

# Event Sequence Model for Semantic Analysis of Time and Location in Dialogue System

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## Abstract

It is important for a natural language dialogue system to interpret relations among event concepts appearing in a dialogue. The more complex a dialog becomes, the more essential it becomes for a natural language dialogue system to perform this kind of interpretation. Traditionally, many studies have focused on this problem. Some dialogue systems supported such semantic analysis by using rules and/or models designed for particular scenes involving specific type of dialogue and/or specific problem solving. However, these frameworks require system developers to reconstruct those rules/models even if a slight change is added to the targeted scene. In many cases, their rules/models heavily depend on specific type of dialogue/problem solving, and they do not have high reusability and modularity. Since those rules/models have scene-dependent design, they cannot be used to incrementally construct a bigger rule or model. In this research, we focus on a set of event concepts which are usually expected to occur sequentially. In a dialogue, a spoken event concept enables the listeners to guess a sequence of events. The sequence may sometimes be logically inferred, and it may be understood based on general common sense. We believe that a concept model of sequential events can be designed for each bigger event concept that consists of a series of smaller events. Using the sequentiality of the events in the model, a dialogue system can analyze time and loca-

tion of each event in a dialogue. In this paper, we design a structure of the event sequence model and propose a framework for analyzing time and location of event concepts appearing in a dialogue. We implemented this framework in a dialogue system, and designed some event sequence models. We confirmed that this system could analyze time and location of sequential events without scene-dependent rules.

## 1 Introduction

Natural language understanding by a NLP system requires more than generating semantic representations corresponding to the user's input sentence and adding them into the dialogue context information in the system. The system is also required to interpret the semantic representations generated from the user's input sentences by comparing them with the dialogue context, the situation surrounding the user and the system, and common sense knowledge. Through these analyses, the user's input is correctly/restrictively understood beyond what is explicitly uttered.

In our previous research, we focused on the difficulty for a natural language dialogue system arising from synonymous expressions. The same semantic contents can be conveyed by different expressions with different set of words and different syntactic structures. A natural language dialogue system must obtain the same semantic contents from those synonymous expressions irrespective of their differences. The proposed method of semantic analysis allows us to obtain the same semantic contents from a variety of

synonymous expressions (Takagi et al. 2006). We also developed a dialogue system based on this semantic analysis and evaluated the system (Noguchi et al. 2008). According to this framework, meanings of phrases, clauses and sentences are represented by sets of attribute-value pairs. A meaning denoted by a head (noun, verb, etc.) and its modifier is represented by a pair of the corresponding attribute and its value. We also prepared concept hierarchies for super-sub relation and whole-part relation. The concept hierarchies enable semantic comparison between different attributes, entities, or events. Accordingly, the semantic analysis in this framework consists solely of interpretation of attribute-value pairs and comparison of attribute-value pairs, and the dialogue system based on this framework obtains the same semantic contents from various synonymous expressions irrespective of their differences in their words and structures.

Semantic information explicitly conveyed in a dialogue is effectively analyzed by the above method; however, interpretation based on super-sub and whole-part relation is not enough. In this paper, we focus on a set of event concepts which are generally expected to occur sequentially. In a dialogue, a spoken event concept enables the listeners to guess a sequence of events. The sequence may sometimes be logically inferred, and it may be understood based on general common sense. Our purpose is to design a concept model of an event composed of sequential sub-events. We also propose a framework for semantic analysis of time and location based on the sequentiality in the model.

As for related research, Script (Schank and Abelson 1975) is well known for its capability to interpret relations among events involved in a dialogue. In this framework, scene-specific rules are prepared and those rules enable a dialogue system to interpret relations among event concepts in the user's input sentences, the dialogue context, the situation surrounding the user and the system, and common sense knowledge. A plan-based dialogue system (e.g. Chu-Carroll and Carberry 1998) employs a framework in which the dialogue system calculates dialogue strategy to solve the user's problem in a dialogue where a goal of the problem-solving is shared by the user and the system. Oku et al. (2004) propose a dialogue control scheme based on database and topic frames which include task-dependent knowledge. All the three frameworks require prepared task-dependent knowledge with designated structures in order to interpret semantic

relations among event concepts appearing in the user's input, the dialogue context, the situation surrounding the user and the system, and common sense knowledge. Accordingly, these frameworks require system developers to reconstruct these scripts, plans or frames even if a light change is added to the dialogue situation. It is practically impossible to prepare enough knowledge to deal with every possible dialogue. Fujiki et al. (2003) propose automatic plan generation from a text corpus. Its capability of plan generation is limited, and the problem of low reusability still remains for script-based natural language understanding systems.

