# Where Am I From? Identifying Origin of LLM-generated Content

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## Abstract

Generative models, particularly large language models (LLMs), have achieved remarkable success in producing natural and high-quality content. However, their widespread adoption raises concerns regarding copyright infringement, privacy violations, and security risks associated with AI-generated content. To address these concerns, we propose a novel digital forensics framework for LLMs, enabling the tracing of AI-generated content back to its source. This framework embeds a secret watermark directly into the generated output, eliminating the need for model retraining. To enhance traceability, especially for short outputs, we introduce a "depth watermark" that strengthens the link between content and generator. Our approach ensures accurate tracing while maintaining the quality of the generated content. Extensive experiments across various settings and datasets validate the effectiveness and robustness of our proposed framework.

#### 1 Introduction

Generative models, including GPT (OpenAI, 2023a), Gemini (Google, 2023), and LLama (Meta, 2023), have shown exceptional ability to produce natural and high-quality outputs. However, they also pose potential risks for malicious applications, such as the generation of fake news and unfounded rumors. Consequently, the imperative arises to construct a robust security auditing system capable of tracing the origin of outputs. This tracing ability should not only ensure that model utilization aligns with regulatory requirements, compliance, and ethical standards but also elevates the overall integrity of the system. Furthermore, considering generative models' proficiency in content creation and manipulation, safeguarding users' copyrights and intellectual property becomes paramount. By discerning the source of each user's generated output, we can protect legitimate contributions, preventing unauthorized replication or use of their content.

In this paper, our objective is to pioneer digital forensics methods tailored for deep learning systems to audit AI-generated content. Our innovative approach involves embedding a secret watermark into the generated output, ensuring source traceability. Importantly, we strive to make watermarking implicit, balancing the challenge of being hard to remove and undetectable without compromising the quality of the generated output. During inference and without necessitating model fine-tuning or retraining, our method dynamically adjusts the probabilities of specific tokens based on a unique code associated with the user, effectively imprinting a watermark into the text. Recognizing the need for enhanced performance in scenarios with short outputs, we introduce a depth watermark as an additional mechanism to embed the entire binary user code. This involves dividing the vocabulary into sub-lists and utilizing the added watermark to adjust the probability of the output token falling into these groups. This approach ensures accurate identification of the content generator from the user pool, relying on unique probability shifts.

Comprehensive experiments were conducted across various settings and datasets to validate our method's efficacy. We assessed accuracy on five datasets for tracing the generator from a single output sentence, and even with only 25 tokens, our proposed framework achieved over 90% top-10 accuracy across all datasets. The quality of watermarked outputs was evaluated through perplexity measures, and the robustness of our method was confirmed against text alteration attacks, maintaining a 90% accuracy for identifying the top generator in outputs of 200 tokens, even when up to 30% of the text was changed.

## 2 Related Work

**Watermarking.** Watermarking serves as a crucial technique for embedding unique identifiers or



Figure 1: Illustration of the proposed watermark generation and identification flow.

signatures into digital signals or content to protect intellectual property or verify authenticity. In the context of language models (LMs), this technique is crucial for safeguarding creators' rights and ensuring the legitimacy of generated text. Early rulebased approaches work in text watermarking involved embedding watermarks within a sentence's syntactic structure, as proposed in the post-hoc strategy by Atallah et al. (2001). Subsequently, synonym substitution techniques (Topkara et al., 2006) and semantic combination patterns for watermark construction (Xiang et al., 2021) were introduced for natural language text. Venugopal et al. (2011), were the first to propose using bit information for watermarking, while He et al. (2022) introduced the innovative concept of context-conditional textual watermarking.

However, applying post-hoc watermarks to LMs faces challenges due to the diverse and versatile nature of output generation. To address this, Fang et al. (2017) proposed restricting generative models to produce tokens only from an "allowed" set. Unfortunately, this constraint often leads to low-quality output, especially in low-entropy cases.

**Detection of AI-generated Content.** With the proliferation of large language models capable of generating high-quality and natural text, detecting the usage of machine-generated text has become increasingly important. Early methods employed natural heuristics and statistical analyses, while entropy scoring (Lavergne et al., 2008) uti-

lized local syntactic and semantic consistency of short phrases to identify AI-generated sentences. GLTR (Gehrmann et al., 2019) assessed the expected probability of individual tokens, setting a threshold for detection. DetectGPT (Mitchell et al., 2023) observed that paragraphs created by AI often reside in the negative curvature of the log probability of texts. Some detectors, like a tool released by OpenAI (OpenAI, 2023b), incur additional training costs by using a fine-tuned GPT model to discern whether a sentence is human-written or AIgenerated. Kirchenbauer et al. (2023) introduced a novel approach by creating a "green list" randomly sampled from the entire vocabulary for every output token generation. They added a preference bias with "green" tokens during sampling, enabling the change in output distribution to be used for watermark detection.

Recent studies (Yoo et al., 2024; Fernandez et al., 2023) have furthered Kirchenbauer's framework to refine the watermarking process. This evolution involves planting traceable multi-bit data during the language model generation phase to enrich the detectable information from a binary (human or AI) classification. Building upon this, Wang et al. (2024) further introduced a method to address the poor text quality often associated with multi-bit methods and Yoo et al. (2023) improved the payload capacity and robustness of multi-bit methods.

