A Study of Reuse and Plagiarism in Speech and Natural Language Processing Papers

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Objectives

- Study the practices of the NLP (Spoken, Written and Sign Language) community regarding reuse and plagiarism
 - Check whether there is a meaningful difference in taking the verbatim raw word strings compared with applying natural language processing methods to detect possible cases of reuse and plagiarism?

NLP4NLP Corpus

- Presently conduct large scholar analysis of NLP domain
 - Production, Collaboration, Citation, Innovation
- NLP4NLP: 34 sources over 50 years (1965-2015)
- Major conferences (ACL, IEEE-ICASSP, ISCA-Interspeech, ELRA-LREC, etc.) and Journals (IEEE-TASLP, CL, SpeechCom, CSAL, LRE, etc.)
- 558 Venues (conferences) / Issues (journals)
- 65,003 documents
- 48,894 Authors
- 270 MWords

NLP4NLP Corpus

| short name | # docs | format | long name | language | access to content | period | # venues |
|------------------------|--------|------------|---|----------|-------------------|-----------|----------|
| acl | 4264 | conference | Association for Computational Linguistics Conference | English | open access * | 1979-2015 | 37 |
| acmtslp | 82 | journal | ACM Transaction on Speech and Language Processing | English | private access | 2004-2013 | 10 |
| alta | 262 | conference | Australasian Language Technology Association | English | open access * | 2003-2014 | 12 |
| anlp | 278 | conference | Applied Natural Language Processing | English | open access * | 1983-2000 | 6 |
| cath | 932 | journal | Computers and the Humanities | English | private access | 1966-2004 | 39 |
| cl | 776 | journal | American Journal of Computational Linguistics | English | open access * | 1980-2014 | 35 |
| coling | 3813 | conference | Conference on Computational Linguistics | English | open access * | 1965-2014 | 21 |
| conll | 842 | conference | Computational Natural Language Learning | English | open access * | 1997-2015 | 18 |
| csal | 762 | journal | Computer Speech and Language | English | private access | 1986-2015 | 29 |
| eacl | 900 | conference | European Chapter of the ACL | English | open access * | 1983-2014 | 14 |
| emnlp | 2020 | conference | Empirical methods in natural language processing | English | open access * | 1996-2015 | 20 |
| hlt | 2219 | conference | Human Language Technology | English | open access * | 1986-2015 | 19 |
| | | | IEEE International Conference on Acoustics, Speech and Signal | | | | |
| icassps | 9819 | conference | Processing - Speech Track | English | private access | 1990-2015 | 26 |
| ijcnlp | 1188 | conference | International Joint Conference on NLP | English | open access * | 2005-2015 | 6 |
| inlg | 227 | conference | International Conference on Natural Language Generation | English | open access * | 1996-2014 | 7 |
| isca | 18369 | conference | International Speech Communication Association | English | open access | 1987-2015 | 28 |
| jep | 507 | conference | Journées d'Etudes sur la Parole | French | open access * | 2002-2014 | 5 |
| Ire | 308 | journal | Language Resources and Evaluation | English | private access | 2005-2015 | 11 |
| Irec | 4552 | conference | Language Resources and Evaluation Conference | English | open access * | 1998-2014 | 9 |
| ltc | 656 | conference | Language and Technology Conference | English | private access | 1995-2015 | 7 |
| modulad | 232 | journal | Le Monde des Utilisateurs de L'Analyse des Données | French | open access | 1988-2010 | 23 |
| mts | 796 | conference | Machine Translation Summit | English | open access | 1987-2015 | 15 |
| muc | 149 | conference | Message Understanding Conference | English | open access * | 1991-1998 | 5 |
| naacl | 1186 | conference | North American Chapter of ACL | English | open access * | 2000-2015 | 11 |
| | | | Pacific Asia Conference on Language, Information and | | | | |
| paclic | 1040 | conference | Computation | English | open access * | 1995-2014 | 19 |
| ranlp | 363 | conference | Recent Advances in Natural Language Processing | English | open access * | 2009-2013 | 3 |
| sem | 950 | conference | Lexical and Computational Semantics / Semantic Evaluation | English | open access * | 2001-2015 | 8 |
| speechc | 593 | journal | Speech Communication | English | private access | 1982-2015 | 34 |
| tacl | 92 | journal | Transactions of the Association for Computational Linguistics | English | open access * | 2013-2015 | 3 |
| tal | 177 | journal | Revue Traitement Automatique du Langage | French | open access | 2006-2015 | 10 |
| taln | 1019 | conference | Traitement Automatique du Langage Naturel | French | open access * | 1997-2015 | 19 |
| | | | IEEE/ACM Transactions on Audio, Speech and Language | | | | |
| taslp | 6612 | journal | Processing | English | private access | 1975-2015 | 41 |
| tipster | 105 | conference | Tipster DARPA text program | English | open access * | 1993-1998 | 3 |
| trec | 1847 | conference | Text Retrieval Conference | English | open access | 1992-2015 | 24 |
| Total incl. duplicates | 67937 | | | | | 1965-2015 | 577 |
| Total excl. duplicates | 65,003 | | | | | 1965-2015 | 558 |

Definitions

- "Self-reuse": copy & paste when the source of the copy has at least one author who belongs to the group of authors of the text of the paste and when the source is cited.
- "Self-plagiarism": copy & paste when the source of the copy has at least one author who belongs to the group of authors of the text of the paste, but when the source is not cited.
- "Reuse": copy & paste when the source of the copy has no author in the group of authors of the paste and when the source is cited.
- "Plagiarism": copy & paste when the source of the copy has no author in the group of the paste and when the source is not cited.

