

Sequence Shortening for Context-Aware Machine Translation

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Abstract

Context-aware Machine Translation aims to improve translations of sentences by incorporating surrounding sentences as context. Towards this task, two main architectures have been applied, namely single-encoder (based on concatenation) and multi-encoder models. In this study, we show that a special case of multi-encoder architecture, where the latent representation of the source sentence is cached and reused as the context in the next step, achieves higher accuracy on the contrastive datasets (where the models have to rank the correct translation among the provided sentences) and comparable BLEU and COMET scores as the single- and multi-encoder approaches. Furthermore, we investigate the application of Sequence Shortening to the cached representations. We test three pooling-based shortening techniques and introduce two novel methods - Latent Grouping and Latent Selecting, where the network learns to group tokens or selects the tokens to be cached as context. Our experiments show that the two methods achieve competitive BLEU and COMET scores and accuracies on the contrastive datasets to the other tested methods while potentially allowing for higher interpretability and reducing the growth of memory requirements with increased context size.

1 Introduction

Following the introduction of the Transformer model (Vaswani et al., 2017), Sentence-level Machine Translation, where the task is to translate separate sentences, has seen great success in recent years (Vaswani et al., 2017; Hassan et al., 2018; Costa-jussà et al., 2022; Tiedemann et al., 2022). However, real-world applications of the translation systems are often used to translate a whole document or a longer discourse (e.g. a transcribed speech). In those circumstances, Sentence-level Machine Translation processes each sentence separately and is incapable of leveraging the surround-

ing or previous sentences (referred to as the context sentences). This is in contrast to the Context-aware Machine Translation where the context sentences are available to the system. The information in the previous sentences can be helpful to maintain the coherence of the translation and to resolve ambiguities (Agrawal et al., 2018; Bawden et al., 2018; Müller et al., 2018; Voita et al., 2019b). Both the sentences of the text in the source language and the previously translated sentences can be used as context. The former is referred to as source-side context and the latter as target-side context.

Many Context-aware Machine Translation approaches have been proposed including novel architectures that can be broadly categorized into *single-encoder* and *multi-encoder* types. In single-encoder architectures, the context sentences are concatenated with the current sentence and processed as a long sequence by a single encoder (Tiedemann and Scherrer, 2017; Agrawal et al., 2018; Ma et al., 2020; Zhang et al., 2020; Majumde et al., 2022). In multi-encoder architectures, the context sentences are processed by a separate encoder than the current sentence (Tu et al., 2017; Bawden et al., 2018; Miculicich et al., 2018; Maruf et al., 2019; Huo et al., 2020; Zheng et al., 2021). Several multi-encoder approaches (Voita et al., 2018; Li et al., 2020) involve sharing parameters of encoders. This approach reduces the number of parameters and could also increase the speed of translation when translating the whole document sentence-by-sentence. Inspired by this idea, we investigate multi-encoder architectures where all the encoder parameters are shared (Tu et al., 2018; Voita et al., 2019b; Wu et al., 2022), which allows caching the hidden representation of the current sentence and reusing it as the hidden representation of the context when translating subsequent sentences. In this study, we refer to this architecture as *caching*. We experimentally show that this architecture can achieve comparable results to single-

and multi-encoder architectures and is more stable in the realm of larger context sizes.

In Transformers, the number of tokens does not change during the processing of the sequence through the encoder (and decoder) layers. Concurrent to Machine Translation, several techniques have been proposed to shorten the sequence of tokens in the task of language modeling (Subramanian et al., 2020; Dai et al., 2020; Nawrot et al., 2022). In particular, the tokens are combined in the shortening modules that are added between a specified number of encoder layers. Sequence Shortening can lead to the reduction of the computational and memory requirements in the subsequent layers as the requirements of the self-attention module grow quadratically with the number of tokens (although a substantial amount of research is done to mitigate that (Kitaev et al., 2020; Wang et al., 2020)).

In this paper, we investigate the application of Sequence Shortening to Context-aware Machine Translation. Specifically, we apply the shortening of the cached hidden representations of the context sentences in the caching multi-encoder architectures. The intuition behind this approach is that a compressed representation of the previously seen sentences should be enough to use as a context while possibly decreasing the computational and memory requirements during inference. Sequence Shortening can be seen as related to the concept of *chunking* from psychology (Miller, 1956; Terrace, 2002; Mathy and Feldman, 2012). To limit the scope, we consider only the source-side context. Additionally, we introduce *Latent Grouping* and *Latent Selecting* - new shortening techniques where the network can learn how to group or select tokens to form a shortened sequence. Our experiments indicate that sequence shortening can be leveraged to improve the stability of training for larger context sizes (we tested up to 10 previous sentences as context) while achieving comparable results for smaller context sizes.

2 Related Work

2.1 Context-aware Machine Translation

A straightforward approach to incorporate context into Machine Translation is to concatenate previous sentences with the current sentence, which has been referred to as *concatenation* or *single-encoder* architecture because only a single encoder is used (Tiedemann and Scherrer, 2017; Ma et al., 2020;

Zhang et al., 2020). This architecture has achieved very good results (Majumde et al., 2022) even on long context sizes (of up to 2000 tokens) when data augmentation was used (Sun et al., 2022) but even longer context sizes will result in a sharply increasing memory and computational complexity (Feng et al., 2022). The *multi-encoder* approach is to encode the context sentences by a separate encoder (Jean et al., 2017; Miculicich et al., 2018; Maruf et al., 2019; Huo et al., 2020; Zheng et al., 2021). While the encoders are separate in multi-encoder architectures, weight-sharing between them has been investigated in previous works (Voita et al., 2018; Tu et al., 2018; Li et al., 2020; Wu et al., 2022). Existing studies also investigated hierarchical attention (Miculicich et al., 2018; Bawden et al., 2018; Wu et al., 2022; Chen et al., 2022), sparse attention (Maruf et al., 2019; Bao et al., 2021), aggregating the hidden representation of the context tokens (Morishita et al., 2021), and post-processing the translation (Voita et al., 2019b,a). Similar to ours, several works use a memory mechanism (Feng et al., 2022; Bulatov et al., 2022). The main differences are that the memory-based techniques rely on the attention mechanism to collect information from the sentences. In addition to that, our method allows the tokens in the current sentence to work as a hub tokens instead of the learned (but fixed) tokens of the memory in the initial step or the memory vectors from the previous steps. In the memory approaches, the number of tokens is constant while in the models employing shortening the number of tokens is dependent on the number of context segments.

Mostly orthogonal to architectural approaches, another line of work concentrates on making the models use the context more effectively. These methods utilize regularization such as dropout of the tokens in the source sentence (CoWord dropout; Fernandes et al., 2021), attention regularization based on human translators (Yin et al., 2021), and data augmentation (Lupo et al., 2022) along with contrastive learning (Hwang et al., 2021).

It has been argued that widely used sentence-level metrics (such as BLEU (Papineni et al., 2002)) are ill-equipped to measure the translation quality with regard to the inter-sentential phenomena (Hardmeier, 2012; Wong and Kit, 2012). For this reason, research has been done to measure the usage of context by machine translation models, where two main avenues have been explored: intro-

ducing new metrics (Fernandes et al., 2021, 2023) and contrastive datasets (Müller et al., 2018; Bawden et al., 2018; Voita et al., 2019b; Lopes et al., 2020). In the contrastive datasets, the model is presented with the task of ranking several translations of the same source sentence with the same context. The provided translations differ only partially and the provided context is required to choose the correct translation.

