# **READIN: A Chinese Multi-Task Benchmark with Realistic and Diverse Input Noises**

Chenglei Si<sup>1,2\*</sup>Zhengyan Zhang<sup>1\*</sup>Yingfa Chen<sup>1\*</sup>Xiaozhi Wang<sup>1</sup>Zhiyuan Liu<sup>1,3†</sup>Maosong Sun<sup>1,3†</sup><sup>1</sup> NLP Group, DCST, IAI, BNRIST, Tsinghua University, Beijing<br/><sup>2</sup> University of Maryland, College Park, MD, USA<sup>3</sup> International Innovation Center of Tsinghua University, Shanghai<br/>clsi@terpmail.umd.edu, zy-z19@mails.tsinghua.edu.cn

### Abstract

For many real-world applications, the usergenerated inputs usually contain various noises due to speech recognition errors caused by linguistic variations<sup>1</sup> or typographical errors (typos). Thus, it is crucial to test model performance on data with realistic input noises to ensure robustness and fairness. However, little study has been done to construct such benchmarks for Chinese, where various languagespecific input noises happen in the real world. In order to fill this important gap, we construct READIN: a Chinese multi-task benchmark with **RE**alistic And Diverse Input Noises. READIN contains four diverse tasks and requests annotators to re-enter the original test data with two commonly used Chinese input methods: Pinyin input and speech input. We designed our annotation pipeline to maximize diversity, for example by instructing the annotators to use diverse input method editors (IMEs) for keyboard noises and recruiting speakers from diverse dialectical groups for speech noises. We experiment with a series of strong pretrained language models as well as robust training methods, we find that these models often suffer significant performance drops on READIN even with robustness methods like data augmentation. As the first large-scale attempt in creating a benchmark with noises geared towards user-generated inputs, we believe that READIN serves as an important complement to existing Chinese NLP benchmarks. The source code and dataset can be obtained from https://github.com/ thunlp/READIN.

## 1 Introduction

User-generated inputs in real-world applications often contain noises where wrong characters or words are used instead of the intended ones (Xu et al., 2021). This is especially true when users type fast or are using speech input in noisy environments or with less common accents that cause errors in post-processing systems. However, most benchmarks used in academic research do not explicitly try to capture such real-world input noises (Naplava et al., 2021), leaving the doubt whether models performing well on standard clean test sets can transfer well onto real-world user-generated data.

To evaluate the performance on noisy data for languages like English, existing work typically generates typos via character-level perturbation such as randomly sampled or adversarial character swap or deletion (Belinkov and Bisk, 2018; Pruthi et al., 2019; Jones et al., 2020; Ma et al., 2020), automatic back-translation and speech conversion (Peskov et al., 2019; Ravichander et al., 2021). However, there are many factors not considered in the automatic approaches, for example, the keyboard design of users' devices and speakers' phonetic and phonological variations. These overlooked factors have a large impact on the types of noises possible in keyboard and speech inputs. One notable exception to the above is NoiseQA (Ravichander et al., 2021). Apart from automatic approaches, they also collected test sets with noises produced by annotators. Their dataset only considered the question answering task and is only in English.

In this paper, we focus on Chinese instead and present a multi-task benchmark with **RE**alistic **And Diverse Input Noise**, named **READIN**. Compared to the case of English, Chinese input noises have very different patterns due to the very different nature of the two languages. Chinese is a pictographic language without morphological inflections that are common in Indo-European languages. Also, the tone system is a unique and integral part of Chinese phonology but not in English. Such differences cause different types of input noises in both keyboard typing and speech input. To compre-

8272

<sup>&</sup>lt;sup>1</sup>Note that linguistic variations themselves are not noises or errors, but they can lead to noises in the data processing for example due to failure of speech recognition.

<sup>\*</sup> Equal contribution

<sup>&</sup>lt;sup>†</sup> Corresponding authors

Original	花呗怎么不能 <mark>提额</mark> 了(1a) huā bei zěn me bù néng tí é le Why can't I raise my quota on HuaBei?
Keyboard	花呗怎么不能贴了(1b) huā bei zěn me bù néng tiē le
Speech	画呗怎么不能提饿了(1c) huà bei zěn me bù néng tí è le

Table 1: An example of our crowd-sourced keyboard and speech noises. The original question comes from AFQMC (Xu et al., 2020). We also present the Pinyin transliteration of the text. Colors indicate the original and corresponding mis-entered characters.

hensively study the effect of real-world noises, we cover four diverse tasks: paraphrase identification, machine reading comprehension, semantic parsing (text2SQL) and machine translation, all of which represent important real-life applications.

We consider noises occurring in two widely used Chinese input methods, keyboard input and speech input, and provide an example in Table 1.

For keyboard input, Chinese users need to use an input method editor (IME) to convert the raw transliteration<sup>2</sup> sequences into Chinese characters. In such cases, noises can either occur in the transliteration input, or occur when users are choosing the intended word from the candidate list suggested by the IME. It is different from the case of English where typos and spelling variations are expected to happen on the character level. The noise patterns are further coupled with the typing habits of individual users, for example, whether they type the full Pinyin transliteration or just the abbreviations results in different noise patterns. In order to capture these nuances, we recruit annotators with different typing habits and instruct them to use different IMEs for typing.

For speech input, noises could arise when the speakers' accents or background noises lead to failures of the post-processing automatic speech recognition (ASR) systems. To capture these, we recruit 10 speakers from different regions of China to cover diverse accents and use a commonly used Chinese commercial ASR system for post-processing. For instance, in Table 1, the speech noise occurs because the speaker has different tones in their accent, leading the ASR system to produce different characters than the original ones. Ensuring that models are robust across these accent variations has important implications for fairness.

We take many additional measures in the annotation process in order to capture the real-world input noise distribution, as detailed in Section 2. In Section 3, we provide more statistics and analysis of the collected data. In Section 4, we train strong baseline models on the clean training data and test the models on our READIN test sets. The results indicate that these models suffer significant performance drops on the real-world input noises, leaving ample room for future improvement.

#### 2 Annotation Process

Our annotation asks crowdworkers to re-enter clean test data from these existing NLP datasets. Our goal is to induce realistic and diverse input noises in the annotation. We collect data using two different types of input methods: keyboard (Pinyin) input and speech input, both are commonly used among Chinese users (Fong and Minett, 2012). All examples are annotated with both input methods and we keep two separate tracks for data collected with these two different input methods. In the following subsections, we first introduce the four tasks and the original datasets that our annotations are based on, and then introduce the annotation process for keyboard input and speech input respectively.