Some approaches have been proposed for task-independent semantic analysis (Iida et al. 2003) (Yoshimura et al. 2009) (Hayashibe et al. 2011). Unlike script-based approaches, these approaches do not deal with dialogue structure. A dialogue without a specific goal can be supported by these approaches, but they do not have enough semantic analysis for supporting task-oriented dialogues.

Tamano and Matsumoto (1996) and Noro et al. (2007) focus on time identification and time inference of sequential events based on natural language expressions in the context. These studies did not focus on preparing knowledge description of a set of event concepts which are generally expected to occur sequentially.

In linguistic fields, the discourse representation theory (Partee 1984) (Kamp and Reyle 1993) deals with dialogue structure. These theory organized the roles of tense and aspect forms for discourse representation structures including time representations. However these discourse representation structures are sometimes analyzed by hand. These approaches do not focus their computational realization for supporting task-oriented dialogues.

The purpose of this research is to design a general concept model of an event composed of sequential sub-events in such a way that the model does not depend on specific scenes or goals. In this paper, we design the general event sequence model and two specific event sequence models ("trip" event and "stay" event) based on the general model. We also propose a framework for analyzing time and location of sequential events in a dialogue. We implemented this framework in a dialogue system, and confirmed that this system could analyze time and location without scene-specific rules.

## 2 Event Sequence Model

### 2.1 Basic Concept of Event Sequence Model

An event concept in natural language input invokes a set of event concepts which are generally expected to occur sequentially. In the sequence consisting of a set of event concepts, we often understand time/location of one event by referring to time/location of the preceding or following event. Such mutual reference between two sequential events goes beyond mutual reference through super-sub/whole-part relation. For example, consider “I went to Hamamatsu city. I stayed in the Hamamatsu Hotel.”. The “go” and the “stay” are different event concepts and these event concepts do not have super-sub/whole-part relation in a general concept hierarchy. In understanding these event concepts, however, we need mutual references to semantic information between the “goal” attribute of the “go” event concept and the “location” attribute of the “stay” event concept. The mutual reference is explained based on the following knowledge.

- A “go” event concept and a “stay” event concept have whole-part relationship with a “trip” event concept when the “go” event and the “stay” event are partial events of the “trip” event.
- When the “stay” event occurs after the “go” event, the “goal” attribute of the “go” event concept restricts the “location” attribute of the “stay” event concept and vice versa.

It is necessary for a natural language dialogue system to support the semantic analysis of this sort. In section 2, we discuss how sequential events should be structured and propose a general event sequence model and twin specific event sequence models based on the general model. Section 3 deals with how to perform semantic analysis based on specific event sequence models. Section 4 provides demonstration of our dialog system based on the framework to be proposed. The final section summarizes what has been achieved and what remains to be achieved.

### 2.2 Design Requirement of Event Sequence Model

To discuss the design requirements of the event sequence model, we focus on “trip” event concept and its related event concepts. The reason to focus on these event concepts is that “trip”-related tasks are popular in many studies about a dialogue system. In a dialogue with “trip”-related

tasks, the user frequently refers to the time and location of event concepts. The purpose of this research is definitely not to design a specific model for the “trip” event concept. Therefore we should carefully design the structure of the event sequence model so that the model can be applied to a wide variety of event concepts. We should examine if the event sequence model to be proposed can be applied to many other event concepts than what is discussed in this paper. However, that is beyond the scope of this paper and we leave it for the future work.

We collected dialogue histories of hotel search/reservation dialogue systems, travel reports published on web sites, and so on. From the collected contents, we chose 62 contents which involve frequent reference to the time and location of event concepts. These contents include 399 sentences. We analyzed event concepts in the sentences and confirmed that proper interpretation of the contents requires us to assume the existence of a series of events not explicitly conveyed in the contents. We found some properties of sequential events and restrictions on the event sequence model imposed by those properties. In this section, we summarize the design requirements and conditions for the event sequence model.

