Revisiting OPRO: The Limitations of Small-Scale LLMs as Optimizers

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Abstract

Numerous recent works aim to enhance the efficacy of Large Language Models (LLMs) through strategic prompting. In particular, the Optimization by PROmpting (OPRO) approach provides state-of-the-art performance by leveraging LLMs as optimizers where the optimization task is to find instructions that maximize the task accuracy (Yang et al., 2023). In this paper, we revisit OPRO for automated prompting with relatively small-scale LLMs, such as LLaMa-2 family and Mistral 7B. Our investigation reveals that OPRO shows limited effectiveness in small-scale LLMs, with limited inference capabilities constraining optimization ability. We suggest future automatic prompting engineering to consider both model capabilities and computational costs. Additionally, for small-scale LLMs, we recommend direct instructions that clearly outline objectives and methodologies as robust prompt baselines, ensuring efficient and effective prompt engineering in ongoing research.

1 Introduction

Advancements in large language models (LLMs) have catalyzed a shift towards prompting-based learning, distinguishing models with capacities exceeding 100 billion parameters for their few-shot learning abilities without extensive retraining (Ope-nAI, 2020). In-context learning, facilitated through the strategic use of prompts, enables these models to generate task-specific responses, marking a departure from traditional pre-train and fine-tune approaches (Liu et al., 2021; Wan et al., 2023).

The Chain of Thought (CoT) technique significantly advances LLMs' problem-solving capabilities by incorporating intermediate reasoning steps, facilitating effective zero-shot reasoning and performance enhancements with prompts like "Let's think step by step" (Wei et al., 2022; Wang et al., 2022; Yao et al., 2023; Kojima et al., 2022). While initially dependent on manual prompt creation, recent developments in automated prompt engineering, such as *APE* (Zhou et al., 2022) and *APO* (Pryzant et al., 2023), leverage LLMs for dynamic prompt generation and refinement. This iterative process enhances NLP task accuracy through feedback and selection. Building on this, the proposition of LLMs as optimizers (Yang et al., 2023; Guo et al., 2023) presents the current state-of-theart in automated prompt design, framing prompt refinement as an optimization challenge. This approach iteratively refines prompts to maximize task accuracy, ceasing when performance plateaus or iteration limits are met.

The motivation for OPRO is based on the LLMs' self-optimization ability. However, our empirical results reveal that smaller-scale LLMs like LLaMa-2 (Touvron et al., 2023) do not have sufficient ability to support the self-optimization. We demonstrate that such optimization strategies offer marginal benefits for smaller-scale LLMs, demanding considerable computational resources for slight performance gains, particularly when contrasted with zero-shot CoT prompts. We summarize our contributions as follows:

- We demonstrate that the limited inference abilities of small-scale LLMs, such as LLaMa-2 family and Mistral 7B, restrict their selfoptimization efficiency, rendering OPRO ineffective for these models. (Section 2, 4).
- Our findings reveal OPRO's substantial reliance on manual prompt design in small-scale LLMs, suggesting that its automation advantage is minimal compared to traditional manual prompting efforts. (Section 4)
- Based on empirical evidence and analysis, we recommend future prompt engineering efforts to account for the inference limitations of small-scale LLMs and consider traditional CoT prompts as effective, adaptive, and resource-efficient baselines. (Section 3.2, 4)

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2 Motivational Study: Can LLaMa 13B Solve Linear Regression?

OPRO (Yang et al., 2023) and EvoPrompt (Guo et al., 2023) framework have demonstrated the significant potential of LLMs in automating prompt design. However, the effectiveness appears to be contingent upon the inherent optimization capabilities of the LLMs themselves. Notably, evaluations within the OPRO framework have predominantly focused on large-scale models, such as GPT-4 and text-bison, leaving the performance of smallerscale LLMs unexplored. This observation prompts a critical inquiry: **Can small-scale LLMs also serve as optimizers?**

To delve into this question, we attempt to reproduce the linear regression optimization experiment with LLaMa-2-13B, the motivating example shown in OPRO (Yang et al., 2023). We adopt the same experiment setting as in OPRO. Specifically, our experiment aims to optimize two parameters, w and b, in a one-dimensional linear regression model with an intercept b, using 50 data points generated from predefined value of w_{true} and b_{true} with standard Gaussian noise ϵ . Starting from five initial random pairs of (w, b), we engaged LLaMa-2-13B through a meta-prompt strategy similar to OPRO, directing the model to propose pairs that minimize the objective function, based on historical data of the top 20 performing pairs. A representative meta-prompt and its output is shown in Figure 1.

