Opinum: statistical sentiment analysis for opinion classification

Boyan Bonev, Gema Ramírez-Sánchez, Sergio Ortiz Rojas Prompsit Language Engineering Avenida Universidad, s/n. Edificio Quorum III. 03202 Elche, Alicante (Spain) {boyan, gramirez, sortiz}@prompsit.com

Abstract

The classification of opinion texts in positive and negative can be tackled by evaluating separate key words but this is a very limited approach. We propose an approach based on the order of the words without using any syntactic and semantic information. It consists of building one probabilistic model for the positive and another one for the negative opinions. Then the test opinions are compared to both models and a decision and confidence measure are calculated. In order to reduce the complexity of the training corpus we first lemmatize the texts and we replace most namedentities with wildcards. We present an accuracy above 81% for Spanish opinions in the financial products domain.

1 Introduction

Most of the texts written by humans reflect some kind of sentiment. The interpretation of these sentiments depend on the linguistic skills and emotional intelligence of both the author and the reader, but above all, this interpretation is subjective to the reader. They don't really exist in a string of characters, for they are subjective states of mind. Therefore sentiment analysis is a prediction of how most readers would react to a given text.

There are texts which intend to be objective and texts which are intentionally subjective. The latter is the case of opinion texts, in which the authors intentionally use an appropriate language to express their positive or negative sentiments about something. In this paper we work on the classification of opinions in two classes: those expressing positive sentiment (the author is in favour of something) and those expressing negative sentiment, and we will refer to them as positive opinions and negative opinions.

Sentiment analysis is possible thanks to the opinions available online. There are vast amounts of text in fora, user reviews, comments in blogs and social networks. It is valuable for marketing and sociological studies to analyse these freely available data on some definite subject or entity. Some of the texts available do include opinion information like stars, or recommend-or-not, but most of them do not. A good corpus for building sentiment analysis systems would be a set of opinions separated by domains. It should include some information about the cultural origin of authors and their job, and each opinion should be sentiment-evaluated not only by its own author, but by many other readers as well. It would also be good to have a marking of the subjective and objective parts of the text. Unfortunately this kind of corpora are not available at the moment.

In the present work we place our attention at the supervised classification of opinions in positive and negative. Our system, which we call *Opinum*¹, is trained from a corpus labeled with a value indicating whether an opinion is positive or negative. The corpus was crawled from the web and it consists of a 160MB collection of Spanish opinions about financial products. Opinum's approach is general enough and it is not limited to this corpus nor to the financial domain.

There are state-of-the-art works on sentiment

¹An Opinum installation can be tested from a web interface at http://aplica.prompsit.com/en/opinum

analysis which care about differentiating between the objective and the subjective part of a text. For instance, in the review of a film there is an objective part and then the opinion (Raaijmakers et al., 2008). In our case we work directly with opinion texts and we do not make such difference. We have noticed that in customer reviews, even when stating objective facts, some positive or negative sentiment is usually expressed.

Many works in the literature of sentiment analvsis take lexicon-based approaches (Taboada et al., 2011). For instance (Hu and Liu, 2004; Blair-Goldensohn et al., 2008) use WordNet to extend the relation of positive and negative words to other related lexical units. However the combination of which words appear together may also be important and there are comparisons of different Machine learning approaches (Pang et al., 2002) in the literature, like Support Vector Machines, k-Nearest Neighbours, Naive-Bayes, and other classifiers based on global features. In (McDonald et al., 2007) structured models are used to infer the sentiment from different levels of granularity. They score cliques of text based on a high-dimensional feature vector.

In the Opinum approach we score each sentence based on its n-gram probabilites. For a complete opinion we sum the scores of all its sentences. Thus, if an opinion has several positive sentences and it finally concludes with a negative sentence which settles the whole opinion as negative, Opinum would probably fail. The n-gram sequences are good at capturing phrasemes (multiwords), the motivation for which is stated in Section 2. Basically, there are phrasemes which bear sentiment. They may be different depending on the domain and it is recommendable to build the models with opinions belonging to the target domain, for instance, financial products, computers, airlines, etc. A study of domain adaptation for sentiment analysis is presented in (Blitzer et al., 2007). In Opinum different classifiers would be built for different domains. Building the models does not require the aid of experts, only a labeled set of opinions is necessary. Another contribution of Opinum is that it applies some simplifications on the original text of the opinions for improving the performance of the models.