In our work, we address a more challenging problem beyond merely detecting watermark information. Our objective extends to accurately pointing out the specific content generators from a huge userpool for auditing purposes.

## **3** Problem Setting

Language Model. Let V denote the vocabulary set of the Language Model (LM), which contains all possible tokens that the LM can generate. The size of V is typically 50,000 tokens or more (Radford et al., 2019). A language model (LM) can then be defined as a function, which accepts a sequence with arbitrary length of tokens  $s_{(-N)}, s_{(-N+1)}, ..., s_{(-1)}$ , referred to as the prompt, and generates an output  $s_{(0)}, s_{(1)}, ..., s_{(M)}$ . For simplicity, output  $s_{(n)}, ..., s_{(m)}$  will be denoted as  $s_{(n:m)}$ . Since the output  $s_{(n:m)}$  is generated recursively, let  $f : s_{(-N:t-1)} \rightarrow Z_t$  be the generation function at step t, where  $Z_t$  represents a score vector with logit values for each token in V. At the initial step, t = 0, the LM accepts the prompt  $s_{(-N;-1)}$  and computes a corresponding logit which is later processed by a softmax operator to obtain a probability distribution over the vocabulary, denoted as  $p_0$ . The token at position t is sampled from this probability distribution, denoted as  $s_{(0)}$ . In the subsequent step, at t = 1, the function f takes  $s_{(-N:0)}$  as input. During tth step, f generates  $Z_t$ , and  $p_t$ ,  $s_{(t)}$  are computed sequentially.

Threat Model. The problem of tracing the output generated by a Large Language Model (LLM) is formalized as follows. The language model server supports text generation tasks for n users. When a user employs the language model to generate an output sentence s, it becomes imperative for the server to identify the specific generator responsible for producing that output without external information. This traceability is essential for secure audits and intellectual property protection. To protect intellectual property and ensure maintenance, the model architecture and weight details are encrypted and concealed from customers. As a result, customers only have access to input and output sentences. Conversely, the server possesses access to some user information such as a unique ID that serves as a "fingerprint" for each client.

## 4 A Simple Watermark

Inspired by Kirchenbauer et al. (2023), our methodology begins with a straightforward yet highly effective watermarking method, ensuring that the generated output retains user-specific information without compromising its quality.

The process begins by assigning a unique ID to each client, which is embedded within the generated output to establish a clear link between the client and their output. This ID is then transformed into a unique binary user code denoted by  $E \in \{0,1\}^k$ , where k represents the length of this binary code. During Language Model (LM) generation, at each t-th step, our method initiates the process by generating a pseudo-random preferred list  $L_t$ . To construct  $L_t$ , we define  $I(s_{(t)})$ as the fixed index for token  $s_{(t)}$  within the vocabulary list. The context  $c_t$  for step t is defined as  $c_t = I(s_{(t-1)}) * I(s_{(t-2)})$ . Utilizing this  $c_t$  to seed a random number generator that splits the entire vocabulary list into two equally sized, nonoverlapping lists. These lists,  $V0_t$  and  $V1_t$ , then serves as two candidates for the preferred list  $L_t$ . Notably, the selection of the previous two tokens as the seed, instead of just the preceding one, enhances the differentiation in the splitting process.

Following this, an *indicator*  $\in \{0, 1\}$  is introduced to select the preferred list  $L_t$  from the two candidate lists,  $V0_t$  and  $V1_t$ . To infuse user ID intuitively into the generation process, the *indicator* is determined by a specific bit of the generator's binary user code  $E_i$ , calculated as follows:

$$indicator =$$
 The *n*-th bit of  $E_i$ 

where  $n = c_t \mod k$  and k is the length of  $E_i$ . Finally, the preferred list  $L_t$  is decided by the *indicator*: if *indicator* is 0, then  $L_t$  is set to  $V0_t$ , otherwise  $L_t$  is set to  $V1_t$ .

Subsequently, the logit vector  $Z_t$  at step t, produced by the final layer of the language model, undergoes modification by adding a bias parameter  $\delta$  to the logit corresponding to the token in  $L_t$ . This adjusted logit vector is then fed into a softmax operator, resulting in a biased probability distribution denoted as  $\tilde{p}_t$ . The calculation is shown in Equation 1, where  $\tilde{p}_t^x$  signifies the biased probability of sampling the x-th token during the t-th step.

$$\tilde{p}_t^x = \begin{cases} \frac{\exp(Z_t^x + \delta)}{\sum_{u \in L_t} \exp(Z_t^u + \delta) + \sum_{v \notin L_t} \exp(Z_t^v)}, x \in L_t \\ \frac{\exp(Z_t^x)}{\sum_{u \in L_t} \exp(Z_t^u + \delta) + \sum_{v \notin L_t} \exp(Z_t^v)}, x \notin L_t \end{cases}$$
(1)

This process ensures a seamless integration of userspecific information into the watermarking technique, preserving both the quality of the generated output and the traceability of user-specific content. **Identification of the Simple Watermark.** Given an output sentence  $s_{(0:m)}$  produced by a language model and the set of all binary user E, our goal is to determine the most likely generator among all users. For each user i, we compute a confidence score indicating the likelihood of user i being the generator. The confidence score for each user i is calculated by examining the frequency of every output token appeared in the user's exclusive preferred list. Ultimately, we select the top k users with the highest confidence scores as the top k suspects.

