### WordNet and FrameNet as Complementary Resources for Annotation

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#### Abstract

WordNet and FrameNet are widely used lexical resources, but they are very different from each other and are often used in completely different ways in NLP. In a case study in which a short passage is annotated in both frameworks, we show how the syntagmatic information from FrameNet can complement each other, forming a more complete representation of the lexical semantic of a text than either could alone. Close comparisons between them also suggest ways in which they can be brought into alignment.

### **1** Background and motivation

FrameNet and WordNet are two lexical databases that are widely used for NLP, often in conjunction. Because of their complementary designs they are obvious candidates for alignment, and an exploratory research project within the larger context of the semantic annotation of the the American national Corpus is currently underway. We give specific illustrative examples of annotations against both resources, highlighting their different contributions towards a rich semantic analysis.

**WordNet (WN)**:<sup>1</sup> (Fellbaum, 1998), is a large electronic lexical database of English. Originally conceived as a full-scale model of human semantic organization, it was quickly embraced by the Natural Language Processing (NLP) community, a development that guided its subsequent growth and design. Word-Net has become the lexical database of choice for NLP and has been incorporated into other language tools, including VerbNet (Kipper et al., 2000) and OntoNotes (Hovy et al., 2006). Numerous on-line dictionaries, including Google's "define" function, rely significantly on WordNet.

WordNet's coverage is sometimes criticized as being too fine-grained for automatic processing, though its inventory is not larger than that of a standard collegiate dictionary. But the present limitation of automatic WSD cannot be entirely blamed on existing systems; for example, Fellbaum and Grabowski (1997)

<sup>1</sup>http://wordnet.princeton.edu

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have shown that humans, too, have difficulties identifying context-appropriate dictionary senses. One answer is clearly that meanings do not exist outside contexts. Furthermore, although WN does contain "sentence frames" such as "Somebody —-s something" for a transitive verb with a human agent, it provides little syntagmatic information, except for what can be gleaned from the example sentences. WordNet's great strength is its extensive coverage, with more than 117,000 synonym sets (**synsets**), each with a definition and relations to other synsets covering almost all the general vocabulary of English.

FrameNet (FN):<sup>2</sup> (Fontenelle, 2003) is a lexical resource organized not around words per se, but semantic frames (Fillmore, 1976): characterizations of events, relations, and states which are the conceptual basis for understanding groups of word senses, called lexical units (LUs). Frames are distinguished by the set of roles involved, known as frame elements (FEs). Much of the information in the FrameNet lexicon is derived by annotating corpus sentences; for each LU, groups of sentences are extracted from a corpus, sentences which collectively exemplify all of the lexicographically relevant syntactic patterns in which the LU occurs. A few examples of each pattern are annotated; annotators not only mark the target word which evokes the frame in the mind of the hearer, but also mark those phrases which are syntactically related to the target word and express its frame elements. FrameNet is much smaller than WordNet, covering roughly 11,000 LUs, but contains very rich syntagmatic information about the combinatorial possibilities of each LU.

Given these two lexical resources with different strengths, it seems clear that combining WN and FN annotation will produce a more complete semantic representation of the meaning of a text than either could alone. What follows is intended as an example of how they can usefully be combined.

#### 2 Case Study: Aegean History

The text chosen for this study is a paragraph from the American National Corpus<sup>3</sup> (Ide et al., 2002), from the Berlitz travel guide to Greece, discussing the history of

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<sup>&</sup>lt;sup>2</sup>http://framenet.icsi.berkeley.edu

<sup>&</sup>lt;sup>3</sup>http://www.americannationalcorpus.org

Greece, specifically the Aegean islands after the fall of Byzantium to the Crusaders. Although brief, its three sentences provide ample material to demonstrate some of the subtlety of both WN and FN annotation:

(1) While Byzantine land was being divided, there was no one in control of the seas, so pirates raided towns on many of the islands. (2) To counter this, the populations moved from their homes on the coast and built settlements inland, out of sight of the raiding parties. (3) This created a pattern seen today throughout the Aegean of a small port (skala) which serves an inland settlement or chora, making it easier to protect the island from attack.

