# Vacillating Human Correlation of SacreBLEU in Unprotected Languages

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# Abstract

SacreBLEU, by incorporating a text normalizing step in the pipeline, has become a rising automatic evaluation metric in recent MT studies. With agglutinative languages such as Korean, however, the lexical-level metric cannot provide a conceivable result without a customized pre-tokenization. This paper endeavors to examine the influence of diversified tokenization schemes –word, morpheme, subword, character, and consonants & vowels (CV)– on the metric after its protective layer is peeled off.

By performing meta-evaluation with manuallyconstructed into-Korean resources, our empirical study demonstrates that the human correlation of the surface-based metric and other homogeneous ones (as an extension) vacillates greatly by the token type. Moreover, the human correlation of the metric often deteriorates due to some tokenization, with CV one of its culprits. Guiding through the proper usage of tokenizers for the given metric, we discover i) the feasibility of the character tokens and ii) the deficit of CV in the Korean MT evaluation.<sup>1</sup>

# 1 Introduction

For almost two decades, BLEU (Papineni et al., 2002) has been a key driver of the development of Machine Translation (MT) and MT Evaluation despite its blind spots. Marie et al. (2021) statistically support such trend, reporting that in the past decade, about 98.8% of research papers of ACL under the title of "MT" regarded it as their prime evaluation metric. However much stern warnings we have got against its use (Tan et al. 2015; Callison-Burch et al. 2006), the fact that one of the most popular metrics besides it since 2018 is its stabilized implementation SacreBLEU (Post, 2018) (Marie et al., 2021) lets us ask ourselves if this rising metric is safe for all.

<sup>1</sup>Link to our code is available at https://github. com/kakaoenterprise/korean-sacrebleu The biggest strength of SacreBLEU is that it reduces the influence of pre-processing scheme on the score computation that could have fluctuated otherwise upon any minor changes such as a type of tokenizers, a split of compound nouns, use of unknown tokens for rare words, or casing (Post, 2018). By embracing the text normalizing step in the architecture, this automatic metric can provide more trustworthy evaluation scores.

While it is gaining weight in the literature, its trust issue remains prominent in terms of agglutinate languages such as Korean. Languages of such typology by design require language-dependant tokenization to convey the morphological implications hardly expressible by whitespaces. Presumably for that reason, SacreBLEU specifies a customized tokenizer for some languages such as Japanese. When assessing Korean texts, therefore, the Workshop on Asian Translation directs that the texts be tokenized by MeCab-ko<sup>2</sup> before running any automatic metrics (Nakazawa et al., 2017), but their correlation to human judgment has not been officially confirmed.

In the context where Korean is not capable of taking advantage of SacreBLEU's protective layer, we shed light on the influence of varied pre-tokenization types on the human correlation of the given metric that features three surface-based metrics: BLEU, TER (Snover et al., 2006), and ChrF (Popović, 2015). With that information, we share empirical lessons for SacreBLEU when applying it in the Korean language in MT evaluation, some of which are summarized as such:

#### On the segment level:

1. Almost any pre-tokenization enhances the human correlation of BLEU or TER, but not ChrF.

<sup>&</sup>lt;sup>2</sup>https://bitbucket.org/eunjeon/ mecab-ko

- 2. The character-level decomposition guarantees a feasible human correlation and fast deployment.
- 3. The influence of the CV level is detrimental. It degrades the human correlation of ChrF.

#### On the corpus level:

- 1. The morpheme level, in general, achieves a higher correlation, among which Kiwi and Khaiii are noteworthy.
- 2. Contrary to the segment level, the characterlevel tokens harm the human correlation of the metrics.
- The raw score of the metrics can be inflated up to twice when different tokenizers are involved. Thus, comparing scores by simply copying from other studies is invalid.

# **Cost-Efficiency**:

- 1. TER can be slower than the other two metrics by up to seven times. In the worst scenario, the metric was combined with CV and it took 360 times more than BLEU for computation.
- 2. No matter how beneficial the CV can be, costineffectiveness is its blind spot.

# 2 Related Works

Recently, the research topic of word segmentation has got the limelight in many NLP tasks (Zhang et al. 2015; Park et al. 2018; Kim et al. 2020; Yongseok and Lee 2020; Park et al. 2020), especially with the outstanding achievement of subword-level pipelines such as SentencePiece (SPM) (Kudo and Richardson, 2018) or Byte-Pair Encoding (BPE) (Sennrich et al., 2016). In MT in specific, interest is growing in handling unseen vocabularies (OOVs) through an optimal token type, whereas the influence of tokenization in MT evaluation is rarely explored. Thus, this section is devoted to the studies identifying the relation between tokenization and translation quality, but with a particular focus on its language dependency.

Huck et al. (2017) discovered that their model displayed the highest performance when BPE was coupled with a suffix split in German. In a similar manner, Lee et al. (2017) suggested that their fully character-level NMT model outperformed BPE models, especially in the Finnish-English pair. Domingo et al. (2018) demonstrated that no single best tokenizer could lead to a more refined translation quality for all languages when five languages were under study. Furthermore, they remarked that such phenomenon was striking in morphologically rich languages such as Japanese.

