EMNLP 2020

The 2020 Conference on Empirical Methods in Natural Language Processing

Tutorial Abstracts

November 19 - 20, 2020

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Introduction

Welcome to the Tutorials Session of EMNLP 2020.

The EMNLP tutorials session in 2020 includes courses on a variety of topics reflecting recent advances in Natural Language Processing methods and applications, especially selected to give conference attendees comprehensive overviews ranging from introductory to cutting-edge topics targeted to wide audience and presented by experts from academia and industry.

This year, continuing the tradition of the past few years, the call, submission, reviewing and selection of tutorials were coordinated jointly for multiple conferences: ACL, AACL-IJCNLP, COLING and EMNLP. The reviewing committee consisted of 19 members, among them the tutorial chairs of the various conferences (Agata Savary and Yue Zhang for ACL, Aline Villavicencio and Benjamin Van Durme for EMNLP, Daniel Beck and Lucia Specia for COLING and Timothy Baldwin and Fei Xia for AACL-IJCNMP), and 11 external reviewers (Emily Bender, Erik Cambria, Gaël Dias, Stefan Evert, Yang Liu, João Sedoc, Xu Sun, Yulia Tsvetkov, Taro Watanabe, Aaron Steven White and Meishan Zhang). Each proposal received 3 reviews, that evaluated criteria including clarity, preparedness, novelty, timeliness, instructors' experience, likely audience, open access to the teaching materials, diversity (multilingualism, gender, age and geolocation) and the compatibility of preferred venues. From the 43 tutorial submissions received, 7 were selected for presentation at EMNLP.

We solicited two types of tutorials, including cutting-edge and introductory themes. From the 7 tutorials accepted for EMNLP, 1 is introductory and 6 are cutting-edge tutorials, all reflecting current topics of interest to the community. The introductory tutorial offers an overview of research in fact-checking, "fake news", and media bias detection (T2). The cutting-edge tutorials present research on methods for interpreting predictions of NLP models (T1), for improving efficiency for high-performance NLP (T3), along with methods for machine reasoning (T4) and spatial language understanding (T5), and the latest advances on applications including simultaneous translation systems (T6) and neural network architectures for text generation (T7).

We would like to thank the ACL, AACL-IJCNLP and COLING tutorial chairs, along with the members of the reviewing committee, who all collaborated to ensure a smooth selection process. Our thanks to the conference organizers for a wonderful and effective collaboration, and in particular to the general chair Bonnie Webber, the website chair Andy MacKinlay, the publicity chairs Anna Rogers and Ruifeng Xu, the ACL anthology director Matt Post, the general publication chair Fei Liu and publication chairs Philippe Muller, Yang Gao and Veronika Laippala, and to the virtual infrastructure chairs Jan-Christoph Klie, Yang Feng, Zhongyu Wei, Eduardo Blanco and Yangsong Feng. Finally, our huge thanks to the tutorial authors for their amazing tutorial proposals, and for their flexibility and collaboration in a period of adaption to virtual conferences.

We hope you enjoy the tutorials.

EMNLP 2020 Tutorial Co-chairs Aline Villavicencio Benjamin Van Durme

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Tutorial Program

November 19, 2020

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10:00-11:00	<i>Fact-Checking, Fake News, Propaganda, and Media Bias: Truth Seeking in the</i> <i>Post-Truth Era</i> Preslav Nakov and Giovanni Da San Martino
14:00-15:00	Fact-Checking, Fake News, Propaganda, and Media Bias: Truth Seeking in the Post-Truth Era Preslav Nakov and Giovanni Da San Martino
15:00–19:30	Interpreting Predictions of NLP Models Eric Wallace, Matt Gardner and Sameer Singh
17:00-18:00	<i>High Performance Natural Language Processing</i> Gabriel Ilharco, Cesar Ilharco, Iulia Turc, Tim Dettmers, Felipe Ferreira and Kenton Lee

November 20, 2020

00:00-01:00	High Performance Natural Language Processing
	Gabriel Ilharco, Cesar Ilharco, Iulia Turc, Tim Dettmers, Felipe Ferreira and Kenton
	Lee

- 01:00–02:00 *Machine Reasoning: Technology, Dilemma and Future* Nan Duan, Duyu Tang and Ming Zhou
- 17:00–18:00 *Representation, Learning and Reasoning on Spatial Language for Downstream NLP Tasks* Parisa Kordjamshidi, James Pustejovsky and Marie-Francine Moens

18:00–19:00 *Simultaneous Translation* Liang Huang, Colin Cherry, Mingbo Ma, Naveen Arivazhagan and Zhongjun He

19:00–20:00 *The Amazing World of Neural Language Generation* Yangfeng Ji, Antoine Bosselut, Thomas Wolf and Asli Celikyilmaz

November 21, 2020

- 00:00–01:00 *Representation, Learning and Reasoning on Spatial Language for Downstream NLP Tasks* Parisa Kordjamshidi, James Pustejovsky and Marie-Francine Moens
- 01:00–02:00 *Simultaneous Translation* Liang Huang, Colin Cherry, Mingbo Ma, Naveen Arivazhagan and Zhongjun He
- 01:00–02:00 *The Amazing World of Neural Language Generation* Yangfeng Ji, Antoine Bosselut, Thomas Wolf and Asli Celikyilmaz

Cutting-edge Tutorial: Machine Reasoning: Technology, Dilemma and Future

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1 Introduction

Machine reasoning research aims to build interpretable AI systems that can solve problems or draw conclusions from what they are told (i.e. facts and observations) and already know (i.e. models, common sense and knowledge) under certain constraints. Although its "formal" definitions vary in different publications (McCarthy, 1958; Pearl, 1988; Khardon and Roth, 1994; Bottou, 2011; Bengio, 2019), machine reasoning methods usually share some commonalities. First, such systems are based on different types of knowledge, such as logical rules, knowledge graphs, common sense, text evidence, etc. Second, such systems use different inference algorithms to manipulate available knowledge for problem-solving. Third, such systems have good interpretability to the predictions.

The developments of machine reasoning systems go through several stages. Symbolic reasoning methods represent knowledge using symbolic logic (e.g., propositional logic and first order logic) and perform inference using algorithms such as truthtable approach, inference rules approach, resolution, forward chaining and backward chaining. A major defect is that such methods cannot handle the uncertainty in data. Probabilistic reasoning methods combine probability and symbolic logic into a unified model. Such methods can deal with uncertainty, but suffer the combinatorial explosion when searching in a large discrete symbolic space. With the rapid developments of deep learning, neural reasoning methods attract much attention. Neuralsymbolic reasoning methods represent knowledge symbols (such as entities, relationships, actions, logical functions and formulas) as vector or tensor representations, and allow the model to perform end-to-end learning effectively as all components are differentiable. Neural-evidence reasoning methods allow the model to communicate with

the environment to acquire evidence for reasoning. As such models assume the reasoning layer is not required to be logical, both structured and unstructured data can be used as knowledge. Besides, as the interaction with the environment can be conducted multiple times, such approaches are good at solving sequential decision-making problems.

However, existing machine reasoning methods face with a **dilemma**: although they have many merits such as good abstraction, generalization and interpretability, their performance are still worse than black-box neural networks (such as pretrained models) on most downstream tasks such as question answering, text classification, etc.

In this tutorial, we will review typical machine reasoning frameworks and talk about the dilemma between black-box neural networks with state-ofthe-art performance and machine reasoning methods with better interpretability. We will also discuss possible research directions to escape this dilemma as the future work.

2 Description

We first review four machine reasoning frameworks.

Symbolic Reasoning This approach, also known as the Good, Old-Fashioned AI (GOFAI), was the dominant paradigm in the AI community before the late 1980s. By manipulating knowledge in the form of symbolic logic using inference algorithms, a symbolic reasoning system can solve deductive and inductive reasoning tasks. We will use deductive reasoning as an example to show how this task can be solved based on knowledge in the form of propositional logic and first-order logic, respectively. This part is also closely related to probabilistic reasoning and neural-symbolic reasoning.

Probabilistic Reasoning One drawback of symbolic reasoning is that it cannot handle data un-

certainty. To alleviate this problem, probabilistic reasoning is proposed, which integrates probabilistic models with symbolic knowledge in a unified framework. In such systems, probabilistic models handle the uncertainty issue while the symbolic logic represents types, relations, and the complex dependencies between them. We will use Bayesian Network (Pearl, 1988) and Markov Logic Network (Richardson and Domingos, 2006) as two representative models to show how probabilistic reasoning can solve typical reasoning tasks, such as diagnosis, prediction and maximum probable explanation.