Below, we present three tables containing the annotation of both the WordNet synsets for each open class (content) word in the text<sup>4</sup> and the FrameNet frames and the fillers of the frame elements in each sentence. We also provide brief notes on some interesting features of the semantics of each sentence.

## 2.1 Discussion of Sentence 1, shown in Table 1 on page 4 :

(2) Information about what the land was separated into is not given in the sentence nor clear from the context, so the PARTS FE has been annotated as "indefinite null instantiated" (INI). Clearly this is an intentional action, but because the verb is passive, the agent can be (and is) omitted, so the AGENT FE is marked as "constructionally null instantiated" (CNI).<sup>5</sup>

(4) In addition to FEs and their phrase types and grammatical functions, FrameNet annotates a limited set of syntactic facts: here, *in* is annotated as at "support preposition", allowing *control* to function as an adjectival, and *was* as a copula, allowing *no one* to fill the External syntactic position of *in control*.

(5) Since FN is based on semantic frames, annotation of nouns is largely limited to those which express events (e.g. *destruction*), relations (*brother*), or states (*height*). For the most part, nouns denoting artifacts and natural kinds evoke relatively uninteresting frames, and hence relatively few of them have been included in FN. However, there are three such instances in this sentence, *seas*, *islands* (9), and *towns* (12); In all three cases, the frame-evoking noun also **denotes** the filler of the FE LOCALE.

(6) At the top level of organization, *so* evokes the Causation frame. Actually, it is misleading to simply annotate *control of the seas* in the frames Be\_in\_control and Natural\_features; here, we regard *seas* as metonymic for "ship traffic on the seas", but neither the FN annotation nor the WN definition indicates this.

(7) The noun *pirates* evokes the very rich frame of

Piracy, and also denotes the filler of the FE PERPE-TRATOR, but that is the only FE filled in in that frame. Instead, *pirates* actually fills the ASSAILANT FE of the Attack frame, (8); the main idea is about the raids, not the piratical acts on the seas that the same people have a habit of committing. Note that the WN definition takes the view that raiding coastal towns **is** a typical part of piracy.

(10) Political\_locales roughly corresponds to "Geopolitical entity" in named entity recognition.

Despite the relatively fine level of detail of the annotations, there are still many important semantic features of the sentence not represented in FrameNet or Word-Net. For example, there is no treatment of negation *cum* quantification, no representation of the fact that *there was no one in control* should mean that Be\_in\_control is not happening.

# 2.2 Discussion of Sentence 2, shown in Table 2 on page 5:

The two highest level predicates in this sentence are *moved* (2) and *built* (6), in the frames Motion and Building respectively; since they are conjoined, the phrase *to counter this* fills the FE PURPOSE in both frames. <sup>6</sup> In (2) the GOAL FE of the Motion is marked as definite null instantiation (DNI), because, although it is not expressed in the VP headed by *moved*, it is recoverable from context (i.e. the second VP).

(4) Note that FN puts this sense of *home* in the Buildings frame<sup>7</sup>, but WN has a less specific definition. (6) *Coast* is a Relational\_natural\_feature because it is defined in relation to another natural feature; a coast has to be the coast **of** some land mass, although here the land mass is DNI. (9) *Inland* both evokes a Locative\_relation and denotes the GROUND FE. (10) FN and WN agree on a sense of *sight* denoting the range of vision. (11) WN's example sentence for *raid* is precisely about pirates.