Similarly, concerning Korean, Park et al. (2019) found that SPM Unigram allowed their NMT model to attain a higher BLEU score than simple BPE. While they mentioned that a smaller token unit was not always an answer in the case of Korean, recent studies paid more and more attention to the sub-subword token unit called *Jamo*, referring to consonants and vowels.<sup>3</sup> Moon and Okazaki (2020) introduced Jamo-Pair Encoding, combining Jamo with BPE. Eo et al. (2021) suggested a new division of Jamo by sub-grouping it position-wise. They demonstrated that the model with such a word decomposition outperformed Park et al. (2019).

We differ from the studies above in exploring the impact of tokenization on the MT evaluation. Our keen interest is i) to observe how vulnerable this metric is to the agglutinative languages and ii) to find a way to ensure that the metric is in line with human perception in this regard.

# 3 Background

This section describes the linguistic characteristics of Korean as an agglutinative language. Unlike most European languages, it features deeper layers and diversified decomposition.

# 3.1 Token Level

We define five meta-levels of segmentation for our experiment: word, morpheme, subword, character, and CV. The fork of a road to the classification is in the dependence of three elements: particles (or *Josa*), endings, and affixes.

- Word: A whitespace is a separator between this level of tokens. A token does not consider any of the three components independent.
- Morpheme: This token level considers particles, endings, and affixes as dependent elements. The degree of segmentation, however, varies from tokenizer to tokenizer by their tag set or algorithm.

<sup>&</sup>lt;sup>3</sup>For those who are not familiar with Korean, the in-depth information about its word decomposition is provided in Appendix A.

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Table 1: All possible tokenization schemes with the tokenizers applied in this study. The English source sentence is "*Model Leon Dame strutted down the catwalk like no one has strutted before.*", and their corresponding Korean words are given by the token space.

- **Subword**: It is an arbitrary sequence of strings. It is to note that the surface form of this token resembles morphemes unless the dictionary is intentionally built at the subsubword level. We, nevertheless, categorize it in isolation, given the absence of morphological meaning in its token.
- **Character**: This token level denotes a string. No tokenizer is needed for the decomposition.
- **CV**: It refers to the smallest token unit, Jamo, meaning consonants and vowels (CV). A certain tokenizer is required to segment a string (equal to a character) into the CV.

# 3.2 Tokenizer

The meta-level tokens come into shape with the help of tokenizers in most cases. We implement seven tokenizers on the morpheme level – Kkma, Hannanum, Komoran, Okt and MeCab from KoNLPy (Park and Cho, 2014), Kiwi (Korean Intelligent Word Identifier)<sup>4</sup>, data-driven Khaiii (Kakao Hangul Analyzer III)<sup>5</sup>, a subword tokenizer SPM (Kudo and Richardson, 2018), and a CV-level tokenizer, Jamo<sup>6</sup>. Their systematic details are given in Appendix B.

# 3.2.1 Tag Set

Most Korean morphological analyzers have their roots in the 21st Century Sejong Project launched in 1998 intending to build a national framework for large-scale Korean corpora (21st Sejong Project, 1999). The tokenizers feature a different number of tag sets derived from the Sejong tag sets, as described in Table 7 in Appendix C.

The prototypical tag set is preserved in Komoran or similarly in MeCab and Khaiii. The tokenizer with the most fine-grained tag set is Kkma (56 tags). It provides a detailed analysis of endings. The most coarse form is observed in Okt (19 tags), a tokenizer for Twitter. Woo and Jung (2019) report its outstanding performance in terms of typos, emojis, and punctuation. Hannanum also features a smallsized tag set (22 tags). The particle-related tags are exceptionally reduced in this tokenizer. As mentioned previously, the central divergence of the tag sets is in particles, endings, and affixes.

# 3.2.2 Tokenization Scenario

The exemplary sentence depicted in Table 1 gives a glimpse of all possible cases of tokens in our experiment. It illustrates that the the most diversified segmentation occurs with verbs (*strutted down*). Intriguingly, some morphological tokenizers partially employ CV, such as shown in 한 versus 하, -  $\Box$  (the part of *no one has strutted*). Such are the cases of Hannanum, Kkma, Komoran, Khaiii, and Kiwi.

#### 4 Experiment

# 4.1 Experiment Setup

As Korean evaluation data is scarce, we have organized human evaluation of four commercial NMT systems for the English-to-Korean translation with Direct Assessment (DA), the conventional human evaluation metric employed in Conference on Machine Translation (Barrault et al., 2020). Subsequently, automatic evaluation is performed with BLEU, TER, and ChrF built in SacreBLEU. With the resources at hand, the correlation between the two evaluation results is computed on the segment and corpus level.

# 4.1.1 Dataset

• Source Test Set: The original English texts are borrowed from WMT 2020 English III-type test set, composed of 2,048 sentences (61 documents) with a segment split maintained.

<sup>&</sup>lt;sup>4</sup>https://github.com/bab2min/Kiwi

<sup>&</sup>lt;sup>5</sup>https://github.com/kakao/khaiii

<sup>&</sup>lt;sup>6</sup>https://github.com/JDongian/

python-jamo

		Word				orpheme				Subword	Char	CV
		woru	Hannanum	Kkma	Kiwi	Khaiii	Komoran	MeCab	Okt	Subworu	Chai	C V
Datia	Ref	1	2.04	2.27	2.24	2.24	2.22	2.06	1.78*	2.30	3.22	7.51‡
Ratio	Нур	1	2.02	2.15	2.14	2.14	2.12	1.97	1.70*	2.20	3.16	7.23‡
Time	(ms)	-	4,326.91	27,112.96‡	1,959.96	1,494.13	1,084.10	152.59	3,029.68	51.57	5.00 <del>*</del>	89.07

Table 2: Given our reference and hypothesis translations, a token ratio per word is measured by category.  $\ddagger$  and  $\star$  denote the biggest and smallest values, respectively. In addition, the time to decompose 1,000 sample sentences is calculated in milliseconds.

