;
- 3. Each terminal symbol MUST be quoted with double quotes and MUST NOT escape double quotes or pipeline in terminal symbols;
- 4. Pay special attention to whether spaces, line breaks, or other special symbols are required between each symbol, and if so, these need to be explicitly specified, e.g. <term> ::= "1" "+" "2" can handle "1+2" but not "1 + 2" while <term> ::= "1" " "+" " " "2" can handle "1 + 2" but not "1+2";
- 5. The entry point of the generated BNF grammar MUST be the non-terminal symbol in the first production rule;
- 6. Only the generated BNF should be wrapped in a pair of triple backtick;
- 7. Do NOT output any additional texts, comments, or explanations.

===Positive Examples=== {positive_examples} ===Negative Examples=== {negative_examples}

Experiment	SX	SE			
	GPT-40				
1st	95	93			
2nd	96	93			
3rd	96	94			
4th	96	93			
5th	96	93			
	GPT-3.5-Turbo				
1st	98	62			
2nd	98	62			
3rd	99	62			
4th	99	61			
5th	99	61			

Table 3: Results of Syntax and Semantic Correctness for HyGenar with *GPT-40* and *GPT-3.5-Turbo* on Grammar Generation by Conducting 5 Independent Experiments (%)

Parser Feedback 1: Invalid Production Rule

This error is likely due to not satisfying one of the following requirements:

- 1. A rule MUST start with a non-terminal definition:
- 2. A non-terminal symbol MUST be in angle brackets, e.g. <non-terminal>;
- 3. A non-terminal definition must be followed by '::=' to indicate the start of the right-hand side;

Prompt Template 2: LLM-Driven Mutation

Modify the following BNF grammar slightly to improve its acceptance of the positive examples and rejection of the negative examples.

===BNF Grammar=== {bnf_grammar}

===Positive Examples=== {positive_examples} ===Negative Examples=== {negative_examples}

Only output the modified BNF grammar wrapped in triple backticks.

Prompt Template 3: Generate Grammars

Generate a list of random standard Backus-Naur Form (BNF) grammar with the following constraints:

- 1. Each generated BNF grammar MUST be SELF-CONTAINED and VALID, which means it should be able to recognize a valid string;
- 2. Each generated BNF grammar MUST have exactly $\{k\}$ lines;
- 3. Each generated BNF grammar MUST be unique;
- 4. Each generated BNF grammar MUST be separated by a newline in addition to the linebreak;
- 5. For each generated BNF grammar, the entry point MUST be at the first line;
- 6. Only generate $\{n\}$ BNF grammars;
- 7. Only output BNF grammars WITHOUT any additional text or code block, like

Prompt Template 4: Generate Negative Examples with a Given Grammar

Generate a list of negative examples with the following constraints:

- 1. Each example MUST be separated by a newline in addition to the linebreak;
- 2. Only output examples WITHOUT any additional text or code block, like "";
- 3. Only output $\{m\}$ examples;
- 4. Each example MUST be generated based on the given BNF grammar;
- 5. Each example should be greatly related to the given BNF grammar, but ensure it is NOT a valid string for the given BNF grammar.

For example, given the following BNF grammar:

<term> ::= "0" | "1" | "2"
you should output negative examples like:
6

*

9

Then, the given BNF grammar is: {reference_grammar}

Challenge Set	SX_{DP}	SX_{OPF}	$SX_{\mathbf{HyGenar}}$	SE_{DP}	SE_{OPF}	$SE_{\mathbf{HyGenar}}$
			GPT-40			
C_1	100	100	100	99	99	100 ↑1 ↑1
C_2	100	100	100	93	95 _{†2}	100 ↑7 ↑5
$\overline{C_3}$	79	92 113	87 ₁₈ ↓5	59	59	80 ↑21 ↑21
All	93	97 ↑4	96 ↑3 ↓1	84	85 ↑1	93 ↑9 ↑8
			GPT-3.5-Turbo			
C_1	98	97 ↓1	100 ↑2 ↑3	72	71 ↓1	93 ↑21 ↑22
C_2	98	99 📬	100 ↑ 2 ↑ 1	28	30 ↑2	58 † 30 † 28
C_3	84	90 ↑6	96 112 16	11	12 11	31 ↑20 ↑19
All	94	95 ↑1	99 ↑5 ↑4	37	38 ↑1	61 ↑24 ↑23
			Qwen:72b-Chat			
C_1	73	76 ↑3	96 †23 †20	52	53 ↑1	76 †24 †23
C_2	48	48	77 †29 †29	6	8 ↑ 2	26 ↑20 ↑18
C_3	20	23 😝	56 †36 †33	1	2 1	11 110 19
All	47	49 ↑2	76 †29 †27	20	21 11	38 118 117
		L	lama3:70b-Instru	et		
C_1	88	90 ↑2	97 ↑9 ↑7	78	77 ↓ 1	94 116 117
C_2	54	60 ↑6	76 †22 † 16	31	35 ↑4	61 †30 <u>†26</u>
C_3	28	34 ↑6	52 ↑24 ↑18	15	14 ↓1	29 114 115
All	57	61 ↑4	75 †18 †14	41	42 ↑1	61 ↑20 ↑19
		Ge	emma2:27b-Instru	ct		
C_1	99	100 ↑1	100 ↑1	91	92 📬	98 ↑7 ↑6
C_2	97	97	99 †2 †2	49	49	84 ↑35 ↑35
C_3	76	79 ↑3	93 117 114	26	29 ↑3	54 †28 †25
All	91	92 ↑1	98 ↑ 7 ↑ 6	56	57 ↑1	79 ↑23 ↑22
		N	Aistral:7b-Instruc	t		
C_1	1	25 ↑24	3 ↑2 ↓22	0	17 117	2 \(\pmu^2 \psi 15\)
C_2	1	20 119	1 \19	1	6 ↑ 5	0 \1 \46
C_3	1	11 110	0 \1 \11	0	1 11	0 💵
All	1	19 118	1 \18	0	8 ↑8	1 ↑1 ↓7
			Codestral:22b			
C_1	82	96 ↑14	99 117 13	82	92 110	98 116 16
C_2	53	77 _{↑24}	86 ↑33 ↑9	36	45 ↑ 9	69 ↑33 ↑24
C_3	23	39 ↑16	57 ↑34 <u>↑18</u>	15	19 ↑4	33 118 114
All	53	71 118	80 ↑27 ↑9	44	52 ↑8	67 ↑23 ↑15
		Sta	rcoder2:15b-Instr	uct		
C_1	97	68 \129	100 ↑3 ↑32	67	42 _25	84 117 142
C_2	73	65 ↓8	99 ↑26 ↑34	14	12 \12	31 117 119
C_3	58	48 ↓10	94 ↑36 ↑46	11	7 ↓4	17 ↑6 ↑10
All	76	60 116	98 †22 †38	30	20 110	44 †14 †24

Table 4: Averages of Syntax and Semantic Correctness Grouped in C_1 , C_2 , and C_3 (%)