# 2.3 Discussion of Sentence 3 shown in Table 3 on page 5:

(2) The concept of "pattern" is very slippery-the arrangement of port and inland settlement is both spatial and temporal in terms of building practices over centuries. (3) This sense of *see* can refer to the area in which something is seen, the time, or the conditions under which it can be seen; these are subsumed by the FE STATE. (4) *Today* expresses a Temporal\_collocation and denotes the LANDMARK. (Repetitions of the words *settlement* and *island* have been omitted.) The interrelation among (7), (10), (11) and (12) is rather complex: the arrangement in which the port serves the settlement has the making easier as a result. The arrangement is also the CAUSE FE of *making*. *Easier* in the Difficulty frame requires an Ex-

<sup>&</sup>lt;sup>4</sup>Note that for reasons of space, many WN examples have been omitted.

<sup>&</sup>lt;sup>5</sup>In fact, the previous sentence describes the sack of Constantinople by the Crusaders, so they can be inferred to be the dividers of the lands, as well.

<sup>&</sup>lt;sup>6</sup>This is a **peripheral** FE, common to all frames which inherit from the Intentionally\_act frame.

<sup>&</sup>lt;sup>7</sup>Not to be confused with the Building frame, in (7).

PERIENCER FE which is not specified here (thus INI) and an ACTIVITY FE, *to protect*. The FE PROTEC-TION (which can be a person, a thing, or an activity) is marked CNI, because it is the external argument of the infinitive.

### **3** Towards an alignment of WordNet and FrameNet

We hope these examples have shown that finding related WN and FN senses can contribute to text understanding. Fellbaum and Baker (2008) discuss the respective strengths and weaknesses of WN and FN as well as their complementary advantages that could be fruitfully exploited aligning the two resources. Work of this type is actually underway; researchers are semiautomatically annotating selected lemmas in the American National Corpus with both FN frames and WN senses. The lemmas are chosen so as to reflect the part of speech distribution in text and to represent a spectrum of frequency and polysemy. A preliminary group of instances are manually tagged by trained annotators, and then the teams working on WN and FN annotation discuss and resolve discrepancies among the taggers before the remaining tokens are annotated.

Three cases sum up the annotation and alignment process:

(1) In the very unlikely case that a synset and a frame contain exactly the same set of lexemes, their correspondence is simply recorded.

(2) In the more common case in which all the words in a synset are a subset of those in the frame, or all the words in a frame are a subset of those in the synset, this fact is also recorded.

(3) In case two synsets are subsets of the LUs of one frame, we will record this and note that it as a possible candidate for collapsing the synsets, respectively.

FN and WN are two comprehensive but complementary lexical resources. Both WN's paradigmatic and FN's syntagmatic approach to lexical semantics are needed for a rich representation of word meaning in context. We have demonstrated how text can be annotated against both resources to provide the foundation for deep language understanding and, as an important by-product, help to align the word senses of these widely-used resources. Of course, these examples were manually annotated, but automatic systems for word-sense disambiguation (largely based on WordNet) and FrameNet role labeling (Johansson and Nugues, 2007; Coppola et al., 2008) are improving rapidly. The project just described is intended to provide more gold-standard annotation (both WN and FN) to help train automatic systems for both WN and FN annotation, which are clearly related tasks e.g. (Pradhan et al., 2007; Erk, 2005).

