




# **Incorporating Satellite Documents into Co-citation Networks for Scientific Paper Searches**

Masaki Eto  
Gakushuin Women's College  
Tokyo, Japan  
masaki.eto@gakushuin.ac.jp  
BIRNDL 2016



# Outline of this presentation

---



## 1. Background

Co-citation and network model

Outline of co-citation network searching

## 2. Research question

Satellite documents

## 3. Proposed Retrieval Method

Specifying satellite documents

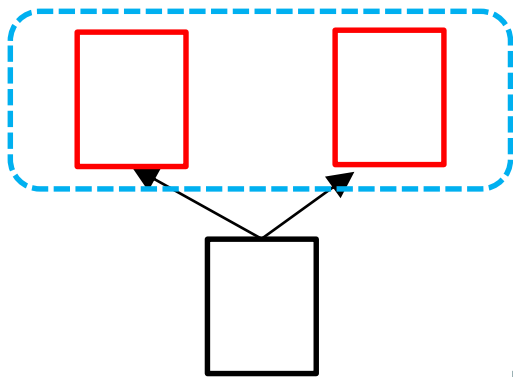
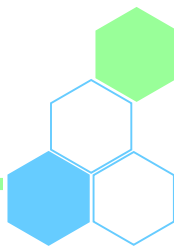
Incorporating satellite documents

Ranking documents in the network

## 4. Experiment

Evaluating the proposed method

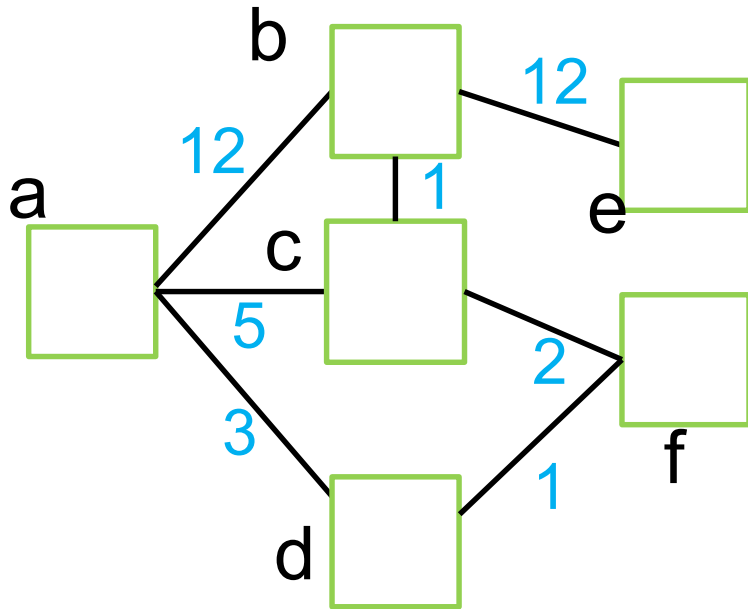
# Co-citation Network



Co-citation  
= a linkage between a pair of documents  
concurrently cited by a third document



Network model



Node = cited document

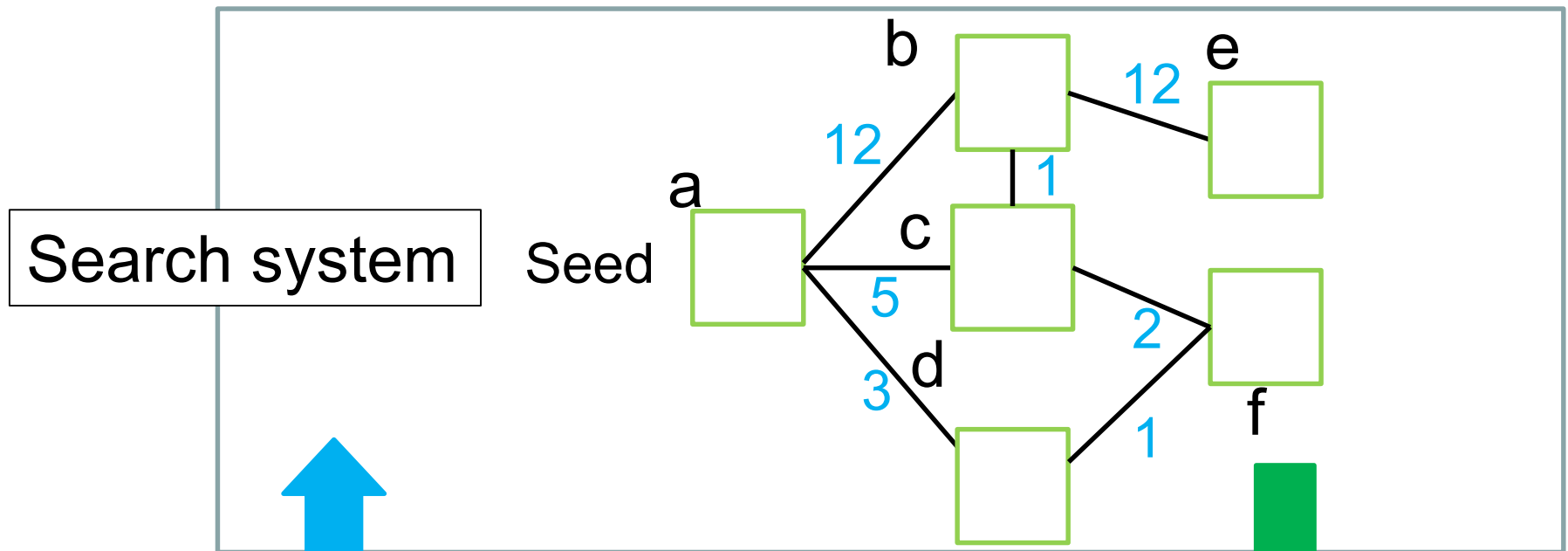
Edge = co-citation linkage

Weight =  
number of co-citing documents

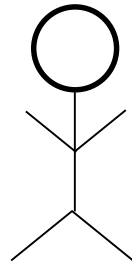
# Outline of Co-citation Network Searching