#### 2.1 Tasks and Original Datasets

**Paraphrase Identification** is a binary classification task that aims to determine whether the given sentence pair are paraphrases. We use the AFQMC dataset (Xu et al., 2020) as the original source for annotation, where the data come from customer services in the financial domain. The original dataset is unbalanced (with more negative pairs than positive), we down-sample the negative examples to make the training and dev sets balanced, and we report the accuracy separately for positive pairs and negative pairs. During annotation, we annotate both sentences in each sentence pair since in reality both sentences could be user-generated.

Machine Reading Comprehension gives the model passage-question pairs and asks the model to output the correct answer. We choose a span-extraction MRC dataset CMRC2018 (Cui et al., 2019) as the original data source. We use answer string exact match as the evaluation metric. During annotation, we only annotate the questions and

<sup>&</sup>lt;sup>2</sup>There are also IME that convert radical sequences into characters. We focus on transliteration-based IME in this paper (in particular the Pinyin input method) since it's more commonly used among Chinese users (Fong and Minett, 2012).

<u>shi s</u>	<u>shi</u>						
1 实时	2试试	3实施	4事实	5 时时	6事	Þ	~
shi'	<u>shi</u>						
1 जेंगे	2 实施	3 重空	4 实时	5蔬(5	uàn)	~	

Figure 1: A screenshot of two different Pinyin IMEs. Given the exact same Pinyin input ("*shi shi*"), different IMEs suggest different words in different orders for users to select from. We use three different IMEs in keyboard annotation for wider coverage.

keep the passages clean. This simulates the realistic setting where users enter their queries potentially with typos.

**Semantic Parsing** requires the model to convert natural language queries into logical forms. We use the CSpider dataset (Min et al., 2019) which is a dataset for the natural language to SQL query task and is the Chinese version of the Spider dataset (Yu et al., 2018). We use exact match as the metric. During annotation, we annotate the natural language questions to induce typos and use the original SQL queries as the gold reference.

**Machine Translation** requires the model to translate the input in the source language into the target language. We use the news translation shared task from WMT2021 (Akhbardeh et al., 2021) as our original data source. Following the standard practice of the MT community, we use Sacre-BLEU (Post, 2018) to compute the BLEU score as the metric. During annotation, we only annotate the Chinese sentence and preserve the original English translation as the gold reference.

### 2.2 Pinyin Input Annotation

We present each annotator with a set of input data and ask them to re-type with the Pinyin input method. We implement the following restrictions in the annotation.<sup>3</sup>

**Different IMEs** There are many commercial IME softwares available for the Pinyin input method. To maximize diversity, every input sentence is annotated by three different annotators, where each annotator uses a different IME software. We specified three commonly-used commer-

cial Pinyin IMEs: Microsoft<sup>4</sup>, QQ<sup>5</sup>, and Sogou<sup>6</sup>. The main difference among these different IMEs is that when users type the same Pinyin transliteration input, different IME softwares suggest different candidate words and in different orders, as illustrated in Figure 1. The use of different IMEs captures a wider range of possible typing noises.

**Speed Limit** Through our pilot run, we find that some annotators like to double-check their typed sequence. This is against our intention to collect more diverse noises for stress testing models, and we prefer to simulate cases where users may type in a much faster pace. Therefore, we set a speed limit of 40 characters per minute, which is the average rate of several runs of pilot annotation. We include a timer in the annotation pipeline and annotations with significantly slower typing speed are requested for re-annotation with a faster pace.

**Disallow Post-Editing** In pilot runs, we also find that some annotators like to correct their typos when they double-check their inputs, which again goes against our purpose. To complement the speed limit restriction, we also implement an additional constraint where post correction is not allowed in the annotation pipeline.

## 2.3 Speech Input Annotation

For speech input, we present each annotator with a set of input data and ask them to read and record them. The recordings are then converted to text data with ASR. We implement the following measures to ensure the diversity of speech input noises.

**Setup** To represent realistic settings, all recordings are done with mobile devices (the annotators' phones), with 16kHz sampling rate, which is high enough for ASR. We also instruct the annotators to record in environments with natural background noises, for example in their offices with some light background talking or street noises.

**Diversity** There are large phonetic and phonological variations among different users especially since there are many accents across Chinese speakers. To capture such variation, we recruited a total of 10 different annotators for this speech input task (4 males and 6 females). They are selected from a larger pool of annotators through our trial run to

<sup>&</sup>lt;sup>3</sup>We also record the typing interface during the annotations to facilitate future analysis.

<sup>&</sup>lt;sup>4</sup>https://en.wikipedia.org/wiki/ Microsoft\_Pinyin\_IME

<sup>&</sup>lt;sup>5</sup>http://qq.pinyin.cn/

<sup>&</sup>lt;sup>6</sup>https://pinyin.sogou.com/mac/

Dataset	Train	Dev	Test
AFQMC CMRC2018 CSpider WMT2021	18,000 8,871 7,500	2,000 1,271 1,159	4,317 3,219 1,034 1,948

Table 2: Sizes of our four datasets. For CMRC2018, we report the number of questions (multiple questions can correspond to the same passage). For WMT2021, we directly use the mBART50 model trained for multilingual translation without any additional finetuning on English-Chinese data, so there are no additional train or dev data involved.

maximally diversify accents. They come from different parts of China with different dialectic groups (more annotator details are in the appendix). Their ages range from 32 to 64. We instruct the annotators to speak Mandarin while preserving their accents. Each input sentence is annotated by 3 different annotators from different dialectic groups to maximize diversity.

**ASR** The collected speech data are converted to text with a commercial automatic speech recognition (ASR) software iFlytek<sup>7</sup>. We choose this commercial software because it is optimized for Mandarin and outperforms other open-source toolkits that we explored in the pilot run in terms of character-level error rates. We also release the raw audio recordings so that future work can explore using other alternative ASR choices as well.

Throughout the paper, we report results separately for the keyboard and speech noisy test sets for more fine-grained comparisons. We introduce more details of the annotated test sets in the next section.

### **3** Dataset Overview

In this section, we analyse the annotated noisy test sets, including data statistics, our proposed metrics for robustness evaluation, a manual quality assessment of the annotated data as well as a qualitative analysis of the diverse types of input noises.