2.2 Sequence Shortening

Sequence Shortening has been introduced as a way to exploit the hierarchical structure of language to reduce the memory and computational cost of the Transformer architecture (Subramanian et al., 2020; Dai et al., 2020; Nawrot et al., 2022). Shortening can be done by average pooling of the hidden representation of the tokens (Subramanian et al., 2020). Allowing the tokens of the shortened sequence to attend to the hidden representation of the original sequence was found beneficial (Dai et al., 2020). Replacing average pooling with the linear transformation of the concatenated representation of the tokens of the original sequence has also been used (Nawrot et al., 2022). Another way of shortening the sequence is to find and retain only the most important tokens of the original sequence (Goyal et al., 2020). Furthermore, a large body of work improve the context size or the efficiency of the Transformer model (Beltagy et al., 2020; Kitaev et al., 2020; Dai et al., 2019) which has been referenced in comprehensive surveys (Tay et al., 2022; Lin et al., 2022).

The work that is architecturally most closely related to one of our methods *Latent Grouping* is the Charformer (Tay et al., 2021) architecture, where the tokenization is performed by a sub-network that learns to select block sizes for characters of the input sequence. The block size representations are subsequently summed with weights predicted by the sub-network. *Latent Grouping* differs from Charformer in the placement of the grouping (after the encoder in the case of *Latent Grouping*) and the aggregated representation (encoder representations of tokens themselves in the case of *Latent Grouping*).

Our work lies in the intersection of Context-aware Machine Translation and Sequence Shortening. We test the performance of caching architecture against single- and multi-encoder architectures and investigate applying shortening to the cached

sentences.

3 Background

3.1 Transformer

The Transformer architecture, introduced for sentence-level translation, consists of the encoder and decoder (Vaswani et al., 2017). The sentence in the source language is tokenized and embedded before it is passed to the encoder. The encoder processes the sequence by L consecutive encoder layers, each consisting of the self-attention module and the element-wise feed-forward network. Residual connection is added around both modules followed by Layer Normalization (Ba et al., 2016).

Hidden representation of the L -th encoder layer H^L is fed into the decoder, which auto-regressively produces the output sequence $Y = (y_1, \dots, y_T)$, until it reaches the end-of-sequence token. Decoder layers process the currently produced sequence with the self-attention module, followed by the cross-attention module and feed-forward network. Unlike in the encoder, the self-attention module in the decoder uses causal masking (the tokens can not attend to the future tokens). In Cross-attention, multi-head attention uses the decoded sequence as queries and the encoder output as keys and values. Residual connection and Layer Normalization are applied after each module.

3.2 Pooling-based Shortening

Sequence Shortening is a method that results in a reduction in the number of tokens in a sequence by combining the tokens of the hidden representation of the input sequence H^L . In the pooling-based shortening the sequence (of size M) is divided into non-overlapping groups of K neighboring tokens each (K is a hyper-parameter). Pooling of the tokens in each group is then performed:

$$\tilde{G} = \text{Pooling}(H^L), \quad (1)$$

where \tilde{G} is the sequence of size $\lceil M/K \rceil$ of the pooled tokens. Subsequently, the pooled tokens \tilde{G} attend to the hidden representation of the original sequence using the attention module followed by the residual connection and the Layer Normalization:

$$G = \text{LayerNorm}(\tilde{G} + \text{Attn}(\tilde{G}, H^L, H^L)), \quad (2)$$

where G is the final shortened sequence. Commonly used pooling operations are average (Dai

et al., 2020) and linear pooling (Nawrot et al., 2022) (learned linear transformation of the concatenated tokens).

4 Method

4.1 Latent Grouping

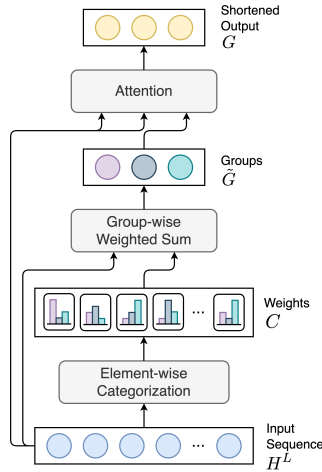


Figure 1: Illustration of Latent Grouping shortening with the number of groups set to three.

In contrast to pooling, Latent Grouping, illustrated in Figure 1, results in a fixed number of tokens in the shortened sequence corresponding to the number of groups K , which is a hyperparameter. Each token is categorized into a group by the feed-forward network with the number of outputs equal to the number of groups. We obtain the categorization for the i -th token to k -th group $c_{i,k}$ by applying the Softmax function to the outputs in the dimension of the groups:

$$\begin{aligned} \mathbf{c}_i &= \text{Softmax}(\text{FFN}(\mathbf{h}_i^L)), \\ \forall i &= 1, \dots, M, \end{aligned} \quad (3)$$

where \mathbf{h}^L is the hidden representation of the last encoder layer and \mathbf{c}_i is the vector of size K representing the categorizations of the i -th token to all the groups. As an alternative to Softmax, Sparsemax function (Martins and Astudillo, 2016) can also be used resulting in the categorizations of tokens that are more sparse, which means that a token is categorized into a smaller number of groups, and most categorizations are equal to zero. Subsequently, the groups \tilde{G} are constructed as the sum of the hidden representations \mathbf{h}^L with categorizations $c_{i,k}$ used as weights:

$$\begin{aligned} \tilde{\mathbf{g}}_k &= \sum_i c_{i,k} \mathbf{h}_i^L, \\ \forall k &= 1, \dots, K, \end{aligned} \quad (4)$$

where $\tilde{\mathbf{g}}_k$ is a k -th grouped token composing the sequence \tilde{G} in the equation (1). The network learns how to soft-assign each token to the groups. A group representation is computed using the weighted average of tokens, which makes back-propagation into the categorizing network possible. Finally, the attention module is applied as in equation (2).

4.2 Latent Selecting

Latent Selecting differs from Latent Grouping by enabling the groups to select tokens to aggregate rather than assigning each token to a group and allowing the model to ignore tokens entirely rather than assigning them to at least one group. This is similar to selecting the *hub* tokens in Power-BERT (Goyal et al., 2020), where the selection is based on the attention scores of the previous layer. Although Latent Selecting can be achieved by maintaining a categorizing feed-forward network for each group, we utilize the same network as described for Latent Grouping but apply the Softmax (or Sparsemax) function in equation (3) in the sequence dimension instead of the token dimension.

4.3 Context Shortening

The architecture we use, illustrated in Figure 2, is based on caching the hidden representations produced by the encoder, where the representations of the tokens of the current sentence are stored and can be reused as context when the subsequent sentences are translated. Although this architecture uses only a single encoder, it is different from the single-encoder models because the current sentence and the context sentences are processed separately. While in the standard caching architecture the hidden representation of all the tokens is stored, we introduce a Sequence Shortening module directly after the encoder, which returns the compressed hidden representation usually containing fewer tokens than the original sequence. We consider: mean pooling (Dai et al., 2020), max pooling, linear pooling (Nawrot et al., 2022), Latent Grouping, and Latent Selecting. Additionally, we also test the simple aggregation of the whole context sequences into a single vector by averaging the tokens. Conceptually, Sequence Shortening of the context can be seen as a middle-ground between storing tokens and sentence aggregations.