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| 1 Frame: Political locales: [-  |  |
|---|--|
| 1. Frame: Political_locales: [CONTAINER_POSSESSOR   |  |
| Byzantine] [LOCALE LAND]<br>WN: (di) Buzanting (of or relating to or characteristic   |  |
| WN: (adj) <i>Byzantine</i> (of or relating to or characteristic of the Byzantine Empire or the ancient city of Byzan-   |  |
|   |  |
| tium) (n) domain, demesne, <i>land</i> (territory over which  |  |
| rule or control is exercised) "his domain extended into   |  |
| Europe"; "he made it the law of the land"   |  |
| 2. Frame: Separating: [WHOLE Byzantine land] was  |  |
| being <b>DIVIDED</b> [AGENT CNI] [PARTS INI]  |  |
| WN: (v) <i>divide</i> , split, split up, separate, dissever, carve  |  |
| up (separate into parts or portions) "divide the cake into<br>three equal parts". "The Pritish carved up the Ottoman  |  |
| three equal parts"; "The British carved up the Ottoman  |  |
| Empire after World War I")  |  |
| 3. Frame: Existence: [ <sub>TIME</sub> While Byzantine land was   |  |
| being divided], <b>THERE WAS</b> [ENTITY no one in con-   |  |
| trol of the seas]   |  |
| 4. Frame: Be_in_control: there [was COPULA]   |  |
| [CONTROLLING_ENTITY no one] [in SUPPORT] CON-   |  |
| <b>TROL</b> [DEPENDENT_ENTITY of the seas]  |  |
| WN: (n) <i>control</i> (power to direct or determine) "under  |  |
| control")   |  |
| 5. Frame: Natural_features: [LOCALE SEAS]   |  |
| WN: (n) sea (a division of an ocean or a large body of  |  |
| salt water partially enclosed by land)  |  |
| 6. Frame: Causation:  |  |
| [CAUSE While Byzantine land was being divided, there  |  |
| was no one in control of the seas], <b>SO</b> [ $_{EFFECT}$ pirates   |  |
| raided towns on many of the islands]  |  |
| 7. Frame: Piracy: [PERPETRATOR PIRATES]   |  |
|   |  |
| WN: (n) pirate, buccaneer, sea robber, sea rover (some-   |  |
| one who robs at sea or plunders the land from the sea   |  |
|   |  |
| one who robs at sea or plunders the land from the sea<br>without having a commission from any sovereign na-<br>tion)  |  |
| one who robs at sea or plunders the land from the sea<br>without having a commission from any sovereign na-<br>tion)<br>8. Frame: Attack: [ASSAILANT pirates] <b>RAIDED</b>   |  |
| <ul> <li>one who robs at sea or plunders the land from the sea without having a commission from any sovereign nation)</li> <li>8. Frame: Attack: [ASSAILANT pirates] RAIDED [VICTIM towns on many of the islands]</li> </ul>  |  |
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| one who robs at sea or plunders the land from the sea<br>without having a commission from any sovereign na-<br>tion)<br>8. Frame: Attack: [ASSAILANT pirates] <b>RAIDED</b><br>[VICTIM towns on many of the islands]<br>WN: (v) foray into, <i>raid</i> (enter someone else's territory<br>and take spoils) "The pirates raided the coastal villages<br>regularly")<br>9. Frame: Political_locales: [LOCALE <b>TOWNS</b> ]  |  |
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| one who robs at sea or plunders the land from the sea<br>without having a commission from any sovereign na-<br>tion)<br>8. Frame: Attack: [ASSAILANT pirates] <b>RAIDED</b><br>[VICTIM towns on many of the islands]<br>WN: (v) foray into, <i>raid</i> (enter someone else's territory<br>and take spoils) "The pirates raided the coastal villages<br>regularly")<br>9. Frame: Political_locales: [LOCALE <b>TOWNS</b> ]<br>[RELATIVE_LOCATION on many of the islands].<br>WN: (n) town (an urban area with a fixed boundary that<br>is smaller than a city)<br>10. Frame: Locative_relation: [FIGURE towns] <b>ON</b><br>[GROUND many of the islands]  |  |
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| one who robs at sea or plunders the land from the sea<br>without having a commission from any sovereign na-<br>tion)<br>8. Frame: Attack: [ASSAILANT pirates] <b>RAIDED</b><br>[VICTIM towns on many of the islands]<br>WN: (v) foray into, <i>raid</i> (enter someone else's territory<br>and take spoils) "The pirates raided the coastal villages<br>regularly")<br>9. Frame: Political_locales: [LOCALE <b>TOWNS</b> ]<br>[RELATIVE_LOCATION on many of the islands].<br>WN: (n) town (an urban area with a fixed boundary that<br>is smaller than a city)<br>10. Frame: Locative_relation: [FIGURE towns] <b>ON</b><br>[GROUND many of the islands]<br>11. Frame: Quantity: [OUANTITY <b>MANY</b> ]  |  |
| one who robs at sea or plunders the land from the sea<br>without having a commission from any sovereign na-<br>tion)<br>8. Frame: Attack: [ASSAILANT pirates] <b>RAIDED</b><br>[VICTIM towns on many of the islands]<br>WN: (v) foray into, <i>raid</i> (enter someone else's territory<br>and take spoils) "The pirates raided the coastal villages<br>regularly")<br>9. Frame: Political_locales: [LOCALE <b>TOWNS</b> ]<br>[RELATIVE_LOCATION on many of the islands].<br>WN: (n) town (an urban area with a fixed boundary that<br>is smaller than a city)<br>10. Frame: Locative_relation: [FIGURE towns] <b>ON</b><br>[GROUND many of the islands]<br>11. Frame: Quantity: [QUANTITY <b>MANY</b> ]<br>[INDIVIDUALS of the islands]<br>12. Frame: Natural_features: [LOCALE <b>ISLANDS</b> ] |  |