Neural-Symbolic Reasoning Both symbolic reasoning and probabilistic reasoning support strong abstraction and generalization. Such systems have good interpretability but are fragile and inflexible duo to the finite and discrete symbolic representations. On the contrary, neural network models achieve state-of-the-art performance on various AI tasks, due to their good representation and learning capabilities. However, such models cannot capture compositionality and generalization in a systematic way. They cannot provide explicit decision-making evidence to explain their outputs as well, which make such systems look like a black box. So it is straightforward to integrate neural networks with symbolic reasoning, which is called neural-symbolic reasoning in this tutorial. In general, a neural-symbolic reasoning system (1) integrates existing reasoning technologies with symbolic knowledge based on neural networks and (2) implements inference as a chain of differentiable modules, where each module represents a program with a specific function. By doing these, such systems are usually more interpretable than black-box neural networks. We will review knowledge graph reasoning (Bordes et al., 2013; Wang et al., 2014; Lin et al., 2015; Wang et al., 2017; Glorot et al., 2013; Socher et al., 2013; Dong et al., 2014; Liu et al., 2016; Dettmers et al., 2018; Guo et al., 2019; Ren et al., 2020; Xiong et al., 2017; Dong et al., 2019; Rocktäschel and Riedel, 2017; Qu and Tang, 2019; K. Teru et al., 2020), neural semantic parsing (Dong and Lapata, 2016, 2018; Sun et al., 2018; Guo et al., 2018; Mao et al., 2019; Zhong et al., 2020), neural module network (Andreas et al., 2016; Hu et al., 2017; Gupta et al., 2020; Chen et al., 2020) and symbolic knowledge as constraints (Rocktaschel et al., 2015; Hu et al., 2016; Xu et al., 2018; Li and Srikumar, 2019; Wang et al., 2020) as four representative models.

Neural-Evidence Reasoning Previously mentioned three reasoning pipelines have the merits of utilizing abstractive logical or symbolic functions, which are interpretable to developers and users at concept level. The design of such symbolic functions in real applications are typically conducted by domain experts, thus these models cannot be easily extend to broader applications. Here, we review neural-evidence models that find external evidence and combine evidence with the input to make predictions. We group existing methods into three categories, including unstructured textual evidence retrieval models, structured fact evidence retrieval models, and iterative evidence retrieval models. Applications include open question answering (Chen and Yih, 2020), CommonsenseQA (Talmor et al., 2019), fact checking and verification (Thorne et al., 2018), inferential text generation (Rashkin et al., 2018; Sap et al., 2019), and multihop question answering (Yang et al., 2018).

We then talk about the dilemma between blackbox neural networks with state-of-the-art performance and machine reasoning approaches with better interpretability.

Dilemma: Interpretability vs. Performance Despite the appealing properties of the previously mentioned machine reasoning approaches in terms of interpretability, the reality is that the leading systems on open benchmarks, evaluated by accuracy, are typically black-box models. We will discuss this dilemma of "interpretability versus performance" by showing the empirical success of pretrained models on natural language understanding challenges, including Grade 8 New York Regents science exam (Clark et al., 2019), discrete reasoning over natural language (Dua et al., 2019), reasoning over rules in natural language (Clark et al., 2020), and logical reasoning (Yu et al., 2020). Afterwards, we will review model interpretation methods, including post-hoc ones and intrinsic ones. Post-hoc methods aim to interpret what an existing model learned without making changes to the original model. We will cover saliency maps (Simonyan et al., 2013), local interpretable model-agnostic explanations (LIME) (Ribeiro et al., 2016), testing with concept activation vectors (TCAV) (Kim et al., 2018), and visual explanation generation (Hendricks et al., 2016). Intrinsic methods are that inherently interpretable (to some extent). We will cover attention (Bahdanau et al., 2014), interpretable CNN (Zhang et al., 2018), and neural

module network (Andreas et al., 2016).

We last summarize the content of this tutorial and discuss possible future directions.

Summary This tutorial classifies machine reasoning methods into 4 categories based on their modeling mechanisms, including symbolic reasoning, probabilistic reasoning, neural-symbolic reasoning and neural-evidence reasoning. Symbolic reasoning can handle complex reasoning tasks by using logical rules. Probabilistic reasoning further alleviates the data uncertainty issue in symbolic reasoning systems by introducing probabilistic models. Neural-symbolic reasoning provides more robust representation and learning capabilities based on the latest deep learning technologies. Neuralevidence reasoning doesn't require the reasoning layer to be logical, so they can leverage both symbolic and non-symbolic evidence. All these methods have good applications in many real-world scenarios like expert system, medical diagnosis, knowledge base completion, question answering, search engine, fact checking, etc.

Of course, we also notice the dilemma of existing machine reasoning methods. We think this is only a short-term phenomenon. With the continue and rapid developments of different areas at the same time, such as knowledge base engineering, pre-training, interpretability modeling and neural-symbolic computing, we believe machine reasoning will definitely have a brighter future.

3 Outline

Opening (15 min.) will describe the motivation and outline of this tutorial and give our definition on machine reasoning.

Symbolic Reasoning (20 min.) will review typical methods based on propositional logic and first order logic, respectively.

Probabilistic Reasoning (20 min.) will review typical methods based on Bayesian Network and Markov Logic Network, respectively.

Neural-Symbolic Reasoning (40 min.) will review typical methods including knowledge graph reasoning, neural semantic parsing, neural module network and symbolic knowledge as constraints.

Neural-Evidence Reasoning (40 min.) will review text-base evidence retrieval models, fact-based evidence retrieval models, and interactive evidence retrieval models.

Dilemma: Interpretability vs. Performance (30 min.) will review post-hoc models and intrinsic models for interpretation, and discuss the dilemma of "interpretability versus performance".

Summary & Future Discussion (10 min.) will summarize the content of this tutorial and discuss possible future directions.

4 Prerequisites for the Attendees

We expect the attendees to be familiar with typical NLP tasks (such as question answering, semantic parsing, text generation, etc.), basic concepts of logic (such as propositional logic and first order logic) and knowledge graph, recent neural network architectures (such as convolutional neural network, recurrent neural network and Transformer) and pre-trained language models (such as GPT and BERT).

5 Small Reading List

- Domingos and Richardson (2004) an introduction to Markov Logic as a unifying framework for statistical relational learning, which is closely related to probabilistic reasoning;
- Bottou (2011) a nice introduction to machine reasoning;
- Besold et al. (2017) and Garcez et al. (2019) two surveys on neural-symbolic reasoning;
- Storks et al. (2019) a survey on benchmarks, knowledge resources, learning and inference approaches to natural language inference;
- Du et al. (2020) a survey on interpretable machine learning techniques;
- Chen and Yih (2020) a tutorial on opendomain question answering, in which many work can be categorized as neural-evidence reasoning;
- Sap et al. (2020) a tutorial on commonsense reasoning for natural language processing.

6 Tutorial Abstract

Machine reasoning research aims to build interpretable AI systems that can solve problems or draw conclusions from what they are told (i.e. facts and observations) and already know (i.e. models, common sense and knowledge) under certain constraints. In this tutorial, we will (1) describe the motivation of this tutorial and give our definition on machine reasoning; (2) introduce typical machine reasoning frameworks, including symbolic reasoning, probabilistic reasoning, neural-symbolic reasoning and neural-evidence reasoning, and show their successful applications in real-world scenarios; (3) talk about the dilemma between black-box neural networks with state-of-the-art performance and machine reasoning approaches with better interpretability; (4) summarize the content of this tutorial and discuss possible future directions.

7 Presenters

Nan Duan is a Principal Researcher of the Natural Language Computing group at Microsoft Research Asia. His research focuses on question answering, semantic parsing, pre-trained models for learning joint representations of natural language and images/videos/codes/knowledge. His technologies have been widely used in Microsoft products like Bing, Ads, Chatbot, Azure, etc.

Duyu Tang is a Senior Researcher of the Natural Language Computing group at Microsoft Research Asia, working on natural language processing. Duyu's research has been advancing the state of art of robust, interpretable and trustworthy NLP systems, while making direct technical contributions to production. Over the years, Duyu worked on a wide range of NLP problems, from sentiment analysis, question answering, conversational semantic parsing, knowledge-driven machine reasoning, fact checking and fake news detection, to AI for software engineering. He has served as area chair for EMNLP 2020.

Ming Zhou Dr. Ming Zhou is Research Manager of the Natural Language Computing Group at Microsoft Research Asia and leads numerous research projects including next generation search engines, neural machine translation, machine reading comprehension, question-answering, chatbots, computer poetry, knowledge graph and recommendation systems. He has published over 200 papers at top conferences and journals. He has served as area chairs of ACL, EMNLP and many other conferences. He was ACL president in 2019.

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Fact-Checking, Fake News, Propaganda, and Media Bias: Truth Seeking in the Post-Truth Era

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1 Description

The rise of social media has democratized content creation and has made it easy for anybody to share and to spread information online. On the positive side, this has given rise to citizen journalism, thus enabling much faster dissemination of information compared to what was possible with newspapers, radio, and TV. On the negative side, stripping traditional media from their gate-keeping role has left the public unprotected against the spread of disinformation, which could now travel at breakingnews speed over the same democratic channel. This situation gave rise to the proliferation of false information specifically created to affect individual people's beliefs, and ultimately to influence major events such as political elections; it also set the dawn of the Post-Truth Era, where appeal to emotions has become more important than the truth. More recently, with the emergence of the COVID-19 pandemic, a new blending of medical and political misinformation and disinformation has given rise to the first global infodemic. Limiting the impact of these negative developments has become a major focus for journalists, social media companies, and regulatory authorities.