2. System creates a network and ranks the documents in the network



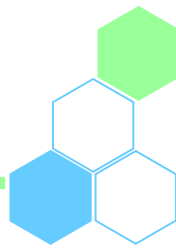
1. User inputs a seed document



3. System outputs ranked documents

# Outline of this presentation

---



## 1. Background

Co-citation and network model

Similar document search

## 2. Research question

Satellite documents

## 3. Proposed Retrieval Method

Specifying satellite documents

Incorporating satellite documents

Ranking documents in the network

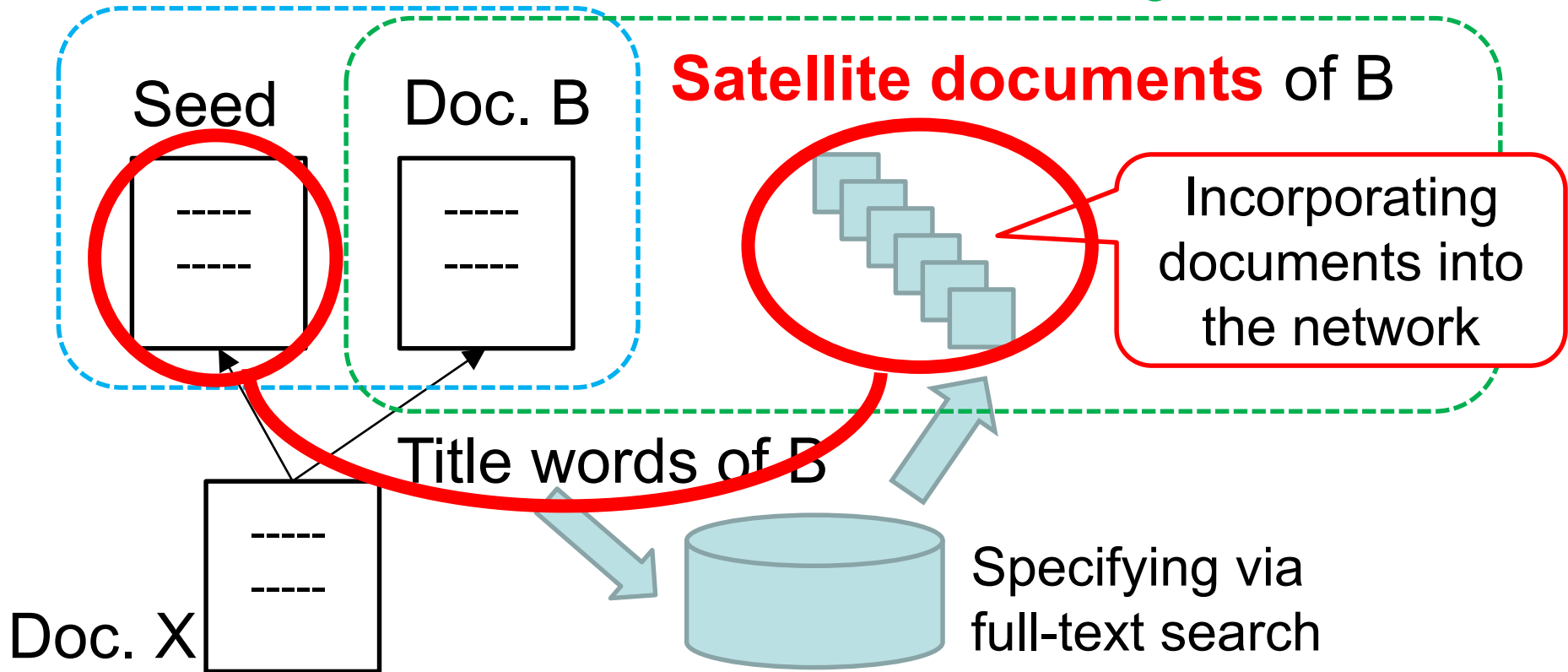
## 4. Experiment

Evaluating the proposed method

# Enlarging the Co-citation Networks so as to Include New Relevant Documents



Co-citation linkage    Word-based linkage



## Research question

Do satellite documents have relevant linkages to the seed that are not identified by co-citation linkages?

