Identifying Small Talk in Natural Conversations

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Abstract

Small talk is part and parcel of human interaction and is rather employed to communicate values and opinions than pure information. Despite small talk being an omnipresent phenomenon in spoken language, it is difficult to identify: Small talk is situated, i.e., for interpreting a string of words or discourse units, outside references such as the context of the interlocutors and their previous experiences have to be interpreted. In this paper, we present a dataset of natural conversation annotated with a theoretically well-motivated distillation of what constitutes small talk. This dataset comprises of verbatim transcribed public service encounters in German authorities and are the basis for empirical work in administrative policy on how the satisfaction of the citizen manifests itself in the communication with the authorities. We show that statistical models achieve comparable results to those of state-of-the-art LLMs.

1 Introduction

Small talk is an omnipresent phenomenon when people interact with each other. There is a variety of reasons why people engage in small talk, for instance to exhibit politeness, to build a connection with strangers or to start a conversation. From a linguistic point of view, small talk is a highly interesting type of conversation, for it is not primarily focused on the exchange of information one could even argue that the topic of the conversation does not really matter - but rather about the exchange of values and opinions. From a computational point of view, small talk is a challenging phenomenon because it is highly context dependent, i.e., the individual background of the interlocutors together with the situational context determines the scope and content of the small talk. The genre they mostly appear in, namely conversations, is underrepresented in terms of resources overall, but in terms of small talk in particular.

But small talk is crucial for socio-linguistic analyses of conversations. The source of the data in this paper are public service encounters in Germany (Espinoza et al., 2024), i.e., direct conversations between citizens and representations of the state where citizens ask for support or benefits from the representatives. Previous work in administrative policy shows that even if the decision of the state is not in favor of the citizen, emphatic communication yields satisfaction scores that parallel those of favorable decisions (Guy et al., 2014). Therefore, being able to measure and identify relationbuilding blocks of conversation paves the way for meaningful sociolinguistic analyses of conversations at scale. The challenges are two-fold: From a theoretical point of view, concrete definitions for the concept of small talk are lacking, making the process of generating annotation guidelines tricky. Moreover, small talk is mostly performed in conversations - those are time-consuming to record and to transcribe, making sufficient training data expensive.

The contributions of this paper are three-fold: First, we put forward theoretically-motivated annotation guidelines that can be used to annotate small talk in transcribed conversations. We also present a new, human-annotated small talk dataset containing more than 2,600 utterances from German public service encounters. Lastly, we show that statistical models such as Logistic Regression or Support Vector Machines achieve results comparable to state-of-the-art LLMs after thorough training. Our error analysis demonstrates the difficulties of classifying small talk automatically.

2 Background

2.1 Theoretical conceptions of small talk

There is an abundance of literature on naming and defining the concept of small talk. It is investigated with a focus on its social functions (Friedlaender, 1922; Malinowski, 1949; Ventola, 1979; Coupland et al., 1992; Eggins and Slade, 2004; Senft, 2009; Chen et al., 2022), its impact on conversational structures (Laver, 1975; Edmondson and House, 1981; Schneider, 1988) and with respect to cultural differences (Isbister et al., 2000; Endrass et al., 2011). Regarding the topics covered in small talk, Schneider (1988) develops a taxonomy of topics distinguishing between topics concerning the immediate situation, the external situation and the communication situation. Isbister et al. (2000) shows that certain conversational topics are perceived as safe or unsafe depending on the cultural background of the subjects. In a follow-up study, Endrass et al. (2011) investigate how the prototypical distribution of conversation topics turns out for German and Japanese.

An example of what we consider small talk is shown in Figure 1. Prototypical topics according to Schneider's (1988) taxonomy appear ('family' and 'holidays'), but they are dependent on situational context (here, pre-christmas). These topics appear frequently in our dataset since they are connected to the main purpose of the conversation (applying for family benefits, for example). Other topics from Schneider's taxonomy (e.g., 'music' or 'sports') appear rarely or not at all. For the purpose of the annotation guidelines, we apply the theoretical concept of small talk topics to the conversational and cultural context of our dataset.

- 1. Citizen: Yes, in four weeks!
- 2. Official: Crazy, completely crazy!
- Citizen: [laughs] And the children are already going crazy at home. I mean it's not normal anymore!
- 4. Official: Already? Because of Christmas?
- Citizen: Yes, well I have decorated the house already, you know? So yes, they are really exited.
- 6. Official: Ah, nice!

