

Multiple Document Summarization Using Principal Component Analysis Incorporating Semantic Vector Space Model

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Abstract

Text Summarization is very effective in relevant assessment tasks. The Multiple Document Summarizer presents a novel approach to select sentences from documents according to several heuristic features. Summaries are generated modeling the set of documents as Semantic Vector Space Model (SVSM) and applying Principal Component Analysis (PCA) to extract topic features. Pure Statistical VSM assumes terms to be independent of each other and may result in inconsistent results. Vector space is enhanced semantically by modifying the weight of the word vector governed by Appearance and Disappearance (Action class) words. The knowledge base for Action words is maintained by classifying the words as Appearance or Disappearance with the help of Wordnet. The weights of the action words are modified in accordance with the Object list prepared by the collection of nouns corresponding to the action words. Summary thus generated provides more informative content as semantics of natural language has been taken into consideration.

Keywords: Principal Component Analysis (PCA), Semantic Vector Space Model (SVSM), Summarization, Topic Feature, Wordnet

1. Introduction

With the advent of the information revolution, electronic documents are becoming a principal media of business and academic information. The Internet is being populated with hundreds of thousands of electronic documents each day. In order to fully utilize these on-line documents effectively, it is crucial to be able to extract the main idea of these documents. Having a Text Summarization system would thus be immensely useful in serving this need. Multiple Document Summarization System aids to provide the summary of a document set that

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contains documents which belong to same topic. It can also be used to generate the summary of a single document.

In the present work, we propose a method of text summarization that uses semantics of data in order to form efficient and relevant summary. Summary is generated by constructing Statistical Vector Space Model (3.1) and then modifying it using the concept of Action words to form Semantic Vector Space Model (3.2). Action Words are identified using the Action Word Classifier which makes use of Wordnet [Kedar *et al.*] in order to analyze the semantics of word.

Principal Component Analysis (3.3) is then applied on SVSM to reduce the dimension of multidimensional data sets. Singular Value Decomposition (SVD) is carried out on SVSM as a part of PCA to yield singular values and eigen vectors. Backprojection is then performed to project the documents onto the eigen space yielding projected values of documents which are henceforth compared with the singular values to yield the most relevant document/topic. Sentence Extraction (3.4) from multiple document sets has been assigned weight on the basis of keywords obtained from the most important document/topic. Sentences with higher weight are taken to form a summary.

2. Related Work

Various multiple document summarization systems already exist. This document summarizer is based on Kupeic 95 [Kupeic *et al.* 1995] which is a method of training a Bayesian classifier to recognize sentences that should belong in a summary. The classifier estimates the probability that a sentence belongs in a summary given a vector of features that are computed over the sentence. It identifies a set of features that correspond to the absence/presence of certain words or phrases and avoids the problem of having to analyze sentence structure. Their work focused on analyzing a single document at a time. Since then, there has been lot of work on the related problem of Multiple-document Summarization [Regina *et al.* 1999; Radev *et al.* 1998], where a system summarizes multiple documents on the same topic. For example, a system might summarize multiple news accounts of the recent massacre in Nepal; into a single document. Our hypothesis is that the similarities and differences between documents of the same type (*e.g.* bios of CS professors, earnings releases, etc.) provide information about the features that make a summary informative. The intuition is that the ‘information content’ of a document can be measured by the relationship between the document and a corpus of related documents. To be an informative summary, an abstract has to capture as much of the ‘information content’ as possible. To gain a handle on the problem of capturing the relationship between a document and a corpus, we examined several papers on Multiple-Document Summarization [Regina *et al.* 1999; Radev *et al.* 1998, 2000, 2004; Otterbacher *et al.* 2002]. However, we found most of their approaches were not applicable to

our problem since they are mostly trying to match sentences of the same meaning to align multiple documents. The MEAD summarizer [Radev *et al.* 2000, 2001], which was developed at the University of Michigan and at the Johns Hopkins University 2001 Summer Workshop on Automatic Summarization, produces summaries of one or more source articles (or a ‘cluster’ of topically related articles).

Our Summarizer works on the documents belonging to same topic. It is strongly motivated by the analogy between this problem and the problem of face identification, where a system learns features for facial identification by applying PCA to find the characteristic eigenfaces [Turk *et al.* 1991; Pentland *et al.* 1994; Moon *et al.* 2001].