# Outline of this presentation

---



## 1. Background

Co-citation and network model

Similar document search

## 2. Research question

Satellite documents

## 3. Proposed Retrieval Method

Specifying satellite documents

Incorporating satellite documents

Ranking documents in the network

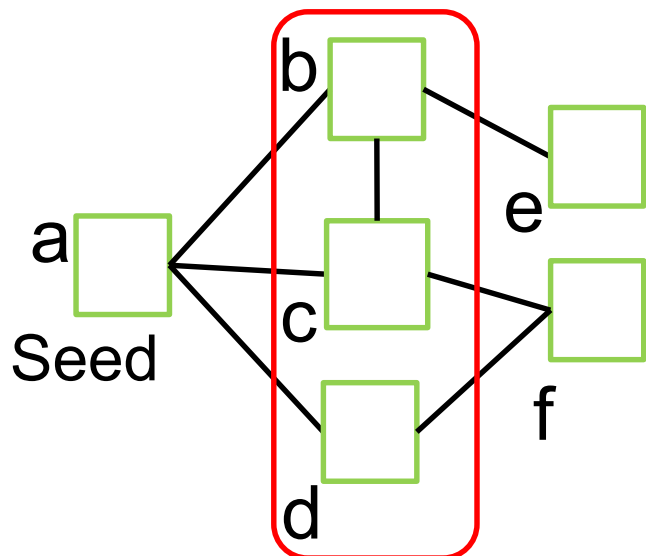
## 4. Experiment

Evaluating the proposed method

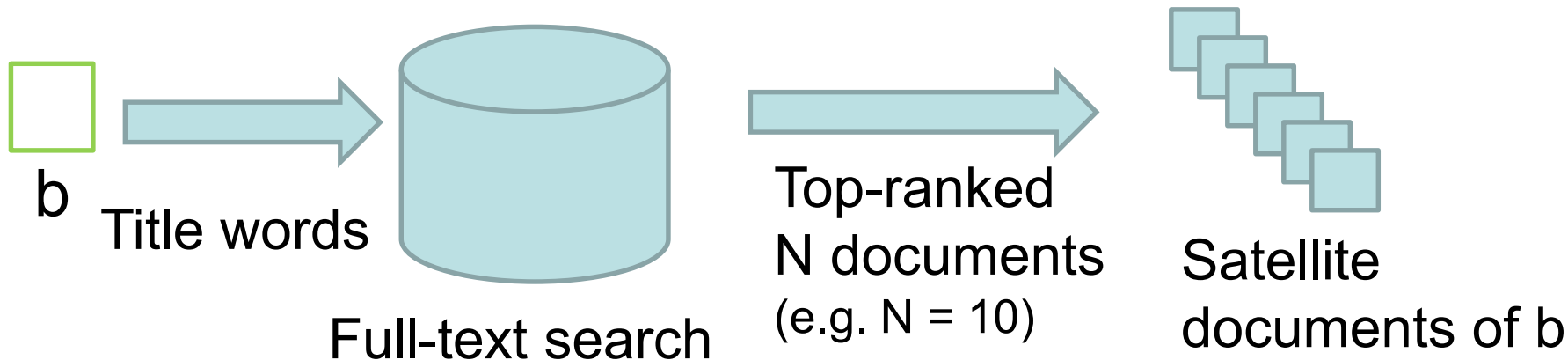
# Specifying Satellite Documents



## Host documents



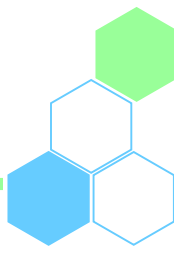
- Host documents are sources for specifying satellite documents
- Each host document is one hop from the seed



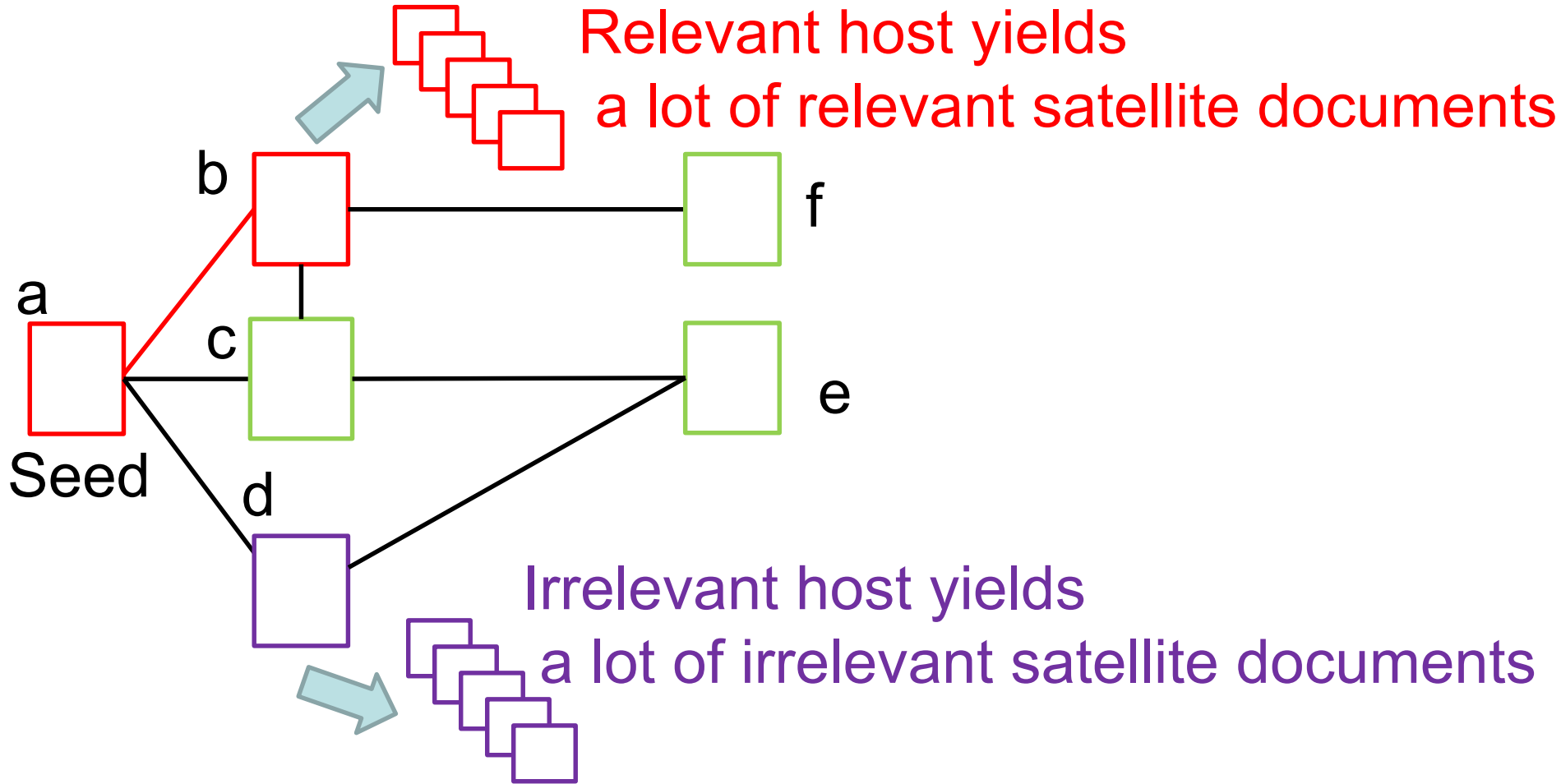
Tf-idf (Indri Search Engine by Lemure project)