Challenge Set	SX_{DP}	SX_{OPF}	$SX_{\mathbf{HyGenar}}$	SE_{DP}	SE_{OPF}	$SE_{\mathbf{HyGenar}}$
			GPT-40			
P_1	100	100	100	99	99	100 11 11
P_2	100	100	100	96	95 🔱	100 ↑ 4 ↑ 5
P_3	81	93 112	89 ↑8 ↓4	62	64 ↑ 2	82 ↑20 ↑18
All	93	97 ↑4	96 ↑3 ↓1	84	85 <u>↑1</u>	93 19 18
			GPT-3.5-Turbo			
$\overline{P_1}$	98	97 ↓1	100 ↑2 ↑3	69	67 \12	93 ↑24 ↑26
P_2	99	100 ↑1	100 ↑1	18	22 ↑4	42 124 120
P_3	86	90 ↑4	96 10 16	23	24 📬	46 ↑23 ↑22
All	94	95 ↑1	99 ↑5 ↑4	37	38 ↑1	61 †24 †23
			Qwen:72b-Chat			
$\overline{P_1}$	72	74 _{†2}	96 ↑24 ↑22	42	43 11	69 ↑27 ↑26
P_2	51	51	76 †25 †25	12	13 ↑1	24 112 111
P_3	21	25 ↑4	59 †38 †34	6	7 1	21 115 114
All	47	49 ↑2	76 _{†29 †27}	20	21 11	38 118 117
		L	lama3:70b-Instru	et		
$\overline{P_1}$	86	90 ↑4	97 11 77	73	73	92 119 119
P_2	63	69 ↑6	86 ↑23 ↑17	38	40 ↑ 2	67 †29 †27
P_3	26	30 ↑4	47 _{↑21 ↑17}	15	15	29 114 114
All	57	61 ↑4	75 118 114	41	42 1	61 ↑20 ↑19
		Ge	emma2:27b-Instru	ct		
P_1	99	100 ↑1	100 ↑1	87	88 1	98 111 10
P_2	99	99	100 <u>↑1</u> <u>↑1</u>	48	47 ↓1	84 ↑36 ↑37
P_3	77	80 ↑3	94 117 114	33	37 ↑4	58 ↑25 ↑2 1
All	91	92 11	98 ↑7 ↑6	56	57 <u>↑</u> 1	79 †23 †22
		N	Aistral:7b-Instruc	t		
P_1	2	26 ↑24	3 ↑2 ↓23	1	15 114	2 ↑1 ↓13
P_2	0	19 119	1 11 118	0	5 ↑5	0 45
P_3	0	13 113	0 \13	0	3 ↑3	0 _3
All	1	19 ↑18	1 118	0	8 18	1 ↑1 ↓7
			Codestral:22b			
P_1	82	97 115	99 †17 †2	79	89 110	97 118 18
P_2	51	73 ↑22	80 ↑29 ↑7	35	38 ↑3	66 ↑ 31 ↑ 28
P_3	29	47 118	64 ↑35 ↑17	21	30 ↑9	41 ↑20 ↑11
All	53	71 118	80 ↑27 ↑9	44	52 ↑8	67 ↑23 ↑15
		Sta	rcoder2:15b-Instr	uct		
$\overline{P_1}$	96	68 ↓28	100 ↑4 ↑32	54	34 ↓20	74 ↑20 ↑40
P_2	65	59 ↓6	99 ↑34 ↑40	16	10 ↓6	26 110 116
P_3	67	55 _{↓12}	95 ↑28 ↑40	20	15 ↓5	31 111 16
All	76	60 116	98 †22 †38	30	20 110	44 114 124

Table 5: Averages of Syntax and Semantic Correctness Grouped in $P_1,\,P_2,\,$ and P_3 (%)

Challenge Set	$Diff_{DP}^{\diamond}$	$Diff^{\diamond}_{OPF}$	$Diff^{\diamond}_{\mathbf{HyGenar}}$
		GPT-40	
P_1	0.22	0.22	0.21
P_2	1.38	1.32	1.18
P_3	4.37	3.95	3.36
All	1.76	1.63	1.65
		GPT-3.5-Turbo	
P_1	0.42	0.37	0.27
P_2	2.43	2.34	2.21
P_3	3.38	3.42	4.30
All	1.40	1.43	1.81
		Qwen:72b-Chat	
P_1	0.12	0.08	-0.02
P_2	0.32	0.38	0.38
P_3	2.33	3.00	3.02
All	0.04	0.50	0.68
		Llama3:70b-Instruct	
P_1	0.39	0.38	0.44
P_2	1.56	1.62	1.50
P_3^2	2.52	2.42	2.85
All	1.00	1.00	1.21
		Gemma2:27b-Instruct	
P_1	0.55	0.54	0.59
P_2	1.77	1.74	1.50
P_3	4.03	4.27	4.40
All	1.64	1.74	1.93
		Mistral:7b-Instruct	
P_1	1.00	-0.59	0.00
P_2	N/A	1.88	N/A
P_3	N/A	3.17	N/A
All	1.00	0.44	0.00
		Codestral:22b	
P_1	0.18	0.16	0.10
P_2	1.26	1.17	0.75
P_3	1.86	2.34	2.96
All	0.72	0.85	0.94
		Starcoder2:15b-Instruct	
P_1	0.39	0.41	0.20
P_2	0.40	0.62	0.71
P_3	2.90	3.13	4.11
All	1.02	1.22	1.32

