

KnowComp at SemEval-2024 Task 9: Conceptualization-Augmented Prompting with Large Language Models for Lateral Reasoning

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Abstract

Lateral thinking is essential in breaking away from conventional thought patterns and finding innovative solutions to problems. Despite this, language models often struggle with reasoning tasks that require lateral thinking. In this paper, we present our system for SemEval-2024 Task 9’s BrainTeaser challenge, which requires language models to answer brain teaser questions that typically involve lateral reasoning scenarios. Our framework is based on large language models and incorporates a zero-shot prompting method that integrates conceptualizations of automatically detected instances in the question. We also transform the task of question answering into a declarative format to enhance the discriminatory ability of large language models. Our zero-shot evaluation results with ChatGPT indicate that our approach outperforms baselines, including zero-shot and few-shot prompting and chain-of-thought reasoning. Additionally, our system ranks ninth on the official leaderboard, demonstrating its strong performance.

1 Introduction

Recently, the Natural Language Processing (NLP) community has witnessed remarkable advancements driven by large language models, such as GPT-3.5 (OpenAI, 2022) and GPT4 (OpenAI, 2023), that demonstrated impressive capabilities in tasks like text generation (Chung et al., 2023; Maynez et al., 2023; Maiorino et al., 2023), translation (Mu et al., 2023; Bawden and Yvon, 2023; Zhang et al., 2023), reasoning (Huang and Chang, 2023; Chan et al., 2024; Gaur and Saunshi, 2023; Ho et al., 2023; Shi et al., 2023), complex reasoning (Bai et al., 2023; Fang et al., 2024), analogical understanding (Cheng et al., 2023; Ye et al., 2024) and sentiment analysis (Carneros-Prado et al., 2023; Deng et al., 2023). However, these models predominantly rely on conventional sequential thinking, often struggling to exhibit the creativ-

ity and innovative problem-solving abilities that humans possess. This limitation has spurred researchers to explore the realm of lateral thinking within the NLP domain (Veale and Li, 2013).

Lateral thinking, a concept popularized by De Bono (1970), refers to the ability to break free from established thought patterns and approach problems from unconventional angles. It encourages the exploration of unorthodox ideas, perspectives, and solutions, leading to breakthroughs and the discovery of new opportunities that may have otherwise remained hidden (Lawrence et al., 2016). Harnessing the power of lateral thinking can significantly enhance the capabilities of language models, enabling them to tackle complex, non-linear challenges by thinking “outside the box.” However, engaging in this type of reasoning presents a significant challenge, as it demands the ability to contradict common knowledge—a skill highly valued by cutting-edge language models like ChatGPT (OpenAI, 2022) and GPT4 (OpenAI, 2023). Challenging traditional modes of commonsense reasoning poses a serious obstacle for these language models, as it requires them to set aside their inherent strengths and approach the problem from a different perspective.

In light of this direction, Jiang et al. (2023) have recently introduced BrainTeaser, a human-curated benchmark that evaluates the lateral thinking ability of language models. This benchmark encompasses sentence and word puzzles in a question-answering format that challenge common sense, demanding language models to demonstrate innovative thinking in order to provide accurate and insightful responses. The findings of this study expose a significant disparity in the lateral thinking capacities of even large-scale language models, including those augmented with commonsense knowledge (Wang et al., 2023a), when compared to human performance. This gap in accuracy exceeds 40%, emphasizing the necessity for novel

approaches to enhance the reasoning capabilities of language models.

We propose a new approach to enhance the lateral thinking capability of language models by applying conceptualization (He et al., 2022). Conceptualization is the process of abstracting instances into high-level concepts, which introduces abstract knowledge associated with the concept for the instance (Tenenbaum et al., 2011). Our method involves instructing ChatGPT to perform conceptualization over the premises in the question via a step-by-step process that identifies instances, conceptualizes them into concepts, generates relevant abstract knowledge, and merges them back into the prompt. To make the judgment less biased among choices, we transform the questions into declarative formats. We test our framework with ChatGPT (OpenAI, 2022) in a zero-shot manner, where no training data is used. Our experiment results show that our framework achieves an overall accuracy of 78.3% for sentence puzzles and 85.4% for word puzzles, ranking ninth and eighth in the official leaderboard, respectively.