The integration of the context with the decoder can also be done in several ways. Firstly, the context sentences can be concatenated to the current

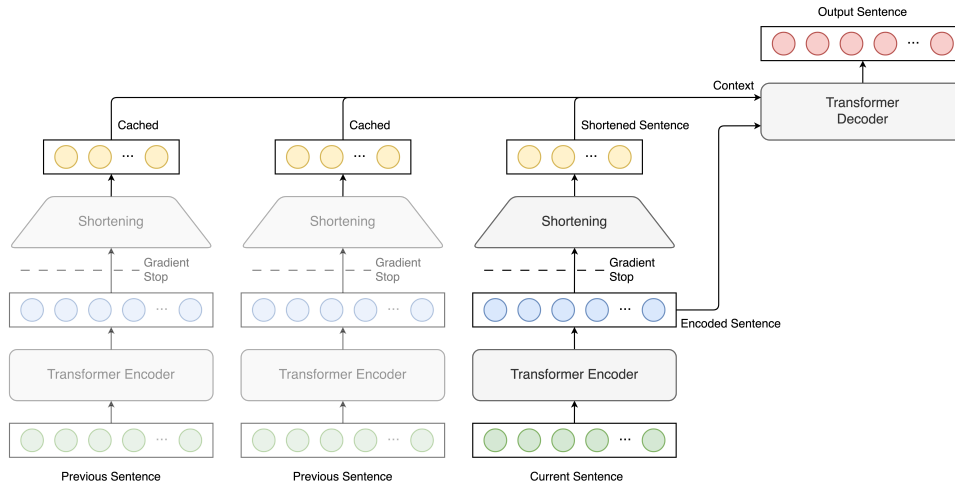


Figure 2: The illustration of a Shortening Architecture with the representation of the two previous sentences being cached. The dashed line represents the optional blocking of the gradient during training.

sentence. This method is similar to the single-encoder (concatenating) architecture, where the difference is that the encoder does not have access to other sentences in the case of caching architecture. In this case, the decoder layers are the same as in the vanilla transformer with the self- and cross-attention modules. Secondly, the context sentences can be processed in the decoder layers by a separate context-attention module, where the decoder tokens attend to the context tokens. We experiment with the parallel and serial alignment of the cross- and context-attention modules. Additionally, we also experiment with gating the representation resulting from applying context-attention using the following equation:

$$\begin{aligned}
 \lambda_i &= \sigma(\text{FFN}(\hat{\mathbf{h}}_i)), \\
 \hat{\mathbf{h}}'_i &= \lambda_i \hat{\mathbf{h}}_i, \\
 \forall i &= 1, \dots, M
 \end{aligned}
 \tag{5}$$

where $\hat{\mathbf{h}}_i$ is the i -th token representation returned by the context-attention module, FFN is a token-wise linear layer with one output, σ is the Sigmoid function.

For Sentence Aggregation and Shortening architectures, the aggregated or shortened representation of the current sentence can be included in context sentences. This helps with the training, as often none of the previous sentences has an effect on the translation, known as the two-fold sparsity problem (Lupo et al., 2022), and the context attention module can still be trained to attend to the representation of the current sentence. To allow the decoder to distinguish between context sentences we em-

ploy learned segment embeddings (Devlin et al., 2019). Similarly, we also add learned positional encoding for the shortened tokens inside context sentences.

During training, caching is not used, meaning that the model receives tokenized context sentences and processes them using the same encoder. This implies that the weights of the encoder receive the backpropagated error from multiple sources - the current sentence and each of the context sentences, which can lead to difficulties in training. Therefore, we consider blocking the gradient after the encoder and before shortening (where applicable) by allowing the gradient information to flow for a specified number of context sentences, after which, the gradient is blocked.

5 Experiments

All our experiments are implemented¹ in *fairseq* framework (Ott et al., 2019). We used the code repository of Fernandes et al. (2021) as the base for our implementation.

5.1 Data

We used the English-to-German and English-to-French directions of the IWSLT 2017 (Cettolo et al., 2017) document-level dataset that is based on the subtitles of the TED Talks². Following Fernandes et al. (2021), we used *tst2011-tst2014* as validation subset and *tst2015* as the test subset. The data

¹The code for this paper (based on <https://github.com/neulab/contextual-mt>) can be found on Github <https://github.com/Pawel-M/shortening-context-mt>.

²<https://www.ted.com/>

Dataset	Docs	Sent/Doc	Tok/Sent
En-De Train	1698	121.4	21.9
En-De Valid	62	87.6	20.6
En-De Test	12	90.0	20.8
En-Fr Train	1914	121.6	22.0
En-Fr Valid	66	88.2	20.9
En-Fr Test	12	100.8	21.4

Table 1: The details of the IWSLT 2017 datasets.

is byte-pair encoded (Sennrich et al., 2016) using SentencePiece framework (Kudo and Richardson, 2018) on the training subset with 20,000 vocabulary size for each language separately (see Table 1). We measured BLEU (Papineni et al., 2002) using *sacreBleu* library (Post, 2018). We also report COMET (Rei et al., 2020) in Appendix B.

To measure the context usage of the trained models, we employed ContraPro (Müller et al., 2018) contrastive dataset for the English-to-German direction, and the contrastive dataset by Lopes et al. (2020) for the English-to-French direction. Both are based on the OpenSubtitles 2018 dataset (Lison et al., 2018). These datasets consist of the source sentence with the context (previous sentences on the source and target side) with several translations differing only in a pronoun that requires context to be correctly translated. Models rank the translations by assigning probabilities to each of them. The translation is considered to be accurate when the right translation is ranked the highest by the model.

5.2 Models

Based on the described methods, we trained the following caching models:

- **Caching Tokens** - where the encoder representations of the context sentences are stored directly,
- **Caching Sentence** - where the representations of the context sentences are averaged and stored,
- **Shortening - Avg Pooling** - Sequence shortening with mean pooling applied to the outputs of the encoder, based on (Dai et al., 2020),
- **Shortening - Max Pooling** - shortening with max pooling,
- **Shortening - Linear Pooling** - shortening with linear pooling, based on (Nawrot et al., 2022),
- **Shortening - Grouping** - shortening with La-

tent Grouping (Section 4.1),

- **Shortening - Selecting** - shortening with Latent Selecting (Section 4.2).

For all the aggregating models, the current sentence is also used as context and is concatenated with the context sentences after embedding. Moreover, we also test the following baseline models:

- **Sentence-level Transformer** - where context sentences are ignored,
- **Single-encoder Transformer** - where context sentences are prepended to the current sentence and processed by the encoder, we used Fernandes et al. (2021) implementation,
- **Multi-encoder Transformer** - with the separate encoder (without weights-sharing) used to encode the context sentences, again based on the Fernandes et al. (2021) implementation, where the context and the current sentence are concatenated in the decoder. Our experiments revealed that this integration yields better results than with the separate context-attention module.