The tutorial offers an overview of the emerging and inter-connected research areas of factchecking, misinformation, disinformation, "fake news", propaganda, and media bias detection, with focus on text and on computational approaches. It further explores the general fact-checking pipeline and important elements thereof such as checkworthiness estimation, spotting previous factchecked claims, stance detection, source reliability estimation, and detecting malicious users in social media. Finally, it covers some recent developments such as the emergence of large-scale pretrained language models, and the challenges and opportunities they offer. Giovanni Da San Martino Department of Mathematics University of Padova dasan@math.unipd.it

2 Outline of the Tutorial

Here is an outline of the tutorial. More information and materials are available online.¹

2.1 Introduction

- (i) What is "fake news"?
 - (a) definitions
 - (b) properties
- (ii) "Fake news" as a weapon of mass deception
 - (a) impact on politics, finances, health
 - (b) can we win the war on "fake news"?

2.2 Check-Worthiness

- (i) Task definition
- (ii) Datasets
- (iii) Approaches
 - (a) ClaimBuster
 - (b) ClaimRank: modeling the context, multi-source learning, multi-linguality
 - (c) CLEF shared tasks

2.3 Detecting Previously Fact-Checked Claims

- (i) Task definition
- (ii) Datasets, e.g., CLEF
- (iii) Approaches

2.4 Fact-checking

- (i) Task definitions
- (ii) Datasets, e.g., Snopes, FEVER, ClamsKG, MultiFC
- (iii) Information sources: knowledge bases, Wikipedia, Web, social media, multimedia, tables

¹http://propaganda.qcri.org/ emnlp20-tutorial

- (iv) Tasks and approaches
 - (a) fact-checking against knowledge bases
 - (b) fact-checking against Wikipedia
 - (c) fact-checking claims using the Web
 - (d) fact-checking rumors in social media
 - (e) fact-checking multi-modal claims, e.g., about images
 - (f) fact-checking the answers in community question answering forums
- (v) Shared tasks at SemEval and FEVER

2.5 Fake News Detection

- (i) Task definitions
- (ii) Datasets, e.g., FakeNewsNet, NELA-GT-2018
- (iii) The language of fake news
- (iv) Tasks and approaches

2.6 Stance Detection

- (i) Task definitions and examples
- (ii) Datasets
- (iii) Stance detection as a key element of factchecking
- (iv) Information sources: text, social context, user profile
- (v) Tasks and approaches
 - (a) neural methods for stance detection
 - (b) cross-language stance detection
- (vi) Shared tasks at SemEval and the Fake News Challenge

2.7 Source Reliability and Media Bias Estimation

- (i) Task definitions and examples
- (ii) Datasets: Media Bias Fact/Check, AllSides, OpenSources, etc.
- (iii) Source reliability as a key element of factchecking
- (iv) Special case: hyper-partisanship
- (v) Information sources: article text, Wikipedia, social media
- (vi) Tasks and approaches
 - (a) neural methods for source reliability estimation
 - (b) multi-modality
 - (c) multi-task learning

2.8 Propaganda Detection

- (i) Task definitions and examples
- (ii) Propaganda techniques and examples
- (iii) Datasets
- (iv) Tasks and approaches

2.9 Malicious User Detection

- (i) Typology of malicious users
- (ii) Datasets
- (iii) Tasks and approaches

2.10 Recent Developments and Future Challenges

- (i) Deep fakes: images, voice, video, text
- (ii) Text generation: GPT-2, GPT-3, GROVER
- (iii) Defending against neural fake news
- (iv) Fighting the COVID-19 Infodemic

3 Reading List

We recommend several surveys. Shu et al. (2017), which adopted a data mining perspective on "fake news" and focused on social media. Another survey (Zubiaga et al., 2018a) focused on rumor detection in social media. The survey by Thorne and Vlachos (2018) took a fact-checking perspective on "fake news" and related problems. The survey by Li et al. (2016) covering truth discovery in general. Lazer et al. (2018) offers a general overview and discussion on the science of "fake news", while Vosoughi et al. (2018) focuses on the process of proliferation of true and false news online. Other recent surveys focus on stance detection (Küçük and Can, 2020), on propaganda (Da San Martino et al., 2020b), on social bots (Ferrara et al., 2016), on false information (Zannettou et al., 2019b) and on bias on the Web (Baeza-Yates, 2018).

See also the list of references at the end.

4 Type of Tutorial

The tutorial is both introductory, covering a number of topics related to fact-checking, propaganda and disinformation, but it is also cutting-edge, covering some latest developments in these areas.

5 Prerequisites

Prior knowledge of natural language processing, machine learning, and deep learning would be needed in order to understand large parts of the contents of this tutorial.

6 Lecturers

6.1 Preslav Nakov

Dr. Preslav Nakov is a Principal Scientist at the Qatar Computing Research Institute (QCRI), HBKU. His research interests include computational linguistics, "fake news" detection, factchecking, machine translation, question answering, sentiment analysis, lexical semantics, Web as a corpus, and biomedical text processing. He received his PhD degree from the University of California at Berkeley, and he was a Research Fellow in the National University of Singapore, a honorary lecturer in the Sofia University, and research staff at the Bulgarian Academy of Sciences.

At QCRI, he leads the Tanbih project,² developed in collaboration with MIT, which aims to limit the effect of "fake news", propaganda and media bias by making users aware of what they are reading. The project was featured by over 100 news outlets, including Forbes, Boston Globe, Aljazeera, MIT Technology Review, Science Daily, Popular Science, Fast Company, The Register, WIRED, and Engadget, among others.

As part of the project, he co-organized several shared tasks on fact-checking and propaganda detection at SemEval and CLEF, as well as a related NLP4IF workshop.

He is President of ACL SIGLEX, a Secretary of ACL SIGSLAV, and a member of the EACL advisory board. He is also member of the editorial board of TACL, CS&L, NLE, AI Communications, and Frontiers in AI, as well as of the Language Science Press Book Series on Phraseology and Multiword Expressions. He co-authored a Morgan & Claypool book on Semantic Relations between Nominals, two books on computer algorithms, and many research papers in top-tier conferences and journals. He received the Young Researcher Award at RANLP'2011, and he was the first to receive the Bulgarian President's John Atanasoff award, named after the inventor of the first automatic electronic digital computer.

6.2 Giovanni Da San Martino

Giovanni Da San Martino is a Senior Assistant Professor at the University of Padova, Italy. His research interests are at the intersection of machine learning and natural language processing. He has been researching for 10+ years on these topics, publishing more than 60 publications in top-tier conferences and journals. He received his PhD from the University of Bologna, he was a Research Fellow at the University of Padova and a Scientist at Qatar Computing Research Institute. He has worked on several NLP tasks including paraphrase recognition, stance detection and community question answering. Currently, he is actively involved in researching on disinformation and propaganda detection. As part of this research he has been co-organiser of the Checkthat! labs at CLEF 2018-2020, the NLP4IF 2019-2020 workshops on "censorship, disinformation, and propaganda", the 2019 Hack the News Datathon and the SemEval-2020 task 11 on "Detection of propaganda techniques in news articles."

²Tanbih project: http://tanbih.qcri.org

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Interpreting Predictions of NLP Models

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Abstract

Although neural NLP models are highly expressive and empirically successful, they also systematically fail in counterintuitive ways and are opaque in their decision-making process. This tutorial will provide a background on interpretation techniques, i.e., methods for explaining the predictions of NLP models. We will first situate example-specific interpretations in the context of other ways to understand models (e.g., probing, dataset analyses). Next, we will present a thorough study of example-specific interpretations, including saliency maps, input perturbations (e.g., LIME, input reduction), adversarial attacks, and influence functions. Alongside these descriptions, we will walk through source code that creates and visualizes interpretations for a diverse set of NLP tasks. Finally, we will discuss open problems in the field, e.g., evaluating, extending, and improving interpretation methods. The tutorial slides and the accompanying code is available online at https: //www.ericswallace.com/interpretability.

1 Tutorial Description

Neural models have become the de-facto standard tool for NLP tasks. These models are becoming increasingly powerful—recent work shows that large neural models substantially improve accuracy on a wide range of downstream tasks (Devlin et al., 2019; Brown et al., 2020). However, today's models still make egregious errors: they reinforce racial biases (Sap et al., 2019), fail in counterintuitive ways (Jia and Liang, 2017; Feng et al., 2018), and often solve tasks using simple surface-level patterns (Gururangan et al., 2018; Min et al., 2019).

These model insufficiencies are exacerbated by the inability to understand *why* models made the predictions they do. Interpretation methods seek to fill this void. In particular, *example-specific* interpretations provide post-hoc explanations for individual model predictions. These explanations come in various forms, e.g., attributing the importance of the input features through saliency maps (Smilkov et al., 2017), perturbing the inputs and observing the model's response (Feng et al., 2018; Ribeiro et al., 2018b), or locating a model's local decision boundary (Ribeiro et al., 2016).

This tutorial will provide an introduction to the various types of example-specific interpretations. We will present the technical details of existing methods, including saliency maps, adversarial attacks, input perturbations, influence functions, and other methods. We will cover how these interpretations are applied to various tasks and input-output formats, e.g., text classification using LSTMs, masked language modeling using BERT (Devlin et al., 2019), and text generation using GPT-2 (Radford et al., 2019).

For each task, we will walk through example use cases of interpretations: highlighting model weaknesses (Jia and Liang, 2017), increasing/decreasing user trust (Feng et al., 2018), and understanding hard-to-formalize criteria such as bias, safety, and fairness (Doshi-Velez and Kim, 2017). Alongside the tutorial, we will present source code implementations of various interpretation methods using AllenNLP Interpret (Wallace et al., 2019b).