2 Related Works

2.1 Lateral Reasoning

Lateral reasoning, also known as “thinking outside the box,” has garnered significant attention in cognitive psychology and educational research (Evans and Alderson, 2000). Over the past decades, researchers have explored various aspects of lateral reasoning, aiming to understand its underlying processes and develop effective strategies to enhance individuals’ lateral thinking abilities (Millar and Taylor, 1995). It is known to be challenging as such type of reasoning usually defies commonsense knowledge, which is knowledge about facts in the world that is typically shared among individuals (Mueller, 2014; Fang et al., 2021b,a). In the domain of NLP, Jiang et al. (2023) are the first to construct evaluation benchmarks that evaluate such cognitive ability. They formulate the task as a question-answering task and design a data collection protocol to crawl sentence puzzles and word puzzles from the web with quality filtering. Experiment results on various language models show the difficulty of their collected dataset.

2.2 Conceptualization

Conceptualization aims to abstract a set of entities or events into a general concept, thereby form-

	Sentence Puzzle	Word Puzzle
#Data	120	96

Table 1: Number of data in the testing set of the Brain-Teaser (Jiang et al., 2023) benchmark.

ing abstract commonsense knowledge within its original context (Murphy, 2004). Existing works primarily focused on entity-level conceptualization (Durme et al., 2009; Song et al., 2011, 2015; Liu et al., 2022), with He et al. (2022) pioneering the construction of an event conceptualization benchmark by extracting concepts for social events from WordNet (Miller, 1995) synsets and Probase (Wu et al., 2012). Wang et al. (2023b,a) further proposed a semi-supervised framework for conceptualizing CSKBs and demonstrated that abstract knowledge can enhance commonsense inference modeling and question answering. Wang et al. (2024) proposed distilling such type of knowledge from large language models to improve commonsense reasoning. Wang et al. (2023c) and Yu et al. (2023) also leveraged similar method to acquire abstract knowledge as high-level knowledge representation. In this paper, we share similar aspirations from previous works and leverage the power of conceptualization to assist large language models in performing lateral reasoning.

3 Task Definition and Dataset

We follow the identical task definition as proposed by Jiang et al. (2023), where each data entry can be viewed as a Question-Answering (QA) task. In each QA pair, the question describes a specific context or puzzle, and the answer serves as the lateral explanation or solution to the puzzle. The goal is to find an explanation that supports and does not contradict a given set of premises (P), which includes explicitly stated clauses and implicitly derived clauses through default commonsense inferences or associations. The set of premises (P) plays a crucial role in the puzzle. It encompasses the atomic premise set, which includes explicitly stated clauses (p_1, p_2, p_3) provided by the context, as well as implicit clauses (p_4, p_5) obtained through default commonsense inferences or associations. These implicit premises can sometimes lead to incorrect assumptions or constraints that hinder finding the correct solution (Bar-Hillel et al., 2018). The puzzle is presented in a multiple-choice format,

where the answer choices represent potential explanations or solutions. This format is chosen to make the task more amenable to automated evaluation and facilitate human comprehension.

We use the dataset presented by Jiang et al. (2023, 2024) as our evaluation benchmark and follow the original released split of data. Since we approach this task by following a zero-shot manner, no training and validation data is used. As shown in Table 1, there are 120 sentence puzzles and 96 word puzzles in the testing set. On average, the questions in this dataset consist of 34.88 tokens, while the corresponding answers have an average length of 9.11 tokens.

4 Method

In this section, we introduce our proposed method. Our method can be divided into three steps: (1) automatically identify instances in the premises in the question and conceptualize them; (2) transform the QA pair into declarative statements; and (3) Prompt ChatGPT in a zero-shot manner to obtain its prediction.