All tested models are based on the Transformer base architecture (Vaswani et al., 2017). The hyper-parameters and model details can be found in Appendix A. We tuned the hyper-parameters of the models based on the performance on the validation subset. From the K values of [2, 3, 4] for pooling architectures 2 was selected. For grouping and selecting architectures, we considered K values of [8, 9, 10, 11] and selected 9 and 10 respectively for the English-to-German direction and 11 (for both models) for the English-to-French direction. For the categorizing network, we used one hidden layer with 512 units and the Sparsemax activation function to obtain more sparse categorizations in an effort to increase the interpretability of the models (Correia et al., 2019; Meister et al., 2021). We performed preliminary experiments to find the architectural choices (gradient stopping and the decoder integration) for each caching model. In Caching Tokens, Caching Sentence, and Pooling architectures, we block gradient past the encoder for context sentences. Additionally, we allow gradient into the shortening from one and two context sentences for Selecting and Grouping architectures respectively. All models apart from Caching Sentence use sequential attention modules in the decoder (self-attention, cross-attention, and context-attention) without any gating mechanism. Caching Sentence yields the highest performance when parallel cross-

Model	BLEU	Accuracy				
Sentence-level	28.11	43.67%				
	Context: 1		Context: 2		Context: 3	
Model	BLEU	Accuracy	BLEU	Accuracy	BLEU	Accuracy
Single-encoder	28.31	47.42%	27.95	48.18%	27.88	48.88%
Multi-encoder	28.67	44.93%	28.50	46.65%	28.26	45.00%
Caching Tokens	28.35	54.06%	28.50	54.13%	29.08	51.23%
Caching Sentence	28.38	45.72%	26.73	45.26%	26.70	44.91%
Shortening - Max Pooling	27.62	51.67%	27.88	55.08%	28.26	50.89%
Shortening - Avg Pooling	28.09	53.37%	27.85	54.81%	28.38	50.54%
Shortening - Linear Pooling	27.62	52.71%	28.03	52.13%	28.18	51.27%
Shortening - Grouping	28.21	56.98%	28.70	54.51%	28.49	51.16%
Shortening - Selecting	28.15	54.48%	28.55	54.21%	28.01	51.95%

Table 2: Results of the **En-De** IWSLT 2017 experiment. The models were trained to use only the source-side context. We report BLEU of the test subset and the accuracy of the ContraPro (Müller et al., 2018) contrastive dataset.

and context-attention decoder is used with the gate on the context branch (see equation (5)).

5.3 Results

The results of the single run (with the predetermined seed) of the English-to-German translation on the IWSLT 2017 dataset up to the context size of three can be seen in Table 2. The BLEU score of the context-aware models is generally similar to or slightly higher than the sentence-level Transformer. BLEU does not correlate well with the contrastive accuracy, which is strictly higher for all context-aware models. This confirms that sentence-level metrics do not reflect the context usage of the models. The highest contrastive dataset accuracy was achieved by the Grouping Shortening model for the context size of one, the Max Pooling Shortening model for the context size of two, and the Selecting Shortening model for the context size of three. The highest accuracy averaged over the context sizes up to three was reached by the model employing Latent Grouping, followed by the Latent Selecting model. Caching Tokens architecture exhibits comparable BLEU scores to the Single- and Multi-encoder architectures while achieving higher accuracy on the contrastive dataset. Caching Sentence architecture performed worse than other tested models, suggesting that representing the whole sentence as a single vector is not sufficient for contextual translation.

Table 3 shows the results of the English-to-French translation with the context size up to three. The BLEU scores of all models are comparable (apart from the Caching Sentence architecture). La-

tent Grouping achieved the highest accuracy on the contrastive dataset for the context size of one, and Latent Selecting and Single-encoder architectures for the context sizes of one and three, respectively. The results in terms of COMET (Rei et al., 2020) can be found in Appendix B. The detailed results of the performance of the models on the contrastive datasets are presented in Appendix C. We show several examples of translations by the tested models in Appendix D.

Caching Tokens and Shortening models achieved higher accuracies than the Single- and Multi-encoder architectures (with the exception of Single-encoder on the English-to-French translation with the context size of three). In order to examine the effectiveness of the investigated architectures on even longer contexts we trained the models on the English-to-German IWSLT 2017 dataset with context sizes of up to 10. The results in terms of BLEU can be seen in Figure 3. The detailed results (in terms of BLEU, COMET, and the accuracy on the ContraPro dataset) are presented in Appendix E. The performance of the models employing Sequence Shortening is relatively high and stable for all tested context sizes. The caching architecture shows the reduction in BLEU for context sizes of 8 to 10 compared to the shortening architectures. We attribute the poor performance of the single-encoder (and to an extent multi-encoder) architecture to the large input sizes and the small size of the training dataset.

Applying Sequence Shortening to the cached sentence does not hurt the performance and exhibits more stable training with the long context

Model	BLEU	Accuracy				
Sentence-level	37.64	75.92%				
Model	Context: 1		Context: 2		Context: 3	
	BLEU	Accuracy	BLEU	Accuracy	BLEU	Accuracy
Single-encoder	37.25	77.27%	37.18	78.98%	37.12	80.87%
Multi-encoder	37.44	75.72%	37.12	77.23%	37.34	75.76%
Caching Tokens	36.88	79.67%	37.29	80.14%	37.73	79.90%
Caching Sentence	36.50	77.33%	34.21	76.25%	34.78	75.71%
Shortening - Max Pooling	37.48	79.51%	36.72	80.59%	37.85	79.71%
Shortening - Avg Pooling	37.13	77.75%	37.12	80.16%	38.18	80.41%
Shortening - Linear Pooling	37.02	80.47%	37.12	79.37%	37.42	79.64%
Shortening - Grouping	37.05	79.91%	37.98	81.13%	37.18	79.54%
Shortening - Selecting	37.38	80.89%	37.83	80.32%	37.81	80.09%

Table 3: Results of the **En-Fr** IWSLT 2017 experiment. The models were trained to use only the source-side context. We report BLEU of the test subset and the accuracy of the contrastive dataset by [Lopes et al. \(2020\)](#).

sizes while reducing the memory footprint of the inference (Section 5.5). Furthermore, Latent Grouping and Latent Selecting are increasing the interpretability of the model through the sparse assignment of tokens into groups (Section 5.4).

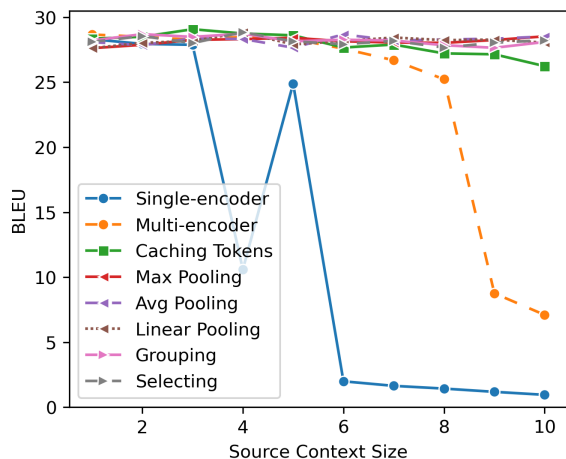


Figure 3: BLEU of the models trained on the **En-De** IWSLT 2017 dataset with the context sizes up to 10. Caching Sentence model was not included for clarity.

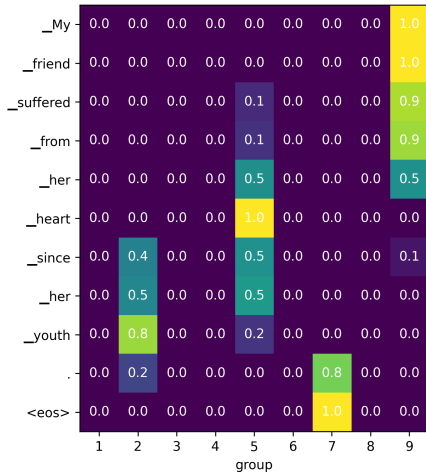
5.4 Token Assignment Visualization

An example visualization of groupings and selections of the Latent Grouping and Selecting architectures can be seen in Figure 4 and more can be found in Appendix F. Latent Grouping seems to group tokens according to position with nouns given a high categorization score within a group. Furthermore, some groups contain more tokens than other groups. We hypothesize that the groups that contain more tokens are responsible for the general

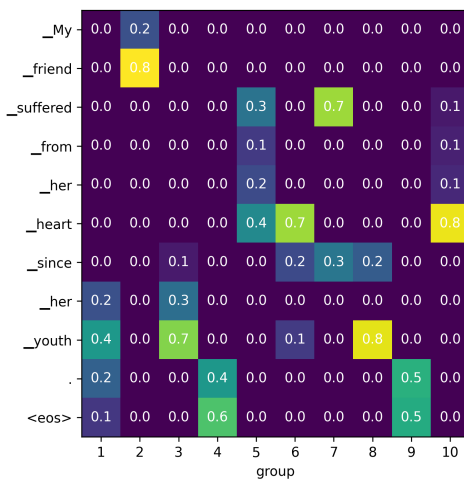
sense of the sentence and the groups with less tokens are responsible for encoding the details. Surprisingly, only four groups out of nine are utilized by the model. We hypothesize that the rest are used as the *no-op* tokens ([Clark et al., 2019](#)) in the context-attention when the context is not needed. Latent Selecting, by design, has to assign tokens to each group. Again, nouns seem to be included in a group more often than other parts of speech. Some groups select punctuation marks and the `<eos>` token, which could take the role of the *no-op* tokens.

