# Lifelong Learning of Topics and Domain-Specific Word Embeddings

Xiaorui Qin, Yuyin Lu, Yufu Chen, Yanghui Rao\*

School of Computer Science and Engineering, Sun Yat-sen University, Guangzhou, China qinxr5@mail2.sysu.edu.cn, luyy37@mail2.sysu.edu.cn, chenyf66@mail2.sysu.edu.cn, raoyangh@mail.sysu.edu.cn

Abstract

Lifelong topic models mainly focus on indomain text streams in which each chunk only contains documents from a single domain. To overcome data diversity of the in-domain corpus, most of the existing methods exploit the information from limited sources in a separate and heuristic manner. In this study, we develop a lifelong collaborative model (LCM) based on non-negative matrix factorization to accurately learn topics and domain-specific word embeddings. LCM particularly investigates: (1) developing a knowledge graph based on the semantic relationships among words in the lifelong learning process, so as to accumulate global context information discovered by topic models and local context information reflected by context word embeddings from previous domains, and (2) developing a subword graph based on byte pair encoding and pairwise word relationships to exploit subword information of words in the current in-domain corpus. To the best of our knowledge, we are the first to collaboratively learn topics and word embeddings via lifelong learning. Experiments on real-world in-domain text streams validate the effectiveness of our method.

#### 1 Introduction

Lifelong learning (Silver, 2011; Mitchell et al., 2015), which accumulates and maintains the past knowledge to help future learning in an endless manner, has attracted considerable attention in topic modeling (Chen et al., 2020b; Gupta et al., 2020). Most lifelong topic models (Chen and Liu, 2014b; Chen, 2015; Wang et al., 2016) focus on the corpus that only contains text from a single domain, dubbed the in-domain corpus (Xu et al., 2018). This is because in-domain corpora are widespread in real-world applications, such as breaking news and tweets related to a specific topic (domain). The

key to the success of a lifelong topic model within in-domain corpora is based on a precondition that prior topical information of previous domains can be fully exploited to guide meaningful learning in the new coming domain (Chen and Liu, 2014b). However, because the in-domain corpus is typically of limited size (Xu et al., 2018), it is insufficient for the existing methods to train coherent topics.

To alleviate the lack of global context in a corpus, one simple solution for topic models is to incorporate general-purpose pre-trained word embeddings (Das et al., 2015; Xun et al., 2016, 2017b; Dieng et al., 2020). Although the general-purpose embeddings can provide some useful information for words within the in-domain corpus, their embedding representations may not be ideal for the target domain and in some cases they may even conflict with the meanings of the words in the task domain because words often have multiple senses or meanings (Xu et al., 2018). Another solution trains topics and word embeddings jointly in the one-shot learning scenario (Xun et al., 2017a; Dieng et al., 2020). Such a unified method prevents relying on the external embedding corpus that is not always closely aligned with the domain task, because the model can learn domain-specific word embeddings by itself. Unfortunately, the aforementioned models are conducted on collected documents without the guidance of any prior knowledge. Besides, they all treat words as atomic units, which may not perform well on the in-domain corpus with relatively few words.

In light of these considerations, we aim to generate coherent topics and domain-specific word embeddings jointly by a lifelong process. On the one hand, domain-specific word embeddings tend to offer more accurate complementary information to lifelong topic modeling than pre-trained embeddings. On the other hand, we alleviate the lack of global and local context information within in-

<sup>\*</sup>The corresponding author.

domain corpora by exploiting subwords (Pinter et al., 2017). Both the topical and subword information are leveraged in our knowledge-based learner to generate better domain-specific word embeddings. To achieve this, we propose a lifelong collaborative model  $(LCM)^1$  by coordinating global context, local context, and subword information. First, our LCM maintains a knowledge graph based on word relationships to accumulate the past knowledge learned from previous domains, which exploits from both the global word-document matrix and the local word co-occurrence matrix. Second, we develop a subword graph from the current in-domain corpus to capture extra information of words. We use non-negative matrix factorization (NMF) as our framework, which is an effective method of mining latent text semantics with great flexibility in transforming prior knowledge into regulations (Lee and Seung, 1999; Chen et al., 2015, 2020b) and it gives sparseness to matrices with interpretability (Hoyer, 2004). The main contributions of this study can be summarized as follows:

- We propose a lifelong learning method to jointly generate topics and word embeddings over in-domain text streams. To the best of our knowledge, we are the first to collaboratively learn topics and domain-specific word embeddings through a lifelong process.
- We incorporate local context information and subword information into lifelong topic modeling, which can alleviate the lack of global context information when the target dataset is relatively small.
- In lifelong word embedding learning, we leverage the topical and subword information to help generate better domain-specific embeddings for down-stream learning tasks.

# 2 Related Work

Topic modeling (Deerwester et al., 1990; Hofmann, 1999; Blei et al., 2003) and word embedding learning (Mikolov et al., 2013a,b) are two of the most important tasks in natural language processing. The former task aims to discover the latent semantic structure of documents based on the global context, while the latter one follows the distributional hypothesis that words occurring in similar local contexts tend to have similar syntactic and semantic properties (Harris, 1954). The traditional topic and word embedding learning models are based on isolated learning, i.e., a one-shot task learning, thus they lack ability to continually learn from incrementally available data.

Lifelong Topic Modeling. A lifelong topic model (Chen and Liu, 2014b; Chen, 2015; Wang et al., 2016), as a typical example of lifelong machine learning, is gaining more and more research interests than traditional one-shot deal that conducts a topic model on collected documents just for once (Chen et al., 2020b). Lifelong topic models inherit three key characteristics in lifelong machine learning, i.e., continuous tasks, knowledge accumulation and maintenance, and a knowledge-based learner that can leverage the past knowledge to help future learning in a never-ending manner. Furthermore, lifelong topic modeling is mainly applied to in-domain corpora where each chunk only contains text from a single domain.

Based on NMF, Chen et al. (2020b) proposed a lifelong topic model named NMF-LTM. However, the above method only considers the most important 10 words under every topic while ignores other non-top words, i.e., most words in the vocabulary. This problem will be more serious if the vocabulary size is large. Besides, NMF-LTM may perform poorly for other downstream tasks, because it can only capture the information of limited words in sentences. Finally, NMF-LTM only mines word relationships from the perspective of global (topical) information, which is inadequate within in-domain corpora. Considering the limited global context in the new coming corpus, Gupta et al. (2020) incorporated general-purpose pre-trained word embeddings as complementary to topics into the knowledge base for lifelong learning. Unfortunately, the above method required that the dimension of word embeddings being equal to the number of topics and each dimension of word embeddings corresponding to a topic. This violates the complementary but different points of view, i.e., the global viewpoint and the local viewpoint, for topic models and word embedding models (Xun et al., 2017a). This model optimizes a topic-word matrix, in which each row represents the word distribution of a topic and each column represents the embedding of a word. Each dimension of the word embedding learned by this model implied the possibility of the word occurring in the corresponding topic. However, word embeddings contain many other features that cannot be captured by global (context) information, e.g., the syntactic feature.

<sup>&</sup>lt;sup>1</sup>https://github.com/XiaoruiQ/LCM

Word Embedding Learning. Lifelong learning has also been adopted to train domain-specific word embeddings, which fills the gap between generalpurpose embeddings trained on large-scale corpora and the topic (domain) of the down-stream task. For example, Xu et al. (2018) first developed a meta-learner to expand the new in-domain corpus by measuring the content similarity of past domains and the new domain. Then, they generated word embeddings for the new domain using the combined data. However, this method only considered the local context information from past domains, which is inadequate to capture the polysemous nature of words. As an illustration, apple is one of polysemous words that is topically contextualized by several domains, i.e., product line, operating system, and fruit (Gupta et al., 2020).

