# **Non-Autoregressive Models for Fast Sequence Generation**

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## **1** Tutorial Introduction

Autoregressive (AR) models have achieved great success in various sequence generation tasks (Bahdanau et al., 2015; Vaswani et al., 2017). However, AR models can only generate the target sequence word-by-word due to the AR mechanism and hence suffer from slow inference. Recently, non-autoregressive (NAR) models, which generate all the tokens in parallel by removing the sequential dependencies within the target sequence, have received increasing attention in sequence generation tasks such as neural machine translation (NMT, Gu et al., 2018), automatic speech recognition (ASR, Salazar et al., 2019), and text to speech (TTS, Ren et al., 2019).

Recently, non-autoregressive (NAR) models have received much attention in various sequence generation tasks, which generate all tokens in parallel by ignoring the sequential dependency within the target sequence. Gu et al. (2018) proposed the first NAR translation model for the efficient inference of neural machine translation, and NAR generation has subsequently been applied to a wide range of sequence generation tasks, where the two most successful application scenarios are ASR and TTS. The major challenge faced by NAR generation is the multi-modality problem: there may exist multiple correct outputs for the same source input, but the naive NAR model is unable to capture the multi-modal data distribution. Therefore, the direct application of NAR generation will usually lead to significant performance degradation compared to the autoregressive counterpart.

In this tutorial, we will provide a comprehensive introduction to non-autoregressive sequence generation. First, we start with the background of sequence generation, giving the motivation of NAR generation and the challenge faced by NAR models. We will briefly introduce the autoregressive generation mechanism and autoregressive sequence models that evolve from recurrent neural networks (Schuster and Paliwal, 1997) to self-attention networks (Vaswani et al., 2017). We point out their problems caused by the autoregressive mechanism, including exposure bias (Ranzato et al., 2016), error propagation, fixed generation direction, causal attention, and most importantly, the high inference latency. We will then introduce the NAR model that solves the above-mentioned problems by generating all target tokens in parallel, and point out the multi-modality challenge faced by NAR models (Gu et al., 2018).

Second, we will introduce research work that aims to improve the performance of NAR generation, mainly focusing on non-autoregressive translation in this part. The involved work covers efforts over knowledge distillation (Kim and Rush, 2016; Zhou et al., 2020; Sun and Yang, 2020; Ding et al., 2021; Shao et al., 2022b), better training objectives (Shao et al., 2019, 2020; Ghazvininejad et al., 2020; Du et al., 2021, 2022; Tu et al., 2020; Shao et al., 2021; Shao and Feng, 2022; Li et al., 2022b; Anonymous, 2023), latent modeling (Gu et al., 2018; Kaiser et al., 2018; Ma et al., 2019; Ran et al., 2021; Song et al., 2021; Shu et al., 2020; Bao et al., 2021, 2022), more expressive NAR models (Wang et al., 2017; Libovický and Helcl, 2018; Sun et al., 2019; Huang et al., 2022), improved decoding approaches (Lee et al., 2018; Ghazvininejad et al., 2019; Gu et al., 2019; Ran et al., 2020; Saharia et al., 2020; Deng and Rush, 2020; Geng et al., 2021; Stern et al., 2018, 2019; Xia et al., 2022; Shao et al., 2022a), etc.

Third, we will introduce NAR models on other sequence generation tasks, where the two most successful application scenarios are ASR and TTS. The idea of NAR generation was first pervading in ASR, where Graves et al. (2006) proposed the CTC network which predicts outputs independently, but the recurrent network architecture prevents it from parallel decoding. With the emergence of parallelizable self-attention network (Vaswani et al., 2017), CTC-based NAR models soon became a promising direction in ASR (Higuchi et al., 2020; Chen et al., 2020). In TTS, parallel generation is particularly necessary due to the extremely large length of output sequence. The first attempt is Parallel WaveNet (Oord et al., 2018) which keeps the autoregressive mechanism but enables parallel generation with inverse autoregressive flow (Kingma et al., 2016). NAR models are subsequently proposed for TTS (Ren et al., 2019, 2020a; Prenger et al., 2019), which caught up with AR models in a short time and soon became the mainstream method for TTS.

We will also introduce other applications of NAR models like language modeling (Huang et al., 2021; Li et al., 2022a), image/video captioning (Gao et al., 2019; Yang et al., 2021), dialogue generation (Wu et al., 2020; Le et al., 2020), and even object detection (Carion et al., 2020). It is observed that NAR models perform well on some tasks but suffer from performance degradation on other tasks. This phenomenon can be explained from the perspective of multi-modality (Gu et al., 2018) or target token dependency (Ren et al., 2020b).