The negative result, particularly its self-reported difficulties with gradient descent, underscores a potential shortfall in optimization capability within smaller-scale LLMs for solving mathematical problems. This observation implies that the efficacy of self-evaluating prompts, which rely heavily on the LLM's optimization skills, diminishes in smaller models. Consequently, our further research focuses on dissecting these challenges through targeted experiments and analyses, aiming to elucidate and potentially mitigate the constraints faced by smallscale LLMs in optimization tasks.

3 Evaluation

In this section, we aim to replicate the OPRO framework with small-scale LLMs to assess its efficacy in identifying optimal instruction words. The instruction position is added to the beginning of the LLM output.



Figure 1: An example of the meta-prompt and its output for linear regression. For simplicity, we only show two solution-score pairs in the example. The orange text are meta-instructions; the <u>blue</u> text are solution-score pairs; the <u>green</u> text are output by LLaMa-2-13B.

3.1 Experiment setup

Datasets and Models. We selected models from two distinct categories: small-scale and largescale. Within the small-scale category, we focused on the Llama family, evaluating LLaMa-2-7b, LLaMa-2-13b, and LLaMa-2-70b. We also conduct experiments with Mistral 7B (Jiang et al., 2023) to test the generalizability of the findings. For insights into large-scale LLM performance, we conducted parallel experiments on Gemini-Pro (Gemini Team, 2023). Following the OPRO paper, all experiments in this paper are conducted with GSM8K, a benchmark of grade school math word problems, with 7,373 training samples and 1,319 test samples.

Baselines and Implementations. We focus on three well-adapted prompting designs in the experiments, including Zero-shot-CoT (Kojima et al., 2022), Few-shot-CoT (Wei et al., 2022), and OPRO (Yang et al., 2023). We rigorously follow the original OPRO paper (Yang et al., 2023) for the implementation details. Specifically, we only use the same model architectures for the optimizer and scorer in the main experiment, but these are two independent LLMs. More details about the implementations are shown in the Appendix.

Table 1: Evaluation performance on GSM8K using various prompting methods across models including LLaMa-2-7b, Mistral 7B, LLaMa-2-13b, LLaMa-2-70b, and Gemini-Pro. The **Instruction Words** column details the specific instructions used to achieve the reported test accuracy.

Model	Method	Accuracy	Instruction Words
LLaMa-2-7b	Zero-shot-CoT	24.26%	Let's think step by step
	Few-shot-CoT	24.87%	two exemplars + Let's think step by step
	OPRO	29.81%	The correlation is present
Mistral 7B	Zero-shot-CoT Few-shot-CoT OPRO	37.52% 38.13% 32.13%	Let's think step by step two exemplars + Let's think step by step Using the provided information, we can find the solu- tion
LLaMa-2-13b	Zero-shot-CoT	32.75%	Let's think step by step
	Few-shot-CoT	37.15%	two exemplars + Let's think step by step
	OPRO	31.24%	Let's think about
LLaMa-2-70b	Zero-shot-CoT	39.35%	Let's think step by step
	Few-shot-CoT	48.67%	two exemplars + Let's think step by step
	OPRO	27.98%	The correlation is present
Gemini-Pro	Zero-shot-CoT Few-shot-CoT OPRO	71.29% 69.67% 76.92%	Let's think step by step two exemplars + Let's think step by step To attain the utmost precision in solving diverse grade school mathematical problems, meticulously adhere to this comprehensive and rigorously devel- oped methodology:

3.2 Main Results

We evaluated various prompting strategies across different LLM scales, detailed in Table 1, maintaining consistent model architectures for both optimizer and scorer. The Gemini-Pro model demonstrates OPRO's effectiveness, notably surpassing CoT baselines, in line with previous findings (Yang et al., 2023). This underscores OPRO's advantage with large-scale LLMs in optimizing task performance.

Conversely, OPRO's results with Mistral 7B, LLaMa-2-13B, and LLaMa-2-70B fall short of Zeroshot-CoT and Few-shot-CoT benchmarks, revealing these models' limitations in optimization and their inability to outperform basic "Let's think step by step" prompts. Notably, the highest performance is observed with Few-shot-CoT, suggesting that for small-scale LLMs, direct instructions providing clear guidance on both the objectives and methodologies are most effective. This aligns with earlier discussions in Section 2, highlighting the insufficient self-optimization capabilities of smaller-scale LLMs in generating optimal instruction words. The results with Mistral 7B validate our argument among different model architectures.

Analysis of Generated Instruction Words. A closer examination of OPRO's instruction generation reveals significant insights into its optimization efficacy. In LLaMa-2-13B, the instructions generated by OPRO resemble the traditional "Let's think step by step" prompt, showcasing some optimization capacity but failing to yield the optimal solution. This scenario underscores the inadequate self-optimization skills of smaller-scale LLMs, contrasting sharply with OPRO's performance in Gemini-Pro. For Gemini-Pro, OPRO crafts instructions that aptly include "grade school mathematical problems", indicating superior optimization and understanding that aligns closely with the task. The disparity in output between the smaller and larger-scale models corroborates the preliminary hypothesis: OPRO's optimization approach falls short in smaller-scale LLMs due to their limited self-optimization abilities.