In the remainder of the paper we first state the mo-

tivation of our approach in Section 2, then in Section 3 we describe in detail the Opinum approach. In Section 4 we present our experiments with Spanish financial opinions and we state some conclusions and future work in Section 5.

2 Hypothesis

When humans read an opinion, even if they do not understand it completely because of the technical details or domain-specific terminology, in most cases they can notice whether it is positive or negative. The reason for this is that the author of the opinion, consciously or not, uses nuances and structures which show a positive or negative feeling. Usually, when a user writes an opinion about a product, the intention is to communicate that subjective feeling, apart from describing the experience with the product and giving some technical details.

The hypothesis underlying the traditional keyword or lexicon-based approaches (Blair-Goldensohn et al., 2008; Hu and Liu, 2004) consist in looking for some specific positive or negative words. For instance, "great" should be positive and "disgusting" should be negative. Of course there are some exceptions like "not great", and some approaches detect negation to invert the meaning of the word. More elaborate cases are constructions like "an offer you can't refuse" or "the best way to lose your money".

There are domains in which the authors of the opinions might not use these explicit keywords. In the financial domain we can notice that many of the opinions which express the author's insecurity are actually negative, even though the words are mostly neutral. For example, "I am not sure if I would get a loan from this bank" has a negative meaning. Another difficulty is that the same words could be positive or negative depending on other words of the sentence: "A loan with high interests" is negative while "A savings account with high interests" is positive. In general more complex products have more complex and subtle opinions. The opinion about a cuddly toy would contain many keywords and would be much more explicit than the opinion about the conditions of a loan. Even so, the human readers can get the positive or negative feeling at a glance.

The hypothesis of our approach is that it is pos-

sible to classify opinions in negative and positive based on canonical (lemmatized) word sequences. Given a set of positive opinions \mathbf{O}^p and a set of negative opinions \mathbf{O}^n , the probability distributions of their *n*-gram word sequences are different and can be compared to the *n*-grams of a new opinion in order to classify it. In terms of statistical language models, given the language models M^p and M^n obtained from \mathbf{O}^p and \mathbf{O}^n , the probability $p_o^p = P(o|\mathbf{O}^p)$ that a new opinion would be generated by the positive model is smaller or greater than the probability $p_o^n = P(o|\mathbf{O}^N)$ that a new opinion would be generated by the negative model.

We build the models based on sequences of canonical words in order to simplify the text, as explained in the following section. We also replace some named entities like names of banks, organizations and people by wildcards so that the models do not depend on specific entities.

3 The Opinum approach

The proposed approach is based on n-gram language models. Therefore building a consistent model is the key for its success. In the field of machine translation a corpus with size of 500MB is usually enough for building a 5-gram language model, depending on the morphological complexity of the language.

In the field of sentiment analysis it is very difficult to find a big corpus of context-specific opinions. Opinions labeled with stars or a positive/negative label can be automatically downloaded from different customers' opinion websites. The sizes of the corpora collected that way range between 1MB and 20MB for both positive and negative opinions.

Such a small amount of text would be suitable for bigrams and would capture the difference between "not good" and "really good", but this is not enough for longer sequences like "offer you can't refuse". In order to build consistent 5-gram language models we need to simplify the language complexity by removing all the morphology and replacing the surface forms by their canonical forms. Therefore we make no difference between "offer you can't refuse" and "offers you couldn't refuse".

We also replace named entities by wildcards: *person_entity*, *organization_entity* and *company_entity*. Although these replacements also simplify the lan-

guage models to some extent, their actual purpose is to avoid some negative constructions to be associated to concrete entities. For instance, we do not care that "do not trust John Doe Bank" is negative, instead we prefer to know that "do not trust company_entity" is negative regardless of the entity. This generality allows us to better evaluate opinions about new entities. Also, in the cases when all the opinions about some entity E1 are good and all the opinions about some other entity E2 are bad, entity replacement prevents the models from acquiring this kind of bias.

Following we detail the lemmatization process, the named entities detection and how we build and evaluate the positive and negative language models.