3. New Methodology

Any set of documents dealing with the same subject is decomposed using Vector Space model. The important keywords can be extracted from the Vector Space Model using a threshold. Such keywords are called thematic keywords which are based on statistics. Important sentences can be extracted and a summary can be made using thematic keywords. We propose a new methodology for multiple document summarization by enhancing the VSM using semantics and identifying topic features based keywords to make the multiple document summary. The approach is:

1. Statistical VSM construction from Multiple Document Set.
2. Semantic VSM generation using the concept of Contextual Action Words using Wordnet.
3. Application of PCA on Semantic VSM to reduce the dimension of the multidimensional data set yielding the most important Keywords.
4. Score Sentences based on several features such as sentence length cut-off feature, position feature, keyword weight, etc.
5. Generation of Summary extracting the sentences with high Score.

3.1 Statistical VSM Construction

The Multiple Document Summarizer models the set of documents related to the same topic as the Statistical Vector Space Model based on several heuristics. The simplest way to transform a document into a vector is to define each unique word as a feature. The weight of a feature being decided based on the contribution of various parameters such as Cue-phrase Keywords, topic keywords and term frequency in document. The weight of the feature is being termed as Feature Combination.

The vector representations of the documents; collectively define an n-dimensional vector space (where each document is an $n \times 1$ vector). The m document vectors taken as the columns

of an $n \times m$ matrix D , define a linear transformation into the vector space.

3.2 Semantic VSM Construction

The existing vector space model is statistical in nature. This vector space is input to a number of tools and processes like a summarizer and information retrieval system. PCA/SVD Technique has been applied earlier for Summarization based on statistical vector space [Gong et al. 2001]. Some times this statistically generated model is unable to define the context. Keywords identified by a statistical model can be non-contextual in nature. Therefore, an effort is to be made in the direction of identification of contextual keywords and modification of existing model so that it can be more helpful and contextual for various applications like text summarization and text retrieval.

To identify the contextual keywords, we try to exploit human psychology. In any article, we identify that those words are important which either give a sense of either appearance or disappearance of any object/event. Thus, after we have the pure statistical vector space we need to enhance the vector space semantically by modifying the weights of the word vector by identifying the Appearance and Disappearance (ACTION class) words. To do so, we need to have a knowledgebase (KB) with some seed wordlist which belongs to appearance or disappearance. Following, are the steps involved in the semantic vector space model.

1. Get the tf matrix, T from existing document D .
2. Identify the set of action words, A from the given tf matrix, T , (number of action words = n).
3. Find the associated object list O_i for action word A_i ; $A_i \in A$, $0 < i < n$.
4. Find contextual objects C_o from Object list O_1, O_2, \dots, O_n .
5. Modify weight of contextual objects in T to form semantic vector space $S\{T\}$.

3.2.1 Identification of Action words

Action words are the backbone of the semantic vector space model.

Definition: Action words are verbs that are used to strengthen the way experiences are presented whether it is expressing positive or negative experience.

With the help of Wordnet, the terms from the tf (term frequency) matrix which belong to the ACTION class can be easily classified. The algorithm uses a seed word list to identify the action words.

Definition: Seed Word List is the collection of action words. (Appendix A)

Whenever a term from the tf matrix is fetched, it is matched against seed word list. If it is matched, then the fetched term is action word; otherwise, synonyms of the fetched terms are matched.

```

Given Input: T = {t1,t2,...,tn}.
List type: A = {}, integer type: depth
Do: for every t ∈ T
    depth = 0;
    match(t,seedwrldst)
    if found then A = A U t.
    else, not found
        if(depth == 0)
            match(extractsynonym (t),seedwordlist)
            depth = 1
        else
            continue
    endif
endif
endfor
Output : A = {t1,t2,...,tm}.

```

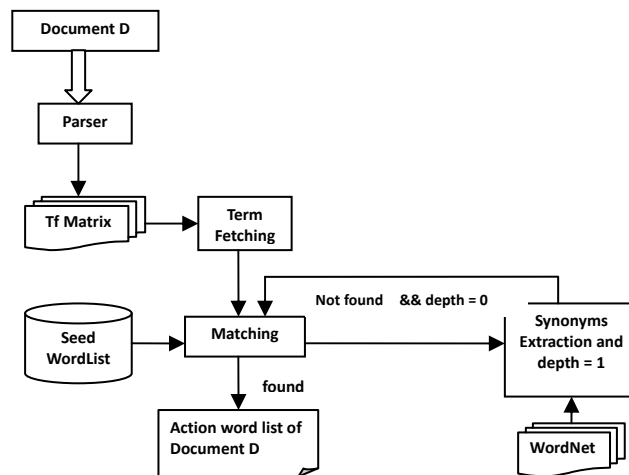


Figure 1. ACTION Word Classifier

To decide whether the word belongs to action list or not, we have to build a seed wordlist and compare them with standard meaning. For example, let ‘**devastation**’ be the word to be decided as action or not. After searching in WordNet, the following meanings were obtained:

- **desolation** (an event that results in total destruction)

- **ravaging**, (plundering with excessive damage and destruction)
- **destruction**, (the termination of something by causing so much damage to it that it cannot be repaired or no longer exists)

From the first and last meaning, it clearly lies in the phenomenon of appear/disappear so it will be appended into the seed list along with its Synset.

