# **Simulated Multiple Reference Training Improves Low-Resource Machine Translation**

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## **Abstract**

Many valid translations exist for a given sentence, yet machine translation (MT) is trained with a single reference translation, exacerbating data sparsity in low-resource settings. We introduce Simulated Multiple Reference Training (SMRT), a novel MT training method that approximates the full space of possible translations by *sampling* a paraphrase of the reference sentence from a paraphraser and training the MT model to predict the paraphraser's *distribution* over possible tokens. We demonstrate the effectiveness of SMRT in low-resource settings when translating to English, with improvements of 1.2 to 7.0 BLEU. We also find SMRT is complementary to back-translation.

## 1 Introduction

Variability and expressiveness are core features of language, and they extend to translation as well. Dreyer and Marcu (2012) showed that naturally occurring sentences have *billions* of valid translations. Despite this variety, machine translation (MT) models are optimized toward a single translation of each sentence in the training corpus. Training a high resource MT model on millions of sentence pairs likely exposes it to similar sentences translated different ways, but training a low-resource MT model with a single translation for each sentence (out of potentially billions) exacerbates data sparsity.

We hypothesize that the discrepancy between linguistic diversity and standard single-reference training hinders MT performance. This was previously impractical to address, since obtaining multiple human translations of training data is typically not feasible. However, recent neural sentential paraphrasers produce fluent, meaning-preserving English paraphrases. We introduce a novel method that incorporates such a paraphraser directly in the training objective, and uses it to simulate the full space of translations.

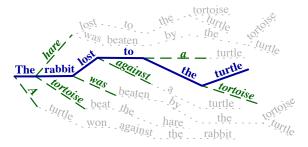


Figure 1: Some possible paraphrases of the original reference, 'The tortoise beat the hare,' for the Dutch source sentence, 'De schildpad versloeg de haas.' A <u>sampled path</u> and some of the other *tokens also considered in the training objective* are highlighted.

We demonstrate the effectiveness of our method on two corpora from the low-resource MATERIAL program, and on bitext from GlobalVoices. We release data & code: data.statmt.org/smrt

# 2 Method

We propose Simulated Multiple Reference Training (SMRT), which uses a paraphraser to approximate the full space of possible translations, since explicitly training on billions of possible translations per sentence is intractable.

In standard neural MT training, the reference is: (1) used in the training objective; and (2) conditioned on as the previous target token. We approximate the full space of possible translations by: (1) training the MT model to predict the *distribution* over possible tokens from the paraphraser at each time step; and (2) *sampling* the previous target token from the paraphraser distribution. Figure 1 shows an example of possible paraphrases and highlights a sampled path and some of the other tokens used in the training objective distribution.

<sup>&</sup>lt;sup>1</sup>In autoregressive NMT inference, predictions condition on the previous target tokens. In training, predictions typically condition on the previous tokens in the reference, not the model's output (teacher forcing; Williams and Zipser, 1989).

We review the standard NLL training objective, and then introduce our proposed objective.

**NLL Objective** The standard negative log likelihood (NLL) training objective in NMT, for the  $i^{th}$  target word in the reference y is:

$$\mathcal{L}_{\text{NLL}} = -\sum_{v \in \mathcal{V}} \left[ \mathbb{1}\{y_i = v\} \right]$$

$$\times \log p_{\text{MT}}(y_i = v \mid x, y_{j < i})$$
(1)

where  $\mathcal{V}$  is the vocabulary,  $\mathbb{1}\{\cdot\}$  is the indicator function, and  $p_{\mathrm{MT}}$  is the MT output distribution (conditioned on the source x, and on the previous tokens in the reference  $y_{j < i}$ ). Equation 1 computes the cross-entropy between the MT model's distribution and the one-hot reference.