In the collected contents, even events of the same type involve a wide variety of sequential events. For example, it depends on the dialogue situation whether a “trip” event contains a “stay” event as its partial event. Similarly some “trip” events include “taking a hot spring bath” or “visiting a tourist place”, and others do not. If a “trip” event contains a “work” event, the “trip” event should be interpreted as a “business-trip” event and not as a “sightseeing-trip” event.

As we have just mentioned, a wide variety of “trip” event instances are made up of different combination of partial events. In addition, the chronological order of some of the partial events totally depends on the dialogue situation. It means that “(a) we cannot predefine a set and the order of partial events constituting the event sequence model in a static manner as in ‘E0, E1, E2, ..., En’”. A “whole” event (e.g. “trip” event) restricts the variations of its partial events. It means “(b) a set of possible partial event concepts can be defined for a specific event sequence model”. Although we cannot predefine the order of all the partial events, we can still read the order of those partial events from a given content. It means “(c) an instance of a specific event sequence model should be dynamically

created based on the event concepts appearing in a dialogue.”

In some of the collected contents, the existence of some events are presupposed even if the events are not explicitly expressed in the contents. For example, when “taking a hot spring bath” exists as a part of a “trip” event, a “go” event must exist as a part of the “trip” event, and the value of the “goal” attribute in the implicit “go” event concept must coincide with the “location” attribute of the “hot spring bath” concept. It means “(d) when a whole event concept is conveyed or when a whole event concept is invoked from some related event concepts, a dialogue system should behave as if other event concepts essential for the explicit event concepts were conveyed in the dialogue context; hence, the structure of the event sequence model is required to define essential event concepts for the whole event concept.”

A whole event concept is sometimes composed of multiple occurrences of the same type of event concept. Suppose for example that there are “a trip from A to B.”, “a trip from B to C.” and “a trip from C to D.” in a dialogue. We can refer to each “trip” event concept as a “trip”, and we can also refer to the entire event concept binding up the smaller “trip” event concepts as a “trip” as in “How much is the total cost of the trip?” It means that “(e) an event sequence model for a whole event concept includes the whole event concept itself as a part of the whole event concept.”

Proper interpretation of the collected contents sometimes requires inference of the time/location of an event even if they are not explicitly expressed in the contents. The inference can be drawn from the sequentiality of the event and the preceding/following event. It means that “(f) mutual reference to semantic information between two sequential event concepts based on the spatio-temporal sequence should be defined.”

Many of the collected contents contains more than one event concept each of which invokes a different series of partial events. Therefore, “(g) the framework for the event sequence model should determine how to achieve mutual reference to semantic information between different event sequences.”

The discussions above are summarized in the following design requirements and conditions for the event sequence model.

- (a) We cannot predefine a set and the order of partial events constituting the event

sequence model in a static manner as in “E0, E1, E2, ..., En”.

- (b) A set of possible partial event concepts can be defined for a specific event sequence model.
- (c) An instance of a specific event sequence model should be dynamically created based on the event concepts appearing in a dialogue.
- (d) When a whole event concept is conveyed or when a whole event concept is invoked from some related event concepts, a dialogue system should behave as if other event concepts essential for the explicit event concepts were conveyed in the dialogue context; hence, the structure of the event sequence model is required to define essential event concepts for the whole event concept.
- (e) An event sequence model for a whole event concept includes the whole event concept itself as a part of the whole event concept.
- (f) Mutual reference to semantic information between two sequential event concepts based on the spatio-temporal sequence should be defined.
- (g) The framework for the event sequence model should determine how to achieve mutual reference to semantic information between different event sequences.

### 2.3 Structure of Event Sequence Model

Figure 1 shows the general structure of event sequence models based on requirements (a-g) discussed in previous section.