# Problem of Satellite Documents

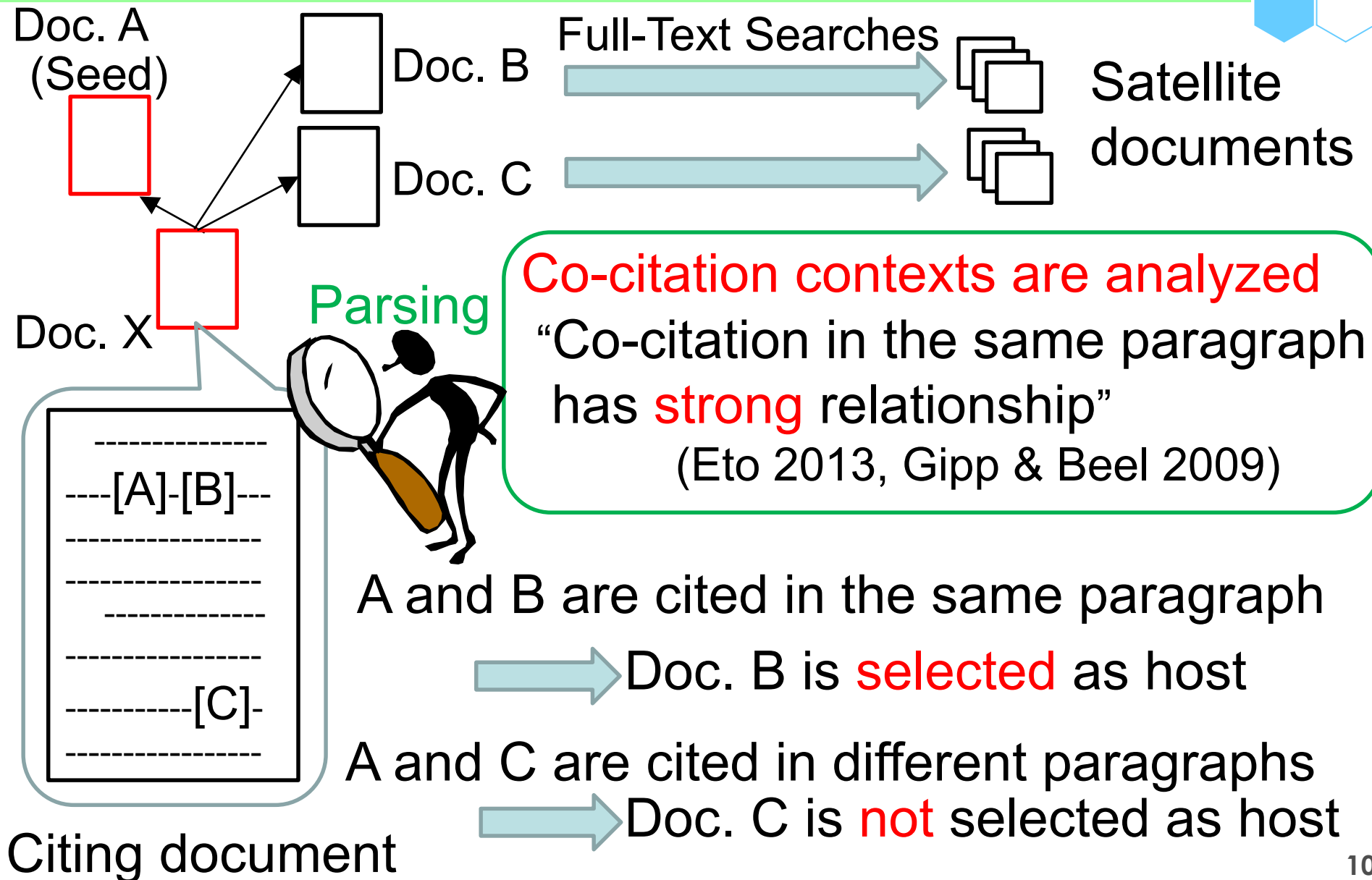
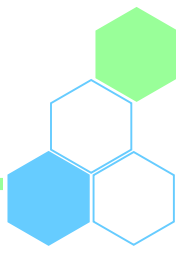