3.1 Lemmatization

Working with the words in their canonical form is for the sake of generality and simplification of the language model. Removing the morphological information does not change the semantics of most phrasemes (or multiwords).

There are some lexical forms for which we keep the surface form or we add some morphological information to the token. These exceptions are the subject pronouns, the object pronouns and the possessive forms. The reason for this is that for some phrasemes the personal information is the key for deciding the positive or negative sense. For instance, let us suppose that some opinions contain the sequences

$$o_t$$
 = "They made money from me",
 o_i = "I made money from them".

Their lemmatization, referred to as $\mathcal{L}_0(\cdot)$, would be²

 $\mathcal{L}_0(o_t) = \mathcal{L}_0(o_i) =$ "SubjectPronoun make money from ObjectPronoun",

Therefore we would have equally probable $P(o_t|M^p) = P(o_i|M^p)$ and $P(o_t|M^n) = P(o_i|M^n)$, which does not express the actual sentiment of the phrasemes. In order to capture this

²The notation we use here is for the sake of readability and it slightly differs from the one we use in Opinum.

kind of differences we prefer to have

$\mathcal{L}_1(o_t)$	=	"SubjectPronoun_3p make money
		from ObjectPronoun_1p",

 $\mathcal{L}_1(o_i) =$ "SubjectPronoun_1p make money from ObjectPronoun_3p".

The probabilities still depend on how many times do these lexical sequences appear in opinions labeled as positive or negative, but with $\mathcal{L}_1(\cdot)$ we would have that

$$P(o_t|M^p) < P(o_i|M^p),$$

$$P(o_t|M^n) > P(o_i|M^n),$$

that is, o_i fits better the positive model than o_t does, and vice versa for the negative model.

In our implementation lemmatization is performed with Apertium, which is an open-source rule-based machine translation engine. Thanks to its modularized architecture (described in (Tyers et al., 2010)) we use its morphological analyser and its part-of-speech disambiguation module in order to take one lexical form as the most probable one, in case there are several possibilities for a given surface. Apertium currently has morphological analysers for 30 languages (most of them European), which allows us to adapt Opinum to other languages without much effort.

3.2 Named entities replacement

The corpora with labeled opinions are usually limited to a number of enterprises and organizations. For a generalization purpose we make the texts independent of concrete entities. We do make a difference between names of places, people and organizations/companies. We also detect dates, phone numbers, e-mails and URL/IP. We substitute them all by different wildcards. All the rest of the numbers are substituted by a "Num" wildcard. For instance, the following subsequence would have a $\mathcal{L}_2(o_e)$ lemmatization + named entity substitution:

$o_e =$	"Joe bought 300 shares
	of Acme Corp. in 2012"
$\mathcal{L}_2(o_e) =$	"Person buy Num share
	of Company in Date"

The named entity recognition task is integrated within the lemmatization process. We collected a list of names of people, places, companies and organizations to complete the morphological dictionary of Apertium. The morphological analysis module is still very fast, as the dictionary is first compiled and transformed to the minimal deterministic finite automaton. For the dates, phone numbers, e-mails, IP and URL we use regular expressions which are also supported by the same Apertium module.

Regarding the list of named entities, for a given language (Spanish in our experiments) we download its Wikipedia database which is a freely available resource. We heuristically search it for organizations, companies, places and people. Based on the number of references a given entity has in Wikipedia's articles, we keep the first 1.500.000 most relevant entities, which cover the entities with 4 references or more (the popular entities are referenced from tens to thousands of times).

Finally, unknown surface forms are replaced by the "Unknown" lemma (the known lemmas are lowercase). These would usually correspond to strange names of products, erroneous words and finally to words which are not covered by the monolingual dictionary of Apertium. Therefore our approach is suitable for opinions written in a rather correct language. If unknown surfaces were not replaced, the frequently misspelled words would not be excluded, which is useful in some domains. This is at the cost of increasing the complexity of the model, as all misspelled words would be included. Alternatively, the frequently misspelled words could be added to the dictionary.