Definitions

| | Source paper is quoted | Source paper is not quoted |
|-------------------------------|------------------------|----------------------------|
| At least one author in common | Self-Reuse | Self-Plagiarism |
| No author in common | Reuse | Plagiarism |

Each year: Papers of the focus borrowing papers of the search space (same year or previous years: Backward study)

| Search Space Focus | NL | P4NLP (Same year o | or previous yea | ars) |
|-----------------------|--------------|--------------------|-----------------|--------------|
| | Self-Reusing | Self-Plagiarizing | Reusing | Plagiarizing |
| Year1 | | | | |
| Year2 | | | | |
| Year3 | | | | |
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Each year: Papers of the focus being borrowed by papers of search space (same year or following years: Forward study)

| Search Space Focus | NLF | P4NLP (Same year o | or following ye | ars) |
|-----------------------|-------------|--------------------|-----------------|-------------|
| | Self-Reused | Self-Plagiarized | Reused | Plagiarized |
| Year1 | | | | |
| Year2 | | | | |
| Year3 | | | | |
| ••• | | | | |

Algorithm

- Based on comparison of word sequences, had to be optimized:
- For each pair of documents D1 of the focus (LREC) and D2 of the search space (NLP4NLP), consider
 - 1. either raw text
 - 2. or text after LP (Tagparser [Francopoulo 2007] with Global Atlas + LRE Map)
 - Hyphen variations
 - Caesura
 - Upper/lower cases
 - Plurals
 - Orthographic variations (British English versus American English)
 - Spelling errors
 - Abbreviations (BNC versus British National Corpus)
- Compare 2 texts D1 / D2 using sliding windows of (5-7) lemmas (excluding punctuations)
- Compute a similarity overlapping score [Lyon et al 2001] between documents D1 and D2, with (a variant of) the Jaccard similarity coefficient
 - Score (D1,D2) = #shared windows / #union (D1 windows, D2 windows)
- Filter the pairs of documents D1 / D2 according to a threshold of (0.03-0.04) (3-4% coverage) to retain only significantly similar pairs

Raw text versus LP

| Strategy | Backward study document pairs# | Forward study document pairs# | Backward + forward document pairs# after duplicate pruning |
|-------------------------------|--------------------------------|-------------------------------|--|
| 1. Raw text | 438 | 373 | 578 |
| 2. Linguistic processing (LP) | 559 | 454 | 736 |
| Difference (LP-raw) | 121 | 81 | 158 |

Tuning Parameters

- Windows: 7 words
- Jaccard similarity coefficient
- Similarity threshold: 0.04 (4%)
- + Number of shared windows > 50

Example of IEEE ICASSP 2001

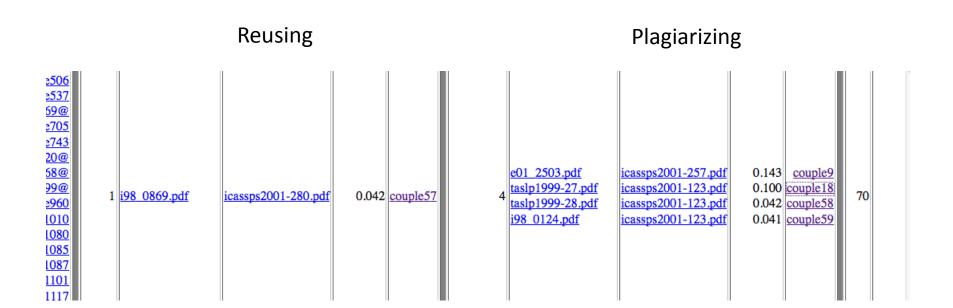
Self-Reusing

i00_4187.pdf icassps2001-14.pdf 0.475 couple43 couple65@ i00 1309.pdf icassps2001-172.pdf 0.422 e99 1619.pdf icassps2001-35.pdf 0.263 couple167 0.250 couple185@ i00 4354.pdf icassps2001-231.pdf icassps2001-89.pdf i00 1385.pdf 0.174 couple363 couple480 0.149 i00 3742.pdf icassps2001-1.pdf couple530 i00 4544.pdf icassps2001-207.pdf 0.139 couple560 i00 1282.pdf icassps2001-61.pdf 0.135 couple575 e99 2411.pdf icassps2001-44.pdf 0.132 icassps2001 19 i00 1621.pdf icassps2001-35.pdf 0.116 couple675 i00_3518.pdf icassps2001-212.pdf 0.087 couple938 couple982@ icassps2000-293.pdf icassps2001-197.pdf 0.084 couple983@ icassps2000-206.pdf | icassps2001-273.pdf 0.084 taslp2000-25.pdf icassps2001-79.pdf 0.083 couple994 i00 1401.pdf icassps2001-68.pdf 0.075 couple 1099 i00 3794.pdf icassps2001-71.pdf 0.067 couple1251 icassps2001-193.pdf 0.067 couple1261@ icassps2000-21.pdf icassps2000-56.pdf icassps2001-142.pdf 0.060 couple1399@ i98_0745.pdf icassps2001-17.pdf 0.050 couple 1694