Not all co-citation linkages are relevant



Checking the appropriateness of host documents,

# Checking the Appropriateness of Host Documents (optional process)



# Outline of this presentation

---



## 1. Background

Co-citation and network model

Similar document search

## 2. Research question

Satellite documents

## 3. Proposed Retrieval Method

Specifying satellite documents

**Incorporating satellite documents**

Ranking documents in the network

## 4. Experiment

Evaluating the proposed method

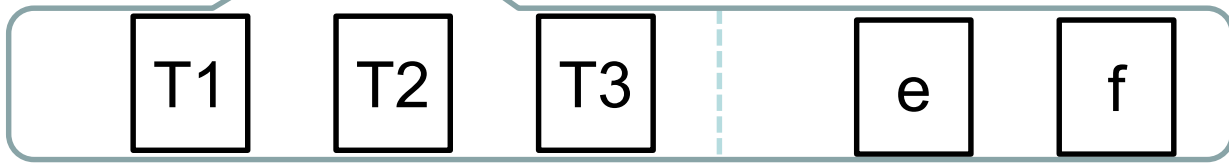
# Incorporating Satellite Documents



Satellite documents of b

“**New**” or already “**Existing**” in the initial co-citation network

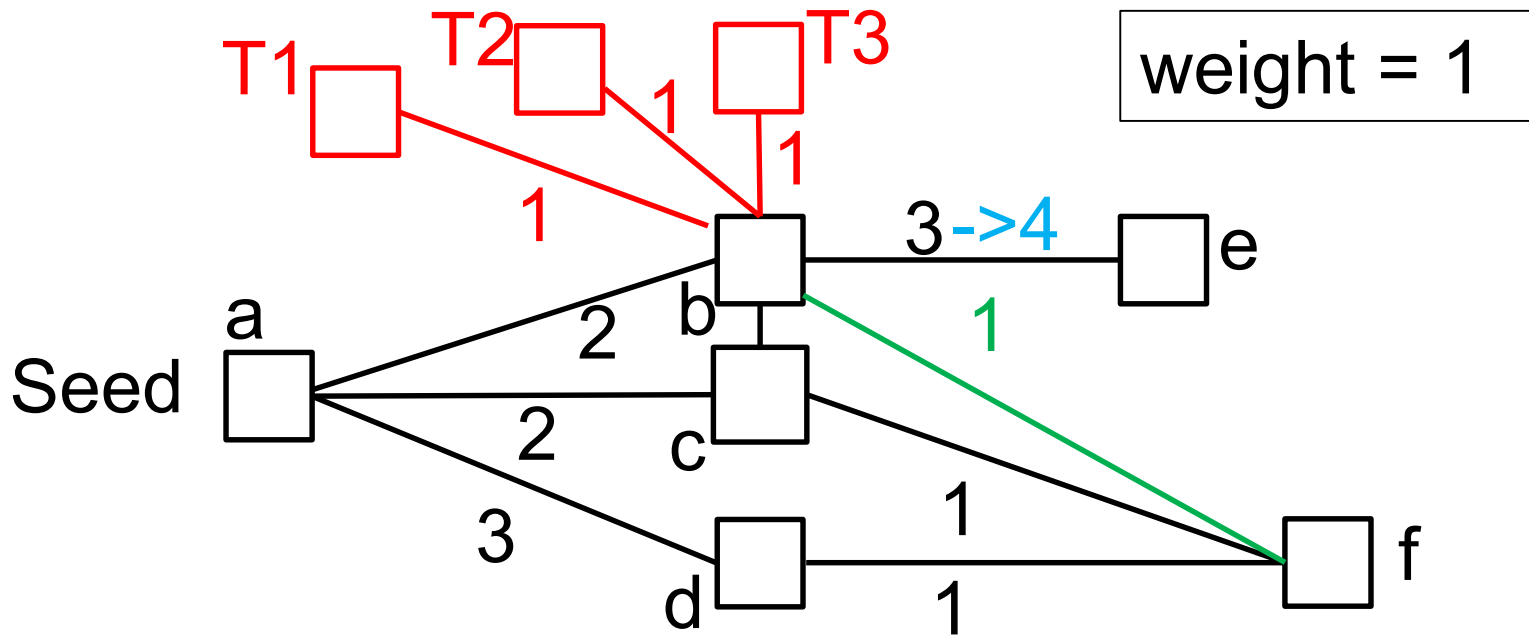
New  
↓



Existing  