3.3 Language models

The language models we build are based on *n*-gram word sequences. They model the likelihood of a word w_i given the sequence of n-1 previous words, $P(w_i|w_{i-(n-1)},\ldots,w_{i-1})$. This kind of models assume independence between the word w_i and the words not belonging to the *n*-gram, w_j , j < i - n. This is a drawback for unbounded dependencies but we are not interested in capturing the complete grammatical relationships. We intend to capture the probabilities of smaller constructions which may hold positive/negative sentiment. Another assumption we make is independence between different sentences.

In Opinum the words are lemmas (or wildcards replacing entities), and the number of words among which we assume dependence is n = 5. A maximum n of 5 or 6 is common in machine translation where huge amounts of text are used for building a language model (Kohen et al., 2007). In our case we have at our disposal a small amount of data but the language is drastically simplified by removing the morphology and entities, as previously explained. We have experimentally found that n > 5 does not improve the classification performance of lemmatized opinions and could incur over-fitting.

In our setup we use the IRSTLM open-source library for building the language model. It performs an *n*-gram count for all *n*-grams from n = 1 to n = 5 in our case. To deal with data sparseness a redistribution of the zero-frequency probabilities is performed for those sets of words which have not been observed in the training set $\mathcal{L}(\mathbf{O})$. Relative frequencies are discounted to assign positive probabilities to every possible *n*-gram. Finally a smoothing method is applied. Details about the process can be found in (Federico et al., 2007). For Opinum we run IRSTLM twice during the training phase: once taking as input the opinions labeled as positive and once taking the negatives:

$$\begin{array}{rcl} M^p & \leftarrow & \operatorname{Irstlm}\left(\mathcal{L}\left(\mathbf{O}^p\right)\right) \\ M^n & \leftarrow & \operatorname{Irstlm}\left(\mathcal{L}\left(\mathbf{O}^n\right)\right) \end{array}$$

These two models are further used for querying new opinions on them and deciding whether it is positive or negative, as detailed in the next subsection.

3.4 Evaluation and confidence

In the Opinum system we query the M^p , M^n models with the KenLM (Heafield, 2011) open-source library because it answers the queries very quickly and has a short loading time, which is suitable for a web application. It also has an efficient memory management which is positive for simultaneous queries to the server.

The queries are performed at sentence level. Each sentence $s \in o_t$ is assigned a score which is the log probability of the sentence being generated by the language model. The decision is taken by comparing its scores for the positive and for the negative

models. For a given opinion o_t , the log-probability sums can be taken:

$$d_{o_t} = \sum_{s \in o_t} \log P(s|M^p) - \sum_{s \in o_t} \log P(s|M^n) \gtrsim 0$$

If this difference is close to zero, $|d_{ot}|/w_{ot} < \varepsilon_0$, it can be considered that the classification is neutral. The number of words w_{ot} is used as a normalization factor. If it is large, $|d_{ot}|/w_{ot} > \varepsilon_1$, it can be considered that the opinion has a very positive or very negative sentiment. Therefore Opinum classifies the opinions with qualifiers: *very/somewhat/little positive/negative* depending on the magnitude $|d_{ot}|/w_{ot}$ and $\operatorname{sign}(d_{ot})$, respectively.

The previous assessment is also accompanied by a confidence measure given by the level of agreement among the different sentences of an opinion. If all its sentences have the same positivity/negativity, measured by sign (d_{s_i}) , $s_i \in o$, with large magnitudes then the confidence is the highest. In the opposite case in which there is the same number of positive and negative sentences with similar magnitudes the confidence is the lowest. The intermediate cases are those with sentences agreeing in sign but some of them with very low magnitude, and those with most sentences of the same sign and some with different sign. We use Shannon's entropy measure $H(\cdot)$ to quantify the amount of disagreement. For its estimation we divide the range of possible values of din *B* ranges, referred to as bins:

$$H_{o_t} = \sum_{b=1}^{B} p(d_b) \log \frac{1}{p(d_b)}.$$

The number of bins should be low (less than 10), otherwise it is difficult to get a low entropy measure because of the sparse values of d_b . We set two thresholds η_0 and η_1 such that the confidence is said to be *high/normal/low* if $H_{o_t} < \eta_0$, $\eta_0 < H_{o_t} < \eta_1$ or $H_{o_t} > \eta_1$, respectively

The thresholds ε , η and the number of bins *B* are experimentally set. The reason for this is that they are used to tune subjective qualifiers (very/little, high/low confidence) and will usually depend on the training set and on the requirements of the application. Note that the classification in positive or negative sentiment is not affected by these parameters.