Table 1: FN/WN Annotation of sentence 1

|  | 1. Frame: Creating:   |
|--|---|
|  | [CAUSE This] <b>CREATED</b> [CREATED_ENTITY a pattern   |
| 1. Frame: Thwarting: To <b>COUNTER</b> [ACTION this],  | seen today from attack].  |
| [PREVENTING_CAUSE the populations moved raiding parties]   | WN: (v) create (bring into existence) "He created a new movement in painting"                     |
| WN:(v) anticipate, foresee, forestall, <i>counter</i> (act in  | 2. Frame: Pattern: <b>PATTERN</b> [DESCRIPTOR seen to-  |
| advance of; deal with ahead of time)   | day throughout the Aegean] [ENTITIES of a small port  |
| 2. Frame: Aggregate: [AGGREGATE POPULATIONS]   | (skala) which serves an inland settlement or chora]   |
| WN: (n) population (the people who inhabit a terri-  | WN: (n) practice, pattern (a customary way of opera-  |
| tory or state) "the population seemed to be well fed and   | tion or behavior) "they changed their dietary pattern"  |
| clothed"   | 3. Frame: Perception_experience: [PHENOMENON a  |
| 3. Frame: Motion:  | pattern] SEEN [TIME today] [STATE throughout the  |
| [PURPOSE To counter this], [THEME the populations]   | Aegean] [PHENOMENON of a small port from attack].   |
| <b>MOVED</b> [SOURCE from their homes on the coast]  | [PERCEIVER_PASSIVE CNI]   |
| [ <sub>GOAL</sub> DNI]   | WN: (v) witness, find, see (perceive or be contempora-  |
| WN: (v) <i>move</i> (change residence, affiliation, or place   | neous with) "You'll see a lot of cheating in this school"   |
| of employment)   | 4. Frame: Temporal_collocation: [TRAJECTOR_EVENT  |
| 4. Frame: Buildings: [BUILDING <b>HOMES</b> ] [PLACE on  | a pattern seen] [LANDMARK_EVENT TODAY]  |
| the coast]   | $[_{TRAJECTOR\_EVENT}$ throughout the Aegeanattack]   |
| WN: (n) <i>home</i> , place (where you live at a particular  | WN: (n) today (the present time or age) "the world  |
| time) "deliver the package to my home"   | of today" (n) Aegean, Aegean Sea (an arm of the   |
| 5. Frame: Locative_relation: [FIGURE their homes] <b>ON</b>  | Mediterranean between Greece and Turkey)  |
| [GROUND the coast]       6.     Frame:       Relational_natural_features:  | 5. Frame: Dimension: [DIMENSION SMALL] [OBJECT  |
|  | port]   |
| [FOCAL_FEATURE COAST] [RELATIVE_LOCATION DNI]  | WN: (adj) small, little (limited or below average in  |
| WN: (n) seashore, <i>coast</i> , seacoast, sea-coast (the shore  | number or quantity or magnitude or extent)  |
| of a sea or ocean)   | 6. Frame: Locale_by_use: [DESCRIPTOR small]   |
| 7. Frame: Building:  | [LOCALE <b>PORT</b> ]<br>WN: (n) port (a place (seaport or airport) where people                  |
| $[_{PURPOSE}$ To counter this], $[_{AGENT}$ the populations]   | and merchandise can enter or leave a country)   |