2 Details and Prerequisites

The tutorial will be of the *cutting-edge* type. The tutorial slides and the accompanying code is available online at https://www.ericswallace. com/interpretability.

Prerequisites Attendees should have a basic understanding of different tasks in NLP such as text classification, sequence tagging, and reading comprehension (predicting spans in a passage).

Attendees should also have a basic understanding of neural network methods for NLP, including:

- How backpropagation can compute gradients with respect to the parameters.
- How tokens/words are represented (i.e., word and sub-word embeddings).
- High-level ideas behind different model architectures (e.g., RNNs, Transformers).
- Optional knowledge of contextualized embedding models such as ELMo (Peters et al., 2018) and BERT (Devlin et al., 2019).

Finally, a portion of the tutorial will walk through Python code samples in PyTorch and AllenNLP (Gardner et al., 2018b). Participants do not need to understand this code to follow the main tutorial material.

Reading List Doshi-Velez and Kim (2017) provide a great overview and motivation for interpretability research. Lipton (2018) and Jain and Wallace (2019) discuss some of the challenges of defining and evaluating interpretability. Jia and Liang (2017) help demonstrate the fragility of NLP models. LIME (Ribeiro et al., 2016) and saliency maps (Simonyan et al., 2014) are now standard interpretations. Wallace et al. (2019b) provides example NLP interpretations (interested readers can inspect their code).

3 Tutorial Outline

The tutorial will present three hours of content with a thirty-minute break.

Motivation This section will discuss why we care about interpretability. It will paint a landscape of today's neural models, describe how models are brittle and behave counterintuitively, and explain how interpretations can open the "black box" of machine learning.

Introduction to Interpretations This section will situate *example-specific* interpretations in the context of other methods. We will discuss:

- Dataset analyses, e.g., error analysis, Errudite (Wu et al., 2019), diagnostic "challenge" test sets (Naik et al., 2018; Gardner et al., 2020)
- "Probing", i.e., inspecting a model's embeddings for certain properties (Liu et al., 2019; Tenney et al., 2019).
- Rationale-based explanations, i.e., a model generates text for why it made its prediction.
- Example-specific interpretations (our tutorial's focus), e.g., saliency maps (Simonyan et al., 2014), LIME (Ribeiro et al., 2016), adversar-

ial attacks (Szegedy et al., 2014), and input perturbations (Feng et al., 2018).

Example-specific Interpretations This section will introduce example-specific interpretations in more detail. We will discuss the challenges and approaches to evaluating such interpretations. We will also cover the critiques and shortcomings of using attention as explanations (Jain and Wallace, 2019; Serrano and Smith, 2019). We will then explain why we focus on *gradient-based methods*: they are model-agnostic, easy to compute, and (largely) faithful to a model's behavior.

Understanding What Parts of An *Input* Led to a **Prediction** This section will discuss:

- *Saliency maps*, i.e., generating visualizations of "salient" input tokens. We will discuss how to generate saliency maps using gradient-based techniques (Simonyan et al., 2014; Sundararajan et al., 2017; Smilkov et al., 2017)) and black-box techniques (Ribeiro et al., 2016).
- *Input Perturbations*, i.e., showing how changes to the input do (or do not) change the prediction. For example, leave-one-out (Li et al., 2016) and input reduction (Feng et al., 2018). We will also cover *adversarial* perturbations such as token flipping (Ebrahimi et al., 2018) and adding distractor sentences (Jia and Liang, 2017).

Break

Understanding How *Global* **Decision Rules Led to a Prediction** This section will discuss how certain global "decision rules" can explain model predictions. We will cover Anchors (Ribeiro et al., 2018a) and Universal Adversarial Triggers (Wallace et al., 2019a). We will also discuss how spurious patterns in datasets, e.g., lexical overlap in textual entailment (McCoy et al., 2019), can cause models to learn certain undesirable decision rules.

Understanding Which *Training Examples* **Caused a Prediction** This section will discuss how to trace model predictions back to the training data, i.e., identifying "influential" training points. We will cover influence functions (Koh and Liang, 2017) and representor points (Yeh et al., 2018).

Coding Interpretations This section will walk through source code for selected interpretation methods. Using AllenNLP Interpret (Wallace et al., 2019b), we will cover example use cases such as interpreting LSTM-based sentiment analysis models and BERT-based masked language models.

Open Problems We will conclude with a discussion of areas for future research:

- *Evaluation:* There is fundamentally no ground-truth to use for evaluating interpretations; how do we define evaluation?
- *Robustness & Faithfulness:* Interpretations may be unfaithful to the underlying model and can be adversarially manipulated. What are the implications of this, and how can we improve existing interpretation methods?
- Interpretation Beyond Classification: Most interpretations focus on classification models; how are interpretations best applied to the complex input-output formats seen in NLP tasks (e.g., machine translation)?
- *Closing the loop with Humans:* Humans are the end-users of interpretations; how can we make interpretations interactive, collaborative, customizable, and ultimately more effective?
- *Pretrained Transformer Models:* How do our methods, and the field of interpretability, change with the rise of massively-pretrained models?

4 Instructors

Eric Wallace is a PhD student at the University of California, Berkeley. His research focuses on the interpretability and robustness of machine learning models for NLP. He is the lead developer of the AllenNLP Interpret toolkit and has published numerous papers on interpreting neural NLP models. Website: http://ericswallace.com

Matt Gardner is a senior research scientist at the Allen Institute for Artificial Intelligence (AI2). His research focuses on question answering, semantic parsing, and model analysis. Matt received his PhD from the Language Technologies Institute at Carnegie Mellon University. He is the lead designer of the AllenNLP toolkit and a host of the NLP Highlights podcast.

Matt was an instructor at the Neural Semantic Parsing Tutorial (Gardner et al., 2018a) at ACL 2018, and the Writing Code for NLP Research Tutorial (Gardner et al., 2018c) at EMNLP 2018. Website: https://matt-gardner.github.io/

Sameer Singh is an Assistant Professor of Computer Science at the University of California, Irvine. He is working on large-scale and interpretable machine learning models for NLP. Before UCI, Sameer was a Postdoctoral Research Associate at the University of Washington, and he received his PhD from the University of Massachusetts, Amherst in 2014.

Sameer presented the Deep Adversarial Learning Tutorial (Wang et al., 2019) at NAACL 2019 and the Mining Knowledge Graphs from Text Tutorial at WSDM 2018 and AAAI 2017. Sameer has also received teaching awards at UCI. Website: http: //sameersingh.org/

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High Performance Natural Language Processing

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Abstract

Scale has played a central role in the rapid progress natural language processing has enjoyed in recent years. While benchmarks are dominated by ever larger models, efficient hardware use is critical for their widespread adoption and further progress in the field. In this cutting-edge tutorial, we will recapitulate the state-of-the-art in natural language processing with scale in perspective. After establishing these foundations, we will cover a wide range of techniques for improving efficiency, including knowledge distillation, quantization, pruning, more efficient architectures, along with case studies and practical implementation tricks.

1 Tutorial Proposal

Recent advances in natural language processing (Radford et al. (2018); Devlin et al. (2018); Liu et al. (2019); Brown et al. (2020), among many others) have substantially improved model capabilities. Notably, pre-trained checkpoints can be fine-tuned without substantial task specific modifications to create powerful models for a wide range of tasks (Wang et al., 2018, 2019). For many applications, production systems with models up to date with the state-of-the-art are meeting high quality bars for adoption across a wide variety of language tasks.

However, the ever larger computational requirements of such cutting-edge models—which quickly approximates the scale of a trillion parameters (Lepikhin et al., 2020)—imposes challenges to their widespread adoption and further progress in the field. This has driven increasing attention to methods that allow more efficient use of hardware, through techniques such as knowledge distillation (Hinton et al., 2015; Turc et al., 2019), quantization (Shen et al., 2020; Zafrir et al., 2019), pruning (Sanh et al., 2020), and architectural changes (Kitaev et al. (2020); Wang et al. (2020b); Katharopoulos et al. (2020); Zaheer et al. (2020), among others). Altogether, these techniques are promising avenues for more efficient natural language processing.

This tutorial starts with an introduction covering recent trends in NLP with scale in perspective, and covers foundational knowledge such as the transformer architecture (Vaswani et al., 2017) and the fine-tuning paradigm. We then move to core techniques for improving efficiency, including knowledge distillation, quantization and pruning, later covering recent work on architectural improvements, focusing on the move towards self-attention with linear complexity. Then, we dive into case studies by examining specific models such as Iandola et al. (2020) and Sun et al. (2020). Finally, we end with practical implementation considerations including model and data parallelism, gradient accumulation and floating point precision, ending the tutorial with closing notes and a questions and answers section. We outline the structure of this tutorial in Table 1.

1.1 Type of the tutorial

Cutting edge.

1.2 Reading list

Fundamentals: Bahdanau et al. (2014); Vaswani et al. (2017); Devlin et al. (2018); Brown et al. (2020); Lepikhin et al. (2020); Nakkiran et al. (2019).