#### **3** Lifelong Collaborative Model

In this section, we detail the proposed LCM for jointly learning topics and domain-specific word embeddings in a lifelong process. The topical information and local context from previous domains, and a subword graph constructed from the current in-domain corpus are exploited in LCM to guide future tasks.

#### 3.1 Problem Formalization

Given a stream of document chunks  $\{\mathcal{DOC}_t\}_{t=1}^T$ accumulated in an endless manner  $(T = +\infty)$ , we aim to jointly generate topics and domain-specific word embeddings when each chunk only contains text from a single domain. At any time point, our LCM deals with the current document chunk, e.g.,  $\mathcal{DOC}_t$ , by leveraging the past knowledge learned from the previous document chunks, i.e.,  $\mathcal{DOC}_1$ ,  $\mathcal{DOC}_2$ , ...,  $\mathcal{DOC}_{t-1}$ . Table 1 lists the notations used in this paper. We use bold uppercase letters such as  $D_t$  to represent matrices, regular uppercase letters such as M to represent scalar constants, and regular lowercase letters such as  $\lambda_v$  to represent scalar variables.

Notation	Description
	Word-document matrix at the current moment Word-topic matrix at the current moment Topic-document matrix at the current moment Word co-occurrence matrix at the current moment Word embedding matrix at the current moment Context word embedding matrix at the current moment
	The number of words The number of documents The number of topics The dimension of word embeddings

Table 1: Frequently used notations.

# **3.2** Objective Function

Figure 1 illustrates the architecture of our LCM, which processes in-domain text streams through a knowledge-based learner. Formally, the objective function of LCM is defined as follows:

$$L = \|\boldsymbol{D}_t - \boldsymbol{U}_t \boldsymbol{V}_t\|_F^2 + \|\boldsymbol{X}_t - \boldsymbol{B}_t \boldsymbol{C}_t^T\|_F^2 + \Upsilon(\boldsymbol{V}_t) + \Psi(\boldsymbol{U}_t) + \Phi(\boldsymbol{C}_t) + \Omega(\boldsymbol{B}_t),$$
  
s.t.  $\boldsymbol{U}_t \ge 0, \boldsymbol{V}_t \ge 0, \boldsymbol{B}_t \ge 0, \boldsymbol{C}_t \ge 0.$  (1)

It is noteworthy that we constrain the nonnegativity of  $B_t$  and  $C_t$  to learn sparse interpretable word embeddings (Murphy et al., 2012; Luo et al., 2015), so as to capture the polysemous nature of words (Panigrahi et al., 2019). With non-negativity constraints, words are represented by limited dimensions (Murphy et al., 2012). All words that have positive values under specific dimensions may share a common characteristic, which enhances the interpretability of word embeddings and helps capture the polysemous nature.

The first term of our objective function aims to factorize the global word-document matrix  $D_t$  into the word-topic matrix  $U_t$  and the topic-document matrix  $V_t$ , and the interpretability of  $U_t$  and  $V_t$ is ensured by their non-negativity. For the local context information, Levy and Goldberg (2014) have proved that the Skip-Gram model with negative sampling (SGNS) is implicitly factorizing a positive pointwise mutual information word cooccurrence matrix shifted by a constant offset. Accordingly, we use the shifted positive pointwise mutual information matrix as our word co-occurrence matrix  $X_t$  and decompose it into the word embedding matrix  $B_t$  and the context word embedding matrix  $C_t$ , as presented in the second term. Given a hyperparameter  $\lambda_v$ , the sparsity constraint on  $V_t$ is introduced as the third term  $\Upsilon(\mathbf{V}_t) = \lambda_v \|\mathbf{V}_t\|_1$ . This ensures that each document covers limited topics (Chen et al., 2020b). The sparsity of topics encourages interpretable topics (Card et al., 2018), which corresponds with the tuition that a document usually focuses on several salient topics instead of covering a wide variety of topics (Lin et al., 2019). Although NMF has given sparseness to  $V_t$ , a more direct control over such properties of the representation is still needed (Hover, 2004). The rest terms  $\Psi(\boldsymbol{U}_t), \Phi(\boldsymbol{C}_t), \text{ and } \Omega(\boldsymbol{B}_t) \text{ are the constraints on }$ matrices  $U_t$ ,  $C_t$ , and  $B_t$ , which will be described in sections 3.2.3-3.2.5, respectively.



**Highly Relevant Word Pairs** 

Figure 1: Lifelong Collaborative Model (LCM)

#### **3.2.1** Knowledge Graph ( $\mathcal{KG}$ )

LCM uses relationships between words as the representations of our  $\mathcal{KG}$  to maintain knowledge of past domains to help with the current in-domain task.  $\mathcal{KG}$  accumulates the knowledge of past domains from two sources of information, i.e., the global context information mined by topic models and the local context information reflected by context word embeddings. As shown in Figure 1, the output of LCM contains the word-topic matrix  $U_t$ , the topic-document matrix  $V_t$ , the word embedding matrix  $B_t$ , and the context word embedding matrix  $C_t$ .  $\mathcal{KG}$  fuels the global context and local context information with the help of  $U_t$  and  $C_t$ , as follows.

For the global context information, we use the inner product to measure similarities between topic distributions of words in  $U_t$ . For each word  $w_i$  in the current vocabulary of  $D_t$ , we find topT words  $w_j$  (j = 1, 2, ..., T), whose topic distributions of all topics are most similar to  $w_i$ . Each  $w_j$  and  $w_i$  are seen as word pairs that reflect the relationship from the global context information, i.e., the topical information. After finding topT related words of each word, all the word pairs are accumulated and de-duplicated. Following (Chen et al., 2020b), we set the weight of each word pair  $(w_i, w_j)$  to 1.

Regarding the local context information, we use the inner product to measure similarities between context word embeddings of words in  $C_t$ . For each word  $w_i$  in the current vocabulary of  $D_t$ , we find *topT* words whose context word embeddings are most similar to this word. All word pairs represent the relationship from the perspective of local context information and their weights are set to  $\eta$  after de-duplication, where  $\eta$  adjusts the weight relationship between global context information and local context information.

Then, we accumulate the word pairs from these two sources to fuel the information of global context and local context. It is worth noting that if a word pair  $(w_i, w_j)$  appears simultaneously in the two kinds of word pairs, its weight is recorded as  $1 + \eta$ . De-duplication is not required here, because the relationship between two words related in both global context and local context is closer than that of two words only related in one kind of source information. We use  $\mathcal{J}_t$  to denote all the related word pairs in the current in-domain corpus, and  $\mathcal{J}_t$ is defined as:

$$\mathcal{J}_t = \{ (W_k)_{ij} \},\tag{2}$$

where  $(W_k)_{ij}$  represents the weight of the word pair  $(w_i, w_j)$  in  $\mathcal{KG}$ . Finally,  $\mathcal{KG}$  is updated as follows:

$$\mathcal{KG}_t = \mathcal{KG}_{t-1} + \mathcal{J}_t. \tag{3}$$

## **3.2.2** Subword Graph (SG)

To incorporate subword information, LCM uses a subword graph (SG) to store relationships between words in  $D_t$  from the perspective of subword information. The motivation of introducing SG is to capture more information from the structures of words themselves as the complement for global and local contexts (Bojanowski et al., 2017; Pinter

et al., 2017). Many in-domain text streams contain a high proportion of rare words with low word frequencies, e.g., proper nouns in specific domains, which cannot be adequately reflected by context due to the low frequencies. Note that SG only mines the subword information of the current indomain corpus since subwords are only related to domain-independent structures of words.