From a human point of view it is also a subjective assessment but in our setup it is looked at as a feature implicitly given by the labeled opinions of the training set.

4 Experiments and results

In our experimental setup we have a set of positive and negative opinions in Spanish, collected from a web site for user reviews and opinions. The opinions are constrained to the financial field including banks, savings accounts, loans, mortgages, investments, credit cards, and all other related topics. The authors of the opinions are not professionals, they are mainly customers. There is no structure required for their opinions, and they are free to tell their experience, their opinion or their feeling about the entity or the product. The users meant to communicate their review to other humans and they don't bear in mind any natural language processing tools. The authors decide whether their own opinion is positive or negative and this field is mandatory.

The users provide a number of stars as well: from one to five, but we have not used this information. It is interesting to note that there are 66 opinions with only one star which are marked as positive. There are also 67 opinions with five stars which are marked as negative. This is partially due to human errors, a human can notice when reading them. However we have not filtered these noisy data, as removing human errors could be regarded as biasing the data set with our own subjective criteria.

Regarding the size of the corpus, it consists of 9320 opinions about 180 different Spanish banks and financial products. From these opinions 5877 are positive and 3443 are negative. There is a total of 709741 words and the mean length of the opinions is 282 words for the positive and 300 words for the negative ones. In the experiments we present in this work, we randomly divide the data set in 75% for training and 25% for testing. We check that the distribution of positive and negative remains the same among test and train.

After the $\mathcal{L}_2(\cdot)$ lemmatization and entity substitution, the number of different words in the data set is 13067 in contrast with the 78470 different words in the original texts. In other words, the lexical complexity is reduced by 83%. Different substitutions play a different role in this simplification. The "Unknown" wildcard represents a 7,13% of the original text. Entities were detected and replaced 33858 times (7807 locations, 5409 people, 19049 companies, 502 e-mails addresses and phone numbers, 2055 URLs, 1136 dates) which is a 4,77% of the text. There are also 46780 number substitutions, a 7% of the text. The rest of complexity reduction is due to the removal of the morphology as explained in Subsection 3.1.

In our experiments, the training of Opinum consisted of lemmatizing and susbstituting entities of the 6990 opinions belonging the training set and building the language models. The positive model is built from 4403 positive opinions and the negative model is built from 2587 negative opinions. Balancing the amount of positive and negative samples does not improve the performance. Instead, it obliges us to remove an important amount of positive opinions and the classification results are decreased by approximately 2%. This is why we use all the opinions available in the training set. Both language models are *n*-grams with $n \in [1, 5]$. Having a 37% less samples for the negative opinions is not a problem thank to the smoothing techniques applied by IRSTLM. Nonetheless if the amount of training texts is too low we would recommend taking a lower n. A simple way to set n is to take the lowest value of n for which classification performance is improved. An unnecessarily high n could overfit the models.

The tests are performed with 2330 opinions (not involved in building the models). For measuring the accuracy we do not use the qualifiers information but only the decision about the positive or negative class. In Figure 1 we show the scores of the opinions for the positive and negative models. The score is the sum of scores of the sentences, thus it can be seen that longer opinions (bigger markers) have bigger scores. Independence of the size is not necessary for classifying in positive and negative. In the diagonal it can be seen that positive samples are close to the negative ones, this is to be expected: both positive and negative language models are built for the same language. However the small difference in their scores yields an 81,98% success rate in the classification. An improvement of this rate would be difficult to achieve taking into account that there is

Test	Original Spanish text	Meaning in English	Result
Similar words, different meaning	"Al tener la web, no pierdes el tiempo por teléfono."	As you have the website you don't waste time on the phone.	Positive
	"En el telfono os hacen perder el tiempo y no tienen web."	They waste your time on the phone and they don't have a website.	Negative
	"De todas formas me solucionaron el problema."	Anyway, they solved my problem.	Positive
	"No hay forma de que me solucionen el problema."	There is no way to make them solve my problem.	Negative
	"Con XXXXXX me fue muy bien."	I was fine with XXXXXX.	Positive
	"Hasta que surgieron los problemas."	Until the problems began.	Negative
A negative opinion	"Por hacerme cliente me regalaban 100 euros."	They gave me 100 euros for becoming a client.	Positive
of several sentences	"Pero una vez que eres cliente no te aportan nada bueno."	But once you are a client, they they do not offer anything good.	Negative
	"Estoy pensando cambiar de banco."	I am considering switching to another bank.	Negative
The complete opinion	"Con XXXXXX me fue muy [] cambiar de banco."	I was fine with XXXXXX [] switching to another bank.	Negative