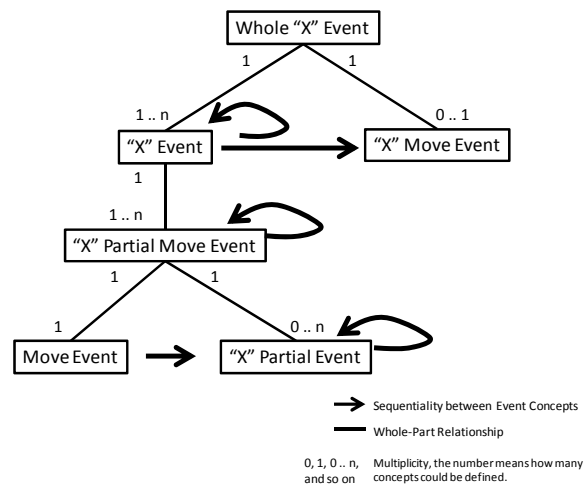


Figure 1. General Structure of Event Sequence Model

As for the requirement (e), we designed a 4 - layered whole-part relationship tree structure as the general structure of the event sequence model. In this model, the multiplicity definitions at both ends of a whole-part relation line mean how many concepts are possibly defined at the ends. Each event sequence model according to an event is defined based on this general structure by each word concept as Figure 2. "X" in Figure 1 is transferred to the name of each event concept.

In this framework for the requirement (a) and (c), an instance of an event sequence model is dynamically created based on the event concepts appearing in a dialogue. The "X Partial Event" in Figure 1 means that a set of event concepts is possible to be a part of the "X Event", discussed in requirement (b). For example, a set of "Trip Partial Event" concepts includes "eat", "sightseeing", "drive", and so on.

The arrow line in Figure 1 means the sequentiality between two events for the requirement (f). For example, the arrow line from "Move Event" to "X Partial Event" means that an "X Partial Event" will be occurred after the "Move event". In Figure 2, "Trip Event" has the sequentiality with "Return Event", and next "Trip Event".

The general structure of the event sequence model expressly includes the "Move Event" because each event concept, in general, has the "location" attribute. In this structure, every "X Partial Event" with changing its location accompanies "Move Event" before it. A "X Partial Move Event" bounds a pair of a "Move Event" and arbitrary number of "X Partial Event" concepts. This pair can define a partial event sequence that arbitrary number of events involved in a set of "X Partial Event" occur after the "Move Event".

Figure 3 is an example of the instance of the event sequence model for "trip" event in Figure 3. This instance is created by "trip advisory" dia-

logue. As a dialogue continues, an event concept used in the dialogue is judges whether it is capable to join the existing instance of the event sequence model or not. If the event concept can join to the existing instance, the event concept is joined as a "X Partial Event" in the existing instance. If the event concept cannot join to the existing instance, the instance should be extended. For example, as a new "trip" event, the "Trip Event 2", the "Trip Partial Event 2\_1", the "Move Event 2\_1" are created in Figure 4. After that, the "Attend Event" is joined as the "Trip Partial Event" after the "Move Event 2\_1".

The other sequentiality of two event concepts depends on the type of the event concepts. When the event sequence model for an whole event is defined, the sequentiality between the essential partial events for the whole event are expressly defined. In Figure 4, "check-in" and "check-out" events are essential partial events for the "stay" event, and the sequentiality between them are defined.