Limitations of the Simple Watermark. However, we find that the identification performance can be poor in cases of inadequate output length, especially when this length is shorter than that of the binary user code. This issue arises due to the resemblance in binary user codes, leading to close proximity in confidence scores. In a scenario where the k-bit binary user codes of two users differ by only one bit, the probability of choosing the same preferred lists per step is  $\frac{k-1}{k}$ . With insufficient output length, these two users may produce identical results with high probability, resulting in similar confidence scores. Consequently, short output length can lead to numerous users' confidence scores remarkably resembling that of the generator, thereby deteriorating the identification performance. Addressing these challenges becomes crucial, particularly in scenarios where output length constraints may impact the watermark's efficacy.

## 5 Depth Watermarking

To improve tracing performance and address the previously mentioned limitation, especially for short outputs, we introduce depth watermarking as an additional mechanism to embed the entire binary user code within a single generation step. Therefore, we design a more sophisticated token probability distribution, aiming to maximize the distribution difference by introducing varying biases to different sub-lists within the preferred list.

As shown in Algorithm 1, in each generation step, we construct the preferred list  $L_t$  using the same algorithm as in the simple watermark. We utilize the hash value of the generator's binary user code  $E_i$  as a seed in the random number generator to partition the preferred list  $L_t$  into several equally sized sub-lists without overlap, denoted as  $L_t^0, L_t^1, ..., L_t^n$ , where  $L_t^0 \cup ... \cup L_t^n = L_t$ . For each sub-list  $L_t^j$  within  $L_t^0, ..., L_t^n$ , we incorporate a scaled bias  $(\frac{1}{2})^j \delta$  to the logit associated with  $L_t^j$ . The adjusted logits are then subjected to the softmax operator, producing a biased probability distribution  $\tilde{p}_t$ :

$$\tilde{p}_t^x = \begin{cases} \frac{\exp(Z_t^x + \frac{\delta}{2j})}{\sum_{j=0}^n \sum_{u \in L_t^j} \exp(Z_t^u + \frac{\delta}{2j}) + \sum_{v \notin L_t} \exp(Z_t^v)}, x \in L_t^j \\ \frac{\exp(Z_t^x)}{\sum_{j=0}^n \sum_{u \in L_t^j} \exp(Z_t^u + \frac{\delta}{2j}) + \sum_{v \notin L_t} \exp(Z_t^v)}, x \notin L_t \end{cases}$$

$$(2)$$

## Algorithm 1 Depth Watermark Generation

**Input:** Prompt  $s_{(-N:-1)}$ , binary code of generator  $E_i$ , length of binary code k, language model f, bias intensity  $\delta$ 

**Output:** Watermarked output

- 1: for t = 0, 1, ... do
- 2: Apply language model on prior tokens  $s_{(-N:t-1)}$  to get a logit vector  $Z_t$ .
- 3: Compute the product of vocabulary index of  $s_{(t-1)}$  and  $s_{(t-2)}$  as  $c_t$ .
- 4: Utilize  $c_t$  to seed a random number generator  $R_t$ , and use  $R_t$  to partition vocabulary V into  $V0_t$  and  $V1_t$ , where  $V0_t \cup V1_t = V$ .
- 5: Calculate the result of  $c_t$  with modulus k and use the result n to determine an *indicator*  $\in$  $\{0, 1\}$ , as *n*-th bit of  $E_i$ . If the identifier equals to 0, set the preferred list  $L_t$  as  $V0_t$ , otherwise  $V1_t$ .
- 6: Utilize the hash of  $E_i$  to seed a random generator to partition  $L_t$  into sub lists  $L_t^0, ... L_t^n$ , where  $L_t^0 \cup ... \cup L_t^n = L_t$ .
- 7: for j = 0, ..., n do
- 8: For each token  $\in L_t^j$ , add a scaled bias  $0.5^j \delta$  to its corresponding logit.
- 9: end for
- 10: Apply softmax operator to the new logit and get biased probability distribution  $\tilde{p}_t$  over the vocabulary.
- 11: end for

**Identification of the Depth Watermark.** By introducing exponentially decreasing biases to the logits corresponding to each sub-list, we enhance the probability of sampling tokens from these sub-lists to varying extents. Subsequently, the 'depth score' is employed to measure the similarity between the actual and ideal probability distributions of tokens within each sub-list. Although precisely calculating the ideal probability distribution for each individual token is not feasible, we can estimate the overall trend  $P_{known}$  for these *n* categories in the probability distribution. This entails

experimentally obtaining a probability distribution of n classes, where each probability indicates the chance of a token from that class being selected.

To estimate  $P_{known}$ , we randomly generate a fixed preferred list and its sub-lists, calculating the corresponding bias values for each sub-list. We then construct a metric dataset by sampling data from different domains. For each prompt in the metric dataset, we query the model to obtain clean logits. The bias is then added to the set of clean logits according to the sub-lists, and then processed with the softmax operator to generate the probability distribution. We calculate the probabilities of output tokens falling into each of the n sub-lists or outside the preferred list  $P_{known}$  by sampling the output from the resulting probability distribution.