- **Reference Translation**: We hire a group of professional translators to create Korean reference translations. They are advised not to post-edit MT. To guarantee the highest translation quality, one of our in-house translator double-checks the final version. The revision, nevertheless, is implemented only if the sentence is semantically erroneous.
- System Translation: We employ four online MT models including our own –*Kakao*  $i^7$ –. They are anonymized as Sys<sub>A</sub>, Sys<sub>B</sub>, Sys<sub>P</sub> and Sys<sub>Q</sub> for legal reason. The system translations are obtained on July 21, 2021.
- Token Ratio & Time: Given a word (*ratio* = 1.0), an average token ratio per token type is displayed in Table 2. The size of character and CV tokens are about 1.5 and 4 times larger than that of the average morpheme tokens. In addition, time taken to process 1,000 sentences is logged per token unit. The character level is about 5,000 times faster than Kkma.

In terms of normalizing data, errors in the source test sets and their subsequent impact on the system translations as discussed in Kim et al. (2021) remain undealt with. Only some minor technical issues, i.e. a single quote (') versus a backtick ('), are normalized.

# 4.1.2 Human Evaluation

DA is a metric where an evaluator scores each sentence on a continuous scale of [0, 100] in the category of Adequacy and Fluency. We hire 25 professional translators and assign each person a set of more or less 300 translated sentences. The contextual information of the documents is maintained to help them consider when making a judgment. They are allowed to reverse their previous decisions within a document boundary.

Regarding their qualification, they are either holders of a master's degree in interpretation and

translation in the English-Korean language pair or freelance translators with a minimum of two years of experience. In light of the fact that all participants are new to MT evaluation, we provide a detailed guideline for the experiment.

One judgment per system translation is gathered, amounting to 16,116 (8,058 of Adequacy and Fluency) evaluation data. The judgment on Fluency is only utilized as supplementary information.

# 4.1.3 Quality Control

Out of the 8,058 Adequacy judgments, the first 10 judgments of each evaluator are removed from the calculation. The scores are then normalized with judge-wise Z-scores. Then, Inter-Quartile Range (IQR) is computed as in Equation 1, where  $Q_1$  and  $Q_3$  signify the first and third quartile values and x denotes outliers that fall into the two categories. Having removed 4.1% of the data, we base our observation on 7,727 judgments.

 $x < Q_1 - 1.5 \cdot (Q_3 - Q_1)$ 

or

$$x > Q_3 + 1.5 \cdot (Q_3 - Q_1) \tag{1}$$

# 4.1.4 Computation

The hypothesis and reference translations are tokenized by the aforementioned 11 token units without applying any additional normalization. Consequently, the scores of the automatic metrics are computed, and their Pearson's correlation coefficient r are measured against the human Adequacy judgment by:

$$r = \frac{\sum_{i=1}^{n} (H_i - \overline{H}) \cdot (M_i - \overline{M})}{\sqrt{\sum_{i=1}^{n} (H_i - \overline{H})^2} \sqrt{\sum_{i=1}^{n} (M_i - \overline{M})^2}}$$
(2)

where H and M refer to the machine and human DA scores, respectively, and  $\overline{H}$  and  $\overline{M}$ , their mean values. The Pearson's r measures the linear relationship between the two variables. During the process, some of the issues have concerned us:

<sup>&</sup>lt;sup>7</sup>https://www.translate.kakao.com

		Default	Word				Subword	Char	CV				
		Dejuuu	Word	Hannanum	Kkma	Kiwi	Khaiii	Komoran	MeCab	Okt	Subword	Chai	CV
BLEU	ngrams	4	1	2	2	2	2	2	2	2	2	2	5
ChrF	char_order	6	3	3	3	3	3	3	3	3	3	3	5
CIIII	$word\_order$	0	0	0	0	1	1	1	1	1	1	2	0

Table 3: The adjusted parameters of BLEU and ChrF per token type.



Figure 1: The Pearson correlation on the segment level: concerning the meta-token level. The morpheme corresponds to the average value of all morpheme tokens.



Figure 2: The Pearson correlation on the segment level: concerning the morpheme level. Khaiii is in red to inform its different basis.

# • Do we adjust n-gram parameters?

The BLEU score is a geometric mean of fourgrams. As the token unit is divergent, on the one hand, we attempt to avoid a circumstance where any tokenizer benefits from the n-gram parameter. On the other, the default word ngram of ChrF is zero, which leads to the same conclusion for some tokens. To make the consequence of the token unit clear and compatible, we have organized a preliminary study to obtain the best-correlated n-gram parameters per token typology. The result is provided in Table 3 along with the default values.

#### • TER scores over 1.0

Theoretically speaking, a TER score of 1.0 represents a total mismatch between a hypothesis and reference. Yet, when a reference is too short for its hypothesis, the computation is programmed to exceed 1.0, which becomes an outlier to the Pearson correlation. We, thus, normalize such cases by cutting down to 1.0.