Self-Plagiarizing

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Example of IEEE ICASSP 2001



Example of similarities between 2 papers (couple 18)

taslp1999-27.pdf

the data on a frame base level and ignore the continuous dynamics of the signal within a state An alternative approach is segmental modeling where the basic modeling unit is not a frame but a phonetic unit this family of models relax both the stationarity and the independence within a state assumptions of standard HMM s in this section we review major variants of segmental models A more detailed survey of segmental models can be found in 20 Goldberger et al Segmental modeling 265 Deng et al 1 used a regression polynomial function of time to model the trajectory of the mean in each state A similar model was suggested by Gish and Ng 9 for a keywords spotting task in that model the observation vectors within a state are generated according to such that is set to zero at the beginning of the state and then incremented with each new incoming frame are state dependent vector parameters and is a zero mean Gaussian with a state dependent diagonal covariance matrix the case corresponds to standard HMM this model assumes that the frames within a state are independently although not identically distributed Russell and Holmes 12 14 23 and Gales and Young 67 extended the model suggested by Deng by assuming a parametric segmental model with random coefficients that are sampled once per segment realization therefore the mean trajectory is a stochastic process instead of a fixed parameter more precisely this model is defined by 1 and by the PDF s of and in the second stage we create the observations by sampling along the parametric curve that was determined in the first stage this sampling is carried out with the PDF of Diagonal covariance Gaussian PDF s are typically attributed to and in addition is assumed to have zero mean the model parameters can be normalized according to the segment length in order to achieve better performance and to simplify the parameter estimation 10 Kenny et al 15 have used a state conditioned linear prediction coefficients LPC model to remove correlation between successive observation vectors i the observation vectors within a state are generated according to where are diagonal matrices so that a LPC model applies to each component of the vector A disadvantage of the model is that it assumes stationarity within a state the two approaches of 1 and 15 were unified and generalized in 2 Digalakis 4 proposed a dynamical system model which generalizes the Gauss Markov model 2 to a Kalman filter framework by assuming noisy observations the special case where the hidden Gauss Markov process is assumed to be constant was named target state model the target state model is similar to the model proposed by Russell 23 therefore the dynamical system model can also be considered a generalization of the hidden constant Gaussian mean target state model several authors have proposed nonparametric segment models A major advantage of nonparametric models is that they are not sensitive to the shape of the feature trajectory that needs to be approximated consequently they are also not sensitive to the segment partitioning problem that was explained in Section II and damonstrated in Fig. 2 for a horizontal line parametric approximation on the other hand connergemetric models might

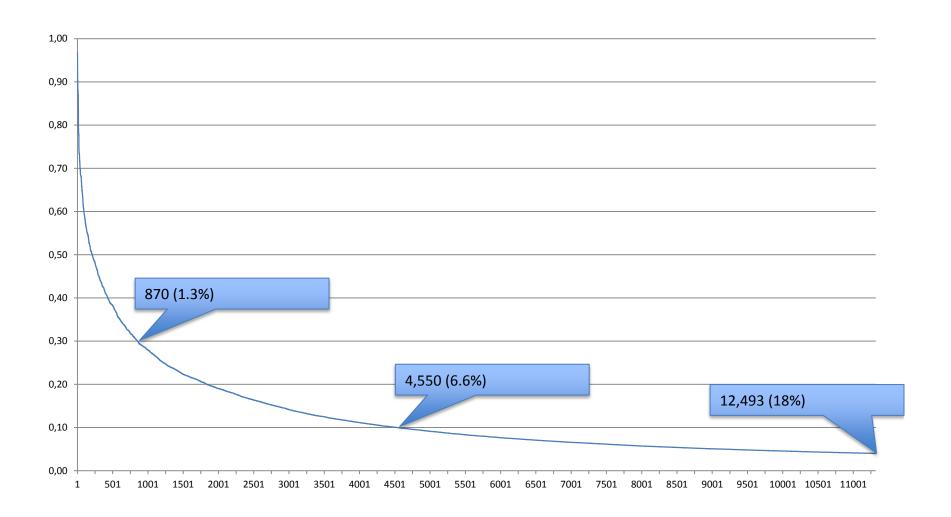
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according to this frame independence assumption the joint observation probability can be rewritten as $\Pi\Pi \cong TT$ gopggoopgop although the frame independence assumption is clearly inappropriate for speech sounds the standard HMM in practice has worked extremely well for various types of speech recognition tasks review of Research efforts ON frame Correlation modeling under maximum likelihood Ml criteria the performance of a HMM based system relies on how well the HMMs can characterize the nature of real speech for this reason various approaches have been tried to take account of frame correlation for more realistic modeling these efforts are generally known by the name of frame correlation modeling the family of segment models tries to directly express speech feature trajectories the basic modeling unit is not a frame but a phonetic unit this family of models relaxes both the stationarity and the independence assumptions within a standard HMM state while they seem to be successful in extracting dynamic cues for speech recognition under a suitable trajectory assumption they are not based on widely available HMM technology Deng et al 6 used a regression polynomial function of time to model the trajectory of the mean in each state A similar model was suggested by Gish and Ng 7 for a keyword spotting task Russell and Holmes and Gales and Young 8 extended the model suggested by Deng by assuming a parametric segmental model with random coefficients that are sampled once per segment realization therefore the mean trajectory is a