3.2.2 Finding the Objects of the Action

Merely acquiring the ACTION words doesn't provide the semantic to the vector space. We have to find whether these words are really important. The importance of the word can be estimated by the application of the word in the article. Objects corresponding to the Action Words and their weight in Statistical VSM have to be identified in order to determine the extent of relevancy of Action Words. The Objects are the Nouns or Adjectives for the Action. The nearest Noun for Verb is identified using POS Tagger and termed as Object of Action. Only those sentences are to be chosen which contain action words.

Today *broke* fire in Delhi. (Action is verb)

Today/NN *broke*/VBD **fire**/NN in/IN Delhi/NNP

Destruction of material *happens* due to this fire.

Destruction//NN of/IN **material**/NN happens/VBZ due/JJ to/TO this/DT fire/NN ./.

Many suffered from the *broken* glass in the road. (Action is Adjective)

Many/JJ suffered/VBD from/IN the/DT *broken*/JJ **glass**/NN in/IN the/DT road/NN. ./.

The authority *arrives* here soon.

The/DT **authority**/NN arrives/VBZ here/RB soon/RB. ./.

Table 1. Action-Object List

Action word	Objects	
broke	fire, glass	
arrives	Authority	
destruction	material	

The bold ones are selected as objects for the Action. The action-object list is prepared by the help of POS tagger and Contextual Action Words are determined.

3.2.3 Classification of Contextual Words

Contextual words are being defined as those action words which are applied to the important object. The Weight of Action Word is being taken as the maximum weight amongst all the objects corresponding to the given Action Word. The weight obtained is added to the weight of the corresponding Action Words in Statistical VSM yielding Semantic Vector Space Model for the given set of Documents.

If we take an example of a single document:

Today broke fire in Delhi. Mass Destruction of material happens due to this fire. Many suffered from the broken glass in the road. The authority arrives here soon. Till now there is report of any casualties in these fire except from few injures. Thanks for the local communities for help.

the Vector Space generated on the basis of term frequency feature is

Table 2. Vector Space of Single Document (1 x 20)

Broke	0.1889	Injuries	0.1889
Fire	0.5669	Thanks	0.1889
Delhi	0.1889	Local	0.1889
Mass	0.1889	communities	0.1889
Destruction	0.1889	Help	0.1889
Material	0.1889	Authority	0.1889
Happens	0.1889	Arrives	0.1889
Suffered	0.1889	Report	0.1889
Broken	0.1889	Casualties	0.1889
glass	0.1889	Road	0.1889

The Action Word List obtained corresponding to the above example is: broke, destruction, and arrives. Now, the Action-Object list is prepared by identifying the Object words in which the ACTION words are acted.

Table 3. Object-Action List for example above

Action word	Objects
broke	today, fire, glass
destruction	Material
arrives	Authority

Each ACTION word has been given weight as per the contextual word obtained corresponding to it.

Table 4. Contextual Action List

Action word	weight factor (wt)
broke	Max (0.1889,0.5669) = 0.5669
destruction	0.1889
arrives	0.1889

The Statistical VSM is now modified and the Semantic VSM is being generated as follows

Table 5. Semantic Vector Space Model

Broke	0.7558	Injuries	0.1889
Fire	0.5669	Thanks	0.1889
Delhi	0.1889	Local	0.1889
Mass	0.1889	communities	0.1889
Destruction	0.3778	Help	0.1889
Material	0.1889	Authority	0.1889
Happens	0.1889	Arrives	0.3778
Suffered	0.1889	Report	0.1889
Broken	0.1889	Casualties	0.1889
glass	0.1889	Road	0.1889

Similarly, the model is extended for multiple documents. This Semantic Vector Space Model is used further to determine important Keywords and henceforth, the summary.