#### • Is the sample size enough?

Koehn (2004) reported that they reached a near 100% confidence with 3,000 samples when assessing MT systems with BLEU. In light of their work, we believe that our sample size is affordable to draw a valid conclusion.

# 4.2 Experiment Result

The Pearson correlation of SacreBLEU to human DA scores when with different token types is reported on the segment and corpus level. On each level, the results are organized by the meta level, with the morpheme represented by the average score of seven types. Afterward, the morpheme tokens are compared among themselves. Khaiii is



Figure 3: The Pearson correlation on the corpus level: concerning the meta-token level.



Figure 4: The Pearson correlation on the corpus level: concerning the morpheme level.

highlighted with a different color to present its algorithmic divergence.

# 4.2.1 Segment Level

Figure 1 and Figure 2 reports the Pearson correlation of the meta- and morpheme level, respectively. The scores range from 0.23 to 0.33.

**BLEU** achieves better human correlation when the token is more fine-grained. When a sentence is not decomposed, the score is likely to lose validity. The best fit for this metric is a character (r = 0.312). Among the morphemes, we witness an insignificant correlation of MeCab.

The result of **TER** coincides with BLEU in that any tokenizer can enhance the correlation of the metric. The result shows that SPM goes best with this metric. It is also noticeable that CV results in a poor correlation. Moreover, Khaiii is insignificant to this metric.

**ChrF** has obtained relatively consistent correlations in all token types despite its re-adjusted parameters. The morpheme level is best suited for this metric, among which Khaiii stands out for a good reason and CV for a wrong reason. CV often deteriorates the correlation of ChrF.

We conclude that any pre-tokenization is essential for BLEU and TER, while ChrF should be approached with caution on the segment level. On the bright side, the performance of Kiwi is noteworthy among the morpheme tokenizers. Furthermore, as a whole, we stress the effectiveness of the character-level segmentation, which guarantees a fast deployment and the human correlation that is often better than MeCab. On the other side, the CV level is undependable in the Korean MT evaluation, unlike in other NLP tasks. Furthermore, Hannanum and Okt are not an option for this task.

# 4.2.2 Corpus Level

Figure 3 to Figure 4 depict the result of the meta- and morpheme levels, respectively. The score ranges from 0.46 to 0.93, which is much higher and broader than the segment level.

On the meta level, the morpheme tokens are likely to attain a higher correlation to human judgment in all cases. Moreover, the performance of Kiwi and Khaiii is striking. However, the correlation of TER and ChrF degrades with character tokens or SPM in the case of ChrF. Such a tendency is in clear contrast to the finding observed at the segment level.

Additionally, the raw scores of each metric are compared to human DA scores, as shown in Table 4. As expected from the characteristics of the lexical matching system, the smaller units result in higher raw scores, which, however, can soar up to twice in the case of BLEU (from 28.1 to 48.5 in  $Sys_A$ ). Likewise, the most severe version of TER