#### 3.1 Corpus Statistics

The keyboard and speech noise data have the same sizes.<sup>8</sup> We only perform noise annotation on the

	Keybo	oard	Speech		
	Average	Worst	Average	Worst	
AFQMC	18.8	27.5	30.9	44.1	
CMRC2018	17.4	26.9	25.1	38.1	
CSpider	17.4	25.7	13.3	21.8	
WMT2021	17.7	25.1	21.6	30.8	

Table 3: Micro-average and worse-average error rates on our annotated test sets. Micro-average ('Average') is the mean of the average error rate among all three annotations for all examples. Worst-average ('Worst') takes the mean of the maximum error rate among all three annotations for all examples.

test data and the training and dev sets remain clean. This serves our purpose to stress test models' robustness. Since the original datasets did not publicly release their test sets, we use their original dev splits as our test sets and we re-split the existing training data into our new train and dev splits, and we only annotate the test splits. We present the statistics of our data splits in Table 2.

To gauge the amount of noises in our annotated test sets, we report the character-level error rates for each noisy test set. Since the noise data could involve various changes like character deletion, insertion, or substitution, we use Levenshtein distance to measure the level of noise. Specifically, given a clean sentence s and its annotated noisy version t, we define its error rate as:

$$\operatorname{error} = \frac{\operatorname{levenshtein}(s,t)}{\operatorname{len}(s)}$$

We measure the micro-average (average overall all annotations) as well as the worst-average (only consider the highest error rate annotation for each example) error rate across all three annotations over all examples. These two measures are further explained in the next section. The error rates are presented in Table 3. We find that speech noises generally incur larger error rates except on CSpider, and in all cases, the error rates are well below 50%.

#### 3.2 Evaluation Metrics

Apart from the individual metrics as introduced in section 2.1, we introduce two other benchmarklevel metrics to account for the variations across the three different annotations per test example.

Suppose for the *i*-th example, the performance of the model (by its task-specific metric) on the three typo annotations are  $p_1^i, p_2^i, p_3^i$  respectively.

<sup>&</sup>lt;sup>7</sup>https://global.xfyun.cn/products/ real-time-asr

<sup>&</sup>lt;sup>8</sup>We performed some minimal filtering on the speech noise data to remove nonsensical outputs from ASR, which only involves about 50 examples in total and is omitted in the table.

We define the following two measures:

**Micro-Average** takes the average of all performance across the three annotations, and then averages across all examples,

$$MA = \frac{1}{N} \sum_{i=1}^{N} \left(\frac{1}{3} \sum_{j=1}^{3} p_{j}^{i}\right)$$
$$= \frac{1}{3} \left(\frac{1}{N} \sum_{i=1}^{N} p_{1}^{i} + \frac{1}{N} \sum_{i=1}^{N} p_{2}^{i} + \frac{1}{N} \sum_{i=1}^{N} p_{3}^{i}\right).$$

In other words, this is equivalent to taking the average of the per-annotator performance.

**Worst-Average** takes the minimum of the performance among all three annotations per average, and then averages across all examples,

$$WA = \frac{1}{N} \sum_{i=1}^{N} \min(p_1^i, p_2^i, p_3^i).$$

This is a more challenging setting where we examine the worst-case performance across the annotation variations for each example.

#### 3.3 Data Quality Analysis

In order to analyze the quality of our annotated data, we design a human evaluation experiment. We compare our noisy test sets with the automatically constructed input noise test sets as in Si et al. (2023). Specifically, they replace characters in the original sentences with randomly sampled homophones based on an existing Chinese homophone dictionary (Zeng et al., 2021). We replicate their approach as a baseline and add an additional constraint that we only allow simplified Chinese characters in the character substitution process since our data focus on simplified Chinese.

We aim to compare whether our crowdsourced noise data are more likely to occur in the real world. Towards this goal, we conduct a human preference selection experiment, where we present pairs of sentences to two annotators (different from the ones who did the noisy input annotation). Each pair consists of a sentence with automatic typos and another with our crowdsourced input noise, and the ordering is randomly shuffled for all pairs. We instruct the annotators to select the sentence that is more likely to occur in real user input settings (*i.e.*, more plausible). We perform such annotation on 160 randomly sampled sentence pairs, for both keyboard input noises and speech input noises. We show some qualitative examples to compare our real-world noises and automatically constructed ones in Table 4, where we see that automatic noises involve substitutions that are unlikely to happen in real-world (for example only changing a single character "毒" to "独" in the word "病毒" rather than mis-typing the entire word like human annotators tend to do). Quantitatively, we find that our crowdsourced keyboard input noises are preferred 87.5% of the time as compared to automatic typos, and our speech input noises are preferred 86.3% of the time compared to automatic typos (the results are averaged over two annotators). These results suggest that our crowdsourced noisy data are much more plausible than automatic typos.

#### 3.4 Diversity Analysis

To understand the diversity of the noise patterns in our annotated data, we first present some qualitative case studies. We present sampled examples in Table 4 showing a wide range of noise patterns. We traced back to the annotation recordings to better understand how these noises arise during typing. In example (3b), "里程" and "历程" have the same Pinyin transliteration and the annotator chose the wrong word on the IME ; in example (4b), the annotator typed the abbreviation "*y j l*" for "*yao jin li*" ("要尽力"), which turned into "*yao ji liang*" ("药剂量") due to wrong word selection (these two words have the same abbreviation); in example (2b), the annotator mis-typed the Pinyin input by swapping "*er*" ("二") to "*re*" ("热").

For speech input data, we listened to some sampled raw recordings and found that different annotators have vastly different accents leading to various noise patterns. The speech noise (1c) in Table 1 shows an example where the first tone ('花' [huā]) is pronounced as the fourth tone ('画' [huà]); in example (2c), "jin xin" ("浸信") is pronounced as "qing xing" ("情形"). The noises arise when these accent variations lead to corresponding characters through ASR post-processing. Additionally, we found that the text data produced by the ASR system sometimes have a language modeling effect where the original words are replaced with more likely substitutes for better coherence (similar to the finding in Peskov et al. (2019) on English ASR). For example, in example (3c), "8缸或" ("bā gāng huò") is converted to "八港货" ("bā gǎng huò").