Self Reuse-Plagiarism

- 12,493 cases (18% papers): no manual checking
 - 4% to 97% overlapping
 - In 61% of the cases, authors do not quote the source paper
 - 130 papers have both the same title and the same list of authors
 - 205 papers have the same title
- Some specific cases (largest similarities)
 - Republishing the corrigendum of a previously published paper
 - Republishing a paper with a small difference in the title and one missing author in the authors' list
 - Same research center described by the same author in two different conferences, with an overlapping of 90%
 - 2 papers presented by the same author in 2 successive conferences, the difference being primarily in the name of the 2 systems being presented, that have been funded by the same project agency in 2 different contracts, with an overlapping of 45%

Similarity Scores Self Reuse-Plagiarism



Self Reuse-Plagiarism

| Used Using | acl | acmtslp | alta | anlp | cath | ਹ | coling | conll | csal | | emnlp | - | 1 | icassps | djuoji | glui | isca | jep | <u>. 9</u> | in contract of the contract of | 2 : | ltc | modulad | mts | muc | naacl | paclic | ranlp | sem | speechc | tacl | tal | taln | taslp | tipster | trec | Total used | Total using | Difference | |
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| hit | 83 | 12 | 0 | 5 | 3 | 48 | 48 | 11 | 42 | 2 14 | 3 | 3 2 | 22 | 29 | 30 | 2 | 10 | 4 (|) | 4 2 | 6 | 1 | U | 13 | 2 | 6 | 1 | 0 | 9 | 8 | 0 | 0 | 0 | 25 | - / | 19 | 607 | 4/6 | 131 | hit |
| icassps | 16 | 5 | 0 | 0 | 0 | 3 | 4 | 1 | 130 |) 4 | 1 | 7 2 | 21 | 262 | 2 | 0 | 100 | 5 (|) | 0 1 | 9 | 0 | 0 | 2 | 0 | 14 | 2 | 0 | 0 | 65 | 0 | 0 | 0 | 746 | 0 | 3 | 2311 | 2160 | 151 | icassps |
| ijcnlp | 27 | 6 | 1 | 0 | 0 | 3 | 29 | 10 |) | 7 2 | 2 34 | 4 ′ | 18 | 2 | 4 | 3 | | 7 (|) | 5 1 | 9 | 3 | 0 | 9 | 0 | 13 | 4 | 8 | 3 | 0 | 0 | 0 | 0 | 4 | 0 | 1 | 222 | 237 | -15 | ijcnlp |
| inig | - / | U | U | 1 | 1 | Ь | 5 | 2 | | J . | 3 | 1 | 3 | U | 1 | - 2 | | 4 C | | 7 | б | U | U | 1 | U | 4 | U | U | U | U | U | U | U | - 1 | 0 | U | 49 | 35 | 14 | inig |
| ISCa | 56 | 23 | 0 | 2 | 0 | 13 | 45 | 0 | 31. | / 10 |) 2: | o 1' | 16 | 1531 | 10 | 4 | 87 | 9 (|) ' | 10 13 | 3 | 19 | 0 | 12 | 0 | 38 | 6 | 0 | 1 | 233 | 0 | 0 | 0 | 669 | 0 | 5 | 4157 | 2460 | 1697 | isca |
| jep | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |) (|) (|) (|) | 0 | 0 | 0 | 0 | | 0 10 |) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 16 | 18 | -2 | jep |
| Ire | 2 | 1 | 0 | 0 | 0 | 2 | 3 | 0 |) (|) (|) (|) | 1 | 0 | 0 | 0 | | 2 (|) | 2 | 6 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 22 | 146 | -124 | Ire |
| Irec | 58 | 3 | 0 | 2 | 6 | 16 | 80 | 6 | 1. | 3 1 | 16 | o ' | 17 | 16 | 10 | 2 | - / | 2 (|) ; | o2 6 | 67 | 12 | 0 | 6 | 0 | 11 | 11 | 4 | 12 | 5 | 2 | 0 | 0 | 6 | 1 | 3 | 524 | 660 | -136 | Irec |
| Itc | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |) (|) (| J | 0 | 0 | 2 | 0 | 1 | 5 (|) | 1 3 | 55 | 10 | U | 2 | 0 | 0 | 6 | 6 | 1 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 86 | /1 | 15 | Itc |
| modulad | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |) (|) (|) (|) | 0 | 0 | 0 | 0 | | 0 (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | modulad |
| mts | 13 | 0 | 0 | 0 | 0 | 2 | 9 | 2 | 2 | 0 2 | 2 (| 9 ' | 10 | 3 | 9 | 0 | | 9 (|) | 2 2 | 20 | 2 | 0 | 8 | 0 | 8 | 5 | 2 | 1 | 1 | 0 | 0 | 0 | 2 | 0 | 0 | 119 | 109 | 10 | mts |
| muc | 2 | 0 | 0 | 2 | 0 | 2 | 3 | U | | J , | 1 | J | 7 | 0 | 0 | 0 | | 0 (| | 0 | 0 | 0 | U | 0 | 10 | 1 | 0 | 0 | 0 | 0 | U | 0 | 0 | 0 | 18 | 1 | 47 | 28 | 19 | muc |
| naacl | 46 | 10 | 0 | 2 | 1 | 24 | 30 | 7 | 1: | 2 1 | 22 | 2 | 5 | 15 | 22 | 3 | 3 | 0 (|) | 3 1 | 6 | 1 | 0 | 9 | 0 | 3 | 0 | 0 | 9 | 1 | 0 | 0 | 0 | 8 | 0 | 3 | 293 | 251 | 42 | naacl |
| paclic | 4 | 0 | 0 | 0 | 1 | 0 | 12 | 1 | | 1 ' | ١ . | 1 | 0 | 2 | 8 | 0 | | 3 (|) | 5 1 | 8 | 7 | 0 | 3 | 0 | 0 | 21 | 7 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 97 | 85 | 12 | paclic |
| ranlp | 3 | 2 | 0 | 0 | 0 | 0 | 2 | 4 | | 4 2 | 2 2 | 2 | 1 | 0 | 7 | 0 | | 0 (|) | 2 1 | 9 | 5 | 0 | 2 | 0 | 1 | 2 | 4 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 66 | 54 | 12 | ranlp |
| sem | 25 | 2 | 0 | 0 | U | - / | 16 | 14 | . 4 | 4 | 12 | 2 | 12 | 0 | 8 | 0 | | 0 (| , | 13 1 | 2 | 1 | U | 1 | U | 8 | 1 | 4 | 53 | 0 | 0 | 0 | U | 0 | 0 | 1 | 195 | 188 | - / | sem |
| speechc | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 1 (|) (|) | 4 | 17 | 0 | 0 | 4 | 8 (|) | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 17 | 0 | 0 | 102 | 344 | | speechc |
| tacl | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |) (|) (|) : | 2 | 0 | 0 | 0 | 0 | | 0 (|) | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 9 | | tacl |
| tal | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |) (|) (|) (|) | 0 | 0 | 0 | 0 | | 0 5 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 | 0 | 0 | 0 | 18 | 59 | -41 | |
| taln | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |) (|) (|) (|) | 0 | 0 | 0 | 0 | | 0 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 53 | 9 | 0 | 0 | 0 | 65 | 22 | 43 | taln |
| tasip | 0 | 5 | 0 | 0 | 0 | 0 | 1 | 1 | 1; | 3 (|) | 1 | 4 | 197 | 0 | 0 | 10 | 3 (|) | 0 | 2 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 15 | 0 | 0 | 0 | 49 | 0 | 0 | 394 | 1610 | -1216 | taslp |
| tipster | 3 | U | 0 | 3 | U | 0 | 6 | 0 |) |) (|) | 1 | 5 | 0 | 0 | 0 | | 0 (|) | 0 | 2 | 0 | U | 0 | 13 | 1 | U | 0 | 0 | 0 | U | 0 | U | 0 | 2 | / | 43 | 65 | -22 | tipster |
| trec | 10 | 0 | 4 | 11 | 2 | 1 | 6 | 0 |) : | 2 2 | 2 1 | 1 3 | 32 | 7 | 3 | 0 | | 5 (|) | 0 1 | 0 | 0 | 0 | 0 | 0 | 10 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 2 | 24 | | 431 | 362 | 69 | trec |
| Total using | 625 | 93 | 14 | 50 | 50 | 433 | 500 | 151 | 643 | 3 130 | 35 | 5 47 | 76 | 2160 | 237 | 35 | 246 | 0 18 | 3 14 | 46 66 | 0 | 71 | 0 | 109 | 28 | 251 | 85 | 54 | 188 | 344 | 9 | 59 | 22 | 1610 | 65 | 362 | 12493 | 12493 | 0 | |

Reuse and Plagiarism

- 261 cases: manual checking
- Reuse
 - 12 have a least one author in common, but with a somehow different spelling and should therefore be placed in the "Selfreuse" category.
- Plagiarism
 - 25 have a least one author in common, but with a somehow different spelling, and should therefore be placed in the "Selfplagiarism" category
 - 14 correctly quote the source paper, but with variants in the spelling of the authors' names, of the paper's title or of the conference or journal source, or correctly citing the source paper but forgetting to place it among the references, and should therefore be placed in the "Reuse" category.