**Proposed Objective** We compute the cross entropy between the distribution of the MT model and the distribution from a paraphraser conditioned on the original reference:

$$\mathcal{L}_{\text{SMRT}} = -\sum_{v \in \mathcal{V}} \left[ p_{\text{para}}(y_i' = v \mid y, y_{j < i}') \right]$$

$$\times \log p_{\text{MT}}(y_i' = v \mid x, y_{j < i}')$$
(2)

where y' is a paraphrase of the original reference y.  $p_{\text{para}}$  is the output distribution from the paraphraser (conditioned on the reference y and the previous tokens in the sentence produced by the paraphraser  $y'_{j < i}$ ).  $p_{\text{MT}}$  is the MT output distribution (conditioned on the source sentence, x and the previous tokens in the sentence produced by the paraphraser,  $y'_{j < i}$ ). At each time step we sample a target token  $y'_i$  from the paraphraser's output distribution to cover the space of translations. We condition on the sampled  $y'_{i-1}$  as the previous target token for both the MT model and paraphraser.

For a visualization see Figure 1, which shows possible paraphrases of the reference, 'The tortoise beat the hare.' The paraphraser and MT model condition on the **paraphrase** (y') as the previous output. The **paraphrase** (y') and the rest of the **tokens** in the paraphraser's distribution make up  $p_{\text{PARA}}$ , which is used to compute  $\mathcal{L}_{\text{SMRT}}$ .

# 3 Experimental Setup

#### 3.1 Paraphraser

For use as an English paraphraser, we train a Transformer model (Vaswani et al., 2017) in FAIRSEQ (Ott et al., 2019) with an 8-layer encoder and decoder, 1024 dimensional embeddings, 16 encoder

and decoder attention heads, and 0.3 dropout. We optimize using Adam (Kingma and Ba, 2015). We train on PARABANK2 (Hu et al., 2019c), an English paraphrase dataset.<sup>2</sup> PARABANK2 was generated by training an MT system on CzEng 1.7 (a Czech—English bitext with over 50 million lines (Bojar et al., 2016)), re-translating the Czech training sentences, and pairing the English output with the original English translation.

# 3.2 NMT models

We train Transformer NMT models in FAIRSEQ using the FLORES low-resource benchmark parameters (Guzmán et al., 2019): 5-layer encoder and decoder, 512-dimensional embeddings, and 2 encoder and decoder attention heads. We regularize with 0.2 label smoothing and 0.4 dropout. We optimize using Adam with a learning rate of  $10^{-3}$ . We train for 200 epochs, and select the best checkpoint based on validation set perplexity. We translate with a beam size of 5. For our method we use the proposed objective  $\mathcal{L}_{\text{SMRT}}$  with probability p = 0.5and standard  $\mathcal{L}_{NLL}$  on the original reference with probability 1 - p. We sample from only the 100 highest probability vocabulary items at a given time step when sampling from the paraphraser distribution to avoid very unlikely tokens (Fan et al., 2018).

Using our English paraphraser, we aim to demonstrate improvements in low-resource settings, since these remain a challenge in NMT (Koehn and Knowles, 2017; Sennrich and Zhang, 2019). We use Tagalog (tl) to English and Swahili (sw) to English bitext from the MATERIAL low-resource program (Rubino, 2018). We also report results on MT bitext from GlobalVoices, a non-profit news site that publishes in 53 languages.<sup>3</sup> We evaluate on the 10 lowest-resource settings that have at least 10,000 lines of parallel text with English: Hungarian (hu), Indonesian (id), Czech (cs), Serbian (sr), Catalan (ca), Swahili (sw), Dutch (nl), Polish (pl), Macedonian (mk), Arabic (ar).

We use 2,000 lines each for a validation set for model selection from checkpoints and a test set for reporting results. The approximate number of lines of training data is in the top of Table 1. We train an English SentencePiece model (Kudo and Richard-

<sup>&</sup>lt;sup>2</sup>Hu et al. released a trained SOCKEYE paraphraser but we implement our method in FAIRSEQ.

<sup>&</sup>lt;sup>3</sup>We use v2017q3 released on Opus (Tiedemann, 2012, opus.nlpl.eu/GlobalVoices.php).