4 Limitations of Self-Optimization Prompting in Small-Scale LLMs

Small-scale LLMs could not support selfoptimization. Our analysis, presented in Table 1, assesses how small-scale LLMs fare when serving



Figure 2: Prompt optimization curve on GSM8K using Gemini-Pro and LLaMa-2-13b.

dual roles in optimization and scoring. Further, Figure 2 illustrates the prompt optimization trajectory for LLaMa-2-13b and Gemini-Pro. OPRO's efficacy in large-scale LLMs like Gemini-Pro (Figure 2a), consistent with previous studies (Yang et al., 2023). Notably, transitioning the scorer from LLaMa-2-13b to Gemini-Pro, while maintaining LLaMa-2-13b as the optimizer, yields a 5% accuracy increase (Figures 2c and 2b). This highlights LLaMa-2-13b's inadequacy as a scorer to formulate effective optimization targets, thereby constraining optimal solution discovery.

This finding is in line with recommendations from existing literature (Hsieh et al., 2023), where leveraging outputs from larger LLMs to enhance smaller models reflects our experimental observations. Furthermore, recent literature indicates that without additional inputs, LLMs struggle to selfimprove (Huang et al., 2023). Interestingly, upgrading the scorer model only minimally affects performance, implying the optimizer may not fully leverage the advanced capabilities of a superior scorer in OPRO's context, leading to suboptimal prompt generation. As a result, due to the limited inference ability, small-scale LLMs could not support self-optimization for prompting paradigms.

Human-Crafted Elements and Their Impacts. OPRO aims to automate instruction word discovery, minimizing human intervention through LLM capabilities. Yet, our findings indicate significant variability in performance tied to manually designed meta-instructions within OPRO, especially in small-scale LLMs. We evaluated four distinct meta-instruction texts as shown in Table 4 in the Appendix with LLaMa-2-13b, with results detailed in Table 2. Huge variance on accuracy underscores the critical influence of human-crafted elements on OPRO performance. Despite OPRO's goal of streamlining prompt optimization, it remains reliant on human-crafted meta-instructions, the same as the traditional Zero-shot-CoT approaches. This reliance is echoed in previous research (Zhou et al., 2023), which found that manual prompting typically surpasses automated approaches, a conclusion consistent with our observations in Table 1.

Table 2: OPRO evaluation performance with different meta instructions using LLaMa-2-13b as optimizer. The detailed texts are shown in Table 4 in Appendix.

Meta Instruction	Accuracy	Instruction Words
Text 1	17.59%	Congratulations! You're a math genius!
Text 2	10.39%	Now, let's try another problem:
Text 3	22.82%	The precise answer is
Text 4	31.24%	Let's think about

Table 3: Approximate input and output tokens with Gemini Pro until optimal instruction words was reached, and approximate computation time in hours.

	Zero-shot-CoT	Few-shot-CoT	OPRO
Input	6	130	96,289
Output	0	0	170,448
Time (hrs)	4	5	21

Analysis of System Efficiency. Recent automatic prompt works (Fernando et al., 2023; Yang et al., 2023; Ma et al., 2024) have largely overlooked system efficiency for searching instructions. In Table 3, we examine the efficiency of using Gemini Pro API across three methodologies by comparing input and output tokens and computational time required to achieve the accuracies listed in Table 1. The token counts are based on a *word-based tokenization* approach. OPRO incurs a notably higher token count, attributed to the scorer's evaluation process in each meta-prompt generation cycle. Additionally, OPRO's computational time far exceeds that of alternative methods. These results suggest that the efficiency trade-offs associated with OPRO, given its extensive computational demands, may not align with the marginal performance enhancements it offers.

5 Conclusion

With empirical results, we demonstrate that smallscale LLMs are limited in self-optimization capacity, which causes OPRO is not effective for smallscale LLMs. In addition, our findings underscore OPRO's dependency on scorer performance and manually designed prompts, despite the effort to automate the process. We suggest the future automatic prompting engineering consider both model capabilities and system efficiencies.

Limitation and Future Study. Our study's scope was limited by computational resources, excluding other self-optimization strategies like Evo-Prompt and APO due to their extensive prompt generation time. Our future research will extend to enhancing the interpretability and depth of error analysis, alternative optimization metrics, bias considerations, or hyperparameter tuning impacts based on our current findings.