Table 1: Some tests on Opinum for financial opinions in Spanish.

noise in the training set and that there are opinions without a clear positive or negative feeling. A larger corpus would also contribute to a better result. Even though we have placed many efforts in simplifying the text, this does not help in the cases in which a construction of words is never found in the corpus. A construction could even be present in the corpus but in the wrong class. For instance, in our corpus "no estoy satisfecho" (meaning "I am not satisfied") appears 3 times among the positive opinions and 0 times among the negative ones. This weakness of the corpus is due to sentences referring to a money back guarantee: "si no esta satisfecho le devolvemos el dinero" which are used in a positive context.

Usually in long opinions a single sentence does not change the positiveness score. For some examples see Table 4. In long opinions every sentence is prone to show the sentiment except for the cases of irony or opinions with an objective part. The performance of Opinum depending on the size of the opinions of the test set is shown in Figure 2. In Figure 3 the ROC curve of the classifier shows its stability against changing the true-positive versus falsenegative rates. A comparison with other methods would be a valuable source of evaluation. It is not feasible at this moment because of the lack of free customers opinions databases and opionion classifiers as well. The success rate we obtain can be compared to the 69% baseline given by a classifier based on the frequencies of single words.



Figure 1: Relation between similarity to the models (x and y axis) and the relative size of the opinions (size of the points).

The query time of Opinum on a standard computer ranges from 1, 63 s for the shortest opinions to 1, 67 s for those with more than 1000 words. In our setup, most of the time is spent in loading the morphological dictionary, few milliseconds are spent in the morphological analysis of the opinion and the named entity substitution, and less than a millisecond is spent in querying each model. In a batch



Figure 2: Number of successful and erroneous classifications (vertical axis) depending on the size of the test opinions (horizontal axis).

ROC on test set

Figure 3: Receiver Operating Characteristic (ROC) curve of the Opinum classifier for financial opinions.

mode, the morphological analysis could be done for all the opinions together and thousands of them could be evaluated in seconds. In Opinum's web interface we only provide the single opinion queries and we output the decision, the qualifiers information and the confidence measure.

5 Conclusions and future work

Opinum is a sentiment analysis system designed for classifying customer opinions in positive and negative. Its approach based on morphological simplification, entity substitution and n-gram language models, makes it easily adaptable to other classification targets different from positive/negative. In this work we present experiments for Spanish in the financial domain but Opinum could easily be trained for a different language or domain. To this end an Apertium morphological analyser would be necessary (30 languages are currently available) as well as a labeled data set of opinions. Setting n for the ngram models depends on the size of the corpus but it would usually range from 4 to 6, 5 in our case. There are other parameters which have to be experimentally tuned and they are not related to the positive or negative classification but to the subjective qualifier very/somewhat/little and to the confidence measure.

The classification performance of Opinum in our financial-domain experiments is 81,98% which would be difficult to improve because of the noise in the data and the subjectivity of the labeling in positive and negative. The next steps would be to study the possibility to classify in more than two classes by using several language models. The use of an external neutral corpus should also be considered in the future.

It is necessary to perform a deeper analysis of the impact of lexical simplification on the accuracy of the language models. It is also very important to establish the limitations of this approach for different domains. Is it equally successful for a wider domain? For instance, trying to build the models from a mixed set of opinions of the financial domain and the IT domain. Would it work for a general domain?

Regarding applications, Opinum could be trained for a given domain without expert knowledge. Its queries are very fast which makes it feasible for free on-line services. An interesting application would be to exploit the named entity recognition and associate positive/negative scores to the entities based on their surrounding text. If several domains were available, then the same entities would have different scores depending on the domain, which would be a valuable analysis.

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