3.3 Principal Component Analysis

Principal Component Analysis (PCA) [Michael *et al.* 2003] is used to reduce the multidimensional datasets to lower dimensions for analysis. Singular Value Decomposition (SVD) [Michael *et al.* 2003] is carried out on Semantic VSM to find the principal components of Vector Space. The singular value decomposition (SVD) of matrix $A_{m \times n}$ is the factorization $A=U\Sigma V^T$, where U and V are orthogonal, and $\Sigma = \text{diag}(\sigma_1, \dots, \sigma_r)$, $r = \min(m, n)$, with $\sigma_1 \geq \sigma_2 \geq \dots \geq \sigma_r \geq 0$. The columns of V are the 'hidden' dimensions that we are looking for. The diagonal of Σ are the singular values which are the weights for the new set of basis vectors. Σ is symmetric, its singular values are its eigen values and its basis vectors are the eigen vectors.

Given an eigen vector e , we can find the corresponding dimension in document space.

$$\vec{d} = D.e$$

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After determining out the dimension of eigen vector in document space, backprojection of d^* is carried out. Commonly, composing a vector in terms of the principal components is called backprojection. Since our principal components or eigen documents are all orthogonal vectors, this is easy to accomplish. Let E be the matrix formed from the eigen documents then vector p is the document projected onto the eigenspace.

$$\vec{p} = E^T \vec{d}$$

Relevance of the topic/document is calculated by dividing projected component by the corresponding Singular Value. Metrics thus obtained is arranged in decreasing order excluding out the negative metrics. Main topic/Document is the one with highest metric value.

After selecting the main topic, we now need the topic keywords. We simply take the eigen document vector corresponding to main document and select the words with high weight. These are the set of Keywords which are of high relevance in summary.

3.4 Sentence Extraction

To identify sentences that should belong to summary, several features have been taken into consideration.

- **Sentence-Length Cut off Feature** – If the sentence length is greater than 4 words, only then it is taken into consideration.
- **Position Feature** – Sentences have been given some weight based on their position in the paragraph whether it is in initial, middle or final.
- **Keywords** - Sentence weight also depends not only on the number of keywords present in it but also the weight of each keyword.
- **Upper Case Feature** - Sentences containing upper case words have been given additional weight as it is probable that they may contain proper nouns.

Sentences with higher weight are taken as the relevant sentences for the summary and arranged in the order they appear in the document yielding the required summary. The rearrangement becomes a challenge in the case of multiple documents. In that case, sentences are kept at the position at which they appear in original document (initial/middle/final). This rearrangement technique provides fair results.

4. Implementation

The Multiple Document Summarization System is implemented in Java using JAMA (Java Matrix Package) and WVTool (Word Vector Tool) packages. JAMA is used to perform all the matrix operations as computing SVD, eigen vector, Backprojection, etc. WVTool is used to

generate the Statistical Vector Space Model taking input as the Multiple Document Set or a Single document based on user requirement.

5. Evaluation of Summarizer

The present section will focus on the accuracy of the proposed summarization method. The accuracy of the method was examined on both single as well as multiple document summaries:

5.1 Single Document summary

Text belonging to different areas was taken. Summaries to the same texts were made by sentence extractions by different people. Based on the set of the summaries, we ranked sentences of the texts.

We then carried out the summarization process using our algorithm, the Auto Summarizer in MS Word, and the Gnome Summarizer and compared their agreement on the extracted sentences with the human sentence extractions.

The results are given in the following table.

Table 6. Summarization Algorithm Results

Article #	Our Summarizer	MS Word Summarizer	Gnome Summarizer
Science (789 words)	60.0%	50.0%	70.0%
Geography (725 words)	55.56%	33.33%	22.5%
History (557 words)	70.0%	50.0%	48.5%
Average accuracy	61.85%	44.44%	47%

On an average, we get an average accuracy of 61.85% and improvement of 39.17% with respect to MS Word Summarizer.

5.2 Multiple Documents Summary

The set of Documents belonging to “Introduction to Web crawler” were taken and then summary was generated using the proposed algorithm, and it was observed that the summary thus generated was in coherence with most of the documents. The input documents set consisting of documents related to the topic for summarization has been shown in Table 7.