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A Experimental Details

A.1 Models and Test Environment

We implemented the experiments using PyTorch (Paszke et al., 2019), and conducted our experiments on two NVIDIA A100 GPUs. We tested LLaMa-2-7b, LLaMa-2-13b, LLaMa-2-70b, and Gemini-Pro in the experiments. We downloaded LLaMa models from Hugging Face and tested them locally on GPUs. For Gemini-Pro, we referenced the model via the Gemini API. The links for the models are shown below.

LLaMa-2-7b link: https://huggingface.co/meta-llama/Llama-2-7b-chat-hf

LLaMa-2-13b link: https://huggingface.co/meta-llama/Llama-2-13b-chat-hf

LLaMa-2-70b link: https://huggingface.co/meta-llama/Llama-2-70b-chat-hf

Gemini-Pro link: https://ai.google.dev/models/gemini

A.2 Prompting Methods

- 1. Zero-shot-CoT: The zero-shot instruction "Let's think step by step" (Kojima et al., 2022) would be added before each answers.
- 2. Few-shot-CoT: We randomly select two samples with procedures (Wei et al., 2022) from the training set serving as the problem description before the test question.
- 3. OPRO: We rigorously follow the original paper (Yang et al., 2023) for the implementation details. Our experiment utilized a meta-prompt, as illustrated in Figure 3, with the optimization process spanning 100 iterations. In each iteration, we sampled 3.5% of GSM8K training examples as a validation set for scorer LLM. We used the meta-prompt to generate eight new instructions with the optimizer LLM, updating the trajectory with these instructions and their scores in each interaction. The meta-prompt included the top 20 instructions and three random training exemplars.

B Meta-Prompt Design

Figure 3 shows an example of the meta-prompt used in our implementation of OPRO. We rigorously followed the original open source code provided by Google Deep Mind (https://github.com/google-deepmind/opro). The two example problems are exemplars randomly selected from the training set of GSM8K to support the meta-prompt as the problem description.

Meta-prompt: Your task is to generate the answer starting sentence <Start>. Below are some previous starting sentences with their scores. The score ranges from 0 to 100. Precision: 37 <Start>The solution to this involves</Start> Precision: 39 <Start>The number asked for is</Start> Precision: 40 <Start></Start> Precision: 51 <Start>The answer is</Start> Precision: 72 <Start>Let's solve the problem</Start> (... more instructions and scores ...) Below are some problems Q: There are 15 trees in the grove. Grove workers will plant trees in the grove today. After they are done, there will be 21 trees. How many trees did the grove workers plant today? A: <INS> Ground truth answer: 6 Q: If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot? A: <INS> Ground truth answer: 5 (... more exemplars ...) Generate a starting sentence that is different from all the <Start> sentences above, and has a higher score than all the <Start> sentences above. The starting sentence should begin with <Start> and end with </Start>. The starting sentence should be concise, effective, and generally applicable to all QA pairs above. Output: <Start>To find the answer, we can</Start>

Figure 3: An example of the meta-prompt and its output for GSM8K dataset. The orange text are meta-instructions; the blue text are solution-score pairs; the purple text are exemplar questions and optimization targets; the green text are output by LLM.

C Meta-Instruction Design

To test the robustness of meta-prompt, we experimented with several slightly adjusted meta-instructions. *Text 4* strictly follows Yang et al.'s design (Yang et al., 2023). To prevent human invention on the prompt design, we input *Text 4* into ChatGPT (https://openai.com/chatgpt) to generate the three other prompts. Precision scores are replaced with the scores produced by the scorer in the later evaluation steps during the computation of OPRO. Table 2 shows the results of the different meta-instructions on performances.

Meta Instruction	Text
Text 1	Create a new piece of text as an instruction at the beginning of the answer to enhance the precision in solving diverse grade school math problems. We want the precision of the text to be higher as possible. Range of Precision is 0 to 100. For example, Precision: 4 <text>A dime</text> , Precision: 17 <text>The answer is a function. It is</text> .
Text 2	Write a new text for instruction use before the answer in the Q&A pair to help solving the grade school math problems. We want to precision of the text to be as high as possible, ranging from 0 to 100. For example, Precision: 4 <text>A quarter</text> , Precision: 25 <text>Now find the answer.</text> .
Text 3	Create a line of instruction, with precision 0 to 100. The text will be placed at the start of the answer, to assist in solving grade school mathematical problems. Some example text and score pairs are: Precision: 29 <text>The numeric answer to this question is:</text>
Text 4	Your task is to generate the answer starting sentence <start>. Below are some previous starting sentences with their scores. The score ranges from 0 to 100. Precision: 37 <start>The solution to this involves</start>, Precision: 39 <start>The number asked for is</start></start>

Table 4: Meta instructions used in OPRO