Having obtained  $P_{known}$ , given a sentence  $s_{(0:m)}$ generated by the LM and the set of all binary user codes E, we proceed to calculate the actual probability distribution  $P_{practical}$ . We examine the frequency of each token in the sentence falling into each sub-list and simultaneously track the count of tokens that do not belong to the preferred list. Specifically, we maintain the occurrence  $o^0, ..., o^{n-1}$  for each sub-lists and  $o^{np}$  for the non-preferred list to record the frequency of tokens falling into each list. The resulting list  $[n^0, ..., o^{n-1}, o^{np}]$  is then normalized by dividing by the total number of checked tokens, yielding  $P_{practical}$ .

Finally, for each user *i*, their depth score is defined as the negative cross-entropy of  $P_{practical}$  and  $P_{known}$ . The higher the score, the more likely it is that user i is the generator. We show the detailed depth watermark identification process in Algorithm 2.

Theoretical Analysis. Our task involves identifying the most probable user from a model's output, framed as a multi-class classification problem. By employing strategies such as one-vs-one or one-vsall, we transform this into a series of binary classification tasks, similar to the approach proposed by Kirchenbauer et al. (2023) where they formulated a binary task to detect if a text sequence is language model-generated by checking the probability of output tokens falling within a predetermined "green list".

For each binary task, we adopt their theoretical derivation, providing an error bound. By combining these bounds, we deduce the highest error rate for our multi-class system. Specifically, in our Algorithm 2 Depth Watermark Identification

- **Input:** Generated output  $s_{(0;M)}$ , Binary user codes set  $\{E\}$ , estimated ideal distribution  $P_{known}$
- Output: Content generator
  - 1: For each  $user_i$ , initialize non-preferred list occurrence  $o_i^{np}$  and sub-list occurrences  $o_i^0, ..., o_i^{n-1} = 0$
- 2: for every user *i* do
- 3: for t = 0, 1.. do
- Compute preferred list  $L_t$  and sub lists 4:  $L_t^0, ... L_t^n$  in Algorithm 1.
- if generated token  $s_{(t)} \in L_t$  then 5:

6: 
$$o_i^j = o_i^j + 1$$
, where  $s_{(t)} \in L_t^j$ 

else  
$$o_i^{np} = o_i^{np} + 1$$

8: end if

7:

- end for 9:
- 10:
- $$\begin{split} P_{practical} &= [o_i^0, .., o_i^{n-1}, o_i^{np}]/M\\ \text{Calculate user } i\text{'s } Depth\_score_i \end{split}$$
  11: =  $-CE(P_{known}, P_{practical})$
- 12: end for
- 13: Return user IDs with the highest *Depth\_score* as the content generator.

simple watermark scheme, each generation step's preferred list  $L_t$  is determined by the user code's bit. For each bit prediction, the expected value and variance of preferred list token counts are reduced to the bounds established by Kirchenbauer et al. (2023). Given a threshold, the probability of binary misclassification  $\epsilon$  can be derived. With an k-bit code, the worst-case probability of wrong prediction is  $1 - (1 - \epsilon)^k$ , where  $2^k$  equals the number of users in a full capacity assignment. As  $\epsilon$  is actually quite small(on the order of  $10^{-5}$ ), our proposed scheme would achieve good detection performance. Our average-case bound is even better, as some bit misclassifications do not lead to incorrect tracing. Sparse assignment (where not all n-bit codes are assigned) further improves this bound.

Our depth watermark approach partitions the preferred list into n sub-lists with an exponentially decreasing bias. Compared to the simple watermark's fixed bias on half the vocabulary, the depth scheme affects fewer words, increasing the postsoftmax probability of sampled tokens landing in the selected sub-list. This reduces the binary misclassification probability  $\epsilon$ , leading to a lower rate of wrong predictions in our multi-class system.

## 6 Experiments

#### 6.1 Experimental Setup

Implementation Details. We assess the performance of the proposed depth method under various configurations using OPT-1.3B (Zhang et al., 2022) as the language model with 1.3 billion parameters. Five diverse datasets spanning various everyday domains are employed: News-like text from the C4 dataset (Raffel et al., 2020) (odc-by license), news articles from the XSum dataset (Narayan et al., 2018) (cc-by-sa-4.0 license), prompted stories from the Reddit WritingPrompts dataset (Fan et al., 2018) (MIT license), Wikipedia paragraphs from SQuAD contexts (Rajpurkar et al., 2016) (ccby-4.0 license), and long-form answers written by human experts in the PubMedQA dataset (Jin et al., 2019) (MIT license). We use the Pytorch interface of Huggingface library (Wolf et al., 2020) to implement the proposed watermarking method. Every experiment is conducted with 200 distinct fixedlength prompt sentences. For each prompt, five users were randomly selected from the user pool with 1024 users to act as generator. The mean of multiple experiment results is reported. Identification accuracy is examined across five datasets using the fixed  $P_{known}$  derived from the C4 dataset. All experiments are configured with the number of sub-lists n set to 3, bias intensity  $\delta$  ranging from 3 to 5, the number of tokens per output fixed at 25, and the binary user code length set to 10, corresponding to a user pool size of  $2^{10} = 1024$ . All experiments are conducted on NVIDIA RTX 3090, and identification for a sentence takes around 0.45 minutes.