A typical word in English is composed by three kinds of subword units, i.e., the word root, the prefix, and the suffix<sup>2</sup>. Word roots and prefixes determine the meaning of words, while suffixes determine the syntactic-related part of speech. We adopt byte pair encoding (BPE) (Sennrich et al., 2016), which can implicitly match these morpheme boundaries, to conduct subword segmentation. We also compare this segmentation method with character *n*-gram features (Bojanowski et al., 2017) in experiments. For every word pair, the number of shared subword units between them are recorded as the weight. In the current in-domain corpus,  $SG_t$  is defined as:

$$\mathcal{SG}_t = \{ (W_s)_{ij} \},\tag{4}$$

where  $(W_s)_{ij}$  represents the weight of the word pair  $(w_i, w_j)$  in  $SG_t$ .

#### **3.2.3** Constraint on $U_t$

Before introducing  $\Psi(U_t)$ , we first construct the word-word relationship matrix  $oldsymbol{K}_{t-1} \in R^{M imes M}$ from  $\mathcal{KG}_{t-1}$  to represent the closeness of relationships between words. In  $\mathcal{KG}_{t-1}$ , we select all of the word pairs in which both of the two words occurred in the current vocabulary of  $D_t$ . Only these words contribute to the current in-domain task on  $D_t$ , and all diagonal elements of  $K_{t-1}$  are 1.  $R_k$ , which represents the threshold ratio for  $\mathcal{KG}$ , is used to select the "close" relationships between words. For two words  $w_i$  and  $w_j$ , if the corresponding pair  $(w_i, w_j)$  occurred in the selected word pairs in  $D_t$ mentioned above, the value of  $k_{ij}$  will be determined by the threshold ratio  $R_k$ . If the weight of  $(w_i, w_j)$ , i.e.,  $(W_k)_{ij}$ , is greater than or equal to the max weight of all the word pairs in  $D_t$  multiplied by  $R_k$ , then  $k_{ij} = \frac{(W_k)_{ij}}{max(W_k)}$ . If it is less than the max weight multiplied by  $R_k$  or  $w_i$  and  $w_j$  are not connected in  $\mathcal{KG}_{t-1}$ , then  $k_{ij} = 0$ . In the above,  $R_k$  helps to select word pairs with relatively large weights. Although wrong connections between some word pairs are kept in our  $\mathcal{KG}$ , the weights of them cannot be large enough, because the max weight of  $\mathcal{KG}$  becomes larger and larger over time. These pairs will not be chosen to participate in constraints of matrices. In summary,  $K_{t-1}$  is calculated as follows:

$$k_{ij} = \begin{cases} 1, & i = j \\ \frac{(W_k)_{ij}}{max(W_k)}, & (W_k)_{ij} \ge R_k max(W_k) \\ 0, & otherwise. \end{cases}$$
(5)

The word-word relationship regularization based on  $\mathcal{KG}$  for  $\Psi(U_t)$  holds that the topic distributions of words that are closely related in  $\mathcal{KG}$  would be more similar than those have no connection in  $\mathcal{KG}$ . We use the Graph Laplacian (Dai et al., 2020) as the first part of  $\Psi(U_t)$  to depict that under each topic in  $U_t$ , the closer two words are connected in  $\mathcal{KG}$ , the closer their probabilities are. The second part of  $\Psi(U_t)$  is the diversity regularization to reduce the overlapping of topics, i.e., to improve the topic uniqueness (Nan et al., 2019). Accordingly,  $\Psi(U_t)$ is defined as follows:

$$\Psi(\boldsymbol{U}_t) = \lambda_{u1} tr(\boldsymbol{U}_t^T \boldsymbol{H}_{t-1} \boldsymbol{U}_t) + \lambda_{u2} \left\| \boldsymbol{U}_t^T \boldsymbol{U}_t - \boldsymbol{I}_K \right\|_F^2.$$
(6)

In the above,  $\lambda_{u1}$  and  $\lambda_{u2}$  are hyperparameters.  $H_{t-1} = diag(K_{t-1} \cdot 1) - K_{t-1}$  represents the Graph Laplacian of  $K_{t-1}$ , where 1 represents a column vector in which all of the elements are 1, and  $diag(K_{t-1} \cdot 1)$  represents the matrix with the vector  $K_{t-1} \cdot 1$  as diagonal elements.  $I_K$  is an identity matrix of order  $K \times K$ .

#### **3.2.4** Constraint on $C_t$

 $\mathcal{KG}$ , which fuels the information of global context and local context from previous domains, is constructed with the help of  $U_t$  and  $C_t$ . It also contributes to both the two matrices on their constraints. For  $\Phi(C_t)$ , we use  $K_{t-1}$  to introduce the word-word relationship regularization. It depicts that context embeddings of words that are closely related in  $\mathcal{KG}$  would be more similar than those have less connection in  $\mathcal{KG}$ . Specifically, under each dimension in  $C_t$ , the closer two words are connected in  $\mathcal{KG}$ , the closer their representations are.  $\Phi(C_t)$  is calculated as follows:

$$\Phi(\boldsymbol{C}_t) = \lambda_c tr(\boldsymbol{C}_t^T \boldsymbol{H}_{t-1} \boldsymbol{C}_t), \quad (7)$$

where  $\lambda_c$  is a hyperparameter.

<sup>&</sup>lt;sup>2</sup>https://en.wikipedia.org/wiki/Root\_ (linguistics)

#### **3.2.5** Constraint on $B_t$

The first part of  $\Omega(\boldsymbol{B}_t)$  is similar to the word-word relationship regularization for  $\Psi(\boldsymbol{U}_t)$  and  $\Phi(\boldsymbol{C}_t)$ . It holds that embeddings of words that are closely related in  $\mathcal{SG}$  would be more similar than those have less connection in  $\mathcal{SG}$ . We also use the Graph Laplacian to depict that under each dimension in  $\boldsymbol{B}_t$ , the closer two words are connected in  $\mathcal{SG}$ , the closer their representations are. A word-word relationship matrix  $\boldsymbol{S}_t \in R^{M \times M}$  is constructed from  $\mathcal{SG}_t$ , as follows:

$$s_{ij} = \begin{cases} 1, & i = j \\ \frac{(W_s)_{ij}}{max(W_s)}, & (W_s)_{ij} \ge R_s max(W_s) \\ 0, & otherwise, \end{cases}$$
(8)

where  $(W_s)_{ij}$  represents the weight of pair  $(w_i, w_j)$ in  $SG_t$ , and  $R_s$  denotes the threshold ratio of SG.  $R_s$  helps exclude and ignore wrong connections in SG. In addition, the cooperation of  $\mathcal{KG}$  and SG can further reduce the influence of unimportant edges. For example, a connection that is only selected by  $R_k$  may not be as important as the connection that is selected by both of  $R_k$  and  $R_s$  simultaneously.

The second part is a sparsity constraint on  $B_t$ , which depicts that each word only has features of a limited number, because we aim to learn sparse representations so that the generated domain-specific word embeddings are more interpretable.

Finally,  $\Omega(\boldsymbol{B}_t)$  is defined as follows:

$$\Omega(\boldsymbol{B}_t) = \lambda_{b1} tr(\boldsymbol{B}_t^T \boldsymbol{N}_t \boldsymbol{B}_t) + \lambda_{b2} \|\boldsymbol{B}_t\|_1, \quad (9)$$

where  $\lambda_{b1}$  and  $\lambda_{b2}$  are hyperparameters.  $N_t = diag(S_t \cdot 1) - S_t$  is the Graph Laplacian of  $S_t$ .