↓

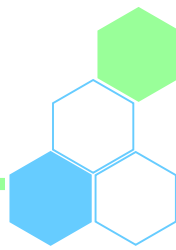
New node and new edge

Added weight or New edge



# Outline of this presentation

---



## 1. Background

Co-citation and network model

Similar document search

## 2. Research question

Satellite documents

## 3. Proposed Retrieval Method

Specifying satellite documents

Incorporating satellite documents

**Ranking documents in the network**

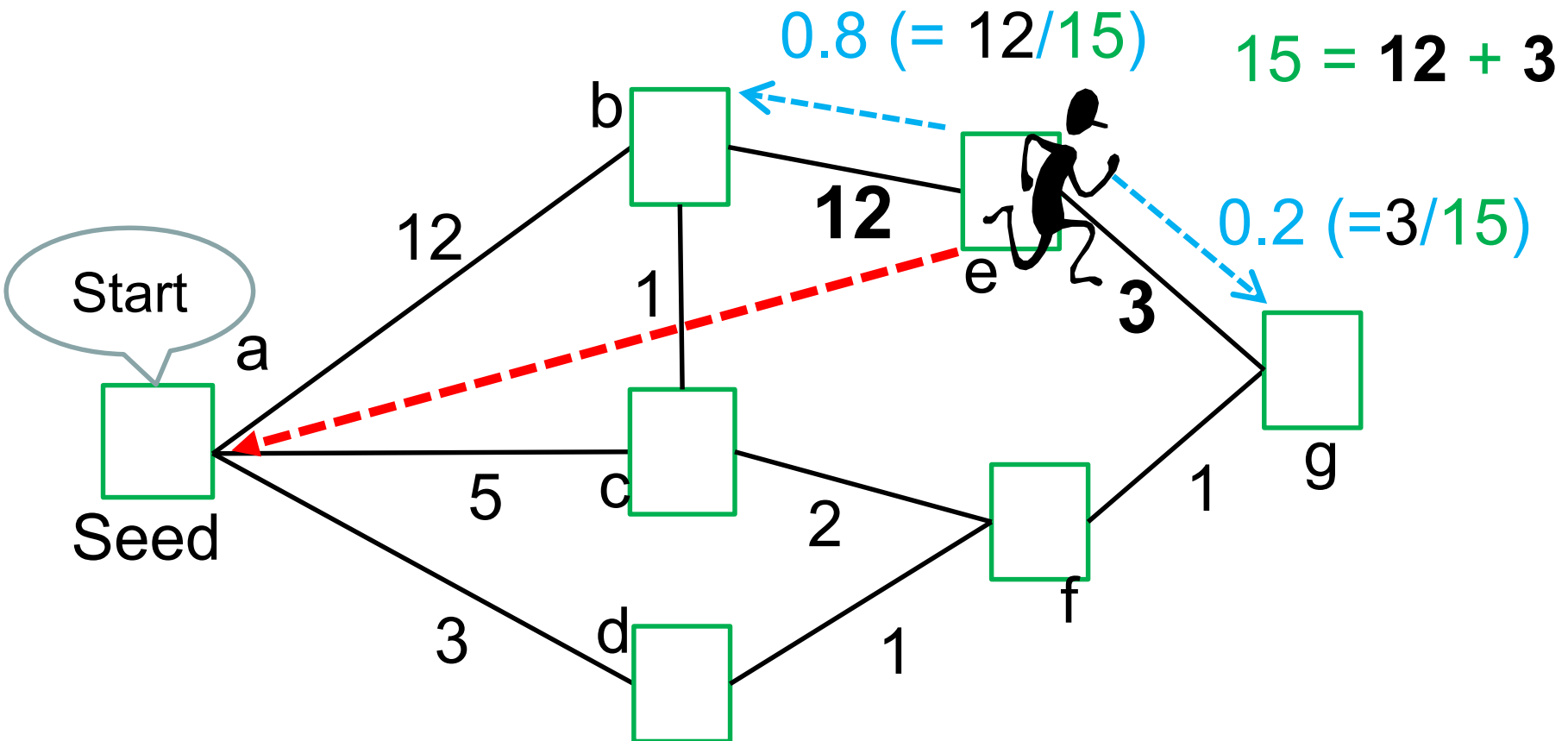
## 4. Experiment

Evaluating the proposed method

# Ranking Documents in the Network by the RWR (Random walk With Restart) Algorithm (Tong, 2008)

Simple random walk

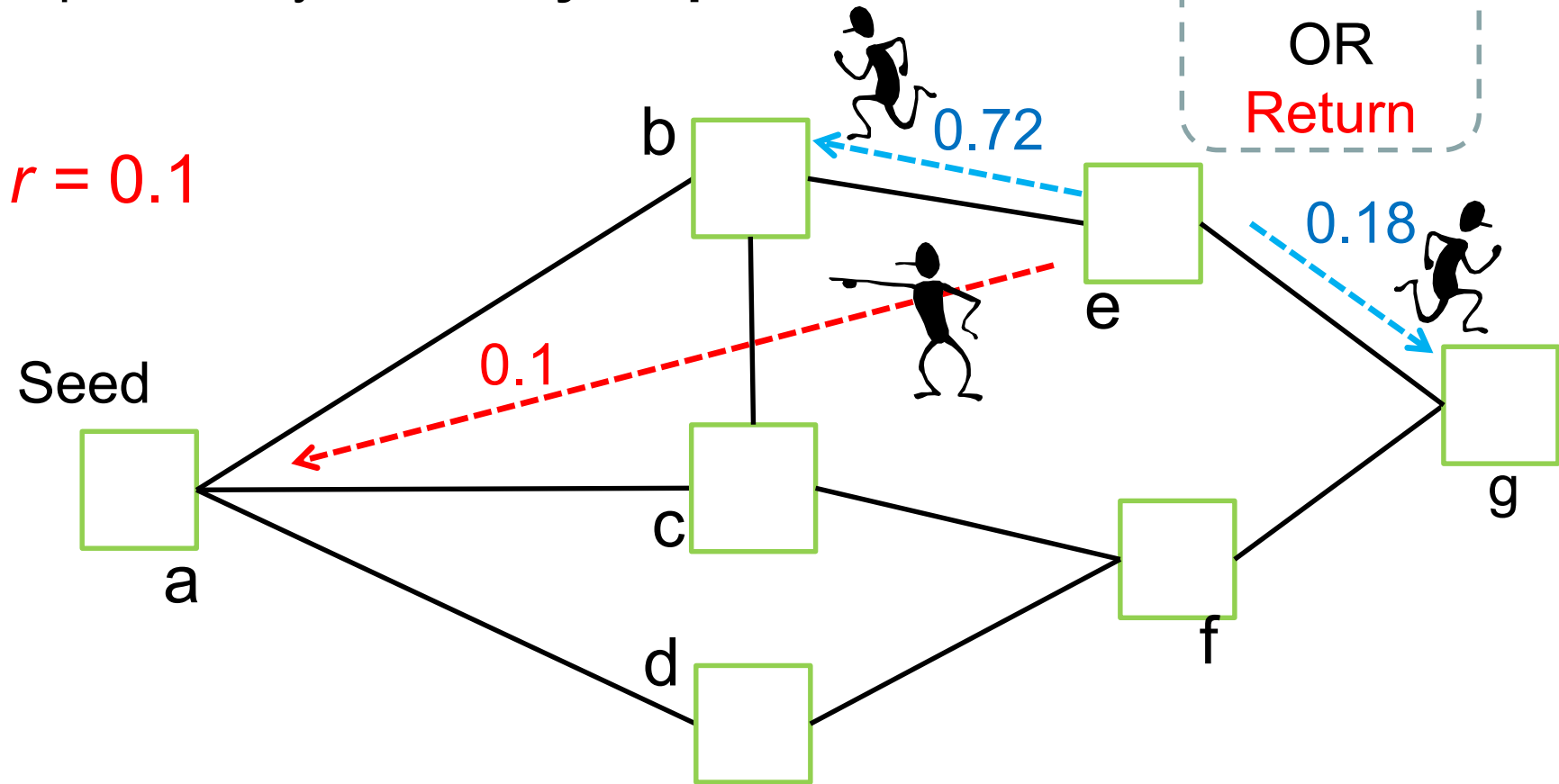
The walker proceeds to the connected documents based on transition probabilities calculated by weights of edges