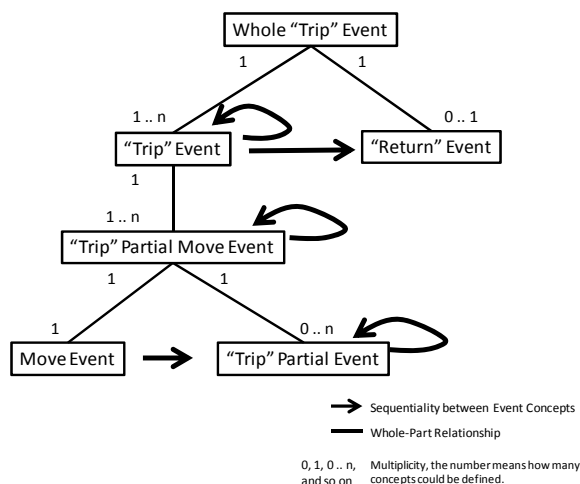


Figure 2. Example of Event Sequence Model of "trip" Event

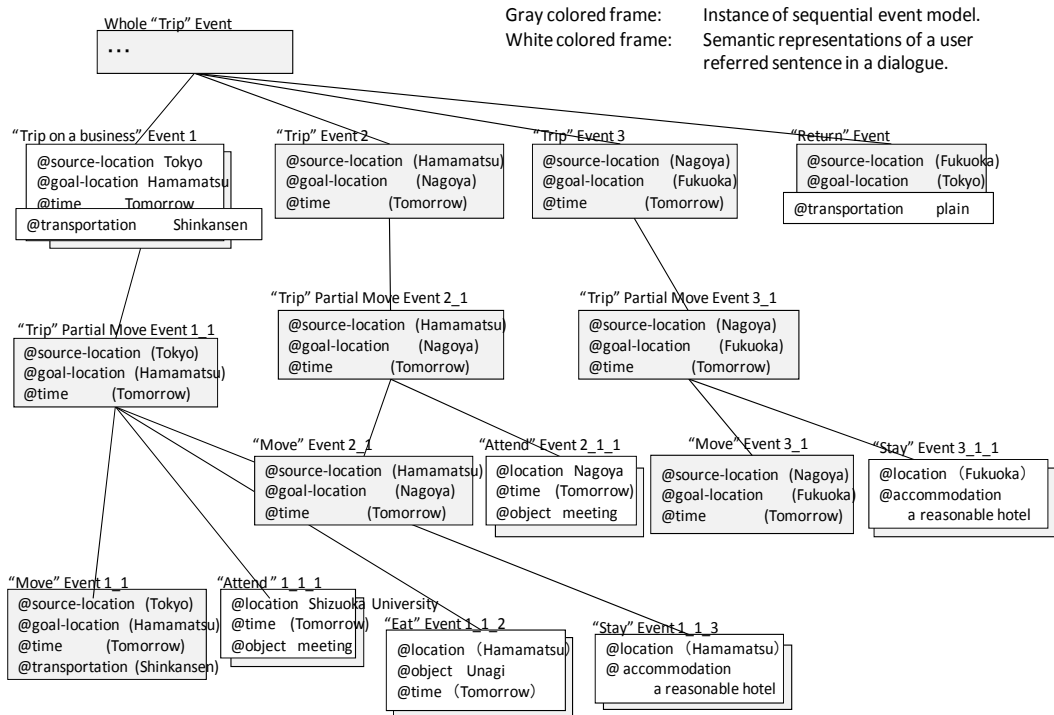


Figure 3. Instance of Event Sequence Model (e.g. “trip advisory” dialogue)

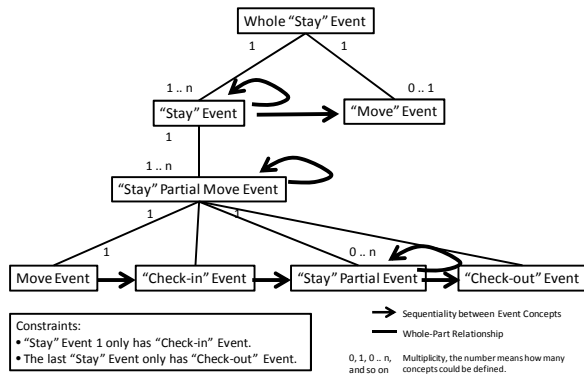


Figure 4. Example of Event Sequence Model of “stay” Event

As for the requirement (d), some event concepts are presupposed in a dialogue, even if the event concepts are not explicitly expressed in the dialogue. From the analysis of the collected context, some event concepts are usually known as essential partial event concepts for their whole event. In Figure 4, “check-in” and “check-out” events are essential partial events for the “stay” event. The dialogue system with this framework should presuppose these essential events and structural essential events of the event sequence model like “Move Event”, “Stay Partial Move Event”, “Stay Event”, and “Whole Stay Event”.

### 3 Semantic Analysis with Event Sequence Model

#### 3.1 Semantic Analysis in Event Sequence Model

In this section, we explain a method in a instance of an event sequence model based on the requirement (f) discussed in previous section.

In an event sequence model, we defined two types of relationship between event concepts: whole-part relationship and sequentiality between two events. Following mutual references of semantic information are applied to two events which have whole-part relationship. These references must be restricted their semantic information to ensure consistency of time and location in the whole instance of the event sequence model.

- The value of the “location” attribute or the “goal-location” attribute in a partial event is restricted to the part of the value of correspondent attribute in the whole event.
- The value of the “time” attribute is restricted to the part of the value of correspondent attribute in the whole event.