**Evaluation Metrics.** To evaluate the efficacy of our proposed method, we use the top-1, top-3, and top-10 identification accuracy to reflect the probability of the generator belongs to the top 1, 3, or 10 suspects, respectively. The quality of the watermarked output was assessed using perplexity (Beresneva, 2016) with an oracle model of OPT-1.3B, which quantifies the divergence between the original distribution of output and that of our watermarked model.

#### 6.2 Main Results

Table 1 illustrates a notable enhancement in the accuracy of text generator identification across a user pool of 1024 clients with varying  $\delta$  values. Particularly, when  $\delta = 5$ , the top-10 accuracy metric of our methodology consistently surpasses 90%

for each dataset. For top-1 accuracy, the majority of outcomes exhibit satisfactory performance, with values approximating or exceeding 90%. Notably, in the absence of our proposed method, the probability of correct prediction through random guessing would be a mere 0.1%, 0.3%, and 1% for top-1, top-3, and top-10 identification accuracy, respectively, in the given context.

This assessment of identification accuracy across datasets emphasizes the adaptability and robustness of our watermarking approach, with the depth watermarking method yielding consistently high accuracy. However, it is noteworthy that the performance on the WritingPrompts dataset is comparatively less favorable than on other datasets. This outcome might be influenced by the unique characteristics of the WritingPrompts dataset, which encompasses a diverse range of creative and narrative texts. Addressing this challenge could be the focus of future works, aiming to formulate an improved watermarking scheme.

#### 6.3 Ablation Studies

We examine the effect of hyperparameters on the quality of output and the identification performance. For each experiment, a corpus of 200 distinct, fixed-length text was sourced from the C4 dataset as prompts for the experiments. Correspondingly, a generator is randomly selected from the user pool for each prompt. The default settings include bias intensity $\delta = 5$ , number of sub-lists=3, output length=200, and user pool size=1024.

**Bias Intensity**  $\delta$ . By selecting a large bias intensity  $\delta$ , the output generated by different users can be more distinct, thereby enhancing the watermark efficiency. However, creating a strong watermark may perturb the output distribution of the language model, potentially leading to a deterioration in output quality. Table 2 shows the correlation between the efficiency of watermarking (as measured by top-1 identification accuracy) and the quality of output (as quantified by perplexity, smaller is better) across various bias intensities. More results can be found in Appendix A. Additionally, we have included illustrative instances of human written prompts, unwatermarked and watermarked outputs, as well as watermarked perplexity within Table 3, thereby offering a qualitative perspective on the performance of the quantitative measurement. More examples can be found in Appendix D.

| Dataset        |          | $\delta = 4$ |           |          | $\delta = 5$ |           |          | $\delta = 6$ |           |
|----------------|----------|--------------|-----------|----------|--------------|-----------|----------|--------------|-----------|
|                | Top1 Acc | Top3 Acc     | Top10 Acc | Top1 Acc | Top3 Acc     | Top10 Acc | Top1 Acc | Top3 Acc     | Top10 Acc |
| C4             | 77.3     | 87           | 92.6      | 89.1     | 92.5         | 95.3      | 95.7     | 97.9         | 98.4      |
| XSum           | 78.5     | 86.9         | 93.1      | 90.3     | 94.3         | 95.9      | 95.0     | 97.2         | 98.0      |
| WritingPrompts | 57.3     | 67           | 76.4      | 77       | 85.4         | 90.6      | 87.5     | 91.3         | 94.2      |
| SQuAD          | 76.2     | 86.5         | 92.6      | 93.7     | 96.6         | 98.1      | 97.9     | 99.6         | 99.9      |
| PubMedQA       | 83.7     | 90.4         | 95.1      | 94.1     | 97.5         | 98.5      | 96.9     | 98.7         | 99.2      |

Table 1: Identification accuracy (%) on different datasets with varying  $\delta$ .

| δ | Top1 Acc $\%$ | Perplexity |
|---|---------------|------------|
| 4 | 78            | 11.17      |
| 5 | 89            | 17.56      |
| 6 | 96            | 23.75      |
| 7 | 97            | 30.44      |

Table 2: Trade-off between watermark strength and output quality.

The Length of Output Sequence. The length of the output sequence is considered to be proportional to the efficacy of identification. Figure 2 presents the correlation between identification performance and output lengths, across a spectrum of bias intensities  $\delta$ . We also include the simple watermark in Section 4 with  $\delta = 5$  as a reference. From Figure 2, we can see depth watermarking con-



Figure 2: The top-1 identification accuracy against the length of the generated text.

sistently outperforms simple watermarking across nearly all tested  $\delta$ , with a particularly significant advantage for shorter output lengths. For the depth method, it is evident that a delta value of 5 yields extremely strong watermarking performances. As output sequence length exceeds 50, the top-1 identification accuracy is nearly 100%, indicating the exceptional performance of our proposed watermark.

**Number of Sub-lists.** Recall that the preferred list is partitioned into several sub-lists in our depth watermarking. The division inherently impacts

the variation in the bias vector to be added to the logit, consequently weaken the output quality, as measured by perplexity. Table 4 demonstrates that perplexity worsens as the number of sub-lists increases, conforming with the hypothesis we made above. While a configuration employing three sublists offers the most effective identification performance. This accuracy can be influenced by the distribution variance within the sub-lists. An increase in the number of sub-lists can enhance this variance, yet may also result in negligible differences. (Increment of the number of sub-lists results in a more uniform distribution.) Evidently, the configuration with 3 sub-lists strikes an optimal balance.