Quantitatively, we performed an additional annotation on 240 sampled keyboard input examples

CMRC2018	Original Keyboard Speech Auto	底特律第二浸信会教堂在哪里(2a)      Where is Detroit's Second Baptist Church?      底特律地热进行会教堂在哪里(2b)      底特律第二情形会教堂在哪里(2c)      底特绿第二浸信会教堂在哪里(2d)
CSpider	Original Keyboard Speech Auto	8缸或1980年前生产的汽车的最大里程是多少(3a) What is the maximum mileage for an 8 cylinder or pre-1980 car? 8缸或1980年前生产的汽车的最大历程是多少(3b) 八港货1980年前生产的汽车的最大里程是多少(3c) 8缸或1980年前升产的汽车的最大里程是多少(3d)
WMT2021	Original Keyboard Speech Auto	要尽力防止病毒在社区进一步扩散(4a)      Try our best to fight against further spread of the coronavirus in the community.      药剂量发展病毒在社区进一步开始(4b)      要经历防止病毒在社区进一步扩散(4c)      要尽力防指病独在社区进一步扩散(4d)

Table 4: More examples of different types of noises in READIN, in comparison with automatically constructed typos. The three examples are from three different datasets.

from six different annotators. We find that READIN examples cover different typing habits and noise patterns. For example, 69% of the time annotators type the full Pinyin sequences while in 31% cases annotators only type the abbreviated sequences; 56% of these noises are due to selection errors (where the Pinyin input is right but the annotators selected the wrong word from IMEs) while the other 44% are due to wrong Pinyin input. <sup>9</sup>

Overall, our analysis highlights that READIN covers realistic and diverse input noises, posing greater challenges for existing models.

### 4 **Experiments**

We benchmark several pretrained language models and examine whether their performance stays strong on READIN.

#### 4.1 Baseline Setups

We use RoBERTa-wwm (Cui et al., 2021) and MacBERT (Cui et al., 2020) as baselines for classification tasks. RoBERTa-wwm is a Chinese version of RoBERTa (Liu et al., 2019), where whole-wordmasking is used during pretraining. MacBERT is a modification to BERT (Devlin et al., 2019) where replaced word correction is used as a pretraining objective. Both of these models, like the original Chinese BERT, directly use the WordPiece (Wu et al., 2016) tokenizer on Chinese characters. We use the base scale checkpoint for both models.

For machine translation, we adopt mBART50 (Tang et al., 2020) as the baseline, which is a multilingual Transformer model that consists of 12 encoder layers and 12 decoder layers and is trained based on mBART (Liu et al., 2020) for multilingual translation. For semantic parsing, we use DG-SQL (Wang et al., 2021), a competitive baseline on CSpider based on multilingual BERT (Devlin et al., 2019).

For experiments on AFQMC, CMRC2018, and CSpider, we finetune the pretrained checkpoints on the corresponding clean training sets. For WMT2021, we directly take mBART50 for inference without additional finetuning on Chinese-English parallel data since mBART50 itself is already trained on parallel translation data including Chinese-to-English.

### 4.2 Robustness Methods

Apart from standard finetuning, we also experiment several robust training and data processing methods in order to assess how much can existing robustness methods solve our benchmark. We briefly introduce these methods below.

Adversarial Data Augmentation ADA (Si et al., 2021b) is commonly used to enhance robustness against adversarial examples. We perform ADA by creating synthetic noisy training examples through random homophone substitution as in (Si et al., 2023) and add these examples to the original training examples. We double the number of total training examples through ADA.

**Typo Correction** Inspired by previous work that used a word recognition model to restore misspelled words in English (Pruthi et al., 2019), we use a highly optimized commercial Chinese

<sup>&</sup>lt;sup>9</sup>More details are in the Appendix.

	AFQMC (pos)			A	AFQMC (neg)			CMRC2018		
	Clean	Average	Worst	Clean	Average	Worst	Clean	Average	Worst	
				Keyboard						
RoBERTa-wwm	78.92	42.75	15.17	65.75	81.87	65.85	69.78	60.84	46.69	
w/ ADA	76.76	48.31	19.88	63.50	76.56	58.23	59.30	53.04	42.00	
w/ Word Correction	78.92	39.96	12.78	65.75	82.91	67.29	69.78	60.84	46.69	
MacBERT	80.04	48.33	18.83	62.09	76.77	58.29	67.69	56.71	41.29	
w/ ADA	77.88	53.21	24.66	64.30	74.41	55.34	59.24	54.05	43.99	
w/ Word Correction	80.04	44.52	16.22	62.09	78.51	60.41	67.69	56.72	41.29	
				Speech						
RoBERTa-wwm	78.92	27.75	5.68	65.75	87.80	73.81	69.78	55.97	40.73	
w/ ADA	76.76	39.76	13.30	63.50	78.26	58.93	59.30	48.32	36.35	
w/ Word Correction	78.92	27.75	5.68	65.75	87.80	73.81	69.78	55.97	40.73	
MacBERT	80.04	26.68	5.16	62.09	87.88	73.77	67.69	51.81	35.94	
w/ ADA	77.88	45.44	16.59	64.30	75.68	54.53	59.24	48.96	36.63	
w/ Word Correction	80.04	26.68	5.16	62.09	87.77	73.77	67.69	51.81	35.94	

Table 5: Baseline performance on AFQMC and CMRC2018 test sets. We compare model performance on the original clean test set ('Clean') and our new typo test sets. For results on typo test sets, we report both micro-average ('Average') and worst-average ('Worst') performance. For AFQMC, we report accuracy on positive and negative pairs separately. For CMRC2018, we report answer exact match.

	CSpider						V	WMT202	1	
		Keybo	oard	Spee	ch		Keybo	oard	Spee	ch
	Clean	Average	Worst	Average	Worst	Clean	Average	Worst	Average	Worst
DG-SQL / mBART50	44.87	28.85	11.99	33.40	24.18	23.19	16.35	9.37	16.74	10.82
w/ Word Correction	44.87	30.24	13.73	33.40	24.47	23.19	17.59	10.24	16.89	10.97

Table 6: DG-SQL performance on CSpider and mBART50 performance WMT2021 test sets. We compare model performance on the original clean test set ('Clean') and our new noisy test sets. For results on noisy test sets, we report both micro-average ('Average') and worst-average ('Worst') performance. For CSpider, we report exact match with the gold reference; for WMT2021, we report BLEU.

typo correction software<sup>10</sup> to pre-process data in READIN and then perform evaluation on the corrected data. We only perform this step on the noisy test sets, not the clean sets.