4.1 Conceptualization Augmentation

Our approach to conceptualization follows the method proposed by Wang et al. (2024). First, we provide ChatGPT with a question from the BrainTeaser QA pairs and instruct it to identify relevant keywords and instances in the question. Specifically, we ask it to focus on instances that are pertinent to the question at hand. Next, we utilize the prompt from Wang et al. (2024) to guide ChatGPT in generating conceptualizations for the identified instances. We also instruct ChatGPT to generate abstract knowledge that is relevant to the context of the question. Both the generated conceptualizations and abstract knowledge are integrated into the prompts to assist in the reasoning process. For example, consider the question “A man shaves everyday, yet keeps his beard long” in a sentence puzzle. ChatGPT identifies *shave* and *beard* as the two key instances. The instance “shave” is then conceptualized to “shaving,” which further implies that *shaving causes a man’s beard go short*.

4.2 Declarative Transformation

We then convert each puzzle into a declarative format and modifying the task to involve selecting the most plausible statement from the options, rather than the traditional question-and-answer format.

To achieve this, we present ChatGPT with the question and one of the potential answers, and instruct it to generate a declarative statement that conveys the same meaning as the given question and answer with minimal alterations. For instance, consider the question “In a small village, two farmers are working in their fields - a diligent farmer and a lazy farmer. The hardworking farmer is the son of the lazy farmer, but the lazy farmer is not the father of the hardworking farmer. Can you explain this unusual relationship?” and one of the options, “The lazy farmer is his mother.” In response, ChatGPT produces the statement “In a small village, there are two farmers working in their fields - a diligent farmer and a lazy farmer. The hardworking farmer is the son of the lazy farmer, but the lazy farmer is not the father of the hardworking farmer. This peculiar relationship can be clarified by asserting that the lazy farmer is, in fact, the mother of the hardworking farmer.”

4.3 Zero-shot Prompting

Finally, we prompt ChatGPT again to ask it to select the most plausible one from the given three statements. For each statement, we also append the derived conceptualizations and associated abstract knowledge into the statement such that they can also be considered during the selection process. We also ask ChatGPT to focus on whether the abstract knowledge has any conflict to the statement presented, which aims at identifying conflicts between commonsense knowledge and the presented statement.

5 Experiments

In this section, we present details of experiments we conducted on the BrainTeaser benchmark.

5.1 Setup

We access ChatGPT through Microsoft Azure APIs¹. The code of the accessed version for ChatGPT is `gpt-35-turbo-20230515`. The maximum generation length is set to 100 tokens and the temperature is set to 1.0. All other hyperparameters remain unchanged as default. We experiment with three random seeds and report the best performances achieved according to the leaderboard’s ranking. For the evaluation metric, we keep using accuracy as the metric and also evaluate the puzzles in instance-based and group-based fashions.