68.783 67.160	0.203	28.099			Komoran	Kkma	Kiwi	Khaiii	Hannanum	SPM	Character	CV	
67.160			33.398	38.341	40.275	40.986	41.022	40.005	36.939	41.015	48.712	48.467	
	0.112	28.932	34.351	39.185	41.007	41.920	41.997	40.881	37.793	41.948	49.553	49.188	
64.688	0.027	23.941	30.415	35.605	36.621	37.236	38.458	37.034	32.902	37.213	45.924	45.098	
57.734	-0.220	25.941	31.382	35.602	37.304	38.063	38.138	36.939	34.058	38.155	47.096	46.602	
					(a) E	BLEU							
ve. DA ↑	Ave. z	Word	Okt	MeCab	Komoran	Kkma	Kiwi	Khaiii	Hannanum	SPM	Character	CV	
68.783	0.203	82.811	68.223	64.142	63.041	62.253	62.352	63.412	67.833	62.391	57.718	52.932	
67.160	0.112	82.334	67.332	63.519	62.585	61.545	61.649	62.867	67.249	61.083	56.364	51.962	
64.688	0.027	89.652	69.882	64.898	64.859	63.479	62.983	64.346	71.199	65.914	62.163	54.063	
57.734	-0.220	86.699	70.356	66.611	65.641	64.751	64.758	66.126	71.199	64.767	59.771	54.697	
(b) TER													
ve. DA ↑	Ave. z	Word	Okt	MeCab	Komoran	Kkma	Kiwi	Khaiii	Hannanum	SPM	Character	CV	
68.783	0.203	44.897	46.508	47.544	48.904	46.326	49.299	48.763	46.019	47.932	47.887	53.140	
67.160	0.112	45.725	47.345	48.370	49.635	47.131	50.096	49.560	46.826	48.807	48.707	53.807	
64.688	0.027	42.742	44.171	45.342	46.182	43.796	47.017	46.354	43.401	45.357	45.699	51.198	
57.734	-0.220	43.505	45.134	46.031	47.166	44.639	47.557	47.011	44.378	44.378	46.533	51.775	
	ve. DA ↑ 68.783 67.160 64.688 57.734 ve. DA ↑ 68.783 67.160 64.688	ve. DA ↑ Ave. z 68.783 0.203 67.160 0.112 64.688 0.027 57.734 -0.220 ve. DA ↑ Ave. z 68.783 0.203 67.160 0.112 64.688 0.027	ve. DA $\uparrow$ Ave. z         Word           68.783         0.203         82.811           67.160         0.112         82.334           64.688         0.027         89.652           57.734         -0.220         86.699           we. DA $\uparrow$ Ave. z         Word           68.783         0.203         44.897           67.160         0.112         45.725           64.688         0.027         42.742	ve. DA $\uparrow$ Ave. z         Word         Okt           68.783         0.203         82.811         68.223           67.160         0.112         82.334         67.332           64.688         0.027         89.652         69.882           57.734         -0.220         86.699         70.356           ve. DA $\uparrow$ Ave. z         Word         Okt           68.783         0.203         44.897         46.508           67.160         0.112         45.725         47.345           64.688         0.027         42.742         44.171	ve. DA $\uparrow$ Ave. z         Word         Okt         MeCab           68.783         0.203         82.811         68.223         64.142           67.160         0.112         82.334         67.332         63.519           64.688         0.027         89.652         69.882         64.898           57.734         -0.220         86.699         70.356         66.611           ve. DA $\uparrow$ Ave. z         Word         Okt         MeCab           68.783         0.203         44.897         46.508         47.544           67.160         0.112         45.725         47.345         48.370           64.688         0.027         42.742         44.171         45.342	$(a) E \\ (b) Fe DA \uparrow Ave. z Word Okt MeCab Komoran \\ 68.783 0.203 82.811 68.223 64.142 63.041 \\ 67.160 0.112 82.334 67.332 63.519 62.585 \\ 64.688 0.027 89.652 69.882 64.898 64.859 \\ 57.734 -0.220 86.699 70.356 66.611 65.641 \\ (b) \\ (c) Ve. DA \uparrow Ave. z Word Okt MeCab Komoran \\ 68.783 0.203 44.897 46.508 47.544 48.904 \\ 67.160 0.112 45.725 47.345 48.370 49.635 \\ 64.688 0.027 42.742 44.171 45.342 46.182 \\ (c) Finite Content of the content $	$(a) BLEU$ (a) BLEU (b) TER (c) DA $\uparrow$ Ave. z Word Okt MeCab Komoran Kkma (c) Respectively for the second	$(a) BLEU$ (a) BLEU (b) TER (b) TER (c) DA $\uparrow$ Ave. z Word Okt MeCab Komoran Kkma Kiwi (c) Ave. z Word Okt MeCab (c) Ave. z Word (c) Ave. z Word Okt (c) Ave. z Word (c) Ave. z Word Okt (c) Ave. z Word (c) Ave. z Ave. z Word (c) Ave. z Ave. z Word (c) Ave. z Ave. z Ave. z Word (c) Ave. z Ave	(a) BLEU $(a) BLEU$ $(b) TER$ $(b) TER$ $(a) BLEU$ $(b) TER$ $(b) TER$	(a) BLEU $(a) BLEU$ $(b) TER$ $(b) TER$ $(a) BLEU$ $(b) TER$ $(b) TER$	(a) BLEU $(a) BLEU$ $(a) BLEU$ $(a) BLEU$ $(a) BLEU$ $(b) TER$ $(a) BLEU$ $(a) BLEU$ $(b) TER$ $(b) TER$ $(b) TER$ $(b) TER$	(a) BLEU (a) BLEU (a) BLEU (b) TER (b) TER (c) Ave. z Word Okt MeCab Komoran Kkma Kiwi Khaiii Hannanum SPM Character (c) Char	

(c) ChrF

Table 4: The raw scores of the metrics of the four MT systems by token type along with the human DA scores and their z-scores. The highest scores are in blue & red.

scores is before the tokenization (82.33 - 89.69). The ChrF scores, on the other hand, fluctuate moderately from 44.9 to 53.1 (in  $Sys_A$ ). We, therefore, advise not to copy raw SacreBLEU scores from any studies when this language is concerned.

While so, we discover a substantial problem that the system rankings calculated by the automatic metrics do not comply with the human judgment at all. As the highest scores in blue and red demonstrate such a trend, the human average scores place the systems in the order of  $[Sys_A = 1, Sys_B = 2, Sys_P = 3, Sys_Q = 4],$ but almost all automatic scores position them as  $[Sys_A = 2, Sys_B = 1, Sys_P = 3, Sys_Q = 4].$ In the worst case, the third and fourth ranks are swapped according to BLEU when tokenized by MeCab, Kiwi, or Khaiii. Such an erroneous conclusion by the metrics can be drawn due to either the small number of systems or possible outlier systems in the experiment setup (Mathur et al., 2020). We leave the verification of this issue to our future work.

# 5 Extra Meta-Evaluation

As an extended work, we investigate the influence of pre-tokenization on other homogeneous automatic metrics:  $NLTK-BLEU^8$ ,  $GLEU^9$  (Wu et al., 2016),  $NIST^{10}$ , RIBES (Isozaki et al., 2010), CharacTER (Wang et al., 2016), and EED (Stanchev et al., 2019). We compute the Person correlation r of a total of nine metrics per tokenization on the segment and corpus level under the same environment. The results are provided in Figure 5 through Figure 8 in Appendix D.