# RWR: What is 'Restart'?

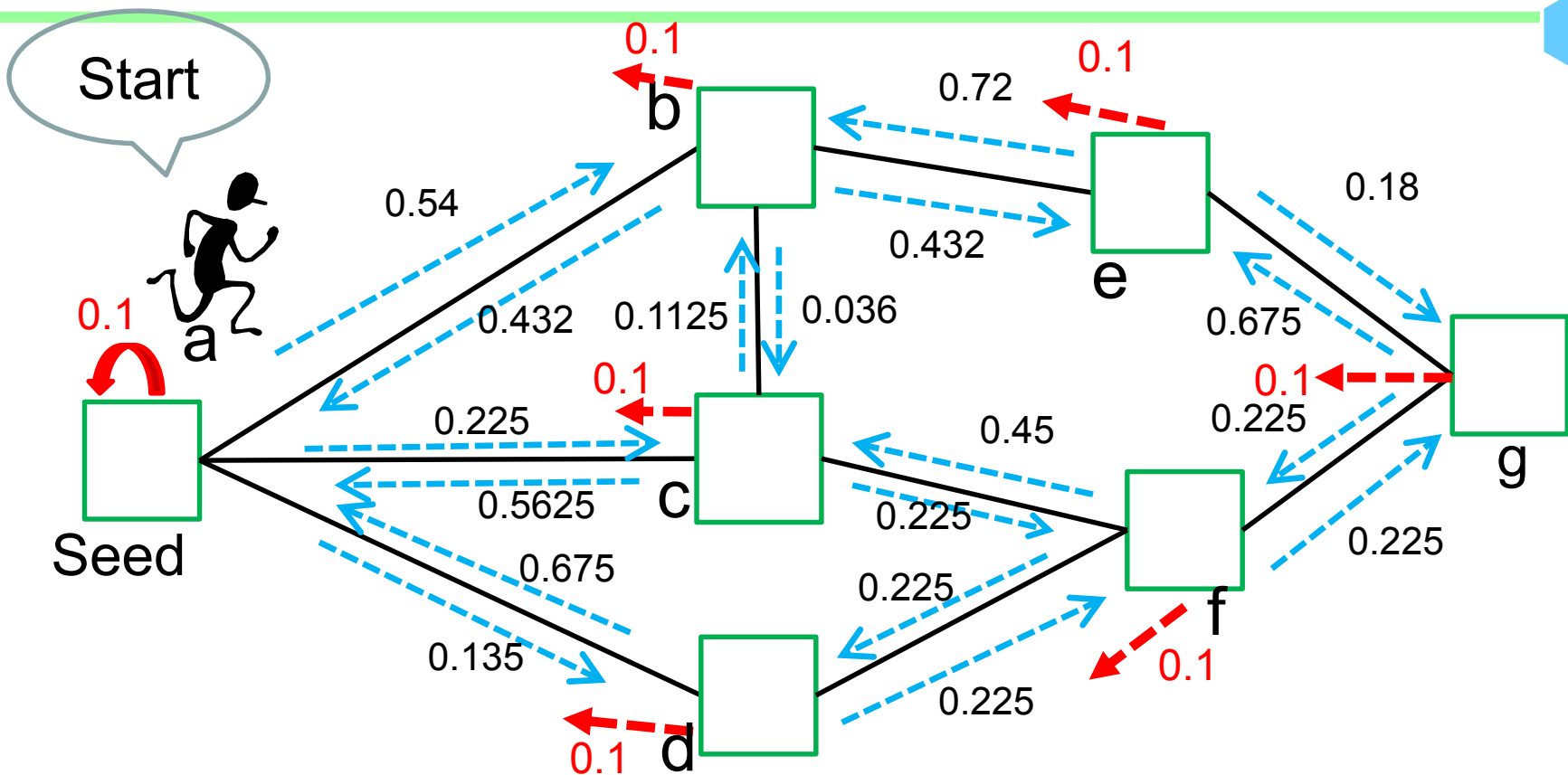


The walker **returns to the seed document** with the probability  $r$  at **every step**



$r$   $\hat{=}$  parameter of the penalty for distance from the seed  
(If  $r$  is high, documents near the seed have high document scores)<sub>15</sub>

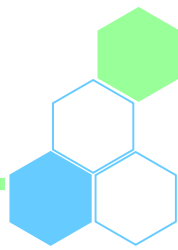
# RWR: How are document scores calculated?



- The position of the walker at Step ( $t$ ) can be estimated by the transition probabilities
- When  $t$  is low, the position probability is unstable. As the number of  $t$  increases, the **position probability** may converge

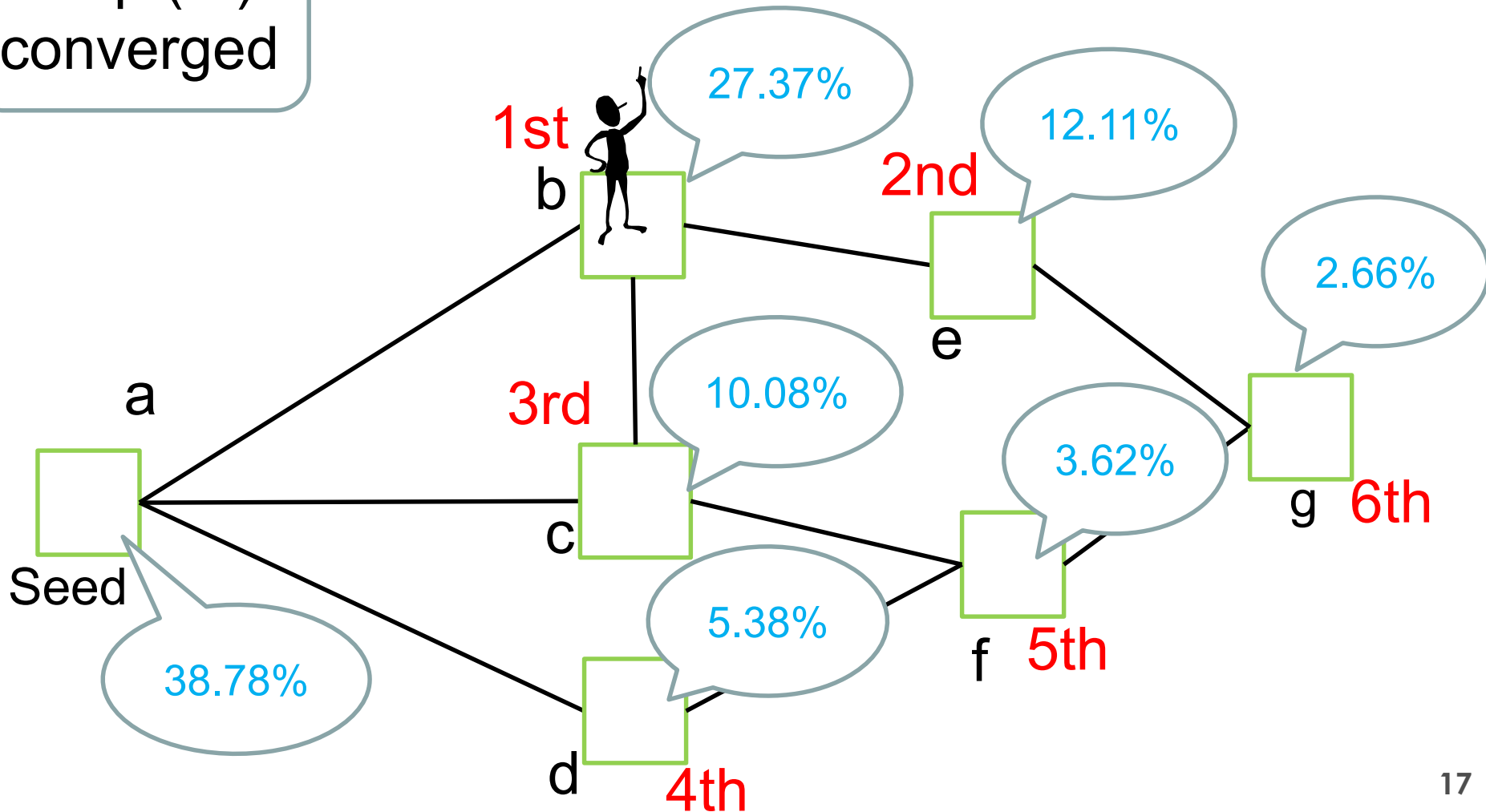


# RWR: How are documents ranked?



Converged position probability =  
Document score

Step ( $\infty$ )  
converged



# Outline of this presentation

---



## 1. Background

Co-citation and network model

Similar document search

## 2. Research question

Satellite documents

## 3. Proposed Retrieval Method

Specifying satellite documents

Incorporating satellite documents

Ranking documents in the network

## 4. **Experiment**

Evaluating the proposed method

# Information Retrieval Experiment



## Retrieval Methods

- Baseline (initial co-citation network)
  - Network created by taking up to two hops from the seed
- Proposed Method (all)
  - All one hop documents from the seed are host documents
- Proposed Method (context)
  - Host documents are selected by co-citation context