5.5 Memory Usage

We measured the memory used by the tested models as the value returned by the `torch.cuda.max_memory_allocated()` function. For clarity we omit the Caching Sentence model (as the worst performing) and the Max Pooling model (with results the same as the Avg Pooling model). We report the operation memory - the memory during inference on top of the memory taken by the model itself - on the examples from the test subset of the English-to-German IWSLT 2017 dataset with different numbers of context sentences. For context sizes above three, we used the models trained on the context size of three in order to not disadvantage the Single- and Multi-encoder architectures that were not able to learn on the dataset for large context sizes. The results are presented in Figure 5. Although the number of parameters (see Appendix A) is a dominant factor determining the overall memory usage, the operation memory grows at different paces for different architectures with the increased context



(a) Latent Grouping



(b) Latent Selecting

Figure 4: Visualization of tokens of the sentence from the ContraPro dataset grouped (4a) and selected (4b) by the model using Latent Grouping and Latent Selecting.

size. The operational memory of the Single- and Multi-encoder models grows quadratically, while for caching and shortening architectures it grows linearly. Furthermore, the rate of increase is slower for shortening architectures compared to the Caching Tokens architecture, which can allow the significant advantage of shortening in the setting of long sentences or large contexts.

6 Conclusions

Caching architectures for Context-aware Machine Translation have not been widely explored in the literature so far. In this study, we show that a simple method of remembering the hidden representations of the previous sentences is comparable with more established Single- and Multi-encoder approaches

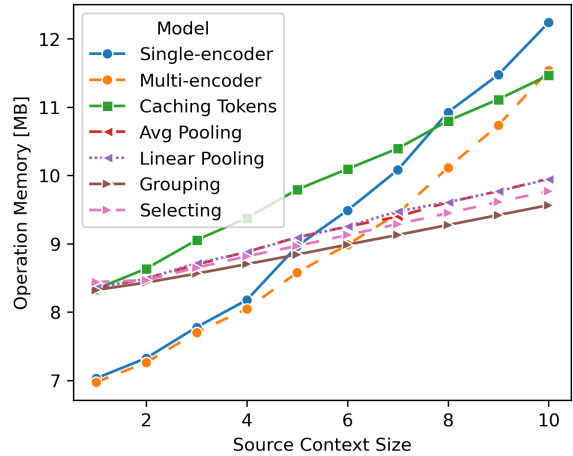


Figure 5: The mean operation memory of the models when performing inference on the examples from the **En-De** IWSLT 2017 test subset with the varying context sizes. For the context sizes above three, we used the models trained on the context size of three.

in terms of BLEU and can be more effective in capturing context (up to 6 percentage points of the accuracy on the contrastive dataset for the context size of one) in the relatively low-resource training scenario. Furthermore, the caching architectures are more stable to train in the regime of larger context sizes according to our experiments.

Pooling-based shortening of the cached sentence maintains the comparable results to the caching architecture, while our introduced shortening methods - Latent Grouping and Selecting - show on average a strong performance both in terms of BLEU and accuracy while maintaining slower growth of the memory usage during inference, and potential increased interpretability of the model through sparse assignment of tokens into groups. Sequence Shortening, in general, exhibit stable training in the regime of large context sizes compared to other tested methods. In future work, we will explore the integration of Sequence Shortening with the target-side context.

7 Limitations

Our investigation is limited to the source-side context. There exist linguistic phenomena that can only be addressed by using target-side context (Voita et al., 2019b). While both caching and shortening could be applied to the target side as well, we do not provide an empirical evaluation of the performance of this approach.

Additionally, we do not apply sentence-level

pre-training to our models. Architectures using Sequence Shortening could benefit from multiple stages of pre-training.

Lastly, our experiments involve language pairs from the same language family (English-to-German and English-to-French). We trained the models using the relatively low-resource datasets (IWSLT 2017) and the contrastive datasets used in this work target only the pronoun disambiguation task.

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A Models and Training Details

To implement and train our models we used fairseq framework (Ott et al., 2019) and based our code on the codebase of Fernandes et al. (2021). All models were based on the transformer-base configuration. The shared hyper-parameters are presented in Table 4. We trained each model on a single GPU (NVIDIA GeForce RTX 3090 24GB).

For Latent Grouping and Shortening, we used a categorizing FFN with 512 hidden units, the number of inputs equal to the Embed Dim, and the number of outputs equal to the number of groups. Table 5 shows the number of parameters for each model.

B COMET Results

Apart from BLEU and contrastive dataset accuracy presented in Section 5, we also measured COMET (Rei et al., 2020) based on Unbabel/wmt22-comet-da model (Rei et al., 2022). See Tables 6 and 7 for the results on English-to-German and English-to-French respectively.

C Detailed Contrastive Results

In this section we report the accuracy on the contrastive datasets for the different placements of the

Hyper-parameter	Value
Encoder Layers	6
Decoder Layers	6
Attention Heads	8
Embed Dim	512
FFN Embed Dim	2048
Dropout	0.3
Share Decoder In/Out Embed	True
Optimizer	Adam
Adam Betas	0.9, 0.98
Adam Epsilon	1e-8
Learning Rate	5e-4
LR Scheduler	Inverse Sqrt
LR Warmup Updates	2500
Weight Decay	0.0001
Label Smoothing	0.1
Clip Norm	0.1
Batch Max Tokens	4096
Update Frequency	8
Max Epoch	-
Patience	5
Beam	5
Max Vocab Size	20000
Seed	42

Table 4: The shared hyper-parameters of the tested models.

Model	Parameters
Sentence-level	64.42M
Single-encoder	64.42M
Multi-encoder	83.33M
Caching Tokens	71.25M
Caching Sentence	71.26M
Shortening - Max Pooling	72.83M
Shortening - Avg Pooling	72.83M
Shortening - Linear Pooling	73.35M
Shortening - Grouping	72.58M
Shortening - Selecting	72.58M

Table 5: The number of parameters in the tested models.

antecedent. The antecedent distance of zero corresponds to the examples where the antecedent is in the current sentence. The value of one represent the antecedent in the first context sentence (counting backward from the current sentence), etc. The results of the ContraPro dataset (English-to-German) and the contrastive dataset by Lopes et al. (2020) (English-to-French) are presented in Tables 8 and 9 respectively.

D Examples of Translations

We present the examples of the translation of the sentence-level Transformer, and Selecting and Grouping Shortening architectures on the IWSLT 2017 English-to-German dataset in Table 10. We marked the pronoun disambiguation from context sentences.

E Larger Context Results

In order to examine the behavior of the tested models in response to larger contexts, we trained the models on the IWSLT 2017 English-to-German dataset with context sizes up to 10. We present the results in terms of BLEU, accuracy on the ContraPro contrastive dataset, and COMET in Tables 11, 12, and 13 respectively.