#### 3.3 Alternately Iterative Algorithm

We develop an alternately iterative algorithm to achieve a good compromise between ease of implementation and speed. Take  $B_t$  as an example, we first calculate the derivative of the objective function L on  $B_t$  as follows:

$$\frac{\partial L}{\partial \boldsymbol{B}_{t}} = -2\boldsymbol{X}_{t}\boldsymbol{C}_{t} + 2\boldsymbol{B}_{t}\boldsymbol{C}_{t}^{T}\boldsymbol{C}_{t} + 2\lambda_{b1}diag(\boldsymbol{S}_{t}\cdot\boldsymbol{1})\boldsymbol{B}_{t} - 2\lambda_{b1}\boldsymbol{S}_{t}\boldsymbol{B}_{t} + \lambda_{b2}\cdot\boldsymbol{1}\cdot\boldsymbol{1}^{T}.$$
(10)

Based on the derivative of L on  $B_t$ , the updating rule for  $B_t$  is given below:

$$\boldsymbol{B}_t \leftarrow \boldsymbol{B}_t \circ \frac{\boldsymbol{X}_t \boldsymbol{C}_t + \lambda_{b1} \boldsymbol{S}_t \boldsymbol{B}_t}{\boldsymbol{B}_t \boldsymbol{C}_t^T \boldsymbol{C}_t + J(\boldsymbol{B}_t)}, \qquad (11)$$

where  $J(B_t) = \lambda_{b1} diag(S_t \cdot 1)B_t + \frac{\lambda_{b2}}{2} \cdot 1 \cdot 1^T$ . Note that  $U_t$ ,  $V_t$ ,  $C_t$ , and  $B_t$  always satisfy the non-negativity because they are updated in this multiplication form. Due to the limited space, we provide the updating rules for matrices  $U_t$ ,  $V_t$ , and  $C_t$ , the parameter inference process, the theoretical proof of the algorithmic convergence, and the time complexity analysis in Appendices A-D.

#### 3.4 Model Scalability

Our model has a good scalability due to the "divideand-conquer" strategy. First, we partition the large corpus into several small document chunks that belong to different domains, and we only decompose matrices of one chunk at any time. Second, we use sparse matrices to store  $\mathcal{KG}$  and  $\mathcal{SG}$ , thus they are scalable and can be processed fast. Third, when facing a large single domain corpus in text streams, we can partition it into small sub-domain corpora and process one corpus at each time.

#### 4 **Experiments**

#### 4.1 Dataset

We evaluate our LCM on the real-world Amazon Review dataset<sup>3</sup> (McAuley et al., 2015; He and McAuley, 2016) from 28 departments (i.e., the firstlevel category). Following (Xu et al., 2018), we consider all the reviews under each second-level category as a domain. Each domain has several third-level categories, which will be used for all down-stream tasks and model evaluation. We randomly select 9 in-domain corpora to carry out experiments. Table 2 summarizes the characteristics of the selected 9 corpora, i.e., domain names, numbers of reviews and labels, the average text lengths, and vocabulary sizes. The selected corpora are preprocessed by eliminating stop-words and words with frequency (in the total reviews from 9 domains) lower than 15. Also, reviews with less than 20 words are removed.

Note that we choose the above dataset instead of other datasets for lifelong topic modeling (Gupta et al., 2020) since we focus on in-domain corpora with rare overlaps, in which, documents share few common information. For completeness, we also shuffle these 7 training domains randomly and show the results under different permutations in Appendix E.

<sup>&</sup>lt;sup>3</sup>http://snap.stanford.edu/data/ web-Amazon.html

Domain Name	#Docs	#Labels	Length	Vocab
Safety Signs & Signals	11,298	8	32.12	16,090
Pet Behavior Center	7,450	5	43.34	14,301
SIM Cards & Prepaid Minutes	13,872	4	42.29	16,726
Horses	13,571	11	35.98	19,608
Video	10,088	5	76.35	23,196
Keyboards & MIDI	15,585	3	59.09	24,703
Characters & Series	16,663	6	67.77	35,393
Science Education	7,975	13	38.05	18,107
Cult Movies	15,252	13	83.25	47,668

#### 4.2 Experimental Setting

We simulate an endless lifelong learning process using the 9 corpora. Word-word relationships in the knowledge graph are gradually accumulated on the first 7 domains. With the help of this knowledge graph, we conduct experiments on the last 2 domains and compare the model performance on both lifelong topic modeling and domain-specific word embedding learning.

We randomly select 5% reviews from "Cult Movies (CM)" for validation. To build the knowledge graph for the validation set, 5% reviews from the first 7 corpora are sampled and reviews from these 8 small-scale in-domain corpora also construct a stream of document chunks. The idea of grid search (Fayed and Atiya, 2019) is used to select the best parameters for each metric. We provide our hyperparameters search space for grid search in Appendix F. The remaining 95% reviews of the first 7 domains construct the training set. All reviews from "Science Education (SE)" and the remaining 95% documents from CM are used as the testing set.

#### 4.3 Baselines

For lifelong topic modeling, we compare our method with the following baselines: LDA-LTM<sup>4</sup> (Chen and Liu, 2014b), NMF-LTM (Chen et al., 2020b), and LNTM<sup>5</sup> (Gupta et al., 2020). For word embedding learning, we adopt Fast-Text<sup>6</sup> (Bojanowski et al., 2017), L-DEM (Xu et al., 2018), SPINE<sup>7</sup> (Subramanian et al., 2018), and Word2Sense<sup>8</sup> (Panigrahi et al., 2019). To the best of our knowledge, L-DEM is the only work focuses on lifelong learning of domain-specific word embedding. Following (Xu et al., 2018), we train other baselines in two ways for evaluation, i.e., only on the new in-domain corpus, and on the total document set by fusing the new corpus and all corpora from the previous domains. We implement NMF-LTM and L-DEM by Python according to the original papers. For the sake of fairness, the parameters of all baselines<sup>9</sup> are selected on the validation set in the same experimental setting as LCM.

#### 4.4 Evaluation Metrics

Suggested by (Lau et al., 2014; Chen and Liu, 2014a,b; Wang et al., 2016; Isonuma et al., 2020), we use the normalized pointwise mutual information (NPMI) (Aletras and Stevenson, 2013) score, which closely matches human judgments, to measure the coherence of representative words of topics generated by lifelong topic models. Following (Chen et al., 2020b), top 20 words of each topic are used for calculation. Considering that it is important to discover discriminative topics, we also adopt the topic uniqueness (TU) score (Nan et al., 2019) to measure the diversity of topics. In addition, the sparsity score of the document-topic distribution (TS-U) and the topic-word distribution (TS-V) proposed by Lin et al. (2019) is further used to measure the topic sparsity quantitatively. Particularly, we use 1e-20 as the threshold to count the number of zero values in document-topic and topicword distributions. Only values that are smaller than 1e-20 can be set to zero. Although several studies (Chang et al., 2009; Newman et al., 2010) stated that the perplexity is unable to reflect the real semantic coherence of topics and even negatively correlated with human judgements, we show this metric of each model for completeness.

As domain-specific dictionaries are relatively small and meanwhile may contain some uncommon words, it is inappropriate to evaluate domainspecific word embeddings in traditional ways, e.g., calculating the word similarity. Following (Xu et al., 2018), we build a down-stream text classification task to evaluate domain-specific word embeddings generated by different models. The two testing sets, i.e., SE and CM, are used for text classification with their third-level categories as classification labels. For each review, we use the average embedding of all of the words as its feature vector to train a SVM classifier (Bayot and Gonçalves, 2016; Qin and Wang, 2009). We use

<sup>&</sup>lt;sup>4</sup>https://github.com/czyuan/LTM

<sup>&</sup>lt;sup>5</sup>https://github.com/pgcool/

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<sup>&</sup>lt;sup>6</sup>https://github.com/bamtercelboo/ cw2vec

<sup>&</sup>lt;sup>7</sup>https://github.com/harsh19/SPINE <sup>8</sup>https://github.com/

abhishekpanigrahi1996/Word2Sense

<sup>&</sup>lt;sup>9</sup>The search scope of each parameter that is different with our method is obtained from the original papers.