**SubChar Tokenization Models** (Si et al., 2023) released a series of BERT-style models trained with SubChar tokenization, which use sub-character units such as radicals and syllables to compose Chinese characters. In particular, their SubChar-Pinyin model has the advantage of being robust to homophone typos. We adopt their model and also consider performing ADA on top of the SubChar-Pinyin model.

### 4.3 Results

We present results of the baseline models in Table 5 (for NLU tasks) and Table 6 (for NLG tasks). We highlight several main findings below.

	Keyboard			Speech		
	Clean	Average	Worst	Average	Worst	
Subword	75.81	49.63	22.03	42.21	19.31	
w/ ADA	69.76	49.39	25.67	46.35	22.97	
SubChar-Pinyin	73.99	50.88	23.42		21.21	
w/ ADA	73.73	54.16	29.43		28.06	

Table 7: Finetuning results of BERT models trained with subword and SubChar tokenizers on the AFQMC (pos) subset. SubChar models are more robust than subword models, especially after performing data augmentation.

**Input Noises Cause Large Drops** We first compare performance of the same models on the clean test sets and the noisy test sets. We see a clear trend that model performance drops significantly when evaluated on the noisy test sets as compared to the clean test sets. As expected, the worst-average performance is much worse than the micro-average, showing that robustness across annotator variations

<sup>&</sup>lt;sup>10</sup>https://console.xfyun.cn/services/ text\_check

is challenging. Moreover, we find that speech noises cause larger performance drops than keyboard noises (except on CSpider), which corresponds to the character error rates of these different test sets (Table 3).

One notable result is on AFQMC, where we observe drastic performance drop on the positive paraphrase pairs but marginal drop or even performance increase for negative pairs. The reason is that models are exploiting spurious correlation in the training data such as lexical overlap as cues for positive pairs (McCoy et al., 2019; Zhang et al., 2019). When we introduce input noises to the data, the lexical overlap decreases, thus models exploiting spurious features become more likely to predict negative labels. Better performance on the positive examples in AFQMC (without significant sacrifice on the clean tests) can be taken as a sign for better robustness. We also present results on AFQMC as measured by the F1 metric in the appendix, and the results also indicate a drop in F1 on the noisy tests.

**Robustness Methods Have Inconsistent Gains** 

For the adversarial data augmentation (ADA) and word correction pre-processing methods, we find that they have inconsistent gains on different datasets. For example, ADA improves performance on the noisy test sets on the AFQMC (pos) set, but not on the CMRC2018 dataset. On the other hand, word correction improves performance on the keyboard noise test sets of CSpider and WMT2021, but not on the other datasets.

**SubChar Tokenization Helps** Lastly, in Table 7, we show results for finetuning models with Sub-Char tokenization. We find that the SubChar-Pinyin model outperforms the Subword model (which uses conventional subword tokenization). Moreover, the gain is much larger after training SubChar-Pinyin with ADA.

#### 5 Related Work

**Spelling Errors** Previous works have recognized the impact of spelling and grammatical errors in multiple languages. Several typo and grammatical corpora have been collected (Hagiwara and Mita, 2020), notably by tracking Wikipedia edits (Grund-kiewicz and Junczys-Dowmunt, 2014; Tanaka et al., 2020). The major difference with our work, apart from the language used, is that we focus on real-world downstream applications with diverse input settings. There is also effort on spelling error cor-

rection (SEC) (Wu et al., 2013; Cheng et al., 2020). While SEC aims to restore the spelling errors, our goal is to make sure models perform well on downstream applications even in the existence of input noises. Applying an SEC model as pre-processing could be one way to improve performance on our READIN benchmark. Other alternatives for training robust models against spelling errors include noiseaware training (Namysl et al., 2020) and learning typo-resistant representation (Edizel et al., 2019; Schick and Schütze, 2020; Ma et al., 2020). We believe such modeling explorations to future work.

Linguistic Variations Our READIN not only relates to spelling errors or typos, but also related to linguistics variations especially in terms of phonological variations. Previous works have examined linguistic variations such as non-standard English (Tan et al., 2020a,b; Groenwold et al., 2020) and dialect disparity (Ziems et al., 2022). Such works have important implications for building equatable NLP applications especially for minority language groups in the society. Yet, such effort is absent in Chinese NLP and our benchmark is a first attempt towards incorporating linguistic variations in model evaluation.

Adversarial Robustness Works in the adversarial robustness often involved adversarially optimized character or word perturbations in an attempt to minimize model performance (Ebrahimi et al., 2018a,b; Jones et al., 2020). Corresponding defenses have also been proposed such as adversarial training or data augmentation (Belinkov and Bisk, 2018; Si et al., 2021b,a). Our work differs from this adversarial robustness line of work because we are not measuring worst-case attacks, but rather more realistic input noises that would actually occur in real-world user-generated inputs.

### 6 Conclusion

In this work, we present READIN - the first Chinese multi-task benchmark with realistic and diverse input noises. Our annotation is carefully designed to elicit realistic and diverse input noises for both keyboard Pinyin input and speech input. Through both quantitative and qualitative human evaluation, we show that our crowdsourced input noises are much more plausible and diverse than existing automatically created ones. Our experiments on strong pretrained language model baselines show that models suffer significant drops on our noisy test sets, indicating the need for more robust methods against input noises that would happen in the real world.

## **Ethics and Broader Impact**

We use this additional section to discuss potential ethical considerations as well as broader impact of our work.

**Ethical Consideration** This work involves human annotation. We made sure that all annotators are properly paid. We discussed extensively with all annotators involved to set a compensation that all agree on before starting the annotation, and the total cost of annotation for the project is about 30K RMB. We also explicitly informed all annotators about how the collected data will be used and made adjustments in the data collection and release protocol to avoid any privacy concerns. Overall, we believe that there is no harm involved in this project's annotation jobs.

**Positive Societal Impact** This project tackles the real-world problem of input noises. We believe that our work will have a positive societal impact because we collected test data from annotators with diverse backgrounds. Our benchmark will facilitate the development of models that can perform well across all these variations, which has important implications to ensure the accessibility of our language technologies to users from diverse backgrounds. This fairness and inclusion aspect is often under-valued in the Chinese NLP community and we hope that our work can push the community to put more work on this front.