F Groupings and Selections Visualization

The visualizations of groupings and selections done by the models using Latent Grouping and Selecting of the additional examples from the ContraPro dataset (Müller et al., 2018) can be found in Figure 6. Figure 7 shows the visualizations of the groupings and selections of the sentences from the contrastive dataset by Lopes et al. (2020).

Model	Context: 0		
Sentence-level	0.7778		
Model	Context: 1	Context: 2	Context: 3
Single-encoder	0.7831	0.7789	0.7758
Multi-encoder	0.7831	0.7871	0.7856
Caching Tokens	0.7806	0.7776	0.7821
Caching Sentence	0.7712	0.7640	0.7673
Shortening - Max Pooling	0.7743	0.7772	0.7799
Shortening - Avg Pooling	0.7774	0.7770	0.7844
Shortening - Linear Pooling	0.7757	0.7745	0.7823
Shortening - Grouping	0.7842	0.7828	0.7811
Shortening - Selecting	0.7774	0.7826	0.7836

Table 6: Results in terms of COMET (Rei et al., 2020) based on Unbabel/wmt22-comet-da model (Rei et al., 2022) of the **En-De** IWSLT 2017 experiment.

Model	Context: 0		
Sentence-level	0.7943		
Model	Context: 1	Context: 2	Context: 3
Single-encoder	0.7930	0.7979	0.7913
Multi-encoder	0.7968	0.7934	0.7934
Caching Tokens	0.7923	0.7935	0.7945
Caching Sentence	0.7845	0.7654	0.7737
Shortening - Max Pooling	0.7911	0.7913	0.7974
Shortening - Avg Pooling	0.7920	0.7924	0.7952
Shortening - Linear Pooling	0.7933	0.7951	0.7927
Shortening - Grouping	0.7933	0.7976	0.7921
Shortening - Selecting	0.7951	0.7945	0.7935

Table 7: Results in terms of COMET (Rei et al., 2020) based on Unbabel/wmt22-comet-da model (Rei et al., 2022) of the **En-Fr** IWSLT 2017 experiment.

Model	Context	Antecedent Distance				
		0	1	2	3	>3
Sentence-level	0	72.21%	31.82%	44.90%	48.87%	67.42%
Single-encoder	1	70.08%	38.42%	46.16%	49.04%	70.59%
	2	73.96%	37.87%	48.48%	50.79%	69.00%
	3	71.79%	40.00%	47.88%	52.01%	66.06%
Multi-encoder	1	75.17%	33.16%	44.64%	47.47%	66.97%
	2	73.54%	35.63%	47.42%	50.79%	69.00%
	3	70.88%	33.99%	46.16%	50.61%	69.46%
Caching Tokens	1	72.21%	49.07%	45.03%	50.09%	71.27%
	2	70.75%	47.17%	58.74%	48.69%	66.74%
	3	70.25%	42.53%	52.98%	60.91%	68.78%
Caching Sentence	1	66.83%	36.78%	45.63%	50.26%	68.55%
	2	66.83%	35.42%	47.81%	49.74%	71.04%
	3	60.17%	37.16%	47.95%	50.96%	67.87%
Shortening - Max Pooling	1	68.92%	46.33%	44.64%	48.17%	71.95%
	2	72.83%	47.63%	62.12%	47.64%	63.57%
	3	72.13%	40.83%	53.71%	63.00%	71.27%
Shortening - Avg Pooling	1	70.04%	48.58%	45.50%	48.52%	72.62%
	2	72.67%	47.04%	62.58%	47.64%	64.93%
	3	70.88%	40.71%	54.24%	60.56%	71.95%
Shortening - Linear Pooling	1	69.13%	47.84%	44.64%	49.21%	73.53%
	2	70.38%	43.75%	59.34%	47.99%	67.87%
	3	72.58%	41.06%	54.90%	64.05%	69.91%
Shortening - Grouping	1	73.67%	53.64%	45.56%	46.95%	71.72%
	2	69.17%	47.66%	61.85%	47.29%	68.78%
	3	71.21%	41.58%	55.03%	62.13%	68.10%
Shortening - Selecting	1	72.88%	50.16%	43.77%	47.64%	69.00%
	2	71.75%	45.85%	64.04%	47.99%	67.19%
	3	73.29%	42.04%	54.57%	65.10%	68.78%

Table 8: Detailed results of the accuracy on the ContraPro contrastive dataset for different antecedent locations of the models trained on the **En-De** IWSLT 2017 dataset.

Model	Context	Antecedent Distance				
		0	1	2	3	>3
Sentence-level	0	75.86%	75.76%	76.98%	76.70%	74.55%
Single-encoder	1	76.88%	77.16%	78.39%	78.86%	76.89%
	2	78.92%	78.69%	80.17%	78.98%	78.70%
	3	80.37%	80.99%	81.77%	81.70%	81.15%
Multi-encoder	1	75.71%	75.08%	76.92%	76.93%	75.72%
	2	77.03%	77.16%	78.08%	78.52%	76.14%
	3	75.08%	76.11%	77.53%	77.27%	74.01%
Caching Tokens	1	79.80%	79.11%	80.72%	80.68%	78.81%
	2	79.77%	80.44%	80.79%	81.25%	78.81%
	3	79.27%	80.27%	81.28%	80.34%	79.34%
Caching Sentence	1	76.81%	77.18%	78.21%	80.23%	77.10%
	2	75.73%	76.52%	76.98%	78.64%	74.76%
	3	75.01%	75.87%	78.27%	75.68%	74.97%
Shortening - Max Pooling	1	80.49%	80.38%	80.11%	80.11%	81.90%
	2	80.25%	80.73%	81.15%	80.68%	81.04%
	3	78.98%	80.27%	81.28%	80.80%	77.96%
Shortening - Avg Pooling	1	77.36%	77.70%	79.19%	77.61%	78.06%
	2	79.94%	80.00%	80.79%	81.70%	79.77%
	3	79.94%	80.77%	81.65%	81.02%	79.02%
Shortening - Linear Pooling	1	79.87%	80.35%	82.44%	80.57%	81.36%
	2	78.80%	79.06%	80.72%	80.68%	80.94%
	3	79.03%	80.09%	80.85%	80.23%	78.59%
Shortening - Grouping	1	79.28%	80.40%	81.46%	79.89%	78.91%
	2	80.91%	81.30%	81.65%	81.36%	80.62%
	3	79.07%	80.20%	78.88%	81.14%	78.91%
Shortening - Selecting	1	80.30%	81.03%	81.89%	82.73%	80.40%
	2	80.17%	80.33%	81.40%	81.02%	78.70%
	3	79.28%	80.33%	81.89%	79.55%	81.36%

Table 9: Detailed results of the accuracy on the contrastive dataset by [Lopes et al. \(2020\)](#) for different antecedent locations of the models trained on the **En-Fr** IWSLT 2017 dataset.