Method		Science Education (SE)				Cult Movies (CM)				
	NPMI↑	TU↑	TS-U↑	TS-V↑	Perplexity↓	NPMI↑	TU↑	TS-U↑	TS-V↑	Perplexity↓
LDA-LTM LNTM NMF-LTM LCM	-0.0201 -0.3855 -0.0453 <b>0.0012</b>	<b>0.6620</b> 0.3610 0.3940 0.5940	0.0000 0.0000 0.2012 <b>0.7782</b>	0.0000 0.0000 0.1725 <b>0.5526</b>	9081.5853 9031.3161 <b>8564.4900</b> 8706.1200	0.0509 -0.2072 0.0386 <b>0.0633</b>	0.6060 0.4160 0.2660 <b>0.6340</b>	0.0000 0.0000 0.1125 <b>0.6781</b>	0.0000 0.0000 0.0099 <b>0.4466</b>	14191.7899 14057.3928 <b>10870.6600</b> 13040.8700

Table 3: Performance comparison of lifelong topic models. For all metrics, " $\downarrow$ " after the metric indicates smaller is better while " $\uparrow$ " indicates larger is better. The best performance on each measure is highlighted by boldface.

accuracy to evaluate the effectiveness of word embeddings on the down-stream text classification task as in (Xu et al., 2018).

#### 4.5 Result Comparison

#### 4.5.1 Lifelong Topic Modeling

Table 3 shows the performance of different models on the lifelong topic discovery task, from which we can observe that LCM performs the best or the second best on each measure.

For the baselines, NMF-LTM achieves the best perplexity while almost the poorest TU. As mentioned in (Burkhardt and Kramer, 2019), there is a tradeoff between perplexity and TU in some cases, which means that models generating a lot of redundant topics may have a meaningless low perplexity. The reason of obtaining a low TU for NMF-LTM may be that it enforces documents within the same class would have more similar topic distributions, which is unsuitable to handle in-domain text streams since all documents in the in-domain corpus come from one class. This also influences its sparsity. For example, a document only has non-zero values for 10 topics while another document from the same class has non-zero values for another 10 topics. To get similar, they may both become non-zero for 20 topics. LNTM faces the same problem because it does not constrain the diversity among topics explicitly. The coherence score of LNTM is also unsatisfactory. A possible reason is that it treats word embeddings as topic distributions of words, which deteriorates the local semantic information captured by context word embeddings. LNTM entirely neglected the sparsity of document-topic and topic-word distributions, thus its TS-U and TS-V are zero.

LDA-LTM has a main difference with the other models, i.e., it does not construct the in-domain text stream based on time series, but fuses all of the previous domains together and accumulates the knowledge from this large corpus to help with the current in-domain corpus. Even though it is difficult to compare LDA-LTM with other lifelong topic models fairly, our LCM performs better than LDA-LTM in most cases, because we can exploit the information from local context and subwords.

The qualitative analysis of topics generated by these models is provided in Appendix G.

#### 4.5.2 Word Embedding Learning

Figure 2 reports the accuracy of text classification using word embeddings with different dimensions. Note that sparse interpretable word embeddings always perform better when the embedding dimension is relatively large (Murphy et al., 2012). LCM performs the best in each testing set under each dimension, although some baselines (i.e., FastText, SPINE, and Word2Sense) are trained on the total 9 corpora and access more information without considering time series. L-DEM cannot learn high-quality embeddings because it needs a large amount of in-domain corpora to train its metalearner, which is not accessible in most applications. Compared to FastText that incorporates subword information, our word embeddings perform better on classification in all cases. One possible reason is that the global context provides LCM with extra information. Two sparse word embedding models, i.e., SPINE and Word2Sense, overemphasize the sparsity while ignore the quality for downstream tasks. LCM balances well between sparsity and quality with the help of global context and subwords. We evaluate sparsity and interpretability of word embeddings in Appendix H.

For completeness, we also replace the SVM classifier with a neural network classifier (Chen et al., 2020a) consisting of 3 fully-connected layers. The results are shown in Appendix I.

#### 4.5.3 Ablation Experiments

Take SE as an example, we report results of ablation experiments on NPMI, TU, and Accuracy in Table 4. "LCM- $\mathcal{KG}_{\mathcal{G}}$ ", "LCM- $\mathcal{KG}_{\mathcal{L}}$ ", and "LCM- $\mathcal{SG}$ " represent LCM without the participation of global context in  $\mathcal{KG}$ , local context in  $\mathcal{KG}$ , and subwords in  $\mathcal{SG}$ , respectively. Deleting each part leads to performance degradation, which validates the



Figure 3: Analysis on catastrophic forgetting. The *x*-axis shows the names of domains. For each model, the bottom line indicates metrics in the original lifelong learning process, while the top line shows the model performance with the help of  $\mathcal{KG}$  generated by 7 training sets and CM. The boxes with red and solid edges mark the better metric for each model in each domain, while boxes filled in red with underlined values mark the best metric in each domain among all models.

effectiveness of global context, local context, and subwords. Compared to the subword information,  $\mathcal{KG}$  contributes more to topic discovery and the local context information plays the most important role in word embedding learning. We replace BPE of  $\mathcal{SG}$  with character *n*-gram features (Bojanowski et al., 2017) in "LCM- $\mathcal{SG}_{\mathcal{BPE}}$ ", which indicates the effectiveness of BPE on capturing subwords.



Figure 2: Classification performance comparison of SVM classifiers with word embeddings generated by different methods. Models marked with "total" are conducted on the combination of 7 training sets and the current testing set. W2S represents Word2Sense.

Method	NPMI	TU	Accuracy
LCM- $\mathcal{KG}_{\mathcal{G}}$	-0.0099	0.5790	0.7283
$LCM-\mathcal{KG}_{\mathcal{L}}$	-0.0109	0.5740	0.7244
LCM-SG	-0.0076	0.5810	0.7288
$LCM-SG_{BPE}$	-0.0123	0.5800	0.7314
LCM	0.0012	0.5940	0.7360

Table 4: Results of ablation experiments.

#### 4.5.4 Analysis on Catastrophic Forgetting

Catastrophic Forgetting (Robins, 1995; Kirkpatrick et al., 2017), which is a big challenge for lifelong topic models, will not be a serious problem for LCM. The learning process of LCM only accumulates knowledge in  $\mathcal{KG}$ , and the model is trained on each in-domain corpus independently by following (Chen et al., 2020b). To further investigate the ability of LCM in avoiding catastrophic forgetting, we use the final updated  $\mathcal{KG}$  after CM to "go back" to help train the model on the 7 training set one by one. As LDA-LTM does not construct the in-domain text stream based on time series, we only take NMF-LTM and LNTM for comparison. In terms of NPMI and TU, Figure 3 shows that LCM has the best ability to alleviate catastrophic forgetting. For all domains, the latest  $\mathcal{KG}$  will not have a significant negative impact on LCM (i.e., the catastrophic forgetting is limited), and sometimes it even helps with the new task. For example, both NMF-LTM and LCM achieve better NPMI scores with the latest  $\mathcal{KG}$  in "SIM Cards & Prepaid Minutes". One possible reason is that later domains provide valuable information through  $\mathcal{KG}$ .

#### 5 Conclusions

In this work, we propose a lifelong collaborative model (LCM) for learning topics and domainspecific word embeddings. LCM deals with the new in-domain corpus by coordinating global and local context information from previous domains, and subword information from the current corpus. A knowledge graph based on word-word relationships is leveraged during the learning process. Experiments on real-world in-domain text streams demonstrated the superior performances of LCM. In the future, we plan to incorporate contextualized word representations into topic models (Bianchi et al., 2020, 2021) for alleviating collapsing of word senses and learning more coherent topics.