Variants in Spelling Authors' Name

- Non-Linear Probability Estimation Method
 Used in HMM for Modeling Frame Correlation
 - Qing Guo, Fang Zheng, Jian Wu, and Wenhu Wu (ISCA-Interspeech 1998)
- An New Method Used in HMM for Modeling Frame Correlation
 - Guo Qing, Zheng Fang, Wu Jian and Wu Wenhu (IEEE-ICASSP 1999)

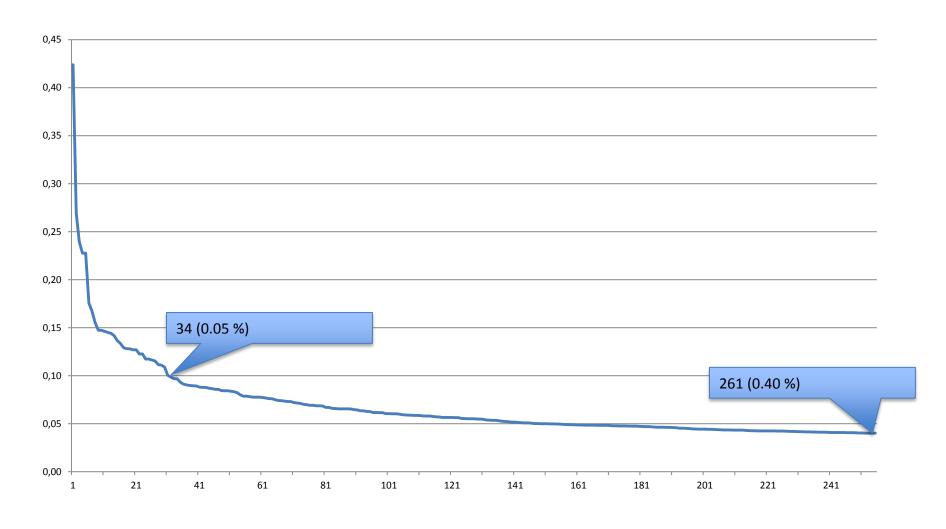
Variants in Spelling References

- Quoted Reference: Graham W. (2007) "an OWL Ontology for HPSG" proceeding of the ACL 2007 demo and poster sessions, 169-172.
- Correct Reference: Graham Wilcock (2007), "An OWL Ontology for HPSG"
- Quoted Reference: Li Liu, Jianglong He, "On the use of orthogonal GMM in speaker verification"
- Correct Reference: Li Liu and Jialong He, "On the use of orthogonal GMM in speaker recognition"

Reuse and Plagiarism

- After manual corrections: 224 cases (0.33% of papers)
 - 4% to 42% overlapping
 - In 52% of the cases, authors do not quote the source paper
 - This results in 117 possible cases of plagiarism (0.17%):
 - The copying paper cites another reference from the same authors of the source paper (typically a previous reference, or a paper published in a Journal) (46 cases)
 - Both papers use extracts of a third paper that they both cite (31 cases)
 - Authors of the two papers are different, but from same laboratory (typical in industrial laboratories or funding agencies) (11 cases)
 - Authors of the two papers previously co-authored papers (typically as supervisor and PhD student or postdoc) but are now in different laboratories (11 cases)
 - Authors of the papers are different, but collaborated in the same project which is presented in the two papers (2 cases)
 - The two papers present the same short example, result or definition coming from another source (13 cases)
 - Only 3 remaining cases of possible plagiarism: same paper as a patchwork of 3 other papers, while sharing several references with them.