<sup>&</sup>lt;sup>4</sup>Swahili is in both. MATERIAL data is not widely available, so we separate them to keep GlobalVoices reproducible.

dataset		GlobalVoices											
$* \rightarrow en$ train lines	hu 8k		cs 11k		ca 15k		nl 32k		mk 44k		sw 19k	tl 46k	
baseline this work								16.0 <b>18.0</b>			37.8 <b>39.0</b>	32.5 <b>33.7</b>	
$\Delta$	+3.1	+7.0	+3.2	+4.3	+4.0	+2.6	+2.6	+2.0	+1.2	+2.2	+1.2	+1.2	

Table 1: BLEU scores on the test set. We **bold** the best value; all improvements are statistically significant at the 95% confidence level. 'train lines' indicates amount of training bitext.

son, 2018) on the paraphraser data, and apply it to the target (English) side of the MT bitext, so that the paraphraser and MT models have the same output vocabulary. We also train SentencePiece models on the source-side of the bitexts. We use a subword vocabulary size of 4,000 for each.

#### 4 Results

Results are shown in Table 1. Our method improves over the baseline in all settings, by between 1.2 and 7.0 BLEU (all statistically significant at the 95% confidence level (Koehn, 2004)).<sup>5</sup> We see larger improvements for lower-resource corpora.

# 5 Analysis

We analyze our method to explore: (1) how it performs at a various resource levels; (2) how it combines with back-translation; (3) how the different components of the method impact performance; and (4) how it compares to sequence-level paraphrastic data augmentation.

# 5.1 MT Data Ablation

In order to better understand how our method performs across data sizes on the same corpus, we ablate Bengali-English bitext from Global Voices.

Figure 2 plots the performance of our method and the baseline against the log of the data amount. Our improvements of 2.7, 3.7, 1.6, and 0.8 BLEU at the 15k, 25k, 50k, and 100k subsets are statistically significant at the 95% confidence level; the 0.1 improvement for the full 132k data amount is not. Similar to Table 1, we see larger improvements in lower-resource ablations.

#### 5.2 Back-translation

Back-translation (Sennrich et al., 2016) is the de facto method for incorporating non-parallel data

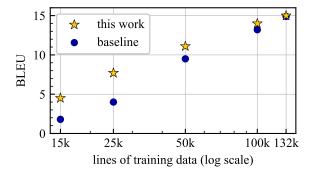


Figure 2: Bengali-English data ablation. Improvements of 2.7, 3.7, 1.6, and 0.8 BLEU at the 15k, 25k, 50k, and 100k subsets are statistically significant.

in NMT, so we investigate how our method interacts with it. Table 2 shows the results for back-translation, our work, and the combination of both.<sup>6</sup> Adding our method to back-translation improves results by an additional 0.5 to 5.7 BLEU.<sup>7</sup>

For all language pairs, the best performance is achieved by our method combined with back-translation, or our method alone. For 9 of 12 corpora, back-translation and our proposed method are complementary, with improvements of 1.2 to 7.8 BLEU<sup>7</sup> over the baseline when combining the two. For cs-en and tl-en, adding back-translation to our method does not change BLEU. In the lowest-resource setting (hu-en) our method alone outperforms the baseline by 3.1 BLEU, but adding back-translation reduces the improvement by 0.5 BLEU.

## 5.3 Method Ablation

In Table 3 we analyze the contributions of each part of our proposed method. We compare four

<sup>&</sup>lt;sup>5</sup>All BLEU scores are SacreBLEU (Post, 2018).

<sup>&</sup>lt;sup>6</sup>We use a 1:1 ratio of bitext to back-translated bitext. We use newscrawl2016 (data.statmt.org/news-crawl) as monolingual text. When combining with our work, we run our method on both the original and back-translation data.