Figure 1: Example of small talk (translated, German original transcript id: 202111240815e14d0y4nMAYMS)

2.2 Small talk in NLP

With the rise of conversational AI systems there has been a growing interest in modeling and generating small talk (also under the labels 'chitchat', 'informal conversation', 'off-topic' talk etc.) (Sun et al., 2021; Choudhary and Kawahara, 2022; Stricker and Paroubek, 2024b,a, inter alia). Different attempts were made to equip conversational agents with small talk functions (Bickmore and Cassell, 2001; Cavazza et al., 2010; Mattar and Wachsmuth, 2012; Zhao et al., 2022) since several studies indicate they can help establishing a personal bond with the user (Reeves and Nass, 1996; Morkes et al., 1998; Chao et al., 2021). Chiu et al. (2022) and Liu et al. (2023) focus on generating transitions from small talk to task-oriented dialogue.

For English, a few attempts to classify small talk have been made. Stewart et al. (2006) detect small talk in conversational telephone speech using supervised models, based on their taxonomy on simple lexical and syntactic features. Arguello and Rosé (2006) employ lexical and syntactic features into their classification model. Joty et al. (2013) develop an unsupervised topic segmentation model that detects small talk as 'off-topic' segments. Konigari et al. (2021) test for the first time a transformer-based model for off-topic detection in open-domain conversations. Lai et al. (2022) introduce a human-annotated dataset for chit-chat detection in English livestreaming videos.

For German, similar work is lacking. This carries over to studies using the latest generation of LLMs, which have not been tested on such a task and also not against traditional text classification models. This is the starting point of this paper: We introduce a novel dataset for German small talk¹ and show that statistical models are on a par with the latest generation of LLMs for predicting small talk in natural conversation.

3 Annotation study

3.1 Dataset

Our experiments are conducted on the PSE v1.0 dataset (Espinoza et al., 2024), a collection of verbatim transcribed Public Service Encounters in various German authorities that were recorded between 2021 and 2023. The dataset consists of 106 conversations with a total of more than 31,000 speaker turns and 433,780 tokens. PSEs are usually initiated by a citizen's application for social benefits. During those meetings the public official has to determine eligibility and extent of the support, which means that the conversations cover highly personal topics. The representatives are therefore interested in creating an open conversational atmo-

¹The dataset and the full annotation guidelines are available on Github: https://github.com/steffrenzel/naacl_2025_smalltalk_detection

sphere, with small talk being one of the linguistic mechanisms to achieve this goal.

3.2 Manual annotation of small talk

For the scope of this paper, small talk is assumed to be polite conversation about light topics (Schneider, 1988). We refine the concept by having its purpose be the maintenance of social relations which are used to create a basis for the main discussion of a conversation. This kind of conversation is technically not restricted to certain topics, but it is usually about things that the speakers can easily agree on. Situational context and cultural background of the speakers can have an influence on the form of small talk, both on the length and the primary goal, as well as the choice of topics (Isbister et al., 2000; Mattar and Wachsmuth, 2012). We do not assume a constraint on the timing of small talk in conversations, because interlocutors can structure a conversation by continually inserting small talk sections (Schneider, 1988; Chen et al., 2022).

Based on these aspects we iteratively derive annotation guidelines by conducting manual multipleperson annotation rounds. Initial attempts with a 6-step Likert scale yield only slight agreement across annotators on individual speaker moves (on average 0.24 Cohen's Kappa). For the final dataset, we use complete conversations and subsequently annotate each speaker move with a binary value ('no small talk', 'small talk'), enabling the use of context in the prediction (more on this in Section 4). With this adjustment, agreement between the two annotators of the main study is 0.534 Cohen's Kappa for 700 speaker moves, which corresponds to moderate agreement (Viera and Garrett, 2005). Overall, Both annotators are native speakers of German and students in computational linguistics.

4 Predicting small talk

4.1 Training

We use four different models to identify small talk, two statistical models (Logistic Regression and SVM) and two language models (GBERT, GPT-4) to see how more expensive models fare in comparison with smaller models.

The baseline is Logistic Regression, with tf-idf vectorization for training and test set (German stopwords are removed by the vectorizer) and with sentence embeddings from paraphrase-multilingual-MiniLM-L12-v2 (Reimers and Gurevych, 2019). For SVM,

we again use tf-idf versus sentence embeddings and conduct 5-fold cross-validation with StandardScaler from scikit-learn. Finetuning is performed using GridSearchCV. Again, both tf-idf vectors and sentence embeddings are used for vectorization.

The first language model is GBERT, a BERT model specifically trained on German data (Chan et al., 2020), with the optimal settings determined by GridSearchCV (see Table 1). We also use GPT-4.0 (OpenAI and et al., 2024) with zero-shot, few-shot and task framing prompting.