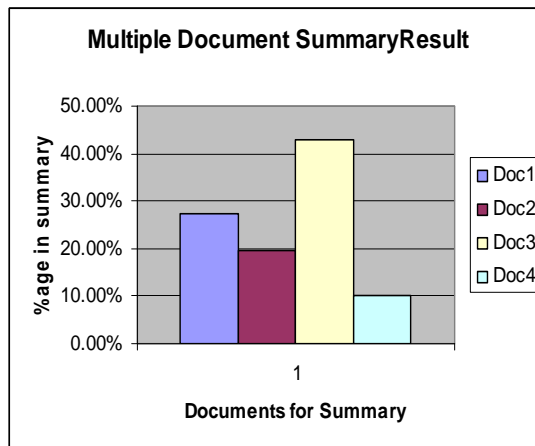


Figure 2. Contribution percentage

Table 7. Input Set for Multiple Documents Summary

Doc No.	Title of Doc	Doc Length
Doc1	Introduction to Crawler Architecture	1076 words
Doc2	Developing Web Search Engine	890 words
Doc3	Overview of Web Crawler	945 words
Doc4	Future of Search Engines	970 words

The cause of the low contribution of Doc4 to the summary generated was observed to be sentences with fewer keywords in them with respect to sentences from other documents, resulting in a low score of sentence.

6. Conclusion and Future Work

As seen from the results, the proposed method works better for various domains and, by using Semantic VSM instead of Statistical VSM; the summary obtained has become more informational and meaningful. Moreover, this method can be used to generate single as well as multiple document summaries.

The following areas in Multiple Document Summarization System require improvement:

1. Rearrangement of Extracted Sentences in the case of Multiple Documents Summarization to form an effective summary.
2. Enhance Flexibility of the system to generate a summary of multiple documents not necessarily belonging to the same topic.
3. Develop better methodology to incorporate the ACTION word score into Statistical VSM.
4. Evaluation of the system on large data samples.

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Appendix A: Seed Word List

Abstracted	Budgeted	Counseled	Enforced
Achieved	Built	Created	Enlightened
Acquired	Calculated	Critiqued	Enlisted
Acted	Cared	Cultivated	Ensured
Adapted	Charged	Dealt	Established
Addressed	Chartered	Debated	Estimated
Administered	Checked	Decided	Evaluated
Advertised	Clarified	Defined	Examined
Advised	Classified	Delegated	Exceeded
Advocated	Coached	Delivered	Excelled
Aided	Collaborated	Destruction	Expanded
Allocated	Collected	Designed	Expedited
Analyzed	Comforted	Detected	Experimented
Answered	Communicate	Determined	Explained
Anticipated	Compared	Developed	Explored
Applied	Completed	Devised	Expressed
Appraised	Complied	Diagnosed	Extracted
Approved	Composed	Directed	Facilitate
Arranged	Computed	Discovered	Fashioned
Ascertained	Conceived	Discriminated	Financed
Assembled	Conducted	Dispatched	Fixed
Assessed	Conserved	Displayed	Followed
Assisted	Consulted	Dissected	Formulated
Attained	Contracted	Documented	Fostered
Audited	Contributed	Drafted	Founded
Augmented	Converted	Drove	Gained
Authored	Cooperated	Edited	Gathered
Bolstered	Coordinated	Eliminated	Gave
Briefed	Copied	Empathized	Generated
Brought	Correlated	Enabled	Governed

Principal Component Analysis Incorporating Semantic Vector Space Model

Guided	Lifted	Perceived	Reduced
Handled	Listened	Perfected	Referred
Headed	Located	Performed	Related
Helped	Logged	Persuaded	Relied
Identified	Made	Planned	Reported
Illustrated	Maintained	Practiced	Researched
Imagined	Managed	Predicted	Responded
Implemented	Manipulated	Prepared	Restored
Improved	Mapped	Presented	Revamped
Improvised	Mastered	Prioritized	Reviewed
Inaugurated	Maximized	Produced	Scanned
Increased	Mediated	Programmed	Scheduled
Indexed	Memorized	Projected	Schemed
Indicated	Mentored	Promoted	Screened
Influenced	Met	Proposed	Set goals
Initiated	Minimized	Protected	Shaped
Inspected	Modeled	Proved	Skilled
Instituted	Modified	Provided	Solicited
Integrated	Monitored	Publicized	Solved
Interpreted	Narrated	Published	Specialized
Interviewed	Negotiated	Purchased	Spoke
Introduced	Observed	Queried	Stimulated
Invented	Obtained	Questioned	Strategized
Inventoried	Offered	Raised	Streamlined
Investigated	Operated	Ran	Strengthened
Judged	Ordered	Ranked	Stressed
Kept	Organized	Rationalized	Studied
Launched	Originated	Read	Substantiated
Learned	Overcame	Reasoned	Succeeded
Lectured	Oversaw	Recorded	Summarized
Led	Participated	Received	Synthesized

Supervised
Supported
Surveyed
Sustained
Symbolized
Tabulated
Talked
Taught
Theorized
Trained
Translated
Upgraded
Utilized
Validated
Verified
Visualized
Won
Wrote