| BUILT [CREATED_ENTITY settlements] [PLACE in-  | 7. Frame: Assistance: [HELPER a small port (skala)]   |
| land], [ $P_{LACE}$ out of sight of the raiding parties].  | [Helper which] SERVES [BENEFITED_PARTY an in-   |
| WN: (v) construct, <i>build</i> , make (make by combining  | land settlement or chora], [RESULT making it easier to  |
| materials and parts)   | protect the island from attack]   |
| 8. Frame: Locale_by_use: [LOCALE SETTLE-   | WN: (v) service, serve (be used by; as of a utility) "The   |
| MENTS]   | sewage plant served the neighboring communities"  |
| WN: (n) village, small town, <i>settlement</i> (a community  | 8. Frame: Locative_relation: [GROUND INLAND]  |
| of people smaller than a town)   | [FIGURE settlement]   |
| 9. Frame: Locative_relation: built [FIGURE settle-   | 10. Frame: causation: [CAUSE a small port (skala)   |
| ments] [ <sub>GROUND</sub> <b>INLAND</b> ]   | which serves an inland settlement or chora], MAK-   |
| WN: (adv) inland (towards or into the interior of a re-  | <b>ING</b> it [EFFECT easier to protect the island from attack.]                                  |
| gion) "the town is five miles inland"  | [ <sub>AFFECTED</sub> DNI]  |
| 10. Frame: Range: out of [DISTANCE SIGHT]  | WN: chora: not in WordNet (v) make, get (give certain   |
| [PARTICIPANT of the raiding parties]   | properties to something) "This invention will make you  |
| WN: (n) <i>sight</i> , ken (the range of vision) "out of sight of lond"  | a millionaire"  |
| land"  | 11. Frame: Difficulty: <b>EASIER</b> [ACTIVITY to protect   |
| 11. Frame: Attack: <b>RAIDING</b> [ASSAILANT parties]<br>WN: (v) foray into, <i>raid</i> (enter someone else's territory | the island from attack]. [EXPERIENCER INI]  |
| and take spoils) "The pirates raided the coastal villages  | WN: (adj) easy (posing no difficulty; requiring little ef-  |
| regularly"   | fort) "an easy job"; "an easy victory"  |
| 12. Frame: Aggregate: [AGGREGATEPROPERTY raid-   | 12. Frame: Protecting: [PROTECTION CNI] <b>PROTECT</b><br>[ASSET the island] [DANGER from attack] |
| ing] [AGGREGATE PARTIES]   | WN: (v) protect (shield from danger, injury, destruc-   |
| WN: (n) <i>party</i> , company (a band of people associated  | tion, or damage) "Weatherbeater protects your roof  |
| temporarily in some activity) "they organized a party to   | from the rain"  |
| search for food"   | 14. Frame: Attack: from <b>ATTACK</b> . [ASSAILANT DNI]   |
|  | WN: (n) attack, onslaught, onset, onrush ((military) an   |
| Table 2: FN/WN Annotation of sentence 2  | offensive against an enemy (using weapons)) "the at-  |
|  | tack began at dawn"   |

Table 3: FN/WN Annotation of sentence 3