Table 6: Averages of $Diff^{\diamond}$ Grouped in P_1, P_2 , and P_3

Challenge Set	OF_{DP}	OF_{OPF}	$OF_{\mathbf{HyGenar}}$
		GPT-40	
P_1	0.00	0.00	0.00
P_2	4.03	0.68	1.28
P_3	13.49	11.54	11.31
All	5.08	3.50	4.17
		GPT-3.5-Turbo	
P_1	0.00	0.00	0.00
P_2	35.71	34.29	24.24
P_3	17.02	16.67	24.47
All	9.05	9.85	11.89
		Qwen:72b-Chat	
P_1	0.00	0.00	0.00
P_2	0.00	0.00	0.00
P_3	25.00	21.43	19.05
All	2.8	2.65	3.92
		Llama3:70b-Instruct	
P_1	0.00	0.00	0.00
P_2	1.67	4.76	0.95
P_3	6.45	6.45	10.17
All	1.35	2.21	2.12
		Gemma2:27b-Instruct	
P_1	0.00	0.00	0.00
P_2	9.33	9.59	6.11
P_3	16.18	16.00	14.41
All	6.00	6.19	5.88
		Mistral:7b-Instruct	
P_1	0.00	0.00	0.00
P_2	N/A	12.05	N/A
P_3	N/A	33.33	N/A
All	0	7.32	0
		Codestral:22b	
P_1	0.00	0.00	0.00
P_2	0.00	0.00	0.00
P_3	4.76	6.56	10.84
All	0.84	1.42	2.49
		Starcoder2:15b-Instruct	
P_1	0.00	0.00	0.00
P_2	16.00	6.25	4.88
P_3	12.20	12.90	15.87
All	5.49	4.63	5.04

Table 7: Averages of OF Grouped in $P_1,\,P_2,\,\mathrm{and}\,\,P_3$ (%)

Challenge Set	OG_{DP}	OG_{OPF}	$OG_{\mathbf{HyGenar}}$
		GPT-40	
P_1	0.00	0.00	1.11
P_2	0.00	0.00	0.00
P_3	0.00	0.00	0.60
All	0.00	0.00	0.60
		GPT-3.5-Turbo	
P_1	0.00	0.00	1.19
P_2	0.00	0.00	0.00
P_3	2.13	0.00	1.06
All	0.50	0.00	0.91
		Qwen:72b-Chat	
P_1	1.32	2.56	3.20
P_2	0.00	0.00	2.70
P_3	8.33	0.00	0.00
All	1.87	1.77	2.45
		Llama3:70b-Instruct	
P_1	0.00	0.00	0.00
P_2	0.00	0.00	0.00
P_3	0.00	0.00	0.00
All	0.00	0.00	0.00
		Gemma2:27b-Instruct	
P_1	0.00	0.00	0.00
P_2	0.00	1.37	1.53
P_3	0.00	0.00	0.00
All	0.00	0.33	0.47
		Mistral:7b-Instruct	
P_1	0.00	11.11	0.00
P_2	N/A	0.00	N/A
P_3	N/A	0.00	N/A
All	0.00	7.32	0.00
		Codestral:22b	
P_1	0.70	1.25	1.14
P_2	1.85	1.67	0.97
P_3	0.00	0.00	0.00
All	0.84	1.07	0.83
		Starcoder2:15b-Instruct	
P_1	0.00	0.00	2.24
P_2	12.00	0.00	4.88
P_3	0.00	0.00	0.00
All	1.83	0.00	2.10