Core techniques: Hinton et al. (2015); Turc et al. (2019); Jiao et al. (2019); Shen et al. (2020); Zafrir et al. (2019); Frankle and Carbin (2018); Brix et al. (2020); Sanh et al. (2020).

Efficient attention: Beltagy et al. (2020); Kitaev et al. (2020); Wang et al. (2020b); Stickland

Section	Subsection	Duration
Introduction	Overview of the field with scale into perspective	10 min
Fundamentals	Self-attention and the transformer architecture	25 min
	Knowledge distillation	15 min
Core techniques	Quantization	15 min
	Pruning	15 min
Efficient attention	Towards linear complexity in attention	30 min
Case studies	Efficient language models	20 min
Case studies	Retrieval	10 min
Scaling in practice	Practical considerations for scaling NLP models	35 min
Final considerations	Closing notes, Q&A	5 min
Total	-	180 min

Table 1: Structure of the tutorial with duration of each section.

and Murray (2019); Correia et al. (2019); Vyas et al. (2020); Katharopoulos et al. (2020); Zaheer et al. (2020).

Case studies: Botha et al. (2017); So et al. (2019); Sun et al. (2020); Yan et al. (2020); Wang et al. (2020a); Iandola et al. (2020); Mehta et al. (2020); Reimers and Gurevych (2019); Khandelwal et al. (2019); Guu et al. (2020).

Scaling in practice : Micikevicius et al. (2017); Krizhevsky (2014); Sohoni et al. (2019); Kaplan et al. (2020); Lepikhin et al. (2020)

1.3 Authors

Gabriel Ilharco is a PhD candidate at the University of Washington, where he is advised by Ali Farhadi and Hannaneh Hajishirzi. Previously, he worked at Google Research. His research interests lie at the intersection of Natural Language Processing and Computer Vision. His previous experience in teaching includes the tutorial *Deep Learning for Natural Language Processing with Tensorflow*, at KDD 2019. http://gabrielilharco.com/

Cesar Ilharco is a Research Engineer at Google, developing ML models for News Intelligence & Realtime Event Understanding, where performance is important for efficient serving at large scale. He was a guest lecturer and industry partner at Harvard University (ML for knowledge reconciliation), and co-organized the tutorials *Deep Learning for Natural Language Processing with Tensorflow* (KDD 2019) and *Neural Structured Learning: Training neural networks with structured signals* (KDD 2020). Iulia Turc is a Software Engineer at Google Research, currently working on transfer learning. Her past experience at Google includes federated learning and applied machine learning for various products. Previously, Iulia completed her master's degree at the University of Oxford where she focused on machine translation. http://www.iuliaturc.com.

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Kenton Lee is a Research Scientist at Google. His research spans several areas in NLP, including structured prediction, question answering, and transfer learning. Before joining Google Research, Kenton completed a PhD at the University of Washington while working with Luke Zettlemoyer. https://kentonl.com.

1.4 Prerequisites

- Math: Basic understanding of probability theory and linear algebra;
- Machine Learning: Basic familiarity with embeddings and sequence-to-sequence models. Familiarity with self-attention, transformers, and large-scale pretraining is desirable;

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Representation, Learning and Reasoning on Spatial Language for Downstream NLP Tasks

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1 Description

This tutorial provides an overview over the cutting edge research on spatial language understanding. However, we cover some background material from various perspectives given that ACL community has not paid enough attention, in the last two decades, to this topic. There are a few emerging research work very recently looking back into the importance of spatial language in various NLP tasks. One of the essential functions of natural language is to express spatial relationships between objects. Linguistic constructs can encode highly complex, relational structures of objects, spatial relations between them, and patterns of motion through space relative to some reference point. Spatial language understanding is useful in many research areas and real-world applications. This topic recently has attracted the attention of various sub-communities in the intersection of Natural Language, Computer Vision and Robotics. The complexity of spatial language understanding and its importance in downstream tasks that involve grounding the language in the physical world has become to some extent evident to the NLP research community. Compared to other semantically specialized linguistic tasks, standardizing tasks related to spatial language seems to be more challenging as it is harder to obtain an agreeable set of concepts and relationships together with a formal spatial meaning representation that is domain independent (Pustejovsky et al., 2011; Kordjamshidi et al., 2010; Mani, 2009; Pustejovsky, 2017; Dan et al., 2020). For example, compare this with recent work on temporal relations within Computational Linguistics. This has made research results on spatial language learning and reasoning diverse, task-specific and, to some extent, not comparable. While formal meaning representation is a general issue for language understanding, formalizing spatial concepts and building formal reasoning

and machine learning models based on those constitute challenging research problems with a wealth of prior foundational work that can be exploited and linked to language understanding.

In this tutorial, we overview four themes: 1) Spatial Semantic Representation; 2) Spatial Information Extraction and; 3) Spatial qualitative representation and reasoning 4) Downstream applications of spatial semantic extraction and spatial reasoning including language grounding, robotics, navigation, dialogue systems and tasks that require combining vision and language.

The semantic representation section covers the works that have attempted to arrive at a common set of basic concepts and relationships (Bateman, 2010; Hois and Kutz, 2011), as well as making existing corpora interoperable (Pustejovsky et al., 2011; Mani and Pustejovsky, 2012; Kordjamshidi et al., 2017; Kordjamshidi, 2013). We discuss the existing qualitative and quantitative representation and reasoning models that can be used for investigation of interoperabiltiy of machine learning and reasoning over spatial semantics (Cohn et al., 1997). Spatial language meaning representation includes research related to cognitive and linguistically motivated spatial semantic representations, spatial knowledge representation and spatial ontologies, qualitative and quantitative representation models used for formal meaning representation, and various spatial annotation schema and efforts for creating specialized corpora. We discuss various datasets that either focus on spatial annotations or downstream tasks that need spatial language learning and reasoning. Particularly, natural language visual reasoning data (Suhr et al., 2017, 2018). Moreover, continuous meaning representations for spatial concepts is another aspect to be highlighted in the tutorial, e.g., (Collell Talleda and Moens, 2018; Collell Talleda et al., 2018; Deruyt-

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tere et al.).

We overview the state-of-the-art for extraction of spatial information from language, both the abstract semantic extraction (Kordjamshidi et al., 2011; Kordjamshidi and Moens, 2015) and extraction that is driven by various target tasks and applications. We discuss machine learning models including structured output prediction models, deep learning architectures and probabilistic graphical models that have been used in the related work.

Finally, we overview the usage of spatial semantics by various downstream tasks and killer applications including language grounding, navigation, self-driving cars, robotics (Tellex et al., 2011; Kollar et al., 2010), dialogue systems (Kelleher and Kruijff, 2006) and human machine interaction, and geographical information systems and knowledge graphs (Stock et al., 2013; Mai et al., 2020). Spatial semantics is very closely connected and relevant to visualization of natural language and grounding language into perception, central to dealing with configurations in the physical world and motivating a combination of vision and language for richer spatial understanding. The related tasks include: text-to-scene conversion; image captioning; spatial and visual question answering; and spatial understanding in multimodal settings (Rahgooy et al., 2018) for robotics and navigation tasks and language grounding (Thomason et al., 2018).

The current research using end-to-end monolithic deep models fail to solve complex tasks that need deep language understanding and reasoning capabilities (Hudson and Manning, 2019). Throughout this proposal, we will highlight the importance of combining learning and reasoning for spatial language understanding and its influence on the semantic representation and type of the learning models as well as the performance on various applications. Regarding the question of reasoning, we (a) point out the role of qualitative and quantitative formal representations in helping spatial reasoning based on natural language and the possibility of learning such representations from data to support compositionality and inference (Hudson and Manning, 2018; Hu et al., 2017); and (b) examine how continuous representations contribute to supporting reasoning and alternative hypothesis formation in learning (Krishnaswamy et al., 2019). We point to the cutting edge research that shows the influence of explicit representation of spatial entities and concepts (Hu et al., 2019; Liu et al., 2019). The main goal of this tutorial is to combine these current related efforts from different communities and application domains into one unified treatment, to identify the challenges, problems and future directions for spatial language understanding.

2 Outline

The tutorial will cover the following syllabus:

- Spatial Representations
 - Linguistic corpora and semantic annotations
 - Spatial knowledge representation and spatial calculi models
 - Distributed representations
- Spatial Information Extraction
 - Spatial entity and relation extraction
 - Spatial ontology population
 - Considering domain knowledge and pragmatics in spatial extractions
- Spatial Semantic Grounding
 - Combining vision and language (symbolic and multimodal embeddings)
 - Capturing spatial common sense
 - Grounding language in 2D and 3D physical worlds
 - Generating referring expressions
- Spatial Reasoning
 - Overview on natural language and visual reasoning tasks and data
 - Modeling compositionality and spatial reasoning in (Deep) learning models
- Downstream tasks
 - Spatial concepts in dialogue systems
 - Spatial reasoning for QA and VQA
 - HRI, navigation and way-finding instructions
 - Corpus-based GIS systems

3 Prerequisites and reading list

Familiarity with machine learning and natural language processing will be helpful for this tutorial. Our selected reading list is as follows.