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# Appendices

# A Updating Rules for $U_t, V_t$ , and $C_t$

First, we transform the objective function L as:

$$\begin{split} L &= \|\boldsymbol{D}_{t} - \boldsymbol{U}_{t}\boldsymbol{V}_{t}\|_{F}^{2} + \|\boldsymbol{X}_{t} - \boldsymbol{B}_{t}\boldsymbol{C}_{t}^{T}\|_{F}^{2} \\ &+ \lambda_{v} \|\boldsymbol{V}_{t}\|_{1} \\ &+ \lambda_{u1}tr(\boldsymbol{U}_{t}^{T}\boldsymbol{H}_{t-1}\boldsymbol{U}_{t}) + \lambda_{u2} \|\boldsymbol{U}_{t}^{T}\boldsymbol{U}_{t} - \boldsymbol{I}_{K}\|_{F}^{2} \\ &+ \lambda_{c}tr(\boldsymbol{C}_{t}^{T}\boldsymbol{H}_{t-1}\boldsymbol{C}_{t}) \\ &+ \lambda_{b1}tr(\boldsymbol{B}_{t}^{T}\boldsymbol{N}_{t}\boldsymbol{B}_{t}) + \lambda_{b2} \|\boldsymbol{B}_{t}\|_{1} \\ = tr(\boldsymbol{D}_{t}^{T}\boldsymbol{D}_{t} - 2\boldsymbol{D}_{t}^{T}\boldsymbol{U}_{t}\boldsymbol{V}_{t} + \boldsymbol{V}_{t}^{T}\boldsymbol{U}_{t}^{T}\boldsymbol{U}_{t}\boldsymbol{V}_{t}) \\ &+ tr(\boldsymbol{X}_{t}^{T}\boldsymbol{X}_{t} - 2\boldsymbol{X}_{t}^{T}\boldsymbol{B}_{t}\boldsymbol{C}_{t}^{T} + \boldsymbol{C}_{t}\boldsymbol{B}_{t}^{T}\boldsymbol{B}_{t}\boldsymbol{C}_{t}^{T}) \\ &+ \lambda_{v}tr(\boldsymbol{1}^{T}\boldsymbol{V}_{t}\boldsymbol{1}) \\ &+ \lambda_{u1}tr\left(\boldsymbol{U}_{t}^{T}diag(\boldsymbol{W}_{t-1}\cdot\boldsymbol{1})\boldsymbol{U}_{t}\right) \\ &- \lambda_{u1}tr(\boldsymbol{U}_{t}^{T}\boldsymbol{W}_{t-1}\boldsymbol{U}_{t}) \\ &+ \lambda_{c}tr\left(\boldsymbol{C}_{t}^{T}diag(\boldsymbol{W}_{t-1}\cdot\boldsymbol{1})\boldsymbol{C}_{t}\right) \\ &- \lambda_{c}tr(\boldsymbol{C}_{t}^{T}\boldsymbol{W}_{t-1}\boldsymbol{C}_{t}) \\ &+ \lambda_{b1}tr\left(\boldsymbol{B}_{t}^{T}diag(\boldsymbol{S}_{t}\cdot\boldsymbol{1})\boldsymbol{B}_{t}\right) \\ &- \lambda_{b1}tr(\boldsymbol{B}_{t}^{T}\boldsymbol{S}_{t}\boldsymbol{B}_{t}) \\ &+ \lambda_{b2}tr(\boldsymbol{1}^{T}\boldsymbol{B}_{t}\boldsymbol{1}) + const. \end{split}$$

Then, the updating rules for matrices  $U_t$ ,  $V_t$ , and  $C_t$  can be derived as follows:

$$egin{aligned} m{U}_t &\leftarrow m{U}_t \circ rac{m{D}_t m{V}_t^T + \lambda_{u1} m{W}_{t-1} m{U}_t + 2\lambda_{u2} m{U}_t}{m{U}_t m{V}_t m{V}_t m{V}_t^T + Z(m{U}_t)}, \ m{V}_t &\leftarrow m{V}_t \circ rac{m{U}_t^T m{D}_t}{m{U}_t^T m{U}_t m{V}_t + rac{\lambda_v}{2} \cdot m{1} \cdot m{1}^T}, \ m{C}_t &\leftarrow m{C}_t \circ rac{m{X}_t^T m{B}_t + \lambda_c m{W}_{t-1} m{C}_t}{m{C}_t m{B}_t^T m{B}_t + \lambda_c diag(m{W}_{t-1} \cdot m{1}) m{C}_t}. \end{aligned}$$

In the above,  $Z(U_t) = \lambda_{u1} diag(W_{t-1} \cdot 1)U_t + 2\lambda_{u2}U_tU_t^TU_t$ .

#### **B** Alternately Iterative Algorithm

The inference method of our LCM is shown in Algorithm 1.

#### C Convergence Analysis

In this section, we analyze the convergence of Algorithm 1.

**Theorem C.1.** Algorithm 1 is guaranteed to converge to a locally-optimal solution.

#### Algorithm 1 Alternately Iterative Algorithm

Input:  $\{\mathcal{DOC}_t\}_{t=1}^T (T \text{ can be infinite});$ Output:  $\{U_t\}_{t=1}^T, \{V_t\}_{t=1}^T, \{C_t\}_{t=1}^T, \{B_t\}_{t=1}^T.$ 1.  $\mathcal{KG}_0 = \emptyset;$ 2. for t = 1, 2, 3, ..., T do 3. Randomly initialize non-negative matri- $\cos U_t^{(0)}, V_t^{(0)}, C_t^{(0)}, B_t^{(0)};$ Construct  $S_t$  from  $SG_t$ ; 4. 5. Construct  $K_{t-1}$  from  $\mathcal{KG}_{t-1}$ ; Set the iteration number *i* to 0; 6. 7. repeat 8. i = i + 1;Compute  $\boldsymbol{B}_{t}^{(i)}, \ \boldsymbol{U}_{t}^{(i)}, \ \boldsymbol{V}_{t}^{(i)},$  and 9.  $C_t^{(i)}$ until convergence; 10. Compute  $\mathcal{KG}_t$  using Eq. (3). 11. 12. end

First, we prove the convergence of the update rule of  $B_t$  in Eq. (11).

**Definition C.1.** G(x, z) is an auxiliary function for F(x) if the following conditions are satisfied.

$$G(x,z) \ge F(x), G(x,x) = F(x)$$

**Lemma C.1.** If G is an auxiliary function, then F is non-increasing under the following update rule:

$$x^{t+1} = \arg\min_{x} G(x, x^t).$$
 (12)

Proof.

$$F(x^{t+1}) \le G(x^{t+1}, x^t) \le G(x^t, x^t) = F(x^t).$$

If we could prove that the updating rule of  $B_t$  confirms to Eq. (12) for an appropriate auxiliary function, we would conclude that  $B_t$  converges to a local minimum.

**Lemma C.2.** Let  $z = (\mathbf{B}_t)_{ij} > 0$ , G(x, z) is an auxiliary function for  $F(z) = L((\mathbf{B}_t)_{ij} = z)$ .

$$G(x,z) = F(z) + \frac{\partial F(z)}{\partial z}(x-z) + \frac{(x-z)^2}{z}$$
$$(\boldsymbol{B}_t \boldsymbol{C}_t^T \boldsymbol{C}_t + \lambda_{b1} diag(\boldsymbol{S}_t \cdot \boldsymbol{1}) \boldsymbol{B}_t$$
$$+ \frac{\lambda_{b2}}{2} \cdot \boldsymbol{1} \cdot \boldsymbol{1}^T)_{ij}.$$

*Proof.* Clearly, G(x, x) = F(x). Taylor expansion of F(x) is:

$$F(x) = F(z) + \frac{\partial F(z)}{\partial z}(x-z) + \frac{1}{2}\frac{\partial^2 F(z)}{\partial z^2}(x-z)^2.$$