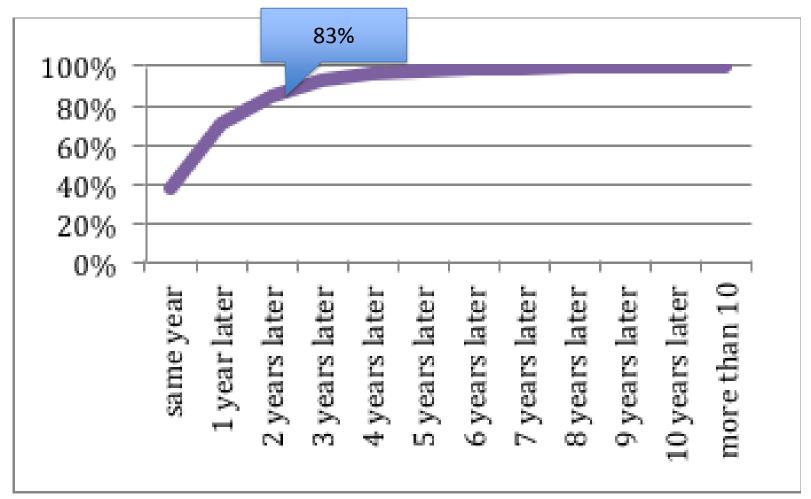
Similarity Scores Reuse/Plagiarism



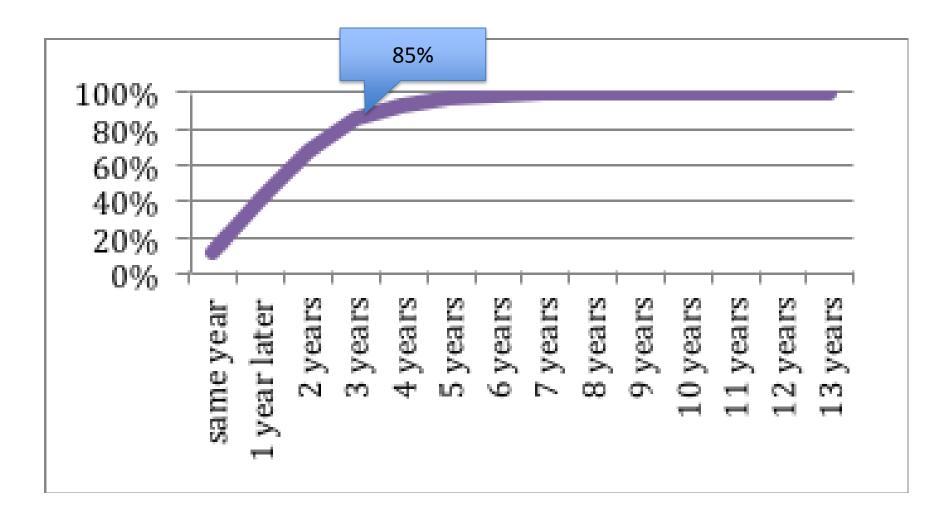
Reuse and Plagiarism

| Used Using | acl | acmtslp | alta | anlp | cath | ਹ | coling | | csal | 200 | dluma | . #4 | | Icassps | ijcnlp | glui | isca | deĺ | | lrec | ltc | modulad | mts | muc | naacl | paclic | ranlp | sem | speechc | tacl | tal | taln | taslp | tipster | trec | Total used | Total using | Difference | |
|-------------|-----|---------|------|------|------|---|--------|-----|------|-----|-------|------|----|---------|--------|------|------|-----|---|------|-----|---------|-----|-----|-------|--------|-------|-----|---------|------|-----|------|-------|---------|------|------------|-------------|------------|---------|
| acl | 1 | 0 | 0 | 0 | | | 1 2 | 2 2 | 2 | 0 | 0 | 4 | 3 | 0 | 3 | 0 | 2 | 0 | _ | | 1 | (| 0 0 | 1 | 1 | 1 | 1 | 3 | | 0 | 0 | 0 | 0 | 0 | 0 | 28 | 7 | | acl |
| acmtslp | 0 | 0 | 0 | 0 | 0 | | |) (|) | U | 0 | U | 0 | 0 | 0 | 0 | | Ü | 0 | (| 0 | (| J | Ĺ | 0 | Ü | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | acmtslp |
| alta | 0 | 0 | 0 | 0 | 0 | | |) (|) | 0 | 0 | U | 0 | 0 | 0 | 0 | | Ü | 0 | | 0 | | 0 | Ü | 0 | Ü | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | alta |
| anlp | 0 | 0 | 0 | 0 | · | _ | |) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | (| 0 | (| 0 0 | (| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | anlp |
| cath | 0 | 0 | 0 | 0 | 0 | (| 0 |) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | (| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | -2 | cath |
| Cl | 0 | 0 | 0 | 0 | 0 | (|) 1 | (|) | 0 | 0 | 1 | 1 | 0 | 1 | 0 | (| Ü | 0 | | 0 | (| J 1 | Ü | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 5 | 7 | Cl |
| coling | 0 | 0 | 0 | 0 | 1 | (|) (|) (|) | 0 | 0 | 0 | 2 | 1 | 1 | 0 | | U | 0 | - 2 | 2 0 | (|) 0 | 1 | 1 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 15 | - / | 8 | coling |
| conll | 0 | 0 | 0 | 0 | · | _ |) (|) (|) | 0 | 0 | 2 | 0 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (| 0 0 | C | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 5 | -2 | conll |
| csal | 0 | 0 | 0 | 0 | 0 | (| |) (|) | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 3 | 0 | 0 | (| 0 | (| 0 0 | (| 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 6 | _ | csal |
| eacl | 0 | 0 | 0 | 0 | 0 | |) (|) (|) | 0 | 0 | 0 | 2 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (| 0 | C | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 0 | eacl |
| emnip | 0 | 0 | 0 | 0 | 0 | | 2 (| 7 2 | 2 | 0 | 1 | 1 | 2 | 0 | 0 | U | (| Ü | 0 | (| 0 | (|) 1 | C | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 13 | 15 | -2 | emnip |
| hlt | 2 | 0 | 0 | 0 | 0 | · | 1 (|) (| 1 | 1 | 0 | 2 | 1 | 1 | 1 | 0 | 2 | . 0 | 0 | (| 1 | (|) 1 | (| 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 17 | 17 | 0 | hlt |
| icassps | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 1 | 0 | 1 | 2 | 3 | 0 | 0 | 32 | . 0 | 0 | (| 0 | (| 0 0 | (|) 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 5 | 0 | 0 | 48 | 37 | 11 | icassps |
| ijcnlp | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (| 0 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 9 | -7 | ijcnlp |
| inig | 0 | 0 | 0 | 0 | 0 | |) (|) (|) | 0 | 0 | U | 0 | 0 | 0 | 0 | (| U | 0 | (| 0 | (| J O | (| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | ınlg |