- Qualitative spatial representation and reasoning. Anthony G. Cohn, and Jochen Renz. Foundations of Artificial Intelligence 3 (2008): 551-596. http://dai.fmph.uniba.sk/ ~sefranek/kri/handbook/chapter13.pdf
- A linguistic ontology of space for natural language processing. John A. Bateman, Joana Hois, Robert Ross, and Thora Tenbrink. Artificial Intelligence 174, no. 14 (2010): 1027-1071. https://core.ac.uk/ download/pdf/82158176.pdf
- Spatial Role Labeling: Task Definition and Annotation Scheme. Parisa Kordjamshidi, Marie-Francine Moens, Martijn van Otterlo, (2010). Proceedings of the Seventh conference on International Language Resources and Evaluation (LREC'10).
- The qualitative spatial dynamics of motion in language. James Pustejovsky, and Jessica L. Moszkowicz. Spatial Cognition Computation 11, no. 1 (2011): 15-44. http://www.cs-135.org/wp-content/ uploads/2017/12/SCC-2011.pdf
- Interpreting Motion: Grounded Representations for Spatial Language. Inderjeet Mani and James Pustejovsky (2012), Explorations in language and space. Oxford University Press.
- Changing perspective: Local alignment of reference frames in dialogue, Simon Dobnik, Christine Howes, JD Kelleher, Proceedings of SEMDIAL (goDIAL), 24-32, 2015.
- Global machine learning for spatial ontology population. Parisa Kordjamshidi, Marie-Francine Moens, (2015). Journal of Web Semantics, 30, 3-21.
- VoxML: A Visualization Modeling Language. James Pustejovsky, and Nikhil Krishnaswamy. In Proceedings of the Tenth International Conference on Language Resources and Evaluation (LREC'16), pp. 4606-4613. 2016. https://arxiv.org/pdf/1610.01508.pdf
- Do you see what I see? effects of pov on spatial relation specifications. Nikhil Krishnaswamy, and James Pustejovsky. In Proc. 30th International Workshop on Qualitative Reasoning. 2017.

http://qrg.northwestern.edu/qr2017/
papers/QR2017_paper_4.pdf

- ISO-Space: Annotating static and dynamic spatial information. James Pustejovsky (2017). In Handbook of Linguistic Annotation, pages 989–1024. Springer.
- Spatial role labeling annotation scheme. Parisa Kordjamshidi, Martijn van Otterlo, Marie-Francine Moens, (2017). In: Pustejovsky J., Ide N. (Eds.), Handbook of Linguistic Annotation Springer Verlag.
- Source-target inference models for spatial instruction understanding. Hao Tan and Mohit Bansal (2018). In Proceedings of the Thirty-Second AAAI Conference on Artificial Intelligence (AAAI-18) (5504-5511). https: //arxiv.org/abs/1707.03804
- Acquiring common sense spatial knowledge through implicit spatial templates. Guillem Collell, Luc Van Gool and Marie-Francine Moens (2018). In Proceedings of the Thirty-Second AAAI Conference on Artificial Intelligence (AAAI 2018) (pp. 6765-6772). AAAI. https://arxiv.org/abs/1711.06821
- Generating a Novel Dataset of Multimodal Referring Expressions. Nikhil Krishnaswamy, and James Pustejovsky. In Proceedings of the 13th International Conference on Computational Semantics, pp. 44-51. 2019. https://www.aclweb.org/ anthology/W19-0507.pdf

4 Instructors

• Parisa Kordjamshidi is Assistant Professor of Computer Science Department at Michigan State University. Her research interests are in NLP and Machine learning. She has been working on spatial semantics extraction and annotation schemes, mapping language to formal spatial representations, spatial ontologies, structured output prediction models for information extraction, combining vision and language for spatial language understanding. She has been organizing/co-organizing shared tasks on Spatial role labeling, SpRL-2012, SpRL-2013 and the Space Evaluation workshop, SpaceEval-2015, in SemEval Series and Multimodal spatial role labeling workshop mSpRL at CLEF-2017 with the goal of considering vision and language media for spatial information extraction and organized SpLU-2018 and Robonlp-SpLU collocated with NAACL-18 and NAACL-2019 respectively.

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• James Pustejovsky is the TJX Feldberg Chair in Computer Science at Brandeis University, where he is also Chair of the Linguistics Program, Chair of the Computational Linguistics MA Program, and Director of the Lab for Linguistics and Computation. He received his B.S. from MIT and his Ph.D. from UMASS at Amherst. He has worked on computational and lexical semantics for 25 years and is chief developer of Generative Lexicon Theory. Since 2002, he has been working on the development of a platform for temporal reasoning in language, called TARSOI (www.tarsqi.org). Pustejovsky is chief architect of TimeML and ISO-TimeML, a recently adopted ISO standard for temporal information in language, as well as the recently adopted standard, ISO-Space, a specification for spatial information in language. He has developed a modeling framework for representing linguistic expressions and interactions as multimodal simulations. This platform, VoxML, enables real-time communication between humans and computers or robots for joint tasks, utilizing speech, gesture, gaze, and action. He is currently working with robotics researchers in HRI to allow the VoxML platform to act as both a dialogue management system as well as a simulation environment that reveals realtime epistemic state and perceptual input to a computational agent. His areas of interest include: Computational semantics, temporal and spatial reasoning, language annotation for machine.

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• Marie-Francine Moens is Full Professor at the Department of Computer Science, KU Leuven. She has a special interest in machine learning for natural language understanding and in grounding language in a visual context. She is holder of the prestiguous ERC Advanced Grant CALCULUS (2018-2023) granted by the European Research Council on the topic of language understanding. She is currently associate editor of the journal IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI). In 2011 and 2012 she was appointed as chair of the European Chapter of the Association for Computational Linguistics (EACL) and was a member of the executive board of the Association for Computational Linguistics (ACL). From 2014 till 2018 she was the scientific manager of the EU COST action iVL Net (The European Network on Integrating Vision and Language).

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- Yunchao Liu, Jiajun Wu, Zheng Wu, Daniel Ritchie, William T. Freeman, and Joshua B. Tenenbaum. 2019. Learning to describe scenes with programs. In *International Conference on Learning Representations*.
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- James Pustejovsky, J. Moszkowicz, and M. Verhagen. 2011. ISO-Space: The annotation of spatial information in language. In ACL-ISO International Workshop on Semantic Annotation (ISA'6).
- Taher Rahgooy, Umar Manzoor, and Parisa Kordjamshidi. 2018. Visually guided spatial relation extraction from text. In *Proceedings of The 16th*

Annual Conference of the North American Chapter of the Association for Computational Linguistics, NAACL HLT 2018.

- Kristin Stock, Robert C. Pasley, Zoe Gardner, Paul Brindley, Jeremy Morley, and Claudia Cialone. 2013. Creating a corpus of geospatial natural language. In *Spatial Information Theory*, pages 279– 298, Cham. Springer International Publishing.
- Alane Suhr, Mike Lewis, James Yeh, and Yoav Artzi. 2017. A corpus of natural language for visual reasoning. In ACL.
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Simultaneous Translation

Liang Huang ^{1,2} Colin Cherry ³ Mingbo Ma ² Naveen Arizhabagan ³ Zhongjun He ⁴ ¹Oregon State University ²Baidu Research USA ³Google, Inc. ⁴Baidu, Inc.

1 Brief Description

Simultaneous translation, which performs translation concurrently with the source speech, is widely useful in many scenarios such as international conferences, negotiations, press releases, legal proceedings, and medicine. This problem has long been considered one of the hardest problems in AI and one of its holy grails. Recently, with rapid improvements in machine translation, speech recognition, and speech synthesis, there has been exciting progress towards simultaneous translation. This tutorial will focus on the design and evaluation of policies for simultaneous translation, to leave attendees with a deep technical understanding of the history, the recent advances, and remaining challenges in this field.

2 Type of the Tutorial

This is a **cutting-edge** proposal, and the **first tutorial** on this topic (simultaneous translation) in the history of ACL, EMNLP, NAACL, EACL, COL-ING, and AACL.

3 Outline

- Background: Simultaneous Interpretation (15 min.)
- Overview of Challenges and Existing Approaches to Simultaneous Translation (25 min.)
 - tradeoff between quality and latency
 - drastic word orders difference
 - robustness, such as error propagation
- Prefix-to-Prefix Framework and Fixed-Latency Policies (15 min.)
- Latency Metrics (20 min.)
 - Average Proportion (AP)

- Consecutive Wait (CW)
- Average Lagging (AL)
- Differentiable Average Lagging (DAL)
- Ear-to-Voice Span (EVS)
- Dynamic Policies, Part I (15 min.)
 - Adaptive policy with manually designed criteria
 - Reinforcement Learning-based methods
 - Supervised policy-learning framework
- (Coffee Break)
- Dynamic Policies, Part II: Recent Advances (30 min.)
 - Monotonic Infinite Lookback attention
 - Context-Aware translation
- Dataset for Training and Evaluating Simultaneous Translation (20 min.)
 - Rewriting (paraphrasing) references of parallel text
 - Simultaneous Translation datasets:
 - * UN corpus
 - * EPIC corpus
 - * NAIST dataset
 - * BSTC dataset
- Towards Simultaneous Speech-to-Speech Translation (20 min.)
- Practical System and Products (20 min.)
 - Practical Issues (segmentation, punctuation, error tolerance)
 - speech-to-text and speech-to-speech systems
 - computer aided interpretation (CAI)

4 Breadth

We envision a tutorial that emphasizes interdisciplinary breadth at the beginning and end (roughly one half of the tutorial in total). The beginning section on Human Interpretation will allow us to discuss the strategies and behaviours that enable humans to perform this challenging task, touching on observations from Translation Studies. Meanwhile, the end sections on Practical Issues and Moving Toward Speech to Speech Translation will allow us to discuss issues in incremental Speech Recognition and Text-to-Speech that are otherwise under-represented at a typical *ACL conference.