Finally, we will conclude this tutorial by summarizing the strengths and challenges of NAR models and discussing current concerns and future directions of NAR generation.

# 2 Type of Tutorial

The type of tutorial is cutting-edge. Nonautoregressive generation is a newly emerging topic, which has attracted increasing attention from researchers and achieved remarkable advancement in the past several years. This is the second tutorial on this topic in the history of ACL, EMNLP, NAACL, EACL, COLING, and AACL (Gu and Tan, 2022).

#### **3** Tutorial Outline

#### Part I: Introduction (20 min)

- Autoregressive sequence generation
- Problems of AR generation
  - High inference latency
  - Exposure bias
  - Error propagation
- Non-autoregressive generation
- Multi-modality challenge

## Part II: Non-Autoregressive Machine Translation (80 min)

- Knowledge distillation
- Training objectives
  - Token-level
  - Ngram-level
  - Sequence-level
- Latent modeling
  - Variational autoencoder
  - Vector quantization
  - Word alignment
- Expressive NAR models
  - CTC
  - DA-Transformer
- Decoding approaches
  - Iterative decoding
  - Semi-autoregressive decoding
  - Speculative decoding

### Part III: Non-Autoregressive Sequence Generation (60 min)

- Non-autoregressive ASR
- Non-autoregressive TTS
- Other generation tasks
  - language modeling
  - Image/video captioning
  - Dialogue generation
  - Object detection
- What kind of tasks are NAR models good at?
  - Multi-modality
  - Target token dependency

#### Part IV: Conclusion (20 min)

#### 4 Breadth

This tutorial will provide a comprehensive introduction to non-autoregressive sequence generation. We anticipate that at least 90% of the tutorial will cover work by other researchers.

#### **5** Diversity

In the past, NAR sequence generation usually involves one or two languages. Recently, some researchers have found that NAR models are good at multilingual translation (Song et al., 2022), which may stimulate the progress of NAR generation in multilingual scenarios.

Yang Feng is a senior instructor and Chenze Shao is a junior instructor.

# **6** Prerequisites

The attendees have to understand the basics of neural networks and the sequence-to-sequence framework, including word embeddings, encoderdecoder models, and the Transformer architecture.

### 7 Reading List

We recommend attendees to read the following papers before the tutorial:

- Vaswani et al. (2017): the parallelizable Transformer network based on attention mechanisms.
- Gu et al. (2018): first propose nonautoregressive generation for parallel decoding and point out the multi-modality problem.
- Kim and Rush (2016): train the student model with the teacher output, alleviating the multi-modality by reducing data complexity.
- Shao et al. (2021): train NAR models with sequence-level objectives, which evaluate model outputs as a whole and optimize the overall translation quality.
- Shu et al. (2020): use latent variables to model the non-determinism in the translation process.
- Ghazvininejad et al. (2019): iteratively refine model outputs by repeatedly masking out and regenerating partial target tokens.
- Graves et al. (2006): the early exploration of non-autoregressive generation, and the proposed CTC loss is widely used in recent NAR models.
- Ren et al. (2019): non-autoregressive text-tospeech model, which matches autoregressive models in terms of speech quality.
- Ren et al. (2020b): a study on NAR models that analyzes the difficulty of NAR generation on different generation tasks

### 8 Tutorial Presenters

**Yang Feng** is a professor in Institute of Computing Technology, Chinese Academy of Sciences (ICT/CAS). She got her PhD degree in ICT/CAS and then worked in University of Sheffield and Information Sciences Institute, University of Southern California, and now leads the natural language processing group in ICT/CAS. Her research interests are natural language process, mainly focusing on machine translation and dialogue. She was the recipient of the Best Long Paper Award of ACL 2019. She served as a senior area chair of EMNLP 2021 and area chairs of ACL, EMNLP, COLING etc., and she is serving as an Action Editor of ACL Roling Review and an editorial board member of the Northern European Journal of Language Technology. She has given a tutorial in the 10th CCF International Conference on Natural Language Processing and Chinese Computing (NLPCC2021) and has been invited to give talks in NLPCC, CCL(China National Conference on Computational Linguistics) etc.

**Chenze Shao** is a fifth-year PhD student in Institute of Computing Technology, Chinese Academy of Sciences. His research interests are natural language processing and neural machine translation. His recent research topic is non-autoregressive (NAR) sequence generation. He has published papers on NAR generation in CL, ACL, EMNLP, NAACL, AAAI and NeurIPS.

### **9** Other Information

**Technical Requirements** This tutorial does not have special requirements for technical equipment.

**Ethics Statement** The technique of nonautoregressive generation improves the efficiency of text generation and may reduce the cost of generating malicious text.

**Open Access.** All of our tutorial materials can be shared in the ACL Anthology.

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