## Test Collection

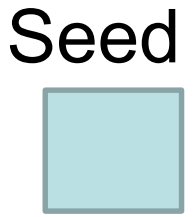
- 152,000 documents (XML) (Pubmed central dataset)
- Each document has MeSH descriptors
- 100 seed documents

## Evaluation metric

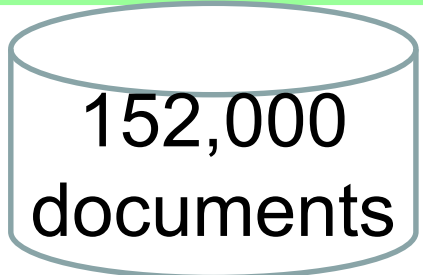
- nDCG@K (K = 5, 10, 50, 100)



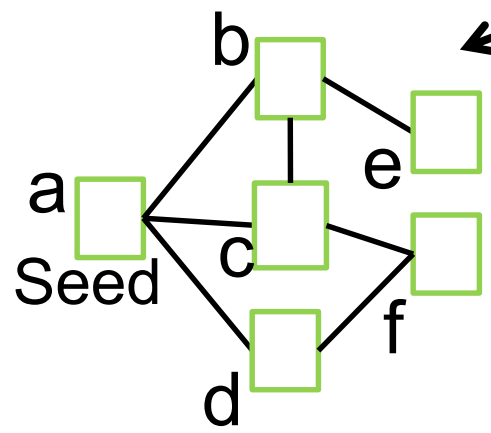
# Search Run



Input a seed document

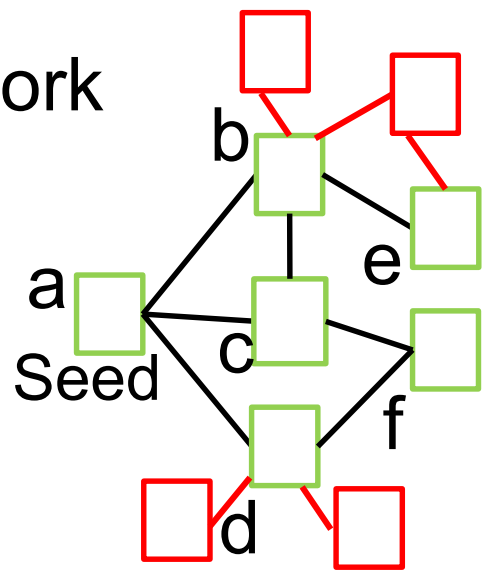


Create an initial co-citation network



Baseline

Incorporating satellite documents



Proposed methods

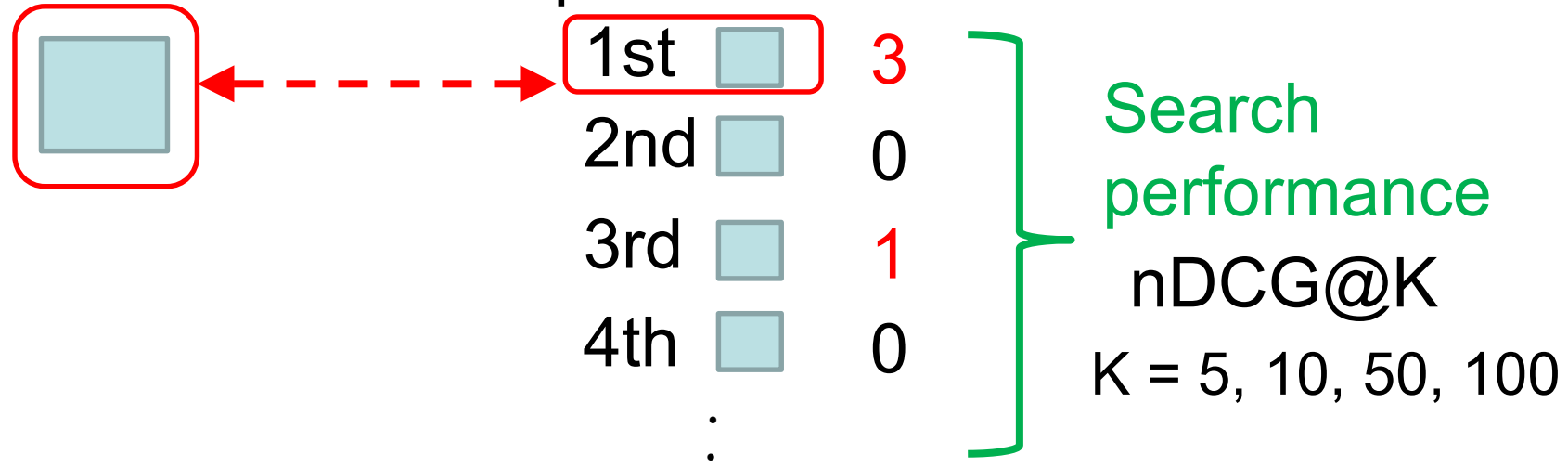
- All
- Context

Ranked results by RWR are compared



# Relevance Assessment

Seed document    Top K ranked retrieved documents

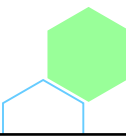


Relevance scores were estimated based on similarity between the seed and each retrieved document

Jaccard Coefficient  
based on MeSH descriptors

Jaccard Coefficient	Relevance Score
$\geq 0.3$	3
$\geq 0.2$	2
$\geq 0.1$	1

# Result (averaging results of 100 seed )



K	Baseline	Proposed N = 10		Proposed N = 100	
		all	context	all	context
5	.226	.226	.232*	<u>.224</u>	.234**
10	.223	<u>.221</u>	.227**	.226	.230**
50	.188	.191*	.189**	.197**	.191
100	.174	.181**	.177*	.188**	.180**

\* P < .05, \*\* P < .01

- The **maximum scores** at each K are the results of Proposed with N = 100
- ➡ Proposed methods tended to outperform the baseline
- The scores of Proposed (context) are **higher** than those of the baseline method in all cases
- ➡ The checking process had a stable and positive impact on improving the search performance

# Conclusion

---



This study proposed a technique to enlarge co-citation networks by incorporating satellite documents in scientific paper searches

Retrieval methods using the proposed technique tended to outperform the baseline method, which was based on the initial co-citation network

# Acknowledgments

---



This work was supported by JSPS  
KAKENHI Grant Number JP26730163



# Q and A

---



Thank you!