In order to show G is an auxiliary function, we have to show  $G(x, z) \ge F(x)$ :

$$egin{aligned} & \left( m{B}_t m{C}_t^T m{C}_t + \lambda_{b1} diag(m{S}_t \cdot m{1}) m{B}_t + rac{\lambda_{b2}}{2} \cdot m{1} \cdot m{1}^T 
ight)_{ij} \ & z \ & \geq rac{1}{2} rac{\partial^2 F(z)}{\partial z^2}, \end{aligned}$$

where  $\frac{\partial^2 F(z)}{\partial^2 z} = 2(\boldsymbol{C}_t^T \boldsymbol{C}_t)_{jj} + 2\lambda_{b1}(diag(\boldsymbol{S}_t \cdot \mathbf{1}))_{ii} - 2\lambda_{b1}(\boldsymbol{S}_t)_{ii}.$ 

To prove the inequation, we first verify how the inequality holds on the first term:

$$\begin{aligned} \frac{(\boldsymbol{B}_{t}\boldsymbol{C}_{t}^{T}\boldsymbol{C}_{t})_{ij}}{(\boldsymbol{B}_{t})_{ij}} &= \frac{1}{(\boldsymbol{B}_{t})_{ij}}\sum_{k}(\boldsymbol{B}_{t})_{ik}(\boldsymbol{C}_{t}^{T}\boldsymbol{C}_{t})_{kj} \\ &= \frac{1}{(\boldsymbol{B}_{t})_{ij}}\sum_{k=j}(\boldsymbol{B}_{t})_{ik}(\boldsymbol{C}_{t}^{T}\boldsymbol{C}_{t})_{kj} \\ &+ \frac{1}{(\boldsymbol{B}_{t})_{ij}}\sum_{k\neq j}(\boldsymbol{B}_{t})_{ik}(\boldsymbol{C}_{t}^{T}\boldsymbol{C}_{t})_{kj} \\ &= \frac{1}{(\boldsymbol{B}_{t})_{ij}}(\boldsymbol{B}_{t})_{ij}(\boldsymbol{C}_{t}^{T}\boldsymbol{C}_{t})_{jj} \\ &+ \frac{1}{(\boldsymbol{B}_{t})_{ij}}\sum_{k\neq j}(\boldsymbol{B}_{t})_{ik}(\boldsymbol{C}_{t}^{T}\boldsymbol{C}_{t})_{kj} \\ &\geq (\boldsymbol{C}_{t}^{T}\boldsymbol{C}_{t})_{jj}. \end{aligned}$$

Similarly, we can get:

$$\lambda_{b1} (diag(\boldsymbol{S}_t \cdot \boldsymbol{1}) \boldsymbol{B}_t)_{ij} \geq \lambda_{b1} (diag(\boldsymbol{S}_t \cdot \boldsymbol{1}))_{ij}$$

Since  $\lambda_{b1}$ ,  $\lambda_{b2}$ , and each element in  $S_t$  are nonnegative, we have the above inequation. This establishes that G is an auxiliary function for F.  $\Box$ 

*Proof.* To show that Algorithm 1 converges (i.e., Theorem C.1), we need to show that update rule for  $B_t$  follows Eq. (12).  $\frac{\partial G(x,z)}{\partial x}$  is listed as follows:

$$\begin{aligned} \frac{\partial G(x,z)}{\partial x} = & (-2\boldsymbol{X}_t\boldsymbol{C}_t + 2\boldsymbol{B}_t\boldsymbol{C}_t^T\boldsymbol{C}_t \\ & + 2\lambda_{b1}diag(\boldsymbol{S}_t\cdot\boldsymbol{1})\boldsymbol{B}_t - 2\lambda_{b1}\boldsymbol{S}_t\boldsymbol{B}_t \\ & + \lambda_{b2}\cdot\boldsymbol{1}\cdot\boldsymbol{1}^T)_{ij} \\ & + \frac{x-z}{z}\cdot 2(\lambda_{b1}diag(\boldsymbol{S}_t\cdot\boldsymbol{1})\boldsymbol{B}_t \\ & + \boldsymbol{B}_t\boldsymbol{C}_t^T\boldsymbol{C}_t + \frac{\lambda_{b2}}{2}\cdot\boldsymbol{1}\cdot\boldsymbol{1}^T)_{ij}. \end{aligned}$$

Solving  $\frac{\partial G(x,z)}{\partial x} = 0$  for x, we get the update rule as mentioned in Eq. (11). Since G is the auxiliary function for F, the value of F is non-increasing. We can prove the convergence of update rules for  $U_t$ ,  $V_t$ , and  $C_t$  similarly. Thus, Algorithm 1 is guaranteed to converge to a local minimum.  $\Box$ 

#### **D** Time Complexity Analysis

In this section, we analyze the time complexity of Algorithm 1. For updating matrices  $B_t$ ,  $U_t$ ,  $V_t$ , and  $C_t$ , the time complexity of one iteration is  $O((3E+1)M^2+(2E^2+3E)M), O((3E+1)M^2+$  $(2E^2 + 2E)M), O(MNK + 2M^2K + 3MK^2 +$  $NK^{2} + M^{2} + 2MK$ ), and O(3KMN + 3KN), respectively. Thus, the time complexity of each iteration is  $O(4MNK + (6E + 3)M^2 + (4E^2 +$  $5E)M+2M^{2}K+3MK^{2}+NK^{2}+2MK+3KN)$ for our method, which spends an extra time cost of  $O((6E+2)M^2 + (4E^2 + 5E)M - (2K+1)N^2)$ to learn word embeddings when compared with the previous NMF-based lifelong topic model, i.e., NMF-LTM (Chen et al., 2020b). Although the time complexity is proportional to M, we can alleviate the scalability issue simply. For example, if M (i.e., the vocabulary) of a single domain is too large, we can partition this domain into several small subdomain corpora. At each time, we only process matrices of one sub-domain, and M of each small sub-domain will not be too large.

As an illustration, it costs about 30 seconds per iteration for training LCM based on a workstation equipped with Intel(R) Xeon(R) CPU E5-2680 v3 @ 2.50 GHz, 8 cores and 128G memory. To achieve convergence, LCM costs about 1 hour to update  $B_t$ ,  $U_t$ ,  $V_t$ , and  $C_t$  for all domains in order. NMF-LTM costs about half an hour accordingly.

#### E Different Permutations of Training Domains

We shuffle training domains randomly for 5 times, and show the results under these different permutations in Table 5, which indicates that LCM is robust to domain permutations.

#### F Search Space of Hyperparameters

Table 6 shows the search space of hyperparameters for grid search in our LCM. To ensure a fair comparison with NMF-LTM (Chen et al., 2020b), topTin LCM is set to 5. For each word, our knowledge graph selects 5 most similar words from global and local context information, respectively. In other words, each word is connected to 10 similar words, which is equivalent to NMF-LTM. Note that for the topic number, we select 50 as topic numbers for all evaluations of LCM after validation. For fair comparison, we directly choose 50 as topic numbers for baselines and this is not a hyperparameter

Permutation	Science Education (SE)					Cult	Movies (CM)	
	NPMI↑	TU↑	Perplexity↓	Accuracy↑	NPMI↑	TU↑	Perplexity↓	Accuracy↑
1	-0.0072	0.5870	8708.0200	0.7207	0.0639	0.6340	13350.4800	0.8455
2	-0.0064	0.5840	8704.2400	0.7196	0.0570	0.6090	13420.5600	0.8461
3	-0.0106	0.5960	8701.5600	0.7196	0.0580	0.6170	13373.0800	0.8443
4	-0.0053	0.5880	8705.9500	0.7171	0.0524	0.6090	13369,4400	0.8467
5	-0.0013	0.5700	8744.0600	0.7135	0.0562	0.6030	13554.5300	0.8480

Table 5: Performance comparison of LCM within different permutations of the dataset. For all metrics, " $\downarrow$ " after the metric indicates smaller is better while " $\uparrow$ " indicates larger is better.