| isca | 0 | 0 | 0 | 0 | 0 | 1 | 1 1 | (|) | 1 | 0 | 0 | 1 | 18 | 1 | 0 | 7 | 0 | 0 | 1 | 1 | (| 0 | (| 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 36 | 70 | -34 | isca |
| jep | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (| 0 | (| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | jep |
| Ire | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (| 0 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | -1 | Ire |
| Irec | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 1 | 0 | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | (| 0 | (| 0 | (| 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 8 | 8 | 0 | Irec |
| Itc | 0 | 0 | 0 | 0 | 0 | |) (|) (|) | U | 0 | U | 0 | 0 | U | 0 | (| Ü | 0 | (| 0 | (| J O | Ü | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | -4 | Itc |
| modulad | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (| 0 0 | (| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | modulad |
| mts | 1 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | (| 0 | (| 0 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 3 | 1 | mts |
| muc | 1 | 0 | 0 | 0 | 0 | |) 1 | (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (|) (| 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 3 | 0 | muc |
| naacl | 1 | U | 0 | 0 | 0 | |) (|) (|) | 1 | U | 1 | 1 | 1 | 0 | U | 1 | U | 0 | (| 0 | (| J U | C | 1 | 2 | . 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 10 | -1 | naacl |
| paclic | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 0 | 0 | 1 | 0 | (| C | 0 | (| 1 | (| 0 0 | (| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 10 | -8 | paclic |
| ranlp | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (| 0 0 | C | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | -3 | ranlp |
| sem | U | U | U | U | U | 1 |) 2 | 2 (| 7 | U | U | U | U | U | U | U | (| U | U | U | U | (| J U | Ü | U | U | U | 1 | U | U | U | U | U | U | U | 3 | - 7 | -4 | sem |
| speechc | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | U | 0 | U | 0 | 0 | 1 | 0 | 2 | U | 0 | (| 0 | (|) U | Ĺ | 0 | U | 0 | 0 | 0 | 0 | U | 0 | 1 | 0 | 0 | 4 | 5 | -1 | speechc |
| tacl | 0 | 0 | 0 | 0 | 0 | (|) (|) (| 7 | U | U | U | 0 | 0 | U | 0 | | U | U | | 0 | (|) U | U | 0 | U | U | 0 | U | 0 | 0 | U | 0 | U | 0 | 0 | U | 0 | tacl |
| tal | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | 0 | | 0 | (| 0 0 | (| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | tal |
| taln | 0 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (| 0 | 0 | (| 0 | (| 0 0 | C | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | taln |
| tasip | 0 | 0 | 0 | 0 | U | |) (|) (|) | 1 | 1 | U | 0 | 10 | U | U | 16 | U | U | (| 0 | (|) 0 | (| 0 | U | 0 | 0 | 2 | 0 | 0 | U | 0 | 0 | 0 | 30 | 10 | | tasip |
| tipster | 0 | 0 | 0 | 0 | 0 | (| J |) (|) | 0 | 0 | U | 0 | 0 | U | 0 | (| U | 0 | (| 0 | (| J | Ü | 0 | 0 | U | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 2 | 2 | 0 | tipster |
| trec | 1 | 0 | 0 | 0 | 0 | (|) (|) (|) | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 1 | (| 0 | (| 0 0 | (| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 13 | 13 | 0 | trec |
| Total using | 7 | 0 | 0 | 0 | 2 | | 5 7 | 7 . | 5 | 6 | 2 1 | 5 | 17 | 37 | 9 | 0 | 70 | 0 | 1 | 3 | 4 | . (| 3 | 3 | 10 | 10 | 3 | 7 | 5 | 0 | 0 | 0 | 10 | 2 | 13 | 261 | 261 | 0 | |