Source Context	This is a nice building .
Source Sentence	But it doesn't have much to do with what a library actually does today.
Target Reference	Aber es hat nicht viel mit dem zu tun, was eine Bibliothek heute leistet.
Sentence-level	Aber es hat nicht viel damit zu tun, was eine Bibliothek heute tut.
Shortening - Selecting	Aber es hat nicht viel mit der heutigen Bibliothek zu tun.
Shortening - Grouping	Aber es hat nicht viel mit dem zu tun, was eine Bibliothek heute tut.
Source Context	Zak Ebrahim is not my real name .
Source Sentence	I changed it when my family decided to end our connection with my father and start a new life.
Target Reference	Ich habe ihn geändert, als meine Familie beschloss, den Kontakt zu meinem Vater abzubrechen und ein neues Leben zu beginnen.
Sentence-level	Ich änderte es , als meine Familie entschied, unsere Verbindung mit meinem Vater zu beenden und ein neues Leben zu starten.
Shortening - Selecting	Ich habe ihn verändert, als meine Familie entschied, unsere Verbindung mit meinem Vater zu beenden und ein neues Leben zu beginnen.
Shortening - Grouping	Ich habe es verändert, als meine Familie beschloss, unsere Verbindung mit meinem Vater zu beenden und ein neues Leben zu beginnen.
Source Context	And this work has been wonderful. It's been great.
Source Sentence	But it also has some fundamental limitations so far.
Target Reference	Aber sie hat auch noch immer einige grundlegende Grenzen.
Sentence-level	Aber es hat bis jetzt auch einige fundamentale Grenzen.
Shortening - Selecting	Aber es hat bis jetzt noch grundlegende Grenzen.
Shortening - Grouping	Aber sie hat auch bis jetzt einige fundamentale Grenzen.

Table 10: Example translations of sentence-level Transformer and Grouping and Selecting shortening context-aware models of the English sentence with the context size of one to German. We marked **antecedent** and **pronoun** in the source sentence and **correct** and **incorrect** pronoun translations.

Model	Context Size						
	4	5	6	7	8	9	10
Single-encoder	10.60	24.89	1.99	1.64	1.43	1.18	0.95
Multi-encoder	28.49	28.34	27.58	26.69	25.23	8.76	7.10
Caching Tokens	28.75	28.61	27.67	27.90	27.22	27.15	26.24
Caching Sentence	27.87	28.30	27.55	27.67	27.20	25.87	5.84
Shortening - Max Pooling	28.32	28.42	28.15	28.06	28.03	28.25	28.53
Shortening - Avg Pooling	28.33	27.66	28.68	28.21	28.29	28.35	28.52
Shortening - Linear Pooling	28.83	27.91	28.17	28.44	28.24	28.28	28.05
Shortening - Grouping	28.73	28.15	28.27	28.21	27.85	27.65	28.10
Shortening - Selecting	28.85	28.15	27.93	28.18	27.67	28.04	28.23

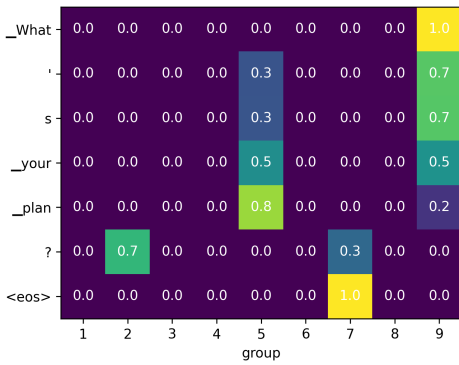
Table 11: Results in terms of BLEU of the **En-De** IWSLT 2017 experiment for larger context sizes.

Model	Context Size						
	4	5	6	7	8	9	10
Single-encoder	46.09%	44.03%	43.05%	42.07%	42.00%	38.49%	37.03%
Multi-encoder	47.02%	44.92%	46.25%	46.48%	43.63%	41.53%	41.44%
Caching Tokens	53.54%	47.68%	46.88%	47.04%	45.79%	48.15%	48.88%
Caching Sentence	46.57%	46.20%	44.59%	44.91%	43.29%	41.03%	43.01%
Shortening - Max P.	51.75%	47.13%	46.78%	46.73%	46.38%	46.38%	45.03%
Shortening - Avg P.	49.53%	49.43%	47.90%	45.88%	45.59%	46.27%	44.66%
Shortening - Linear P.	48.45%	46.40%	49.31%	46.35%	46.90%	45.23%	45.79%
Shortening - Grouping	49.55%	46.06%	45.10%	47.66%	47.19%	46.47%	46.53%
Shortening - Selecting	47.88%	48.98%	47.58%	45.58%	45.91%	45.52%	47.43%

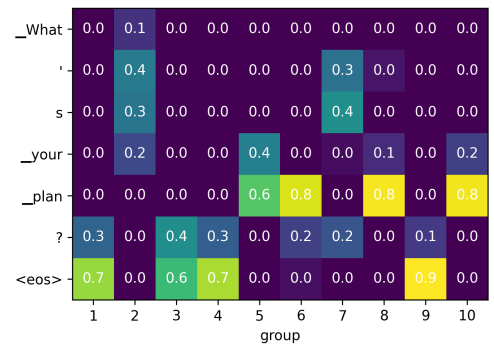
Table 12: Results in terms of the accuracy on the ContraPro contrastive dataset of the models trained on the **En-De** IWSLT 2017 dataset for larger context sizes.

Model	Context Size						
	4	5	6	7	8	9	10
Single-encoder	0.6266	0.7376	0.4425	0.4253	0.3950	0.3738	0.3597
Multi-encoder	0.7830	0.7809	0.7692	0.7621	0.7280	0.5682	0.5187
Caching Tokens	0.7824	0.7826	0.7773	0.7744	0.7682	0.7560	0.7450
Caching Sentence	0.7766	0.7741	0.7680	0.7680	0.7637	0.7413	0.5403
Shortening - Max Pooling	0.7784	0.7782	0.7799	0.7804	0.7824	0.7825	0.7790
Shortening - Avg Pooling	0.7815	0.7806	0.7812	0.7812	0.7776	0.7781	0.7814
Shortening - Linear Pooling	0.7803	0.7810	0.7802	0.7816	0.7780	0.7808	0.7783
Shortening - Grouping	0.7815	0.7808	0.7794	0.7742	0.7785	0.7757	0.7789
Shortening - Selecting	0.7811	0.7793	0.7782	0.7771	0.7759	0.7750	0.7791

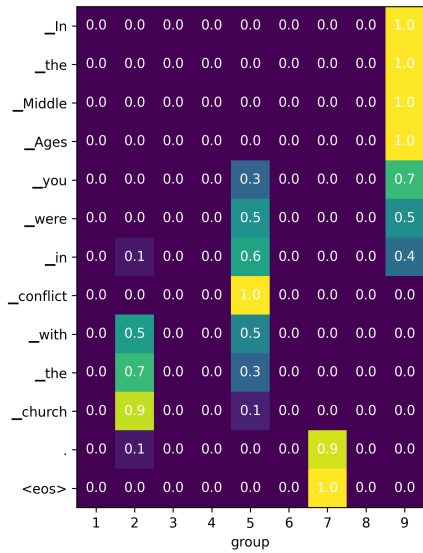
Table 13: Results in terms of COMET (Rei et al., 2020) based on Unbabel/wmt22-comet-da model (Rei et al., 2022) of the **En-De** IWSLT 2017 experiment for larger context sizes.