¹<https://azure.microsoft.com/en-us/products/ai-services/>

Category	Model	Instance-based			Group-based		Overall
		Original	Semantic	Context	Ori & Sem	Ori & Sem & Con	
<i>Sentence Puzzle</i>							
Random	-	25.52	24.88	22.81	5.58	1.44	24.40
	FlanT5(11B; zero-shot)	33.49	31.58	36.84	22.01	11.00	33.97
	FlanT5(11B; two-shot)	37.80	33.49	38.76	26.79	13.40	36.68
	FlanT5(11B; four-shot)	38.28	34.45	41.15	26.79	13.40	37.96
	FlanT5(11B; six-shot)	38.28	34.45	41.63	27.27	13.88	38.12
	FlanT5(11B; eight-shot)	38.76	33.01	41.63	26.79	14.35	37.80
	T0(11B)	22.01	22.01	29.67	16.27	11.00	24.56
	TOP(11B)	23.92	22.49	34.93	17.70	11.96	27.11
Instruction	TOPP(11B)	26.32	27.27	37.80	19.14	11.96	30.46
	ChatGPT(zero-shot)	60.77	59.33	67.94	50.72	39.71	62.68
	ChatGPT(two-shot)	61.72	60.77	<u>68.90</u>	51.67	40.67	63.80
	ChatGPT(four-shot)	59.33	55.98	62.20	47.85	32.06	59.17
	ChatGPT(six-shot)	60.29	59.81	66.51	51.20	40.19	62.20
	ChatGPT(eight-shot)	<u>63.16</u>	<u>62.68</u>	67.46	<u>54.55</u>	<u>44.02</u>	<u>64.43</u>
Commonsense	RoBERTa-L(CSKG)	35.41	36.84	44.98	28.71	18.18	39.07
	CAR	10.53	10.53	11.48	5.74	2.39	10.85
Ours	ChatGPT w. Concept.	82.50	77.50	75.00	72.50	62.50	78.30
Human*	-	90.74	90.74	94.44	90.74	88.89	91.98
<i>Word Puzzle</i>							
Random	-	26.02	27.85	22.51	7.32	1.83	25.34
	FlanT5(11B; zero-shot)	42.68	32.93	43.90	28.66	20.12	39.84
	FlanT5(11B; two-shot)	44.51	34.76	45.73	30.49	18.90	41.67
	FlanT5(11B; four-shot)	43.29	35.98	47.56	30.49	20.73	42.28
	FlanT5(11B; six-shot)	44.51	36.59	47.56	29.88	17.68	42.89
	FlanT5(11B; eight-shot)	45.73	33.54	46.95	27.44	16.46	42.07
	T0(11B)	17.07	14.02	23.17	9.76	6.10	18.09
	TOP(11B)	28.66	26.22	34.15	19.51	12.80	29.67
Instruction	TOPP(11B)	33.54	31.10	39.63	20.12	10.98	34.76
	ChatGPT(zero-shot)	56.10	52.44	51.83	43.90	29.27	53.46
	ChatGPT(two-shot)	55.49	53.66	51.22	44.51	30.49	53.46
	ChatGPT(four-shot)	54.27	53.66	51.83	43.90	28.05	53.25
	ChatGPT(six-shot)	56.71	51.83	54.27	45.12	28.66	54.27
	ChatGPT(eight-shot)	<u>58.54</u>	<u>56.71</u>	<u>54.27</u>	<u>48.17</u>	<u>34.76</u>	<u>56.50</u>
Commonsense	RoBERTa-L(CSKG)	18.90	16.46	30.49	12.80	6.10	21.95
	CAR	38.41	31.10	20.12	26.22	6.10	29.88
Ours	ChatGPT w. Concept.	84.40	90.60	81.20	84.40	65.60	85.40
Human*	-	91.67	91.67	91.67	91.67	89.58	91.67

Table 2: Main zero-shot results over two BrainTeaser subtasks across all models in all metrics: Ori = Original, Sem = Semantic, Con = Context, Concept = Conceptualization. The best performance among all models is in bold, and the second-best performance is underlined. Most of the results are reported by Jiang et al. (2023).

5.2 Baselines

For baselines, we largely follow Jiang et al. (2023) and use the officially reported results as baselines. These include instruction-based language models such as ChatGPT (OpenAI, 2022), T0 (Sanh et al., 2022), and FlanT5 (Chung et al., 2022), which were evaluated in a zero setting using specific instruction templates. In addition, commonsense models were also evaluated, including RoBERTa-L (CSKG; Ma et al., 2021) and CAR (Wang et al., 2023a), which were enhanced with commonsense knowledge and achieved impressive zero-shot performance on multiple tasks. The models were evaluated using a scoring method defined in previous studies and the choice with the highest score is selected. Meanwhile, we also report the performances of ChatGPT

in a few-shot setting with up to eight shots.

5.3 Results and Analysis

Table 2 presents the results of our study. Our method significantly improves the performance of ChatGPT, outperforming all baselines. In fact, it surpasses all large language models in a zero-shot scenario and even outperforms ChatGPT itself with eight-shot prompting. For sentence puzzles, we observe an overall improvement of 13.87%, while for word puzzles, there is a 28.90% improvement. However, our method still falls short of human performance, indicating room for further improvement. Interestingly, we notice a larger improvement in word puzzles compared to sentence puzzles. This gain may be attributed more to our declarative trans-

formation than to conceptualization, which theoretically offers little help in solving word puzzles.

6 Conclusion

In conclusion, this paper describes the solution by the KnowComp group to task 9 of SemEval-2024. Our method tackles the task of lateral thinking by leveraging the framework of conceptualization, which is a traditional reasoning method performed by humans, to assist large language models in answering brain teaser questions in a zero-shot manner. Experiment results show the superiority of our method, outperforming all previous zero-shot baselines with the same large language model as the backbone.

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