<sup>&</sup>lt;sup>7</sup>All statistically significant at the 95% confidence level.

dataset		GlobalVoices										
$* \rightarrow en$	hu	id	cs	sr	ca	sw	nl	pl	mk	ar	sw	tl
train lines	8k	8k	11k	14k	15k	24k	32k	40k	44k	47k	19k	46k
baseline	2.3	5.3	3.4	11.8	16.0	17.9	22.2	16.0	27.0	12.7	37.8	32.5
baseline w/ back-translation	2.8	7.1	4.6	17.6	20.1	20.7	26.9	19.3	29.1	16.0	38.8	33.0
this work	5.4	12.3	6.6	16.1	20.0	20.5	24.8	18.0	28.2	14.9	39.0	33.7
this work w/ back-translation	4.9	12.8	6.6	19.6	23.4	23.0	27.5	20.2	29.7	16.8	39.3	33.7

Table 2: Comparison between back-translation and this work. We **bold** the best BLEU score on the test set, as well as any result where the difference from it is not statistically significant at the 95% confidence level.

		dataset GlobalVoices									MATERIAL			
	paraphrase sampling	$* \rightarrow en$ train lines	hu 8k				ca 15k		nl 32k	pl 40k	mk 44k		sw 19k	tl 46k
X	n/a	baseline	2.3	5.3	3.4	11.8	16.0	17.9	22.2	16.0	27.0	12.7	37.8	32.5
X	Х	(1)	2.9	8.8	4.6	14.5	17.8	19.2	23.4	17.6	27.0	14.2	35.7	29.9
Х	✓	(2)	5.1	11.6	6.5	15.6	19.7	20.2	24.4	18.1	27.9	15.0	38.1	32.0
✓	X	(3)	4.0	10.5	6.5	15.2	18.8	19.8	23.9	18.0	<b>27.6</b>	14.4	37.6	31.6
1	✓	(4) this work	5.4	12.3	6.6	16.1	20.0	20.5	24.8	18.0	28.2	14.9	39.0	33.7

Table 3: We compare four conditions to the baseline: (1) paraphrasing the reference, without sampling or the distribution in the loss; (2) sampling from the paraphraser in the training objective, without the distribution; (3) using the distribution in the training objective, without sampling; and (4) the proposed method. We **bold** the best test set BLEU score, and others where the difference is not statistically significant at the 95% confidence level.

conditions to the baseline:<sup>8</sup> (1) paraphrasing the reference, without sampling or the distribution in the loss;<sup>9</sup> (2) sampling from the paraphraser, without the distribution in the loss; (3) using the distribution in the training objective, without sampling the paraphrase; and (4) the proposed method.

We find that sampling is particularly important to the success for the method; removing it significantly degrades performance in all but 3 language pairs. Since we sample a paraphrase each batch, this exposes the model to a wide variety of different paraphrases. Using the distribution in the loss function is also beneficial, particularly for the lower resource settings and in the MATERIAL corpora.

# 5.4 Sequence-Level Paraphrastic Data Augmentation

As a contrastive experiment, we use the paraphraser to generate additional target-side data for use in data augmentation. For each target sentence (y) in

the training data, we generate a paraphrase (y'). We then concatenate the original source-target pairs (x,y) with the paraphrased pairs (x,y') and perform standard standard  $\mathcal{L}_{NLL}$  training. We consider 3 methods for generating paraphrases: beam search (beam of 5), greedy search, sampling (top-100 sampling). Greedy search tends to work best: see Table 4. It improves over the baseline for the 10 Global Voices datasets, but not for the two MATERIAL ones. Overall, our proposed method is more effective than this contrastive method. We hypothesize this is due to the wider variety of paraphrases SMRT introduces by sampling and training toward the full distribution from the paraphraser.

# 6 Related Work

Knowledge Distillation Our proposed objective is similarly structured to word-level knowledge distillation (KD; Hinton et al., 2015; Kim and Rush, 2016), where a student model is trained to match the output distribution of a teacher model. Paraphrasing as preprocessed data augmentation, as discussed in § 5.4, is similarly analogous to sequence-level knowledge distillation (Kim and Rush, 2016).

 $<sup>^8</sup>$ All use settings from § 3.2: we use the original reference with  $\mathcal{L}_{\text{NLL}}$  with 1-p=0.5 probability, and when sampling we sample from the top w=100 tokens.