Size of User Pool. An intriguing question is about the boundaries of the effectiveness of the depth watermark scheme. Specifically, the question arises as to whether the proposed method retains its accuracy when applied to significantly large user pools. This experiment was conducted to evaluate the performance of our method across user pools of varying sizes. It involved testing binary user code lengths of 7, 10, 14, 15, and 17, corresponding to user pool sizes of 128, 1,024, 16,384, 32,768, and 131,072, respectively. As illustrated in Figure 3, the top-3 identification accuracy remarkably maintains a level above 90%, even with a user pool expanding to 131,072 members. We defer more results in Appendix B. In such a context, a reduced top-1 accuracy is deemed acceptable, considering that identifying a set of top-3 suspects remains sufficiently precise for a user pool of this magnitude. We also include the run-time analysis in Appendix C.

#### 6.4 Estimation of Ideal Distribution

In Section 5, the calculation of the depth score relies on the ideal distribution  $P_{known}$ , derived from the C4 dataset. It is imperative to ensure the proximity of  $P_{known}$  to estimations obtained from other datasets. To achieve this, we have undertaken the generation of outputs using 5000 different prompts from every datasets where each output consists of

| Prompt (Written by Human)            | Watermarked Output                    | Unwatermarked Output                   | Perplexity |
|--------------------------------------|---------------------------------------|--|------------|
| The experts concluded that           | stomach cancer significantly, simi-   | stomach cancer by 16 per cent."        | 15.95      |
| each 50 gram portion of pro-         | lar to the findings from analyses on  | In February, the Brazilian Centre      |            |
| cessed meat eaten daily increases    | blue, red, salted and unsalted meat,  | for Public Health Research (CBP)       |            |
| the risk of                          | poultry and pork[continues]           | said[continues]                        |            |
| One thing we have to do is mea-      | itval, for one, and then look at the  | itval held in Johannesburg. I have     | 17.11      |
| sure the contribution of arts to the | economic, social development and      | been on the committee that has been    |            |
| big picture. Take for instance the   | political effects. It will show you   | looking at this for five years. We     |            |
| yearly jazz fes                      | how much[continues]                   | have[continues]                        |            |
| I am really sad they decided to      | watching and I hope to see the last 7 | •                                      | 19.62      |
| cancel it and I have no idea why.    | seasons on tv, and maybe an online    | I wouldnt say the show was bad, but    |            |
| I hope the cast move on to other     | movie, there are some great actors    | it wasnt as good as it once was, but I |            |
| things that I will enjoy             | that[continues]                       | still[continues]                       |            |

Table 3: Prompt and output w/o the proposed watermark generated by prompts in the C4 dataset.

| # of sub-lists | Top1 Acc | Perplexity |
|----------------|----------|------------|
| 2              | 68.5     | 14.35      |
| 3              | 89       | 17.56      |
| 4              | 91.5     | 20.93      |
| 5              | 94.5     | 21.40      |

Table 4: Top-1 identification accuracy(%) and output quality against number of sub-lists.



Figure 3: Identification performance against different size of userpool.

25 tokens. The ideal probability  $P_{known}$  is computed for each of the five datasets, employing a bias intensity of  $\delta = 5$  and a number of sub-lists n = 3, as detailed in Table 5. It can be observed that the  $P_{known}$  generated with different datasets are largely consistent so that the ideal distribution could be used across different datasets.

#### 7 Robustness Analysis

The robustness of the proposed watermarking scheme, particularly in maintaining the stability of identification results, presents an essential inquiry. In this section, we further discuss the performance of our scheme under adversarial attacks.

We investigate a black-box text substitution attack designed to mimic the perturbations one might

| Dataset        | Ideal Distribution Pknown        |  |  |
|----------------|----------------------------------|--|--|
| C4             | [0.5304, 0.1397, 0.1060, 0.2239] |  |  |
| XSum           | [0.4828, 0.2038, 0.1365, 0.1769] |  |  |
| WritingPrompts | [0.6494, 0.1040, 0.1100, 0.1366] |  |  |
| SQuAD          | [0.5508, 0.1474, 0.0709, 0.2309] |  |  |
| PubMedQA       | [0.5892, 0.1031, 0.1177, 0.1900] |  |  |

Table 5: Probability Distribution  $P_{known}$  of tokens appearing in sub-lists and outside the preferred list for various datasets (First 3 coordinates: probability in sub-list; Last element: probability outside preferred list)

encounter in the real-world application. An adversary alters the original output text by one token each step using a substitution model, and iteratively conduct the attack until a alteration budget  $\epsilon T$  is reached, where T denotes the output length. This is accomplished without the adversary's knowledge of the output's distribution or the prior knowledge  $P_{kown}$ . It is imperative to highlight that the budget  $\epsilon$  serves as a regulatory constraint, ensuring that the resultant text maintains a degree of similarity to the original. Specifically, we employ the OPT-1.3b - the watermarking model with bias  $\delta = 0$  as the adversary's substitution model. The process begin with tokenizing the watermarked text S of length T, and randomly select a token  $s_{(t)} \in S$  that never been modified for attack. We extract the preceding 30 tokens of  $s_{(t)}$  in the original watermarked text to form a prompt for the substitution model. We attack the original watermark text with the output length T = 200. As depicted in Figure 4, we assess the effectiveness of our scheme through the top k identification accuracy in the face of outputs generated with  $\delta = 5$  subjected to attacks with varying attack budgets  $\epsilon = 0.05, 0.1, 0.3, 0.5, 0.7$ . It is encouraging to note that, when the attack budget is limited to 0.3 or less, ensuring that at least 70% of the original content remains unaltered, our scheme's top-1 accuracy showcases exceptional

resilience, maintaining an identification accuracy exceeding 90%. This underscores the robustness of our methodology in achieving high accuracy levels, even when confronted with adversarial modifications.