Hyperparameter	Search Space
$\lambda_v$	[0.001]
$\lambda_{u2} \\ \lambda_{b2}$	[0.5] [0.001, 0.1]
$\lambda_{w1}, \lambda_c, \lambda_{b1}$	[0.001, 0.1] [0.1, 1, 10]
$\lambda_{u1}, \lambda_c^2, \lambda_{b1}$ topT	[5]
$B \cdot \frac{\eta}{B}$	[0.1, 0.5, 1, 2, 10] [0.5, 0.6]
$R_k, R_s$ topic number	[50, 200]
word embedding dimension	[100, 300, 1500]
iterations	[100, 200]

Table 6: Hyperparameters' search space.

Hyperparameter	NPMI	TU	Perplexity	Accuracy
$\lambda_v$	0.001	0.001	0.001	0.001
$\lambda_{u2}$	0.5	0.5	0.5	0.5
$\lambda_{b2}^{}$	0.001	0.1	0.1	0.1
$\lambda_{u1}^{02}$	10	10	10	0.1
$\lambda_c^{\omega_1}$	10	0.1	10	10
$\lambda_{b1}$	0.1	1	10	1
topT	5	5	5	5
$\dot{\eta}$	1	1	2	1
$\vec{R_k}$	0.5	0.5	0.6	0.5
$R_s^{\kappa}$	0.6	0.6	0.6	0.5
topic number	50	50	50	50
word embedding dimension	1500	1500	1500	300
iterations	200	200	200	200

Table 7: Best hyperparameters on the validation set.

of baselines. We list the best hyperparameters on the validation set for different metrics in Table 7. We provide the search space of hyperparameters for grid search in all of our baselines and their corresponding best hyperparameters in our codes.

For hyperparameters, we first varied search spaces for sensitive analysis and observed that LCM was robust to most hyperparameters. Thus, we used final search spaces in Table 6. For completeness, we also show the variances of results under different hyperparameter values in Table 8. Take some hyperparameters in CM as examples, we vary each parameter when others are fixed, and compute the variances of NPMI and Accuracy, which indicates that LCM is robust to most hyperparameters.

## G Qualitative Analysis of Topics

Following (Chen et al., 2020b), we map the topics learned by LCM with ones by LDA-LTM (Chen and Liu, 2014b), LNTM (Gupta et al., 2020), and NMF-LTM (Chen et al., 2020b), respectively. Particularly, we represent each topic by its top 20

Hyperparameter	Range	Var-NPMI	Var-Accuracy
$\lambda_{u1}$ $\lambda_{b1}$ $\lambda_c$ $R_k$ iterations	$ \begin{bmatrix} 0.01, 0.1, 1, 10, 100 \\ 0.01, 0.1, 1, 10, 100 \\ 0.01, 0.1, 1, 10, 100 \\ 0.3, 0.5, 0.6, 0.8 \\ 50, 100, 200, 300 \end{bmatrix} $	1.28E-05 2.71E-05 9.32E-07 6.57E-05 1.28E-05	1.79E-05 3.50E-03 5.15E-06 3.08E-05 3.92E-05

Table 8: Variances of results.

words, and compute the cosine similarity between every two topics. Take SE as an example, we randomly show 3 topics, as listed in Table 9. Irrelevant words are marked by italics.

LDA-LTM, NMF-LTM, and LCM learn topics well in most cases. However, NMF-LTM captures some high-frequency words (i.e., amazon, anatomy, anatomical) in the corpus, which are not related to the topic "Design and production of handicrafts". LDA-LTM also assigns an irrelevant word "print" to the topic "Machinery and industrial manufacturing technology", and an irrelevant word "spring" to the topic "Body structure and anatomy".

The ability of LNTM in generating cohesive topics is poor. It is noteworthy that the topics generated by LNTM seem not related to other models, because we use cosine similarity to map topics. If the cosine similarities are the same (for LNTM, it is 0 sometimes), the topics with smaller IDs will be chosen. For the sake of fairness, we also show a relatively coherent topic generated by LNTM separately in Table 10. The result of LNTM is still worse than other models, which contains 7 irrelevant words in the top 10 word list.

#### **H** Evaluating Interpretability

To evaluate the interpretability of our domainspecific word embeddings, we follow (Murphy et al., 2012) to show top 5 words for 5 randomly chosen dimensions in word embeddings generated from CM. Although there exists noisy words, the dimensions are generally semantically coherent and interpretable. We also choose one polysemous word "cell" in SE to measure the ability of our method in capturing the polysemous nature of words. We select the two highest values of the word vector learned by our LCM for "cell", and find top 5 words in these two dimensions. From Table 11, we can observe that the two dimensions focus on cells in biology and cell phones, which reflect the two different meanings of "cell".

ID	LDA-LTM	LNTM	NMF-LTM	LCM			
	Machinery	and industrial r	nanufacturing t	echnology			
1	bed	deserved	mm	mm			
2	mm	clamped	bed	bed			
3	axis	mothers	frame	frame			
4	screws	crowds	screws	screws			
2 3 4 5 6	screw	players	axis	axis			
6	belt	suspiciously	screw	set			
7	motor	resolutions	stepper	screw			
8	nuts	wasps	power	stepper			
9	print	military	wires	lead			
10	set	collapses	tape	tape			
	Design and production of handicrafts						
1	model	deserved	model	brain			
2	brain	crowded	brain	paint			
3	pieces	mothers	models	models			
2 3 4 5 6 7	models	rider	structures	pieces			
5	paint	mutual	anatomy	structures			
6	ĥeart	trends	paint	lines			
7	motor	wasp	amazon	budget			
8	quality	bloom	detail	detail			
9	painted	fumbling	anatomical	white			
10	job	habitat	stand	detailed			
		Body structure	and anatomy				
1	skull	deserved	skull	skull			
2	teeth	crowded	teeth	teeth			
2 3 4 5 6 7 8	jaw	mothers	anatomy	anatomy			
4	quality	rider	jaw	jaw			
5	size	mutual	bone	mandible			
6	top	trends	mandible	foramen			
7	spring	wasp	foramen	bone			
	removable	bloom	detail	detail			
9	life	fumbling	skulls	study			
10	skulls	habitat	removable	removable			
-							

Table 9: Qualitative analysis of topics. Top 10 words are listed with irrelevant ones marked in italics.

ID	LNTM
	Music and instruments
1	tens
2	deserved
3	habitat
4	circuitry
2 3 4 5 6	guitars
	mothers
7 8 9	headphones
8	piano
9	rider
10	foray

Table 10: Qualitative analysis of LNTM. Top 10 words are listed with irrelevant ones marked in italics.

Top Words	depleted painted icing weed angora	constant spray cake pot sweater	drained paint riffing smoking dressing	dissolve grease esp smoke sweaters	exhausted di wrapping smoked skirt
Close Words	cell	sewn	animal	cells	believes
for "cell"	phones	cell	games	fascinating	computers

Table 11: Examples of evaluating interpretability.

Table 12 shows the classification accuracy and the sparsity (i.e., the proportion of zeros) of word embeddings generated by different methods, where the dimension is 1500. Compared with other models, our LCM generates domain-specific word em-

Method	Science Education		Cult Movies	
	Accuracy	Sparsity	Accuracy	Sparsity
SPINE	0.3080	0.9969	0.4332	0.9979
Word2Sense	0.7043	0.9920	0.7267	0.9920
LCM	0.7360	0.9438	0.8481	0.9099

Table 12: Classification accuracy and sparsity of word embeddings generated by different methods.

beddings with a good balance between sparsity and classification accuracy.

# I Evaluating Word Embedding Learning Models by Neural Networks



Figure 4: Classification performance comparison of neural networks with word embeddings generated by different methods.

To compare different word embedding learning models comprehensively, we replace the SVM classifier with a neural network classifier consisting of 3 fully-connected layers. As shown in Figure 4, LCM also performs the best in each testing set under each dimension.