# 5.1 Segment Level

Albeit minor differences from SacreBLEU, NLTK-BLEU is most benefited from the CV level, not the character level. GLEU features a more robust correlation to any given token type than BLEU. Consistent with such a tendency, the CV level increases the correlation of RIBES. Interestingly enough, however, NIST turns out to be vulnerable to any token types except SPM, and the scope of the scores is markedly low (0.1 - 0.19).

In terms of edit-distance-based metrics, the result does not vacillate much and, at the same time, presents high human correlations. CharacTER favors the morpheme level, such as Komoran. EED, on the other hand, does not favor any token types. The more decomposed a token is, the lower the human correlation becomes in this metric.

To summarize, there is a good chance that the CV level enhances the correlation of many n-grambased metrics such as BLEU. The metrics that a word should be left as it is are NIST and EED.

#### 5.2 Corpus Level

On the corpus level, the morphological tokens are predominantly helpful in obtaining a higher human correlation, as in the case of BLEU, GLEU, and NIST. Among the morphemes, the role of Kiwi is

<sup>%</sup>https://www.nltk.org/\_modules/nltk/ translate/bleu\_score.html

<sup>9</sup>https://www.nltk.org/\_modules/nltk/ translate/gleu\_score.html

<sup>&</sup>lt;sup>10</sup>https://www.nist.gov/itl/iad/mig/ metrics-machine-translation-evaluation/

	Word	Kkma	Hannanum	Okt	Komoran	MeCab	Khaiii	Kiwi †	Subword	Character	CV
EED	0.095	0.094	0.093	0.089*	0.092	0.093	0.098	0.096	0.094	0.096	0.201
BLEU	0.110	0.110	0.108	0.107	0.111	0.109	0.134	$0.106 \star$	0.106*	0.108	0.128
ChrF	0.111	0.113	$0.108 \star$	0.115	0.121	0.115	0.121	0.115	0.115	0.129	0.147
CharacTER	0.284*	0.827	0.633	0.434	0.77	0.679	0.763	0.816	0.792	2.391	366.65
GLEU	1.018	1.059	1.075	1.036	1.029	1.060	1.038	1.002	0.961*	0.979	1.068
NIST	1.016	1.061	1.044	1.042	1.082	1.033	$1.011 \star$	1.032	1.032	1.085	1.119
NLTK-BLEU	1.072	1.016	0.982	1.011	0.994	1.036	1.140	1.037	0.981*	1.020	1.028
RIBES	1.011*	3.888	2.867	1.791	3.360	2.735	3.441	3.578	3.476	13.094	628.96
TER	0.332*	9.849	5.236	2.413	8.232	5.061	7.768	7.653	8.106	24.933	362.18

Table 5: The time of each metric to compute a score for 100 sentences when combined with different token units. The value is sorted by Kiwi (unit: seconds). The best scores are with a  $star(\star)$  and the abnormal cases are stressed in blue.

significant. This token type is, however, detrimental to RIBES, which scores the highest correlation in this experiment. The character level, on the other hand, is beneficial to this metric. In the case of CharacTER and NIST, the correlation is degraded with word decomposition by the CV or character level.

# 5.3 Computation Time

Table 5 describes the time to compute metric scores of 100 sentences per token type. From the perspective of token type, the more fine-grained token type takes more time. For instance, treating CV takes 100 times more than words in TER. No matter how good the CV level can be, inefficiency is its blind spot.

From the viewpoint of automatic metrics, RIBES, TER, and CharacTER are one of the most time-consuming ones. The pairing with CV and RIBES, for instance, would end in taking up about 630 seconds (10 minutes) to deal with 100 sentences. On the contrary, EED boasts the utmost efficiency.

# 6 Limitations & Future Works

We acknowledge some limitations this work has to embrace. First of all, the number of systems in question is small, which, in part, has led to an arguable conclusion on the corpus level. Furthermore, all of the systems are online APIs. Second, while questioning the influence of token type on the agglutinative languages, we base our study solely on Korean.

It is of our future interest to probe into the consequence of token types in other comparable languages other than Korean. We also intend to scale up the experiment by employing state-of-the-art NMT models.

# 7 Conclusion

This paper analyzes the influence of diversified token units on the human correlation of SacreBLEU on both segment and corpus levels when it comes to agglutinative languages such as Korean by performing meta-evaluation with Pearson correlation. We demonstrate that the pre-tokenization with a fitfor-all token type is not always an optimal choice in Korean MT evaluation. We summarize some of the valuable lessons:

- BLEU and TER should always be accompanied by a segmentation process beforehand.
- Tokenizer should be carefully selected in ChrF.
- The human correlation of some metrics, which are mostly related to edit distance, is easily degraded by token type.
- The CV level is beneficial to some metrics. However, its exponential computation time makes it unprofitable in the MT evaluation.
- Instead, we discover the possibility of a character-level segmentation as a quick and easy substitute on the segment level.
- However, the morpheme level is recommended on the corpus level such as Kiwi or Khaiii, among others.
- The raw score on the corpus level can be inflated up to twice. We strongly advise against copying scores from other studies.