Table 8: Averages of OG Grouped in P_1, P_2 , and P_3 (%)

Challenge Set	TU_{DP}^{\diamond}	TU_{OPF}^{\diamond}	$OG^{\diamond}_{\mathbf{HyGenar}}$
		GPT-40	
P_1	100	100	99.81
P_2	99.60	99.55	99.67
P_3	93.04	92.80	91.39
All	97.93	97.81	96.96
		GPT-3.5-Turbo	
P_1	99.64	99.33	97.93
P_2	90.91	89.71	89.11
P_3	78.56	79.74	77.57
All	93.43	93.04	90.32
		Qwen:72b-Chat	
P_1	92.95	94.66	90.47
P_2	76.56	74.29	81.31
P_3	79.79	77.88	77.53
All	88.56	88.79	86.14
		Llama3:70b-Instruct	
P_1	100	100	99.80
P_2	88.48	89.06	93.03
P_3	97.73	98.24	87.33
All	96.58	96.71	95.41
		Gemma2:27b-Instruct	
P_1	99.82	99.82	99.25
P_2	92.67	94.21	95.80
P_3	93.06	94.02	90.61
All	96.50	97.07	95.78
		Mistral:7b-Instruct	
P_1	50.00	68.34	83.33
P_2	N/A	64.67	N/A
P_3	N/A	64.17	N/A
All	50.00	67.01	83.33
		Codestral:22b	
P_1	98.83	98.11	98.36
P_2	88.76	90.31	89.58
P_3	87.33	85.27	83.37
All	94.54	93.66	92.41
		Starcoder2:15b-Instruct	
P_1	97.86	96.44	96.64
P_2	83.29	87.57	81.92
P_3	84.91	85.39	80.48
All	92.40	91.96	89.83

Table 9: Averages of TU^{\diamond} Grouped in $P_1, P_2,$ and P_3 (%)

Parser Feedback 2: Lack of Alternatives

This error is likely due to the reason that the right-hand side is not defined after '::='.

Prompt Template 5: Feedback Prompt in OPF

Given a set of positive and negative examples, generate the Backus–Naur Form (BNF) grammar that accepts all positive examples and rejects all negative examples.

- 1. Only generate the standard BNF grammar;
- 2. The generated BNF grammar MUST accept all positive examples and reject all negative examples;
- 3. Each terminal symbol MUST be quoted with double quotes and MUST NOT escape double quotes or pipeline in terminal symbols;
- 4. Pay special attention to whether spaces, line breaks, or other special symbols are required between each symbol, and if so, these need to be explicitly specified, e.g. <term> ::= "1" "+" "2" can handle "1+2" but not "1 + 2" while <term> ::= "1" " "+" "" "2" can handle "1 + 2" but not "1+2";
- 5. The entry point of the generated BNF grammar MUST be the non-terminal symbol in the first production rule;
- 6. Only the generated BNF should be wrapped in a pair of triple backtick;
- 7. Do NOT output any additional texts, comments, or explanations.

===Positive Examples=== {positive_examples} ===Negative Examples=== {negative_examples}

===Generated BNF=== {bnf_grammar}

===Feedback===

The generated BNF grammar has incorrect syntax and please consider fixing it by referring to the feedback.

Here is the feedback from the BNF parser: {parser_feedback}

Prompt Template 6: Generate Positive Examples with a Given Grammar

Generate a list of positive examples with the following constraints:

- 1. Each example MUST be separated by a newline in addition to the linebreak;
- 2. Only output examples WITHOUT any additional text or code block, like "";
- 3. Only output $\{m\}$ examples;
- 4. Each example MUST be generated based on the given BNF grammar;
- 5. Pay attention to whether the whitespaces are allowed between symbols.

For example, given the following BNF grammar:

<term> ::= "0" | "1" | "2"
you should output positive examples like:
0

1

2

Then, the given BNF grammar is: {reference_grammar}