Time Delay Publication / Reuse (1.22 years on average)



Time Delay Publication in Conferences / Reuse in Journals (2.07 years on average)



Self-Plagiarism or *Fair Use*? (Pamela Samuelson, Comm. of ACM 1994)

Acceptable if:

- The previous work must be restated to lay the groundwork for a new contribution in the second work,
- Portions of the previous work must be repeated to deal with new evidence or arguments,
- The audience for each work is so different that publishing the same work in different places is necessary to get the message out,
- The authors think they said it so well the first time that it makes no sense to say it differently a second time.
- 30% as an upper limit in the reuse of parts of a previously published paper.
 - Only 1.3% of NLP4NLP papers go beyond this limit

Plagiarism: Right to Quote

- "National legislations usually embody the Berne convention limits in one or more of the following requirements:
 - the cited paragraphs are within a reasonable limit,
 - <= 10% of the copied / copying papers in France / Canada
 - Only 0.05% of NLP4NLP papers go beyond this limit
 - the cited paragraphs are clearly marked as quotations and fully referenced,
 - the resulting new work is not just a collection of quotations, but constitutes a fully original work in itself".
- the copied paragraphs must have a function in the goal of the copying paper.

Conclusions

- Produce results on the study of copy & paste operations on corpora of NLP archives of very large size, using NLP methods
 - Large number of pairwise comparisons (65,000*65,000), which still represents a practical computing limitation.
- Self-reuse and self-plagiarism are common practices (18%)
 - 40% happen on same year (no way to detect beforehand)
 - No quote of source paper in 60% of the cases (75% if same year)
 - Natural flow from conferences to journals
 - Current tendency for "salami-slicing" publications caused by the publish-and-perish demand
- Plagiarism very uncommon in the NLP community (<0.05%)
- Ethically acceptable if principles are respected

Further developments

- Process "rogeting": replacing words with synonymous alternatives
- Study the position and rhetorical structure of the copy & paste in order to identify and justify their function.
- Explore whether copy & paste is more common for non native-English speakers
 - publish first in their native language, then in English in an international conference or an international journal, in order to broaden their audience

Thank you.