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# A Word Decomposition

A single distinct meaningful element of speech or writing, [...] and *typically shown with a whitespace on either side* when written or printed. -Oxford Dictionary

The general definition of a word, as shown above, conjectures that it is segmented with whitespaces. While such is the case of most European languages, it is arguable in Korean whose words do not always accompany spaces between themselves, depending on schools. Here we illustrate three approaches in defining a word: *comprehensive, compromising*, and *analytic*. Their views on the independence of post-positional particle, ending, or affix as a word diverge (Nam et al., 2019), as displayed respectively in Table 6 of Level *Word*.

Following the comprehensive standpoint, what is typically understood as a word in Western languages is equivalent to *Eojeol* in Korean. Those with the compromising perspective perceives that endings and affixes are not a word while the analytic school recognizes the independence of endings. That much active discussion is possible with the morpheme boundary as well, due to the fact that a character is divisible.

In other words, **a character has a sub-layer**. The word *read*, for instance, is composed of four characters: r-e-a-d. The equivalent Korean word  $\frac{Q}{2}$  in Table 6 is also a character, but at the same time it is a combination of two consonants ( $\circ$ ,  $\neq$ T) and one vowel (]). We call this sub-layer *Jamo* ( $\circ$ -]- $\neq$ T) in Korean or CV in this paper, the abbreviated form from the initial letters of consonant ( $\nearrow$ - $\square$ / $\square$ / $\square$ -eum/) and vowel ( $\square$ - $\square$ - $\square$ /mo-eum/).

**CV is position-wise**; it is situated in a fixed position of *Choseong* (initial,  $\circ$ ), *Jungseong* (middle,

)), and *Jongseong* (final, *z*1), respectively. Some affixes or morphemes take the form of Jongseong, making a diversified token scenario between the morpheme and CV level.

# B Architecture of the Morpheme Analyzers

This section delves into the detailed architecture of the morpheme analyzers mentioned in this paper. The aforementioned analyzers are grouped into dictionary-based and data-based by their core algorithm.

# **B.1** Dictionary-based

Most of the tokenizers applied in this paper belongs to this category. The first step of the tokenization is that when encountered a word, all possible morphological scenarios are represented with some probabilities by referring to a dictionary that contains vocabularies and their morphological information. The next step is to find the optimal morpheme combination that maximizes the observed probability, with the assumption being that the output morpheme  $m_k$  of position k is determined by its previous output  $m_{k-1}$  and its  $k^{th}$  character  $c_k$ . Then, as a final procedure  $m_k$  is tagged.

For the agglutinative languages whose characters are always divisible, the decomposition depth should be determined whether to separate the character into the CV level. In that sense, we will denominate each case as *non-CV* and *CV level* for convenience's sake.

The non-CV-level decomposition is performed in Kkma, Okt, and Hannanum in our case. Candidate tokens are generated by restoring from the dictionary, and their probabilities are calculated by Dynamic Programming. The CV level segmentation, on the other hand, is the case of Komoran and Kiwi. The probability is calculated by Aho-Corasick string-matching algorithm (Aho and Corasick, 1975) applied on the dictionary which is structured as a look-up table called Tries (Fredkin and Beranek, 1960) of CV.

# **B.2** Data-driven

Khaiii is the sole analyzer that fits in to this category in this paper. While the previous dictionarybased tokenizers consider the word decomposition as an analysis problem, Khaiii approaches it as a classification problem of determining a morpheme tag for a given input character. One of the main challenges is the disharmonious token length of input and output observed in some cases such as shortened words whose restoration involves the CV-level segmentation. As an instance, the verb 했다 (did) can be segmented into 하/VX + 였/EP + 다/VV. It is clear that just by combining 하 and 였 the original morpheme 했 is not able to be achieved at a character level (하였 vs. 했).

While Recurrent Neural Networks (RNN) is a popular baseline in this regard, Khaiii adopts Convolutional Neural Networks (CNN) to maintain the information of input character and its corresponding output tag. In addition, CNN can speed up the

Level	Denomination	Particle	Ending	Affix	Example
	Eojeol	Х	Х	Х	혜미가, 동화를, 읽었다
Word	Word	0	Х	Х	혜미, -가, 동화, -를, 읽었다
	Word	0	0	Х	혜미, -가, 동화, -를, 읽, -었다
Morpheme	Morpheme	0	0	0	혜미, -가, 동화, -를, 읽, -었, -다
Character	Eumjeol	-	-	-	혜, -미, -가, 동, -화, -를, 읽, -었, -다
CV	Jamo	-	-	-	ㅎ, - ㅖ, ㅁ, - ㅣ, ㄱ, - ㅏ, ㄷ, -ㅗ, - ㅇ, ㅎ, -ᅪ, ㄹ,, -ㄹ, ㅇ, - ㅣ, -ㄹㄱ, ㅇ, - ㅓ, -ㅆ, ㄷ, - ㅏ

Table 6: Level of word decomposition in Korean, indicating an open discussion about defining a word (Nam et al., 2019).

process. More in-depth architecture is provided in their git page. The model is trained with Sejong Corpus provided by Sejong Project, together with a manually created 6k words. After rooting erroneous sentences out, the size of the corpus reaches about 10.3 million words/Eojeol).