These results of semantic analysis are used for the restrictions to judge whether an event concept could join the existing instance of an event sequence model or not, discussed in previous sec-

tion. The value of “location” attribute of “Attend Event 2\_1\_1” in Figure 3 is restricted based on the value of “location” or “goal-location” attribute of “Trip Partial Event 2\_1”. The “Trip Partial Move Event 2\_1” is simultaneously restricted based on the “Trip Event 2”. In Figure 3, when the user explicitly expressed his/her business trip to “Hamamatsu” city, the value of the “location” attribute of the “Attend Event 2\_1\_1” is restricted on the part of “Hamamatsu” city. It enable the dialogue system to identify the location of the meeting, and to presuppose the “attend” event.

Based on the sequentiality between two events in an event sequence model, following references are applied to ensure consistency of time and location in the whole instance of the event sequence model.

- The value of the “location” attribute or the attribute “source-location” in an event at the origin of an arrow line is semantically restricted by the value of the “location” attribute or the “goal-location” attribute in an event at the end of the arrow line, regardless of difference of the type of event concepts.
- The value of the “time” attribute in an event at the end of an arrow line is semantically restricted around the same time or future time of the value of the “time” attribute in an event at the origin of the arrow line.

The sequentiality among the “Trip Event 1”, “Trip Event 2”, “Trip Event 3” and “Return Event” in Figure 3 restricted their “time” attribute and “location” attribute. The value of the “goal-location” attribute in the “Trip Event 1” restricted the value of the “location” attribute in the “Trip Event 2”. In the “Trip Event 2”, “Trip Event 3”, “Trip Event 3”, and “Return Event”, same kinds of restrictions are applied.

### 3.2 Semantic Analysis between Event Sequence Models

In this section, we explain a semantic analysis method among multiple event sequence models in a dialogue based on the requirement (g) discussed in previous section. The result for analyzing collected contents indicated the requirements of following operations as Figure 5 when more than 1 instances of event sequence models in a dialogue.

- When some events are shared in among multiple event sequence models, the semantic information of these events are

mutual referred from these event sequence models.

- An event is occurred at the point on the event sequence of the other event sequence model. The sequentiality including the event is dynamically generated, when the event has enough semantic information to determine the sequence where the event occurs.

When the user expressed an event which is capable to join both event sequence models in a dialogue, in each event sequence model, the relations with the event and the existing event sequence model are interpreted as section 3.1. The event which joined both event sequence models (as dark gray colored frame in Figure 5) shared the mutual references of semantic information. If the consistency of these event sequence models including the shared event could not be ensured, the capability that the event concept joined into both event sequence models should be rejected. If the consistency of them could be ensured, the events of “Check-in”, “Bath”, “Sleep”, “Eat” and “Buy” have similar sequentiality that these events occurred after “Move Event” and before “Check-out Event”. The sequence of them will be determined by more detailed semantic information explicitly expressed by the user. It is also important for a dialogue system to tentatively suppose the sequence to decide next system's behavior based on the result of semantic analysis.

There are logically following relationships among the event sequence models defined by the requirement (b).

- (A) The instances of event sequence models are subset/superset relationship.
- (B) The instances of event sequence models have intersection.
- (C) The instances of event sequence models do not have intersection (all instances of an event sequence model are occurred before/after all instances of the other event sequence model).

In case (A) and (B), an event is capable to be simultaneously both sequences of some event sequence models. A natural language processing system should determine the order of the event on the sequentiality of event sequence models. Current implementation demonstrated in section 0, the implemented system determines the order of the event concept based on the consistency of time and location of the sequentiality in the event sequence models.