**Limitations** While we tried our best to maximize the diversity and coverage of our benchmark, it is practically impossible to cover all possible input noises. We acknowledge aspects that we did not get to cover, for example, the impact of different input devices (phones, tablets, as compared to keyboards used in our annotation). Also, while we tried to re-construct the real-world input settings as much as possible, there may still be subtle differences between real-world input and our annotation process, for example, we posed speed limits during the keyboard input annotation and this may not capture exactly how users type in real applications. We encourage future work to consider how to increase the coverage of such benchmarks and also possible innovations in the data collection procedure to collect fully realistic user data.

## Acknowledgement

We thank members of the UMD CLIP lab for their helpful feedback. This work is supported by the National Key R&D Program of China (No. 2020AAA0106502) and Institute Guo Qiang at Tsinghua University.

Author Contributions Chenglei and Zhengyan conducted the data collection; Yingfa ran most of the computational experiments. Chenglei, Zhengyan, and Yingfa did data cleaning and postprocessing. Chenglei and Zhengyan wrote the initial draft. Xiaozhi provided advice for the data collection. Yingfa, Xiaozhi, and Zhiyuan Liu edited and improved the writing of the paper. Zhiyuan Liu and Maosong Sun supervised the project and provided helpful high-level guidance.

## References

- Farhad Akhbardeh, Arkady Arkhangorodsky, Magdalena Biesialska, Ondřej Bojar, Rajen Chatterjee, Vishrav Chaudhary, Marta R. Costa-jussa, Cristina España-Bonet, Angela Fan, Christian Federmann, Markus Freitag, Yvette Graham, Roman Grundkiewicz, Barry Haddow, Leonie Harter, Kenneth Heafield, Christopher Homan, Matthias Huck, Kwabena Amponsah-Kaakyire, Jungo Kasai, Daniel Khashabi, Kevin Knight, Tom Kocmi, Philipp Koehn, Nicholas Lourie, Christof Monz, Makoto Morishita, Masaaki Nagata, Ajay Nagesh, Toshiaki Nakazawa, Matteo Negri, Santanu Pal, Allahsera Auguste Tapo, Marco Turchi, Valentin Vydrin, and Marcos Zampieri. 2021. Findings of the 2021 conference on machine translation (WMT21). In Proceedings of WMT, pages 1-88.
- Yonatan Belinkov and Yonatan Bisk. 2018. Synthetic and natural noise both break neural machine translation. In *Proceedings of ICLR*.
- Xingyi Cheng, Weidi Xu, Kunlong Chen, Shaohua Jiang, Feng Wang, Taifeng Wang, Wei Chu, and Yuan Qi. 2020. Spellgcn: Incorporating phonological and visual similarities into language models for chinese spelling check. In *Proceedings of ACL*, pages 871–881.
- Yiming Cui, Wanxiang Che, Ting Liu, Bing Qin, Shijin Wang, and Guoping Hu. 2020. Revisiting pre-trained models for Chinese natural language processing. In *Findings of EMNLP*, pages 657–668.
- Yiming Cui, Wanxiang Che, Ting Liu, Bing Qin, and Ziqing Yang. 2021. Pre-training with whole word masking for chinese BERT. *TASLP*, 29:3504–3514.
- Yiming Cui, Ting Liu, Wanxiang Che, Li Xiao, Zhipeng Chen, Wentao Ma, Shijin Wang, and Guoping Hu. 2019. A span-extraction dataset for Chinese machine

reading comprehension. In *Proceedings of EMNLP-IJCNLP*, pages 5886–5891.

- Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. 2019. BERT: Pre-training of deep bidirectional transformers for language understanding. In *Proceedings of NAACL-HLT*, pages 4171–4186.
- J. Ebrahimi, Daniel Lowd, and Dejing Dou. 2018a. On adversarial examples for character-level neural machine translation. In *COLING*.
- J. Ebrahimi, Anyi Rao, Daniel Lowd, and Dejing Dou. 2018b. Hotflip: White-box adversarial examples for text classification. In *ACL*.
- Bora Edizel, Aleksandra Piktus, Piotr Bojanowski, Rui Ferreira, Edouard Grave, and Fabrizio Silvestri. 2019. Misspelling oblivious word embeddings. In *Proceedings of NAACL-HLT*, pages 3226–3234.
- Manson Cheuk-Man Fong and James W. Minett. 2012. Chinese input methods: Overview and comparisons. *Journal of Chinese Linguistics*, 40:102–138.
- Sophie Groenwold, Li hsueh Ou, Aesha Parekh, Samhita Honnavalli, Sharon Levy, Diba Mirza, and William Yang Wang. 2020. Dats wassup!!: Investigating african-american vernacular english in transformer-based text generation. In *EMNLP*.
- Roman Grundkiewicz and Marcin Junczys-Dowmunt. 2014. The wiked error corpus: A corpus of corrective wikipedia edits and its application to grammatical error correction. In *Proceedings of PolTAL*, volume 8686, pages 478–490.
- Masato Hagiwara and Masato Mita. 2020. Github typo corpus: A large-scale multilingual dataset of misspellings and grammatical errors. In *Proceedings of LREC*, pages 6761–6768.
- Erik Jones, Robin Jia, Aditi Raghunathan, and Percy Liang. 2020. Robust encodings: A framework for combating adversarial typos. In *Proceedings of ACL*, pages 2752–2765.
- Yinhan Liu, Jiatao Gu, Naman Goyal, Xian Li, Sergey Edunov, Marjan Ghazvininejad, Mike Lewis, and Luke Zettlemoyer. 2020. Multilingual denoising pretraining for neural machine translation. *Transactions of the Association for Computational Linguistics*, 8:726–742.
- Yinhan Liu, Myle Ott, Naman Goyal, Jingfei Du, Mandar Joshi, Danqi Chen, Omer Levy, Mike Lewis, Luke Zettlemoyer, and Veselin Stoyanov. 2019. Roberta: A robustly optimized BERT pretraining approach. Arxiv, abs/1907.11692.
- Wentao Ma, Yiming Cui, Chenglei Si, Ting Liu, Shijin Wang, and Guoping Hu. 2020. Charbert: Characteraware pre-trained language model. In *Proceedings* of COLING.