Figure 4: Identification accuracy under attack with various attack budget  $\epsilon$ .

## 8 Conclusion

In this study, we introduced a comprehensive traceability framework designed to identify the origin of specific sentences generated by language models. Our novel watermarking technique, which uniquely embeds a user code within each user's output, enables precise source identification through a robust scoring mechanism. Empirical evaluation of our framework yielded promising results. Notably, for outputs exceeding 50 tokens, we achieved a precision of nearly 100% across a cohort of 1024 users, underscoring the effectiveness of our methodology. Furthermore, rigorous experimentation confirmed the robustness of our framework, demonstrating its resilience in diverse scenarios and challenges.

### Limitations

A significant limitation is the scalability in terms of user capacity. Our proposed scheme might face challenges when deployed in super-heavyweight application like ChatGPT with around 180.5 million users. We have demonstrated in Section 6.3 that the largest user pool size of our method can be about  $10^5$  (unless using excessively long output). We expect that a more carefully designed watermarking scheme could support even larger user pool size, which we leave as future works. Moreover, the robustness of the scheme against sophisticated adversarial attack, including but not limited to the generative attack that could alter the watermarked content remains a great concern. In Section 7, we only discussed the robustness under 'text alteration' attack. The robustness against other attacks remains to be tested.

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#### A More Results of Bias intensity.

We report the additional results for top-1, top-3, top-10 accuracy, along with the perplexity measurement for  $\delta$  ranging from 0 to 7 in Table 6. The experimental setting aligns with which in Section 6.3, wherein each result represents the average across 200 runs. For each experiment, a corpus comprising 200 unique text excerpts, each fixed at a length of 25 tokens, was extracted from the C4 dataset as prompts, and the number of sub-lists is fixed to 3.

| δ | Top1 Acc % | <b>Top3 Acc</b> % | Top10 Acc $\%$ | Perplexity↓ |
|---|------------|-------------------|----------------|-------------|
| 0 | 0.1        | 0.3               | 1              | 5.3692      |
| 1 | 1.5        | 5.5               | 11             | 5.3705      |
| 2 | 20.5       | 35.5              | 52             | 6.8072      |
| 3 | 43         | 58.5              | 71.5           | 8.549       |
| 4 | 78         | 87                | 92.5           | 11.1726     |
| 5 | 89         | 97.5              | 98.5           | 17.5585     |
| 6 | 96         | 98                | 98.5           | 23.7451     |
| 7 | 97         | 98                | 98.5           | 30.4353     |

Table 6: Top1,3,10 identification accuracy(%) and output quality against bias intensity  $\delta$ .

## **B** Additional Results of User Pool Size

Accuracy Result. We report the additional experimental result for size of user pool in this section. The experimental setting is also aligned with section 6.3, with a configuration of 3 sub-lists with a bias intensity  $\delta = 5$ . The experiment involved testing binary user code lengths of 7, 10, 14, 15, and 17, corresponding to user pool sizes of 128, 1,024, 16,384, 32,768, and 131,072, respectively. As demonstrated in Figure 5, there is a notable decline in the performance of the watermarking scheme for output length equal to 25 when applied to a large user pool. This phenomenon is reasonable considering the constraint of having too short outputs, wherein the variance in output sentences among different users is inherently limited.

### C Running Time Analysis

As the size of the user pool increases, the complexity of the identification task expands correspondingly, resulting in extended processing times. We documented the time consumption to trace a single sentence generated by an individual user within a single execution, across various magnitudes of user pool sizes. Each experiment was conducted on a single RTX 3090 GPU, with the number of output sentence fixed to 25, sub-lists number fixed to 3 and bias intensity  $\delta = 5$ .



Figure 5: Identification performance against different size of userpool. (output length = 25)

| User Code Length | User Pool Size | Time Used per Single Run |
|------------------|----------------|--------------------------|
| 7                | 128            | 0.25                     |
| 10               | 1024           | 0.45                     |
| 14               | 16384          | 11.5                     |
| 15               | 32768          | 17.2                     |
| 17               | 131072         | 41                       |
| 18               | 262114         | 228                      |
| 19               | 524288         | 663                      |

Table 7: Running time (minutes per single run) at different user pool size.