<sup>&</sup>lt;sup>9</sup>This is equivalent to  $\mathcal{L}_{NLL}$  using a paraphrase generated with greedy-search as the reference, see § 5.4.

dataset	GlobalVoices											MATERIAL	
$* \rightarrow en$	hu	id	cs	sr	ca	sw	nl	pl	mk	ar	sw	tl	
train lines	8k	8k	11k	14k	15k	24k	32k	40k	44k	47k	19k	46k	
baseline	2.3	5.3	3.4	11.8	16.0	17.9	22.2	16.0	27.0	12.7	37.8	32.5	
beam-search paraphrase	2.6	8.7	4.7	13.5	16.3	18.4	22.6	16.6	26.6	12.2	35.9	29.4	
greedy paraphrase	3.2	9.4	4.6	14.8	18.3	19.6	24.4	18.0	27.5	14.7	35.8	30.3	
sampled paraphrase	2.8	8.0	5.1	13.9	16.8	19.5	23.9	17.6	27.6	14.2	37.2	31.6	
this work	5.4	12.3	6.6	16.1	20.0	20.5	24.8	18.0	28.2	14.9	39.0	33.7	

Table 4: We compare three ways of generating paraphrases for preprocessed data augmentation: beam search, greedy search, and sampling. We **bold** the best BLEU score on the test set, as well as any result where the difference from it is not statistically significant at the 95% confidence level.

In typical KD both the student and teacher models are translation models trained on the same data, have the same input and output languages, and use the original reference for the previous token. In contrast, our teacher model is a paraphraser, which takes as input the original reference sentence (in the target language), with the sampled paraphrase as the previous token. KD is usually used to train smaller models and does not typically incorporate additional data sources, though it has been used for domain adaptation (Dakwale and Monz, 2017; Khayrallah et al., 2018).

Paraphrasing in MT Hu et al. (2019a) present case studies on paraphrastic data augmentation for NLP tasks, including NMT. They use sequence-level augmentation with heuristic constraints on the model's output. SMRT differs in that we train toward the paraphraser *distribution*, and we *sample* from the distribution rather than using heuristics.

Wieting et al. (2019a) used a paraphrastic-similarity metric for minimum risk training (MRT; Shen et al., 2016) in NMT. They note MRT is slow, and, following prior work, use it for fine-tuning after NLL training. While our method is about 3 times slower than standard  $\mathcal{L}_{NLL}$ , this is not prohibitive in low-resource conditions.

Paraphrasing was also used for statistical MT, including: *source-side* phrase table augmentation (Callison-Burch et al., 2006; Marton et al., 2009), and generation of additional references for tuning (Madnani et al., 2007, 2008).

**Data Augmentation in NMT** Back-translation (BT) translates target-language monolingual text to create synthetic source sentences (Sennrich et al., 2016). BT needs a reverse translation model for

each *language pair*. In contrast, we need a paraphraser for each *target language*. Zhou et al. (2019) found BT is harmful in some low-resource settings, but a strong paraphraser can be trained as long as the target language is sufficiently high resource.

Fadaee et al. (2017) insert rare words in novel contexts in the existing bitext, using automatic word alignment and a language model. RAML (Norouzi et al., 2016) and SwitchOut (Wang et al., 2018) randomly replace words others from the vocabulary. In contrast to random or targeted word replacement, we generate semantically similar sentential paraphrases.

**Label Smoothing** Label smoothing (which we use when training with  $\mathcal{L}_{NLL}$ ) spreads probability mass over all non-reference tokens equally (Szegedy et al., 2016);  $\mathcal{L}_{SMRT}$  places higher probability on semantically plausible tokens.

# 7 Conclusion

We present Simulated Multiple Reference Training (SMRT), which significantly improves performance in low-resource settings—by 1.2 to 7.0 BLEU—and is complementary to back-translation.

Neural paraphrasers are rapidly improving (Wieting et al., 2017, 2019b; Li et al., 2018; Wieting and Gimpel, 2018; Hu et al., 2019a,b,c), and the concurrently released PRISM multi-lingual paraphraser Thompson and Post (2020a,b) has coverage of 39 languages and outperforms prior work in English paraphrasing. As paraphrasing continues to improve and cover more languages, we are optimistic SMRT will provide larger improvements across the board—including for higher-resource MT and for additional target languages beyond English.

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