4.2 Results

The classification models show moderate performances. Interestingly, the final results of the different models are fairly close together, despite differing model complexity fine-tuning options. The full results are listed in Table 1. The weighted average F1-score is used here as the main evaluation metric.

LR needs thorough fine-tuning to achieve good results. Since we are dealing with an imbalanced dataset (the negative class is much more frequent than the positive class), the model tends to over-fit quickly and develops a bias to the majority class. To mitigate this, instead of the classes, the probabilities for each class are extracted and a manual decision boundary is applied to balance the output. This works fairly well and the final runs lead to the best overall results in the model comparison.

SVM performs slightly different in comparison to LR. In both cases, embeddings work significantly better than tf-idf vectors, which is to be expected. Despite the tf-idf vectors being less meaningful, SVM can still get reasonable results from them. In combination with sentence embeddings, the models performance is only slightly worse than the best run of LR.

The GBERT model leads to the worst overall performance. Training epochs and batch-size have to be kept small in order to mitigate over-fitting. The relatively small size of the training dataset in combination with the class imbalance again led to a biased classification. Several attempts were made to mitigate this effect, using class weights as well as minority class oversampling using SMOTE (Blagus and Lusa, 2013). However, these attempts did not lead to better performance.

Finally, we also test GPT-4.0 using different prompting strategies. For the zero-shot runs, we just provide instructions but do not give any examples from our dataset, resulting in an F1-score

Model	Vectorization	Hyperparameters	Acc	Prec	Rec	F1	Support (0 / 1)
LR	tf-idf	penalty=L2, solver=liblinear, boundary=0.16	0.51	0.75	0.51	0.56	514 (417/97)
	distilbert	penalty=L2, solver=liblinear, boundary=0.2	0.71	0.75	0.71	0.73	514 (417/97)
SVM	tf-idf	C=2.0, kernel='poly', gamma='auto', weight='balanced'	0.60	0.62	0.57	0.59	514 (417/97)
	distilbert	C=.0, kernel='poly', gamma='auto', weight='balanced'	0.70	0.69	0.67	0.68	514 (417/97)
GBERT	-	epochs=3, batch-size=16, warm-up-steps=500	0.61	0.66	0.53	0.59	514 (417/97)
GPT-4.0	-	Few-Shot, temp=0.3	0.65	0.72	0.65	0.68	514 (417/97)

Table 1: Best results across models and configurations, weighted average is used to account for class imbalance.

of 0.62. In the few-shot approach we add a few examples for both classes to the prompt. This approach works best, with an F1-score of 0.68. In the chain-of-thought run, we asks the model to explain its decisions, which does not work well since the model constantly predicts the negative class. For all these runs, the temperature is set to 0.3 - higher temperatures lead to less reproducible results and do not improve performance.

4.3 Error analysis

Both the manual annotation and the automatic classification show the difficulties in identifying small talk in our dataset. A qualitative analysis of the results shows major differences in how the classes are distributed over the course of a conversation.

Since the human annotators were given transcripts of complete conversations and their task was to classify on utterance level, they were aware of the conversational context. In both manual annotations, it is rare for a single utterance to be classified as small talk, while the surrounding utterances are not small talk. Instead, usually longer sections of a conversation are continuously identified as small talk - these occur particularly frequently at the beginning and end of a conversation. The biggest discrepancies between the two human annotators arise when identifying the transitions between small talk and other parts of the conversation. This shows once again that it is difficult to clearly distinguish small talk from other parts of conversation - there is often a 'transition zone' that can

be interpreted differently despite comprehensive annotation guidelines.

Classification models that learn the concept of small talk only indirectly from the training data, on the other hand, often classify stand-alone utterances positively, while the surrounding utterances are classified negatively. Presumably, lexical and semantic criteria are more important here than the position in the conversation and the contextual utterances.

5 Conclusion

The error analysis has shown which problems remain in the classification of small talk. Complex classification models such as neural networks and transformer-based models are less suitable for this task until more training data is available. LLMs achieve in general good results in classifying the data, but prompting is the only way to control the classification. Simple classification models are labor-intensive as they have to be precisely finetuned. Ultimately, however, they provide the most transparent classifications and - at least in our study - achieved results comparable to those of LLMs.

Limitations

Operationalizing the concept of small talk for this task remains the biggest challenge. We learned in the process of (re-)designing the manual annotation that conversational context is key information for the human annotators. However, this kind of information needs to better implemented into the automatic classification, e.g. by engineering additional features.

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