At most 33% are work by the presenters, and at least 77% are work by other researchers.

5 Diversity

Simultaneous translation techniques can greatly improve the efficiency of human communication across linguistic barriers. With this technology, you will be able to understand any foreign language by pulling out your smart phone to listen to the machine-generated simultaneous translation in your own language, with only less than 3 seconds delay. If you travel to a remote country, you will also be able to "talk" to the locals with this technology using your smart phone and headsets.

Both Mingbo Ma and Naveen Arivazhagan are junior instructors. Colin Cherry works at Google in Montreal, Liang Huang works Oregon State University in Corvallis, and Zhongjun He works at Baidu in Beijing.

6 Prerequisites

- Machine Learning: understand the basics of the sequence-to-sequence framework.
- Linguistics: understand basic syntactic structures and appreciate the vast amount of diversity of syntactic structures (esp. word order) among human languages

7 Small Reading List

Only the last two (33%) were co-authored by the presenters.

• Alvin Grissom II, He He, Jordan Boyd-Graber, John Morgan, and Hal Daumé III, Don't Until the Final Verb Wait: Reinforcement Learning for Simultaneous Machine Translation, EMNLP 2014. When source and target language have drastically word orders difference, e.g., from verb-final languages (German) to verbmedial languages (English), the final inal verb is predicted in advance on source side to avoid long latency.

• He He, Alvin Grissom II, Jordan Boyd-Graber and Hal Daumé III, Syntax-based Rewriting for Simultaneous Machine Translation, EMNLP 2015.

A sentence rewriting method is proposed to generates more monotonic translations to improve the speed-accuracy tradeof. Several grammaticality and meaning-preserving syntactic transformation rules are applied to paraphrase reference translations to make their word order closer to the source language word order.

• Kyunghyun Cho and Masha Esipova, Can neural machine translation do simultaneous translation?, arXiv:1606.02012, 2016.

Several waiting criteria are manually designed to serve as translation polices to decide wait or read.

• Jiatao Gu, Graham Neubig, Kyunghyun Cho and Victor O.K. Li, Learning to Translate in Real-time with Neural Machine Translation, EACL 2017.

The authors proposed a NMT framework for simultaneous translation with a agent which learn to make decisions on when to translate or wait by interacting with a pretrained NMT environment.

 Mingbo Ma, Liang Huang, Hao Xiong, Renjie Zheng, Kaibo Liu, Baigong Zheng, Chuanqiang Zhang, Zhongjun He, Hairong Liu, Xing Li, Hua Wu and Haifeng Wang, STACL: Simultaneous Translation with Implicit Anticipation and Controllable Latency using Prefix-to-Prefix Framework, ACL 2019.

Prefix-to-prefix framework is proposed for simultaneous translation which implicitly learns to anticipate in a single translation model. Within this framework, "wait-k" policy is trained to generate the target sentence simultaneously with the source sentence with k word delay. Naveen Arivazhagan, Colin Cherry, Wolfgang Macherey, Chung-Cheng Chiu, Semih Yavuz, Ruoming Pang Wei Li and Colin Raffel, Monotonic Infinite Lookback Attention for Simultaneous Machine Translation, ACL 2019.

A Monotonic Infinite Lookback (MILk) technique is proposed to maintain both a hard, monotonic attention head to schedule the reading of the source sentence, and a soft attention head to extend from the monotonic head back to the beginning of the source. MILk is trained to learn a adaptive schedule by balancing the latency-quality trade-offs.

8 Presenters

- Liang Huang is an Assistant Professor at Oregon State University and a Distinguished Scientist of Baidu Research USA. He received a Best Paper Award at ACL 2008 and a Best Paper Honorable Mention at EMNLP 2016. He is an award-winning teacher and has given four (4) popular tutorials in COL-ING 2008, NAACL 2009, ACL 2014, and ACL 2015. He gave an invited talk at ACL 2019 on simultaneous translation.
- Colin Cherry is a research scientist at Google. He currently serves as secretary of NAACL and an action editor of TACL. He received Best Paper Award at NAACL 2009. He co-organized two workshops on deep learning for low-resource languages: DeepLo 2018 (at ACL 2018) and DeepLo 2019 (at EMNLP 2019). He also served as research program co-chair for AMTA 2018.
- Mingbo Ma is a Senior Research Scientist at Baidu Research USA. He received his Ph.D. from Oregon State University. He is a leading expert in simultaneous translation, and has published 4 papers on this topic.
- Naveen Arizhabagan is a Software Engineer at Google. He received BS from UIUC and MS from Stanford. He works on simultaneous translation, speech translation, zero-shot translation, and multilingual translation.
- **Zhongjun He** is a Distinguished Architect of Baidu Inc. He leads Baidu machine translation team and has released several versions

of Baidu's simultaneous translation system since 2017. He organized the first simultaneous translation evaluation campaign in China in 2019 and released the Baidu Speech Translation Corpus.

9 Estimated Audience Size

150-200.

10 Special Technical Requirements

Internet access

11 Venue Preference

- First Choice: ACL
- Second Choice: EMNLP

12 Open Access

All materials (slides, videos, etc.) will be openly available online.

The Amazing World of Neural Language Generation

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Abstract

Neural Language Generation (NLG) - using neural network models to generate coherent text - is among the most promising methods for automated text creation. Recent years have seen a paradigm shift in neural text generation, caused by the advances in deep contextual language modeling (e.g., LSTMs, GPT, GPT2) and transfer learning (e.g., ELMo, BERT). While these tools have dramatically improved the state of NLG, particularly for low resources tasks, state-of-the-art NLG models still face many challenges: a lack of diversity in generated text, commonsense violations in depicted situations, difficulties in making use of factual information, and difficulties in designing reliable evaluation metrics. In this tutorial, we will present an overview of the current state-of-the-art in neural network architectures, and how they shaped recent research directions in text generation. We will discuss how and why these models succeed/fail at generating coherent text, and provide insights on several applications.

Type. Cutting-edge.

1 Introduction

Natural Language Generation (NLG) forms the basis of many Natural Language Processing (NLP) tasks such as document summarization, machine translation, image captioning, conversational dialogue, and creative writing, making it an essential component in human-machine communication tasks. With recent progress in training deep neural networks, there has been a paradigm shift from template based approaches to neural methods as the predominant building blocks for text generation systems. Specifically, the rich representation learning capabilities of neural networks have allowed NLG models to be trained directly from large amounts of training data, significantly reducing the need for manual feature engineering. Many benefits have emerged from this new research direction. First, the prototypical framework for training neural networks in an end-to-end fashion has allowed for a diverse array of contextual information to be incorporable into text generation systems (Vaswani et al., 2017; Radford et al., 2019; Ziegler et al., 2019; Keskar et al., 2019), allowing for a richer range of stylistic variability in generated text. Simultaneously, the combination of deep neural networks, large-scale text data and cheap computational power has accelerated new developments in neural network language models.

However, NLG models still raise many challenges which are the focus of a growing body of work. Examples of such limitations are the lack of diversity in generated texts, difficulty in controlling the discourse coherence of the generated text, the lack of commonsense in generated outputs, an uncertain reliance on provided factual information, and more general open questions on architecture design and optimization settings.

In this tutorial, we will start with an introduction to neural language generation, presenting neural language models and encoder-decoder models. We will then discuss the capabilities and limitations of recent text generation models, the suitable architectures for text generation in various specific applications, and then provide insights into why and how these generation models can be adapted for a particular task (Wiseman et al., 2017; Li et al., 2017; See et al., 2017; Xie, 2017). The discussion on evaluation metrics will start from ngram matching up to the recent progress on text generation evaluation metrics. In the end, this tutorial will be concluded by presenting and discussing major current research directions in the field of neural language generation. All materials (including slides, code, and demos) will be publicly available online on the day of the tutorial. We do not assume any particular prior knowledge in text generation or language modeling. Familiarity with standard neural network modules (LSTM/CNN/Transformer) is a plus but not required. The intended length of the tutorial is 3 hours, including a coffee break.

2 Tutorial Goal and Description

2.1 Overview

This tutorial will mainly focus on the recent advances in neural networks for language generation and will have minimal coverage on traditional methods. We will provide an overview on the recent progress of neural language generation for those working in this research area, and will also introduce this exciting research area to the NLP researchers who are not familiar with newest advancements in neural text generation. This tutorial is designed for anyone who has basic knowledge background of NLP or deep learning, which makes it accessible to any attendee of an NLP conference.

2.2 Tutorial Organization

Fundamentals and Progression of Neural Text Generation. Interest in neural text generation was recently catalyzed by the renaissance of neural network research in natural language processing, particularly with the development of neural language models and encoder-decoder models. Requiring minimal templates and hand-designed rules, unlike classical language generation methods, neural language generation models massively reduce the time needed to design and build new text generation system.