# C Tag Sets of Korean Tokenizers

	Category		Sejong	Okt	Komoran	MeCab-ko	Kkma	Hannanum	Khaiii	Kiwi
	# of tags		42	19	42	43	56	22	46	47
		general	NNG		NNG	NNG	NNG	NC	NNG	NNG
		proper	NNP	1	NNP	NNP	NNP	NQ	NNP	NNP
	noun	dependent				NNB	NNB			
Substantive		unit	NNB	Noun	NNB	NNBC	NNM	NB	NNB	NNB
			ND	-	ND			ND	ND	ND
	pronoun		NP		NP	NP	NP	NP	NP	NP
	numeral		NR		NR	NR	NR	NN	NR	NR
	verb		VV	Verb	VV	VV	VV	PV	VV	VV
	adjective	;	VA	Adjective	VA	VA	VA	PA	VA	VA
							VXV	DV		
Predicate	auxiliary	7	VX	-	VX	VX	VXA	PX	VX	VX
		positive	VCP	-	VCP	VCP	VCP	-	VCP	VCP
	copula	A	VCN		VCI	VCN	VCN		VCN	VCI
		negative	VCN	-	VCN	VCN		-	VCN	VCN
	article	determiner	MM	Determiner	MM	MM	MDT	MM	MM	MM
Modifier	uruere	numeral		Determiner			MDN			
woumer	- <b>Jh</b>	general	MAG	Adverb	MAG	MAG	MAG		MAG	MAG
	adverb	connective	MAJ	Conjunction	MAJ	MAJ	MAC	MA	MAJ	MAJ
Interjection	interjectio		IC	Exclamation	IC	IC	IC	II	IC	IC
interjection	mujeth	subjective	JKS	Excitation	JKS	JKS	JKS		JKS	JKS
				{						
		complement	JKC	4	JKC	JKC	JKC		JKC	JKC
		adnominal	JKG	1	JKG	JKG	JKG		JKG	JKG
	case-marking	objective	JKO		JKO	JKO	JKO	JC	JKO	JKO
	-	adverbial	JKB	1.	JKB	JKB	JKM	1	JKM	JKM
Post-positional Particle		vocative	JKV	Josa	JKV	JKV	JKI		JKI	JKI
			JKQ	4	JKQ	JKQ	JKO		JKO	JKO
	***	quotation		4						
	auxiliary		JX	4	JX	JX	JX	JX	JX	JX
	conjuncti	ve	JC		JC	JC	JC		JC	JC
	predicativ	/e	-		-	-	-	JP	-	-
		honorific					EPH			
	pre-final ending	tense	EP	PreEomi	EP	EP	EPT	EP	EP	EP
	pre-iniai chung			1 ICLOIIII		1.1	EPP			L1
		politeness								
		declarative					EFN			
		interrogative					EFQ			
		imperative	1				EFO			
	sentence-closing ending	requesting	EF	Eomi		EF	EFA	EF	EF	EF
		interjective	-		EF		EFI	-		
		*	4		EF					
		honorific					EFR		<u> </u>	
Dependent		equal					ECE			
Dependent	connective ending	auxiliary	EC			EC	ECS	EC	EC	EC
		dependent	1	EC			ECD	1		
		nominal	ETN	1	ETN	ETN	ETN		ETN	ETN
	transformative ending	adnominal	ETM	-	ETM	ETM	ETD	ET	ETD	ETD
			XPN	-	XPN	XPN	XPN		XPN	XPN
	prefix	substantive						XP		
	•	predicative	-	-	-	-	XPV		-	-
		derived noun	XSN	]	XSN	XSN	XSN		XSN	XSN
	suffix	derived verb	XSV	Suffix	XSV	XSV	XSV	XS	XSV	XSV
		derived adverb	XSA	1	XSA	XSA	XSA	1	XSA	XSA
	root	root	XR	-	XR	XR	XR	-	XR	XR
	.?!		SF		SF	SF	SF		SF	SF
				4						
			SE	4	SE	SE	SE		SE	SE
	""''()		SS	Punctuation	SS	SSO	SS		SS	SS
Punctuation	U		00	1 unctuation	00	SSC	00	S	00	
Functuation	$\sim$ -		SP	1	SP	SC	SP	1	SP	SP
			SO	1	SO	SY	SO		SO	SO
		actor	SW		SW		SW	1	SW	SW
				Foreign		CTT		Б		
			SH	, , , , , , , , , , , , , , , , , , ,	SH	SH	OH	F	SH	SH
	number		SL	Alpha	SL	SL	OL	-	SL	SL
	unknown n	oun	SN	Number	SN	SN	ON	-	SN	SN
	unknown v	erb	NF		NF	-		-	ZN	
			NV	Unknown	NV	-	UN	-	ZV	UN
			Clikilowil							
EIC.			NA		NA	-		-	ZZ	
	consonant/v		-	KoreanParticle	-	-	-	-	SWK	-
	hashtag	-	Hashtag	-	-	-	-	-	W_HASHT/	
	user nam		-	ScreenName	-	-	-	-	-	W_MENTIC
	email	-	Email	-	-	-	-	-	W_EMAIL	
			Lindii		-		-	-	1 17_DIVI/AIL	
	url		-	URL	-	-	-	-	-	W_URL

Table 7: Tag sets of Sejong Project and seven Korean tokenizers.

# **D** Meta-Evaluation



Figure 5: The Pearson correlation on the segment level: concerning the meta-level



Figure 6: The Pearson correlation on the segment level: concerning the morpheme level



Figure 7: The Pearson correlation on the corpus level: concerning the meta-level



Figure 8: The Pearson correlation on the corpus level: concerning the morpheme level