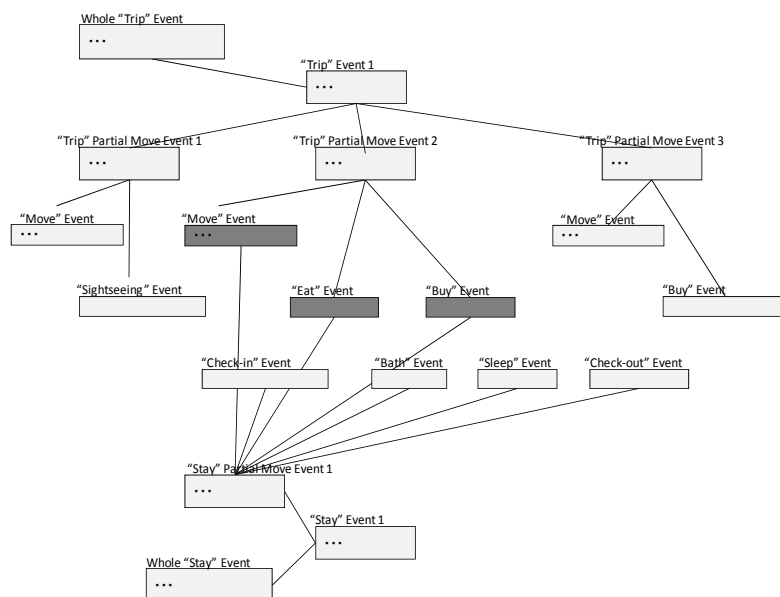


Figure 5. Model for Semantic Analysis between Event Sequence Models in a Dialogue

#### 4 Demonstration

We implemented a framework for a semantic analysis method using event sequence models in a dialogue system. We defined some event sequence models according to event concepts appearing in “trip advisory” dialogues. Figure 6 shows an example of dialogue with this dialogue system, and Figure 7 shows the extracted part of instances of event sequence models generated in this dialogue.

In the dialogue in Figure 6, “trip” event concept and “stay” event concept invoke the instances of these event sequence models like Figure 5. The underlined system's replies are generated using these models.

When the user would like to eat unagi (cooked eel) in “Unahamaken (a restaurant)”, the instance of “Move Event 1\_2” and others are created to keep the consistency of the location which is changed from “Shizuoka University” to “Unahamaken”. So, the dialogue system can identify that the user must be going to move from “Shizuoka University” to “Unahamaken” and can intend to give the travel time from Shizuoka University to “Unahamaken” to the user.

U	asu, hamamatsu-ni syucho shimasu. (I will travel to hamamatsu city tomorrow for business.)
S	kashikomari-mashita. goyoken-ha? (OK. Do you have a requests?)
U	“Shizudai”-de uchiawase-wo shimasu. ato, unagi-wo tabe-tai. (I'll have a meeting in Shizuoka University. I want to eat unagi at lunch.)
S	“Unahamaken”-ga osusume-desu. <u>Shizudai-kara basu-de 5 fun-de yukemasu.</u> unajyu jo-dato 2,800 yen desu. (I suggest you “Unahamaken”. <u>You can go there from Shizuoka University for 5 minutes by bus.</u> Unajyu jo is 2,800 yen.)
U	wakarimashita. yasui hotel-ni tomaritai no dake-do. (I see. Could you tell me a reasonable hotel?)
S	hamamatsueki-mae-no ippaku 4,800 yen no “Hotel Hamana”-ha ikagadesu-ka? (“Hotel Hamana” near Hamamatsu station is 4,800 yen by a day.)
U	arigatou. soko-ni tomarimasu. sore-to “Station Hotel”-de 7:00-ni Sato-san-to yusyoku-wo torimasu. (Thanks. I'll stay there. I'll got dinner with Mr. Sato in Station Hotel.)
S	“Hotel Hamana”-kara “Station Hotel”-heha toho-de 5 fun desu. <u>“Hotel Hamana”-no checkin-ha 6:30-de yoi-desune.</u> ( <u>You can go Station Hotel from Hotel Hamana on walk for 5 minutes. The time of check-in in Hotel Hamana is 6:30 p.m., isn't it?</u> )
U	arigatou. yokujitsu-ha, nagoya-de kaigi-ni sanku shite-kara, fukuoka-ni mou ippaku shimasu. (OK. Next day, I have a meeting in Nagoya city, and stay in Fukuoka city.)
S	wakari-mashita. fukuokaeki-mae ippaku 5,600 yen no “Fukufukutei”-ga osusume desu. (I see. I suggest “Fukufukutei” on the front of Fukuoka station whose price is 5,600 yen by a day.)
U	arigatou. (Thanks.)

Figure 6. Dialogue Example with Implemented Dialogue System





plicability of the suggested event sequence model was not enough to be confirmed. Especially for many event sequence models exist in a dialogue. In this paper, we demonstrated with “trip” and some other event concepts however the demonstrated event sequence models are subset/superset relationship. The instance of event sequence models with intersection and without intersection are not demonstrated. As future works, the applicability of the suggested models with these conditions should be evaluated. Naturally, it is necessary to evaluate even more event concepts in many varieties of dialogues.

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