In particular, language models and encoderdecoder models conveniently allows to incorporate contexts such as previous or parallel sentences, as exemplified in machine translation models. However the spectrum of applications of NLG systems extends far beyond machine translation and can involve: (1) complex reasoning processes that go behind semantically preserving mapping from one language to another, for instance to model discourse, dialog flows or multi-hop reasoning; (2) a wide range of context information, from memory to multi-modalities like images or speech; and (3) challenging evaluation, as multiple generated outputs can be simultaneously valid for a given context (so called high-entropy tasks). The tutorial will highlight some these topics and provide a comprehensive overview of the advances

of neural language generation.

Technical Details for Training and Optimization Neural Text Generation. Many of the recent progresses in neural language generation can be characterized as approaches to address some of the above mentioned issues. By investigating the difference between language generation and other sequential modeling problems, novel training methods (e.g., reinforcement learning or imitation learning) can be designed to capture longterm dependencies in generation. New decoding methods like top-k (Fan et al., 2018), nucleus sampling (Holtzman et al., 2019) or penalized sampling (Keskar et al., 2019) are invented to resolve the diversity issues.

Eventually, smarter ways to incorporate various contextual information in neural network models (Golovanov et al., 2019; Ziegler et al., 2019; Radford et al., 2019; Keskar et al., 2019) provide more flexibility as well as a better reliance of the model on the conditioning inputs.

Evaluation of Text Generation. Finally, there is a formidable challenge in getting better metrics to evaluate the quality of generated texts that stems from open-ended nature of these models output. Leveraging recent advances in representation learning, the field of neural language generation has been able to move beyond evaluation methods based on *n*-gram matching and incorporate promising approaches to design more reliable evaluation metrics. This tutorial will cover recent progress in this field as well as highlighting pressing issues with the current state of experimental reporting in NLG. Together with evaluation, we will overview several text generation benchmarks commonly used in the field.

Lessons Learned, Future Directions and Practical Advances of Neural Text Geneation. The last part of this tutorial will discuss practical issues when using cutting-edge language generation techniques. Most of the content covered in this part will have corresponding code or demo implemented in a standard deep learning framework like PyTorch or TensorFlow. The concluding part of the tutorial, we will provide a summary of current and future research direction as well as of some open questions to open the discussion.

3 Diversity and Inclusion

Diversity. The background of the instructors of this tutorial is evenly distributed among academia and industry. The instructors consist of a group of researchers ranging from an assistant professor at University of Virginia (Yangfeng Ji), a senior Ph.D. student at University of Washington with years of industry research experience (Antoine Bosselut) and two senior research scientists in industry (Thomas Wolf and Asli Celikyilmaz), who both have years of industry research experience. The tutorial instructors are also from different countries and continents (the Netherlands and USA).

4 Outline

4.1 Schedule

The tutorial will be 3 hours long.

- 1. Introduction of Natural Language Generation (15 minutes long): This section will introduce the tutorial by presenting the recent impact of neural network modeling approaches on the field. We will briefly overview the classical text generation pipeline, and introduce basic building blocks of neural text generation: language modeling and the encoder-decoder frameworks. We will also discuss the limitations of the simple encoder-decoder frameworks and motivate the rest of the tutorial.
- 2. Building blocks of Neural Network Models for Language Generation (60 minutes long): This section will comprise three closely related topics corresponding to three fundamental aspects of building a neural language generation system: (1) selecting the architecture of the model among a variety of choices such as pre-trained language models (Devlin et al., 2018; Radford et al., 2019), variational autoencoders (Bowman et al., 2016; Hu et al., 2017), generative adversarial networks (Fedus et al., 2018; Subramanian et al., 2018), or neural template based methods (Wiseman et al., 2018; Xu et al., 2018); (2) training the model using techniques which can range from simple maximum likelihood estimate up to more advanced training techniques like scheduled

sampling (Bengio et al., 2015), unlikelihood training (Welleck et al., 2019) or reinforcement/imitation learning (Kreutzer et al., 2018; Tan et al., 2018; Huang et al., 2019; Du and Ji, 2019) which can help alleviate exposure bias (He et al., 2019) and repetition issues, and improve handling long-term rewards; (3) selecting a decoding strategy, from classical methods like greedy decoding, beam search and random sampling up to more recent techniques like top-k (Fan et al., 2018), nucleus sampling (Holtzman et al., 2019) or penalized sampling (Keskar et al., 2019). This section will cover the material on classical techniques (30% of time) and mainly focus the recent progress on the related topics (70% of time)

- 3. Break (20 minutes)
- 4. Generation with Rich Context (25 minutes long): This section will discuss recent works on incorporating various types of context information in neural language generation. Going beyond simple context information provided by single sentence contexts, we will overview the growing body of work exploring various strategies to incorporate different types of context information either textual, e.g., syntactic, topic, and discourse information (Wang et al., 2019; Shen et al., 2019; Clark et al., 2018; Bosselut et al., 2018), or beyond text, including knowledge graph, database and images (Parthasarathi and Pineau, 2018; Dinan et al., 2018).
- 5. Benchmarks and Evaluation (30 minutes long): Given the diversity of text generation tasks and domains, it can be challenging to design reliable benchmarks and evaluation metrics (Lowe et al., 2017; Reiter, 2018; Clark et al., 2019; See et al., 2019). In this section, we will summarize the current status on these topics.
- 6. Building Neural Models for Generation (20 minutes long): This section will provide hand-on exercise, using existing deep learning packages, to build a neural language generation model. This section will also demonstrates how different learning/decoding strategies can have a strong impact on the quality of generated texts.

7. **Open problems and directions** (10 minutes long): In this final section, we will summarize the topics covered in the tutorial and point to a selection of open problems and future research directions.

4.2 Breadth

We estimate that the 30% of the tutorial will cover the recent work by the tutorial presenters, and the rest will be on cutting-research work by other researchers.

5 Information about the Presenters

Yangfeng Ji is the William Wulf Assistant Professor in the Department of Computer Science at the University of Virginia, where he leads the Natural Language Processing group. His research interests include building machine learning models for text understanding and generation. His work on entity-driven story generation won an Outstanding Paper Award at NAACL 2018.website

Antoine Bosselut is a PhD student in the Paul G. Allen School of Computer Science at the University of Washington and a Student Researcher at the Allen Institute for Artificial Intelligence (AI2). His research interests are in integrating commonsense knowledge and reasoning into downstream applications for text generation, summarization, and conversational dialogue. He regularly publishes papers at ACL, NAACL, EMNLP, and ICLR. He organized the NeuralGen workshop at NAACL 2019, and West Coast NLP (WeCNLP) in 2018 and 2019. website

Thomas Wolf leads the Science Team at Huggingface Inc., a Brooklyn-based startup working on Natural Language Generation and Understanding. He previously co-organized the NeuralGen 2019 workshop and the tutorial on Transfer Learning in NLP at NAACL 2019. His team has open-sourced several widely used libraries for coreference resolution and transfer learning in NLP and regularly publish research papers in ML and CL conferences (ICLR, ACL, AAAI...). His primary research interest is Natural Language Generation and Transfer Learning. website

Asli Celikyilmaz is Principal Researcher at Microsoft Research in Redmond, Washington. She is also an Affiliate Professor at the University of Washington. Her research interests are mainly in deep learning and natural language, specifically on long text generation, multi-document summarization, conversational modeling, humancomputer interaction, and knowledge representation. She has presented several tutorials at venues including CoLing'18, ACL'17, ICASSP'17, Interspeech'17 and organized workshops at ACL, NAACL, Neurips. She has published several papers in ACL, EMNLP, NAACL, CVPR, NeurIPS, ICLR, ICASSP, IEEE TASLP, among She received several 'best of' other venues. awards including best paper award at Semantic Computing 2009, CVPR 2019. She received her Ph.D. degree from University of Toronto, Canada. website

6 Additional details

Audience Size. Based on the increasing interest in natural language generation (larger growth rate in submissions compared to other areas of NLP^1), we anticipate that between 150 and 200 attendees will be interested in this tutorial.

Special Requirements. The tutorial will require internet access for participants to be able to access the slides and, optionally, to access hands-on coding notebooks.

Preferred Venues. Our preferred venues are EMNLP 2020, ACL 2020, and CoLing 2020.

Open Access. We agree to allow the publication of our slides and a video recording of our tutorial in the ACL Anthology. All our materials will additionally be posted on our tutorial <u>website</u>.

Small Reading List.

- 1. (Gatt and Krahmer, 2018): traditional methods on natural language generation
- 2. (Radford et al., 2019): large-scale language models as unsupervised multitask learners with generative capabilities
- 3. (Khandelwal et al., 2019): example highlighting the rise of pretrained language models for neural text generation
- 4. (Holtzman et al., 2019): studying the dramatic effect of decoding strategies on the quality of machine text

¹http://acl2019pcblog.fileli.unipi.it/
?p=152

- 5. (Kusner et al., 2015): going beyond n-gram matching, using representation learning to evaluate generation
- (Ranzato et al., 2015): introduction to exposure bias and training with sequence-level objective functions
- 7. (Bowman et al., 2016): variational autoencoders for language generation
- 8. (Holtzman et al., 2018): designing neural networks as scoring functions during decoding

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