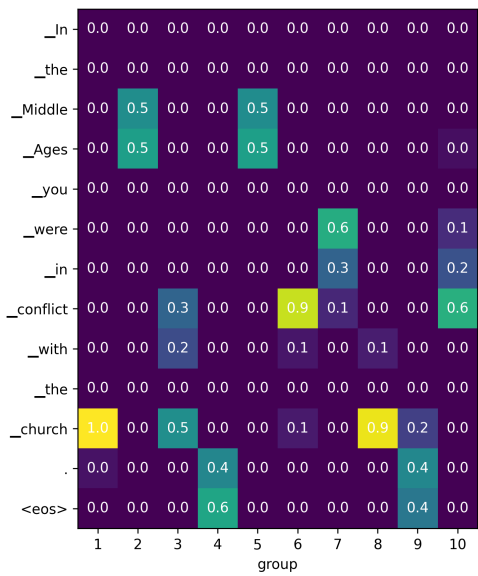
(a) Latent Grouping



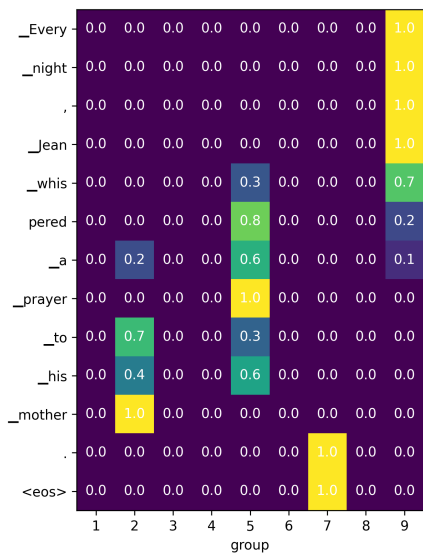
(b) Latent Selecting



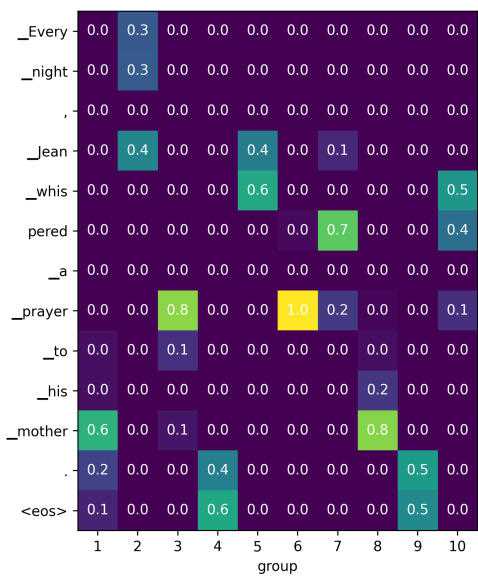
(c) Latent Grouping



(d) Latent Selecting

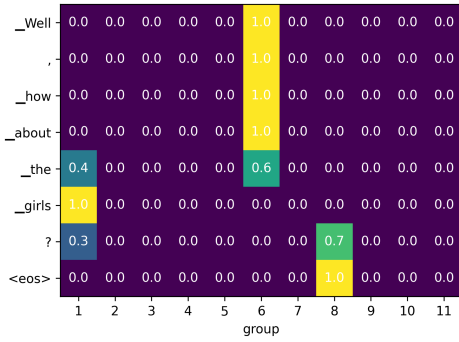


(e) Latent Grouping

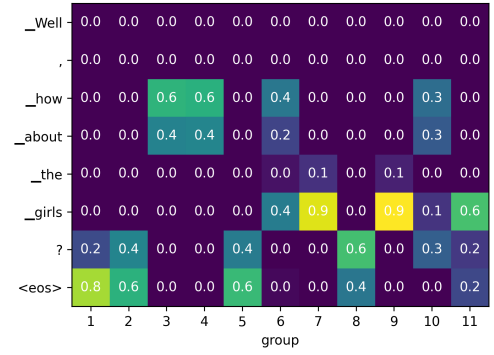


(f) Latent Selecting

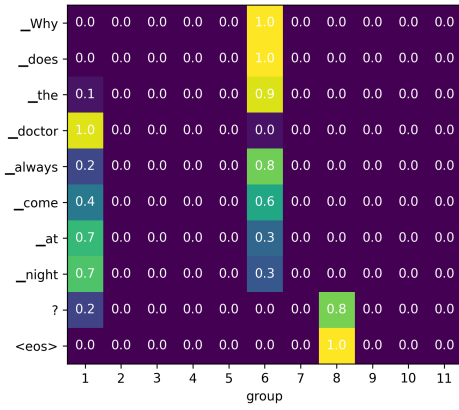
Figure 6: Visualization of tokens of the sentences from the ContraPro dataset (Müller et al., 2018) grouped (6a, 6c, 6e) and selected (6b, 6d, 6f) by the model using Latent Grouping and Latent Selecting.



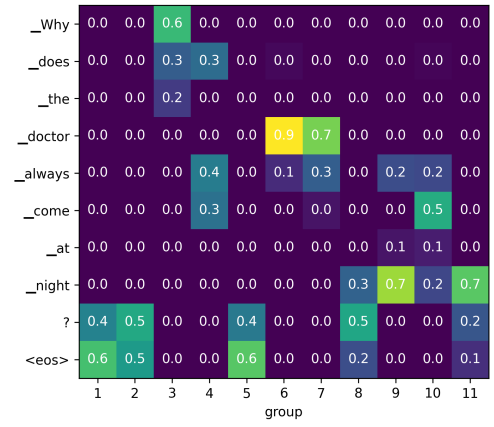
(a) Latent Grouping



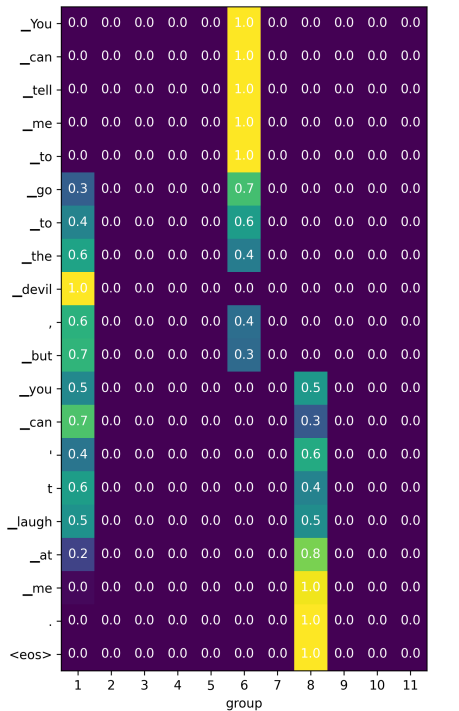
(b) Latent Selecting



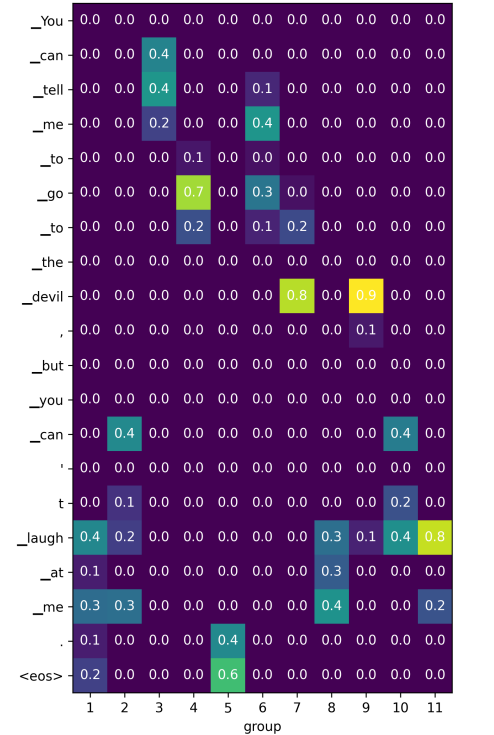
(c) Latent Grouping



(d) Latent Selecting



(e) Latent Grouping



(f) Latent Selecting

Figure 7: Visualization of tokens of the sentences from the contrastive dataset by Lopes et al. (2020) grouped (7a, 7c, 7e) and selected (7b, 7d, 7f) by the model using Latent Grouping and Latent Selecting.