## D More Result of Watermarked and Unwatermarked Samples.

Here we demonstrates how our depth watermark maintains the output quality while achieving high trace accuracy. Table 8 presents a range of examples comparing human written prompt, unwatermarked output, and watermarked output. The watermark's bias intensity  $\delta$  is set to 5.

| Prompt (Written by Human)  | Unwatermarked Output  | Watermarked Output  |
|--|---|---|
| Plump up a cushion, have a listen, and<br>post your feedback on the blog below.                                | And remember, you can subscribe and<br>have your say on our app for iOS and<br>Android, by searching FFT.<br>WEEKEND FOOTBALL<br>April 25, 2017<br>AC Jimbo is back with Football Weekly<br>What can your given on the tanin of   | And, remember - if you're liking our<br>content on our website, please leave a<br>review in the comments, so we'll get<br>to know you better! And, don't forget<br>that you can also follow your favourites<br>- and get updates on their stories                                 |
| irits and our emotions. We cannot con-<br>tinue that way, because we are missing<br>that sense of belonging.   | What are your views on the topic of<br>white on black crime?<br>The issue is not about white on black<br>crime. There is a difference between<br>white on black and black on white. It<br>is about the dignity of every South<br>African.   | That sense is what gives away the real deal that South Africans have. It's important for us to remember the spirit, which has to be brought alive through things, music or paintings or bigger expressions that involve people of culture – not about collecting                  |
| over and over are over. She urged stu-<br>dent to take advantage of the Open Uni-<br>versity because struct    | uring of the classes gives them the free-<br>dom to learn on their own time.<br>LAWEH University offers a wide vari-<br>ety of programmes and degrees includ-<br>ing the BSc in Chemistry, BSc in Bio-<br>chemistry, BSc in Environmental Sci-<br>ence, BSc in  | uring of programmes, online and face-<br>to-face courses, combined with assess-<br>ment methods, have been streamlined<br>to make things easier and make things<br>happen better for the learner.<br>"Ours is one open access model so you<br>will get the                        |
| mber of new flights lead this week's air<br>travel news agents should keep on their<br>radar. Take a look.     | Virtuoso's Luxe Report surveyed 1,071<br>of its advisors and found travelers are<br>increasingly looking to personalize their<br>trips with staged photo shoots, private<br>helicopter transfers and more.<br>The hotel offers 276 rooms, five distinct   | Airport infrastructure upgrades have<br>boosted Denver as the fourth-hottest<br>travel destination.<br>The company has invested around \$500<br>million and plans for more expansion in<br>2017.<br>Coast to coast to airport: Delta Airlines<br>has revealed plans for its first |
| Each one is made artisanally in Queens<br>and Brooklyn, at what we call chop<br>shops," Dubrovsky says. "E     | ighty-five percent of the materials and<br>labor are on site, and the rest are<br>shipped in."<br>The MRV100 has a big, bright green<br>paint job, and large, black wheels that<br>are easy to steer. The cart is   | ighty per cent are made by the vendors<br>from scraps."<br>To give some context: It can take two<br>people to fill and operate a food cart. "It<br>costs a pretty big sum, and these ven-<br>dors are running on their last cents   |
| usually provide the theme several days<br>in advance, and encouraged anyone in-<br>terested to get involved.   | "It's a lot of fun. It's a lot of fun for us.<br>It's a lot of fun for the community. It's a<br>lot of fun for the tourists, too," she said.<br>"There are a lot of   | The final competition is set for 4:30 p.m.<br>Saturday at the Colorado River Mall.<br>The winner(s) will win money toward<br>travel to participate in a two-day contest<br>of ice carving in Idaho at Vashon Island   |
|  | Diascias are a riot of colour on a sunny<br>window sill, and they are a good substi-<br>tute for potted dahlias, which are often<br>seen as dahlias.<br>As mentioned above, diascias will<br>flower for   | Next time I'm away I'm taking some<br>'Appleby apricot' D. barberae with the<br>pale blue and pink roses from Ap-<br>pleby's. I'm hoping to add to this col-<br>lection and, if the weather holds out,  |
| video of our conversation.<br>Let me know what you thought of the<br>show. See you next Sunday at 11 a.m.!     | Copyright 2021 NPR. To see more, visit<br>https://www.npr.org.<br>DAVID GREENE, HOST:<br>We want to turn now to the latest big<br>story about Hillary Clinton and her<br>memoir, "Hard Choices  | Copyright 2021 NPR. To see more, visit<br>https://www.npr.org.<br>TERRY GROSS, HOST:<br>This is FRESH AIR. And welcome<br>back. And welcome back to FRESH<br>AIR as well. Today   |
| or Indiancitizenship is under the consid-<br>eration of Joint parliamentary commit-<br>tee of both the Houses. | Congress has been opposing the bill and<br>has also threatened to form an alliance<br>with another political party if the bill is<br>passed.<br>The bill has triggered series of protest<br>in Assam, All Assam Students Union<br>and several other organisations have an-<br>nounced fresh agitation | Recently the Congress had also<br>slammed and asked why the Bill is<br>going to become another issue after 15<br>January 1946 in the state. Congress<br>said that in February this year a protest<br>will start with burning effigies and in<br>March, students will start anti   |
| I am really sad they decided to cancel it<br>and I have no idea why. I hope the cast<br>move on to other       | things and I would love to see them re-<br>turn to college campus, I miss them all.<br>I hope they come back to the Greek cul-<br>ture, the show was about Greek life and<br>I think it would be great if they come<br>back with a new Greek  | projects somewhere. I will miss it so<br>much. So good. I will watch all of<br>it!!!!!!!! I really thought they could of<br>at least ended with this season if they<br>were going to go through with this sea-<br>son so long   |

Table 8: A intuitive comparison of the watermarked outputs and unwatermarked outputs.