- R. Thomas McCoy, Ellie Pavlick, and Tal Linzen. 2019. Right for the wrong reasons: Diagnosing syntactic heuristics in natural language inference. In *ACL*.
- Qingkai Min, Yuefeng Shi, and Yue Zhang. 2019. A pilot study for Chinese SQL semantic parsing. In *Proceedings of EMNLP-IJCNLP*, pages 3652–3658.
- Marcin Namysl, Sven Behnke, and Joachim Köhler. 2020. NAT: noise-aware training for robust neural sequence labeling. In *Proceedings of ACL*, pages 1501–1517.
- Jakub Naplava, Martin Popel, Milan Straka, and Jana Strakova. 2021. Understanding model robustness to user-generated noisy texts. In *Proceedings of WNUT*.
- Denis Peskov, Joe Barrow, Pedro Rodriguez, Graham Neubig, and Jordan L. Boyd-Graber. 2019. Mitigating noisy inputs for question answering. In *Proceedings of INTERSPEECH*.
- Matt Post. 2018. A call for clarity in reporting bleu scores. In *Proceedings of WMT*.
- Danish Pruthi, Bhuwan Dhingra, and Zachary Chase Lipton. 2019. Combating adversarial misspellings with robust word recognition. In *Proceedings of ACL*.
- Abhilasha Ravichander, Siddharth Dalmia, Maria Ryskina, Florian Metze, Eduard H. Hovy, and Alan W. Black. 2021. Noiseqa: Challenge set evaluation for user-centric question answering. In *Proceedings of EACL*, pages 2976–2992.
- Timo Schick and Hinrich Schütze. 2020. BERTRAM: improved word embeddings have big impact on contextualized model performance. In *Proceedings of ACL*, pages 3996–4007.
- Chenglei Si, Ziqing Yang, Yiming Cui, Wentao Ma, Ting Liu, and Shijin Wang. 2021a. Benchmarking robustness of machine reading comprehension models. In *Findings of the Association for Computational Linguistics: ACL-IJCNLP*.
- Chenglei Si, Zhengyan Zhang, Yingfa Chen, Fanchao Qi, Xiaozhi Wang, Zhiyuan Liu, Yasheng Wang, Qun Liu, and Maosong Sun. 2023. Sub-Character Tokenization for Chinese Pretrained Language Models. *Transactions of the Association for Computational Linguistics*.
- Chenglei Si, Zhengyan Zhang, Fanchao Qi, Zhiyuan Liu, Yasheng Wang, Qun Liu, and Maosong Sun. 2021b. Better robustness by more coverage: Adversarial training with mixup augmentation for robust fine-tuning. In *Findings of the Association for Computational Linguistics: ACL-IJCNLP*.
- Samson Tan, Shafiq R. Joty, Min-Yen Kan, and Richard Socher. 2020a. It's morphin' time! combating linguistic discrimination with inflectional perturbations. In *ACL*.

- Samson Tan, Shafiq R. Joty, Lav R. Varshney, and Min-Yen Kan. 2020b. Mind your inflections! improving nlp for non-standard englishes with base-inflection encoding. In *EMNLP*.
- Yu Tanaka, Yugo Murawaki, Daisuke Kawahara, and Sadao Kurohashi. 2020. Building a japanese typo dataset from wikipedia's revision history. In *Proceedings of ACL Student Research Workshop*, pages 230–236.
- Yuqing Tang, Chau Tran, Xian Li, Peng-Jen Chen, Naman Goyal, Vishrav Chaudhary, Jiatao Gu, and Angela Fan. 2020. Multilingual translation with extensible multilingual pretraining and finetuning.
- Bailin Wang, Mirella Lapata, and Ivan Titov. 2021. Meta-learning for domain generalization in semantic parsing. ArXiv, abs/2010.11988.
- Shih-Hung Wu, Chao-Lin Liu, and Lung-Hao Lee. 2013. Chinese spelling check evaluation at sighan bake-off 2013. In *SIGHAN@IJCNLP*.
- Yonghui Wu, Mike Schuster, Zhifeng Chen, Quoc V. Le, Mohammad Norouzi, Wolfgang Macherey, Maxim Krikun, Yuan Cao, Qin Gao, Klaus Macherey, Jeff Klingner, Apurva Shah, Melvin Johnson, Xiaobing Liu, Łukasz Kaiser, Stephan Gouws, Yoshikiyo Kato, Taku Kudo, Hideto Kazawa, Keith Stevens, George Kurian, Nishant Patil, Wei Wang, Cliff Young, Jason Smith, Jason Riesa, Alex Rudnick, Oriol Vinyals, Greg Corrado, Macduff Hughes, and Jeffrey Dean. 2016. Google's neural machine translation system: Bridging the gap between human and machine translation. *Arxiv*, abs/1609.08144.
- Liang Xu, Hai Hu, Xuanwei Zhang, Lu Li, Chenjie Cao, Yudong Li, Yechen Xu, Kai Sun, Dian Yu, Cong Yu, Yin Tian, Qianqian Dong, Weitang Liu, Bo Shi, Yiming Cui, Junyi Li, Jun Zeng, Rongzhao Wang, Weijian Xie, Yanting Li, Yina Patterson, Zuoyu Tian, Yiwen Zhang, He Zhou, Shaoweihua Liu, Zhe Zhao, Qipeng Zhao, Cong Yue, Xinrui Zhang, Zhengliang Yang, Kyle Richardson, and Zhenzhong Lan. 2020. CLUE: A Chinese language understanding evaluation benchmark. In *Proceedings of COLING*.
- Wei Xu, Alan Ritter, Tim Baldwin, and Afshin Rahimi. 2021. Proceedings of the seventh workshop on noisy user-generated text. In *Proceedings of WNUT*.
- Tao Yu, Rui Zhang, Kai-Chou Yang, Michihiro Yasunaga, Dongxu Wang, Zifan Li, James Ma, Irene Z Li, Qingning Yao, Shanelle Roman, Zilin Zhang, and Dragomir R. Radev. 2018. Spider: A large-scale human-labeled dataset for complex and cross-domain semantic parsing and text-to-sql task. In *Proceedings* of *EMNLP*.
- Guoyang Zeng, Fanchao Qi, Qianrui Zhou, Tingji Zhang, Zixian Ma, Bairu Hou, Yuan Zang, Zhiyuan Liu, and Maosong Sun. 2021. OpenAttack: An opensource textual adversarial attack toolkit. In *Proceedings of ACL Demo*, pages 363–371.

- Yuan Zhang, Jason Baldridge, and Luheng He. 2019. Paws: Paraphrase adversaries from word scrambling. *ArXiv*, abs/1904.01130.
- Caleb Ziems, Jiaao Chen, Camille Harris, Jessica Brooke Anderson, and Diyi Yang. 2022. Value: Understanding dialect disparity in nlu. In *ACL*.

### **A** Appendix

#### A.1 Annotator Details

We provide more details about the speakers for our speech input annotation in Table 8. The hometowns also represent their dialectal groups. Our selected annotators represent a wide range of dialectal groups in China.

Age	Gender	Hometown (Accent)
Male	35	Harbin, Heilongjiang
Male	64	Loudi, Hunan
Female	43	Hefei, Anhui
Male	45	Zhangjiakou, Hebei
Male	32	Datong, Shanxi
Female	43	Loudi, Hunan
Female	57	Changde, Hunan
Female	32	Shijiazhuang, Hebei
Female	33	Guangyuan, Sichuan
Female	36	Zigong, Sichuan

Table 8: Details about the ten speakers that performed the speech input annotation.

### A.2 AFQMC F1 Results

We present evaluation results on AFQMC with the F1 metric in Table 9. We can see significant performance drops on the noisy test sets. We prefer to report accuracy numbers for the positive and negative examples separately in the main paper because they better capture the different performance patterns for the positive and negative examples.

	Clean	Average				
Key	board					
RoBERTa-wwm	68.04	59.96				
MacBERT	69.20	60.63				
Speech						
RoBERTa-wwm	69.19	46.89				
MacBERT	68.04	43.90				

Table 9: Macro-F1 performance of baseline models on the entire AFQMC test set.

### A.3 Noise Type Annotation

To better understand the different noise patterns and diversity of the keyboard noise data, we perform an additional human annotation on two keyboard input subsets in READIN: AFQMC and WMT2021. From each dataset we examine the annotation recording of 40 sentences from different annotators. Since there are three annotators for each dataset (each using a different IME), this

	Full	Abbr
Wrong Input	29.8%	14.3%
Wrong Selection	39.3%	16.7%

Table 10: Noise breakdown of sampled Pinyin input examples. We categorise the noises into four types based on whether they are types as full Pinyin sequences (Full) or abbreviations (Abbr) and whether the noises are due to wrong input or word selection.

results in a sample size of 240 sentences for this human annotation. The authors of this paper performed this annotation task by categorising the noises in these sampled inputs into four categories detailed below.

We note that the annotators have two different typing habits: they either input the full Pinyin sequence or the abbreviations (*e.g.*, just typing the first syllables of each character). Orthogonal to these different typing habits, the noises have two different sources: they either occur because the input Pinyin sequence is wrong or the input sequence is right but the original annotators selected the wrong word in the IME. The combination of these two typing habits and error sources results in the four noise types listed in Table 10. We follow such a scheme for error breakdown because these categories represent very different noisy input patterns and may pose different challenges for the models.

From Table 10, we can see that wrong word selection is more common than wrong input sequences, and typing in full is more common than typing abbreviations. Moreover, there are a significant number of examples from each category, confirming the diversity of the noise patterns in the Pinyin input annotations.

### ACL 2023 Responsible NLP Checklist

## A For every submission:

- A1. Did you describe the limitations of your work? *Last section*
- A2. Did you discuss any potential risks of your work? *Last section*
- A3. Do the abstract and introduction summarize the paper's main claims? *First section*
- A4. Have you used AI writing assistants when working on this paper? *Left blank.*

## **B ☑** Did you use or create scientific artifacts?

A dataset, described throughout the paper

- ☑ B1. Did you cite the creators of artifacts you used? See appendix
- B2. Did you discuss the license or terms for use and / or distribution of any artifacts? *Left blank*.
- B3. Did you discuss if your use of existing artifact(s) was consistent with their intended use, provided that it was specified? For the artifacts you create, do you specify intended use and whether that is compatible with the original access conditions (in particular, derivatives of data accessed for research purposes should not be used outside of research contexts)? *Left blank*.
- B4. Did you discuss the steps taken to check whether the data that was collected / used contains any information that names or uniquely identifies individual people or offensive content, and the steps taken to protect / anonymize it? Left blank.
- B5. Did you provide documentation of the artifacts, e.g., coverage of domains, languages, and linguistic phenomena, demographic groups represented, etc.? *Left blank*.
- B6. Did you report relevant statistics like the number of examples, details of train / test / dev splits, etc. for the data that you used / created? Even for commonly-used benchmark datasets, include the number of examples in train / validation / test splits, as these provide necessary context for a reader to understand experimental results. For example, small differences in accuracy on large test sets may be significant, while on small test sets they may not be. *Left blank.*

## C ☑ Did you run computational experiments?

Left blank.

 C1. Did you report the number of parameters in the models used, the total computational budget (e.g., GPU hours), and computing infrastructure used?
 *Left blank*.

The Responsible NLP Checklist used at ACL 2023 is adopted from NAACL 2022, with the addition of a question on AI writing assistance.

- ✓ C2. Did you discuss the experimental setup, including hyperparameter search and best-found hyperparameter values? *Left blank.*
- C3. Did you report descriptive statistics about your results (e.g., error bars around results, summary statistics from sets of experiments), and is it transparent whether you are reporting the max, mean, etc. or just a single run? *Left blank.*
- C4. If you used existing packages (e.g., for preprocessing, for normalization, or for evaluation), did you report the implementation, model, and parameter settings used (e.g., NLTK, Spacy, ROUGE, etc.)?
  Left blank.
- **D D i D id you use human annotators (e.g., crowdworkers) or research with human participants?** *Left blank.* 
  - ✓ D1. Did you report the full text of instructions given to participants, including e.g., screenshots, disclaimers of any risks to participants or annotators, etc.?
    *Left blank*.
  - ✓ D2. Did you report information about how you recruited (e.g., crowdsourcing platform, students) and paid participants, and discuss if such payment is adequate given the participants' demographic (e.g., country of residence)?
    Left blank.
  - ☑ D3. Did you discuss whether and how consent was obtained from people whose data you're using/curating? For example, if you collected data via crowdsourcing, did your instructions to crowdworkers explain how the data would be used? Left blank.
  - ✓ D4. Was the data collection protocol approved (or determined exempt) by an ethics review board? *Left blank.*
  - ✓ D5. Did you report the basic demographic and geographic characteristics of the annotator population that is the source of the data?
    Left blank.